# PROPOSED RULEMAKING

# BUREAU OF PROFESSIONAL AND OCCUPATIONAL AFFAIRS

DEPARTMENT OF STATE
[ 49 PA. CODE CH. 43b ]
Expungement; Fees

The Commissioner of Professional and Occupational Affairs (Commissioner) proposes to amend 49 Pa. Code, Chapter 43b by adding Subchapters B and C (relating to expungement; and fees) to read as set forth in Annex A.

Effective date

This proposed rule making will be effective upon publication of the final-form rule making in the  $Pennsylvania\ Bulletin$ .

Statutory Authority

The act of February 15, 2018 (P.L. 14, No. 6) (Act 6 of 2018) amended section 5(a) of the act of July 3, 1993 (P.L. 345, No. 48) (63 P.S. § 2205(a)) to provide the authority to the Commissioner to expunge certain disciplinary records, provided various criteria are met and requires the applicant for expungement to pay all costs associated with expungement "as established by the commissioner by regulation." In addition, sections 506 and 810(a)(7) of the Administrative Code of 1929 (71 P.S. §§ 186 and 279.1(a)(7)) provide the Department of State and the Commissioner the general authority to prescribe rules and regulations, not inconsistent with law, and to set fees. This proposed rulemaking effectuates Act 6 of 2018.

Background and Need for the Amendments

This proposed rulemaking is needed to effectuate Act 6 of 2018, which requires the Commissioner to expunge certain disciplinary actions upon application and the payment of all costs associated with expungement "as established by the commissioner by regulation."

Description of the Proposed Rulemaking

The Commissioner proposes to add two new subchapters to Chapter 43b (relating to Commissioner of Professional and Occupational Affairs). The existing language in Chapter 43b preceding the table of contents will become the heading for Subchapter A (relating to schedule of civil penalties, guidelines for imposition of civil penalties and procedures for appeal).

Subchapter B will set forth the requirements to apply for and obtain expungement of disciplinary records in accordance with Act 6 of 2018. Section 43b.101 (relating to definitions) will set forth the definitions of the terms "expunge or expungement" and "licensee" consistent with the definitions in Act 6 of 2018. Section 43b.102 (relating to expungement of disciplinary records) would provide the criteria for expungement of a disciplinary record. First, expungement is only available for two discrete categories of disciplinary record—discipline imposed for a violation involving failure to complete continuing education requirements; and discipline imposed for a violation involving practice for 6 months or less on a lapsed or expired license, registration, certificate or permit. Act 6 of 2018 prohibits the Commissioner from expunging any other categories of disciplinary record. Section 43b.102 will also

set forth the statutory criteria for expungement of an eligible disciplinary record as set forth in Act 6 of 2018. It must be the licensee's only disciplinary record. The licensee may not be subject of an active investigation related to professional or occupational conduct, nor may the licensee be in a current disciplinary status (revoked, suspended or on probation). All fees, fines, civil penalties and costs associated with the disciplinary record must be paid in full. Finally, the licensee may not have more than one disciplinary record expunged.

Section 43b.103 (relating to application for expungement) sets forth the procedures for applying for expungement. It is anticipated that licensees will apply for expungement through the Bureau of Professional and Occupational Affair's (Bureau) online PALS licensing system, which contains all records associated with a license, registration, certificate or permit, including all disciplinary actions. Section 43b.103 also sets forth the timing of application as set forth in Act 6 of 2018. A licensee must wait at least 4 years from the final disposition of the discipline to apply for expungement. Finally, § 43b.103(c) requires that a licensee applying for expungement shall pay the fee established by the Commissioner, in accordance with the requirement in Act 6 of 2018 that the licensee "shall pay all costs associated with the expungement as established by the commissioner by regulation."

Subchapter C is proposed to be added. The Commissioner elected to establish a separate subchapter relating to fees to provide for the possibility of future fees being promulgated under the Commissioner's general authority to "fix the fees to be charged by the several professional and occupational examining boards within the department" as set forth in section 810 of the Administrative Code of 1929 (63 P.S. § 279.1). This proposed rulemaking would set forth a fee of \$155 for the expungement of a disciplinary record. This fee is based on an evaluation of the costs associated with processing expungement applications by the Bureau's legal office staff, including administrative overhead.

Fiscal Impact and Paperwork Requirements

This proposed rulemaking will have no adverse fiscal impact on the Commonwealth or its political subdivisions. The costs to the Bureau and its licensing boards and commissions related to processing applications for expungement will be recouped through fees paid by applicants. Licensees, registrants, certificate holders and permit holders who apply for expungement of disciplinary records will be impacted by the \$155 application fee. Because the PALS licensing system will include an online process to apply for expungement of an applicable disciplinary record, there should be minimal paperwork requirements for applicants.

Sunset Date

The Commissioner continuously monitors the cost effectiveness of the Commissioner's regulations. Therefore, no sunset date has been assigned.

Regulatory Review

Under section 5(a) of the Regulatory Review Act (71 P.S. § 745.5(a)), on Thursday, October 25, 2018, the Commissioner submitted a copy of this proposed rule-making and a copy of a Regulatory Analysis Form to the Independent Regulatory Review Commission (IRRC) and

to the Chairpersons of the Senate Consumer Protection and Professional Licensure Committee and the House Professional Licensure Committee. A copy of this material is available to the public upon request.

Under section 5(g) of the Regulatory Review Act, IRRC may convey any comments, recommendations or objections to the proposed rulemaking within 30 days of the close of the public comment period. The comments, recommendations or objections shall specify the regulatory review criteria which have not been met. The Regulatory Review Act specifies detailed procedures for review prior to final publication of the rulemaking by the Board, the General Assembly and the Governor of comments, recommendations or objections raised.

#### Public Comment

Interested persons are invited to submit written comments, recommendations or objections regarding this proposed rulemaking to Cynthia Montgomery, Counsel to the Commissioner, P.O. Box 69523, Harrisburg, PA 17106-9523, RA-STRegulatoryCounsel@pa.gov within 30 days of publication of this proposed rulemaking in the *Pennsylvania Bulletin*. Reference No. 16A-060 (Expungement; Fees) when submitting comments.

IAN HARLOW,

Commissioner of Professional and Occupational Affairs

**Fiscal Note:** 16A-060. No fiscal impact; (8) recommends adoption.

#### Annex A

# TITLE 49. PROFESSIONAL AND VOCATIONAL STANDARDS

# PART I. DEPARTMENT OF STATE

# Subpart A. PROFESSIONAL AND OCCUPATIONAL AFFAIRS

# CHAPTER 43b. COMMISSIONER OF PROFESSIONAL AND OCCUPATIONAL AFFAIRS

SUBCHAPTER A. SCHEDULE OF CIVIL PENALTIES, GUIDELINES FOR IMPOSITION OF CIVIL PENALTIES AND PROCEDURES FOR APPEAL

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(*Editor's Note*: The following Subchapters are proposed to be added and printed in regular text to enhance readability.)

## Subchapter B. EXPUNGEMENT

Sec.

43b.101. Definitions.

43b.102. Expungement of disciplinary records.

43b.103. Application for expungement.

# § 43b.101. Definitions.

The following words and terms, when used in this subchapter, have the following meanings, unless the context clearly indicated otherwise:

Expunge or expungement—Removal of a disciplinary record from a licensee's record accomplished by (1) permanently sealing the affected record from public access; (2) deeming the disciplinary proceedings to which the affected record refers as not having occurred; and (3) except with respect to any subsequent application for expungement, affording the licensee the right to represent that no disciplinary record exists regarding the matter.

Licensee—Any person holding a license, registration, certificate or permit issued by a licensing board or commission under the Bureau.

# § 43b.102. Expungement of disciplinary records.

- (a) *Eligibility*. The following disciplinary records are eligible for expungement:
- (1) Discipline imposed for a violation involving failure to complete continuing education requirements.
- (2) Discipline imposed for a violation involving practicing for 6 months or less on a lapsed or expired license, registration, certificate or permit.
- (b) *Prohibition*. The Commissioner will not expunge disciplinary records for any violation other than those listed in subsection (a).
- (c) Criteria for expungement of an eligible disciplinary record. The Commissioner will expunge an eligible disciplinary record if the following criteria are met:
- (1) The disciplinary record must be the licensee's only disciplinary record with a licensing board or commission under the Commissioner's jurisdiction.
- (2) The licensee may not be the subject of an active investigation related to professional or occupational conduct.
- (3) The licensee may not be in a current disciplinary status, such as revoked, suspended or on probation.
- (4) Any fees or fines, including civil penalties and costs imposed in a disciplinary proceeding, assessed against the licensee must be paid in full.
- (5) The licensee may not have had a disciplinary record previously expunged by the Commissioner.

# § 43b.103. Application for expungement.

- (a) A licensee may apply for expungement in accordance with this subchapter in the manner prescribed by the Commissioner.
- (b) A licensee may apply for expungement not earlier than 4 years from the final disposition of the disciplinary record.
- (c) A licensee applying for expungement shall pay the fee set forth in § 43b.201 (relating to fees for services) for expungement of a disciplinary record.

## Subchapter C. FEES

Sec.

43b.201. Fees for services.

## § 43b.201. Fees for services.

The following fees are charged for services provided by the Commissioner/Bureau:

# PENNSYLVANIA PUBLIC UTILITY COMMISSION

[ 52 PA. CODE CH. 91 ] [ L-2018-3004948 ]

The Commission's Regulation Governing the Consumer Advisory Council; Advanced Notice of Proposed Rulemaking

Public Meeting held October 25, 2018

Commissioners Present: Gladys M. Brown, Chairperson; Andrew G. Place, Vice Chairperson, statement follows, dissenting; Norman J. Kennard; David W. Sweet; John F. Coleman, Jr.

The Commission's Regulation Governing the Consumer Advisory Council, 52 Pa. Code Ch. 91; L-2018-3004948

### Advance Notice of Proposed Rulemaking

By the Commission:

The Pennsylvania Public Utility Commission (Commission) enters this Advance Notice of Proposed Rulemaking Order (ANOPR) to invite comment on the Commission's regulation, 52 Pa. Code §§ 91.1 et.seq., regarding the Consumer Advisory Council (CAC or Council). After more than 40 years of operation under the present regulations, the Commission is interested in exploring changes to the CAC regulations in order to improve its efficiency as an advisory body and to clarify its duties and role.

The Commission seeks comment on 52 Pa. Code Chapter 91 regarding the following: (1) whether to repeal Chapter 91 and concomitantly reestablish the Council via Commission Order; (2) what improvements are needed for CAC operational efficiency; (3) information sharing between Commission Staff and the Council; (4) the Council's ability to file comments in proceedings before the Commission; (5) the diversity of Council membership; (6) Consumer Advisory Council members interactions with the media or at public forums; (7) clarification to the General Assembly and the Governor's Office regarding the appointments and biennial terms of the Council; and (8) ministerial changes to the Council including examining the frequency of meetings and evaluating the Council's composition. Additionally, the Commission proposes to update and revise the CAC's underlying authority and reporting structure to align administratively with current Commission practices.

### Background

The Consumer Advisory Council to the Commission was created in 1977 through the adoption of 52 Pa. Code Chapter 91. The Commission's regulations regarding the Council have not been revised or updated since 1994. The purpose of the CAC is to advise the Commission on matters relating to the protection of consumer interests as such interests are affected by the Commission's exercise of its jurisdiction. 52 Pa. Code § 91.2. The Council considers all matters within the scope of Section 91.2 arising from: (1) Consumer inquiry or request; (2) Commission inquiry or request; or (3) the proceedings, deliberations, or motions of the Council. 52 Pa. Code § 91.3.

On June 14, 2017, the Commission made or ratified twelve appointments to the CAC for a two-year term beginning on July 1, 2017 and ending June 30, 2019. Chapter 91 does not provide for a maximum number of

Council members, however, six of the twelve current members were appointed by the Commission to reflect a reasonable geographic representation of the Commonwealth as well as various classes of consumers. 52 Pa. Code § 91.4(c)(1). As required by the current regulation, the remaining six members were appointed by the following public officials: (1) the Governor; (2) the Lieutenant Governor; (3) the Majority Chairperson of the Senate Consumer Protection and Professional Licensure Committee; (4) the Minority Chairperson of the Senate Consumer Protection and Professional Licensure Committee; (5) the Majority Chairperson of the House Consumer Affairs Committee; and (6) the Minority Chairperson of the House Consumer Affairs Committee. 52 Pa. Code § 91.4(c)(3).

Whether to Repeal Chapter 91 and Concomitantly Establish the Council via Commission Order

The Commission seeks greater flexibility in how quickly it can adjust rules and directives for the Council in a changing, ever-evolving public utility landscape and seeks ways to better instruct the CAC about how it might more effectively advise the Commission. To achieve these goals, the Commission first seeks comments from interested parties on whether it should repeal Chapter 91 of the Commission's regulations and reestablish the Council through a Commission Order. The CAC is not a statutorily-created body; rather, it is a general advisory committee created by regulation. In preparing the instant ANOPR, Staff to the Council reached out to several States and determined that no commission that responded had a similar counterpart to the CAC. Repealing Chapter 91 and concomitantly establishing the Council through a Commission Order would allow the Commission, in the future, to amend the authority and composition of the CAC outside the formal rulemaking process using its power pursuant to 66 Pa.C.S. § 501(a).

Reestablishing the Council via Commission Order allows the Commission to expeditiously address ministerial concerns surrounding the CAC that Staff to the Council has consistently faced in recent years (e.g., the frequency of Council meetings, the rules constituting a quorum, and the number of Council members). A Commission Order may also give the Commission greater latitude to engage the Council, seek the CAC's input on various topics, amend the ways the Council communicates with the Commission to respond to technological trends (e.g., the Commission's website), and address how consumers access and receive information.

Irrespective of whether the Commission ultimately proposes to repeal Chapter 91, a Commission rulemaking seeking to update the Council's regulations will continue to explore the ways in which the CAC can meaningfully advise the Commission.

Operational Efficiency of the Consumer Advisory Council

The Commission seeks to bring governance and additional effectiveness of the Council up-to-date with the current configuration and structure of the Commission. Much of this work involves ministerial updates to the rules that govern the Council to reflect internal changes at the Commission in the previous two decades. The Commission acknowledges that Chapter 91 currently requires the Council to communicate its actions in ways that are out-of-date, including the requirement that notice of all Council meetings be made to the media through

<sup>&</sup>lt;sup>1</sup> The following states in 2017 advised Staff to the Council that they did not have a similar counterpart to the CAC: California, Colorado, Florida, Georgia, Iowa, Kentucky, Maine, Michigan, New York, Oregon, South Carolina, Texas and Virginia. Admittedly, some states identified having groups tailored to a specific issue in their purview.

the now-defunct Public Information Office of the Commission. 52 Pa. Code § 91.3(e). Additionally, the Commission no longer requires CAC actions to be filed with the Secretary of the Commission and the Bureau of Consumer Šervices (BCS). 52 Pa. Code § 91.3(f). Rather, Council actions are now posted to the Commission's website<sup>2</sup> and communicated to the Commissioners' Offices by the Commission's Office of Communications.

The Commission seeks comment on how the Council might best "make periodic reports to the Commission regarding the actions of the Council and its recommendations as to how the Commission may better serve the public and, particularly, the interest of ratepayers," pursuant to the current regulation at 52 Pa. Code § 91.3(b).

Information Sharing Between the Commission and the Consumer Advisory Council

The Commission continually seeks the appropriate balance between the Commission presenting overviews to the Council made by Commission Staff regarding topics of material interest in utility regulation, trending utility issues, and activities of the Commission, and then separately the responsibility of Council members to gather and report on consumer concerns in their respective communities and constituencies. Chapter 91 requires the Council to make periodic reports to the Commission regarding the activities of the Council and its recommendations as to how the Commission may better serve the public and, particularly, the interest of ratepayers. 52 Pa. Code § 91.3(b). Council members in the current term, and during the previous two terms, have convened via conference call—as a subcommittee—in months the full Council has not met and have actively participated in certain Commission proceedings (e.g., rulemakings) that have sought comments from interested parties.<sup>3</sup> At other times, the Council has operated for lengthy periods without any formal action, merely receiving Staff presentations. Therefore, the Commission seeks comments regarding the most effective ways the Council can connect with its constituencies to fulfill the requirement of Section 91.3 to regularly bring consumers' concerns and feedback to the Commission's attention.

Additionally, Chapter 91 currently enables the Council to "conduct investigations and...solicit and receive comments from interested parties and the general public." 52 Pa. Code § 91.3(c). While the allowance to conduct investigations and solicit comments have not been previously utilized, at least not recently, by the CAC, the Commission is concerned that these provisions may be duplicative of the work conducted by other bureaus and offices in the Commission and that there may be budgetary difficulties should the Council decide to use these mechanisms. The Commission seeks comments on whether the directive and charge of the Council-to provide advice to the Commission regarding matters of protecting consumer interests—requires the ability to conduct investigations and solicit and receive public comments from interested parties and the general public.

The Consumer Advisory Council's Ability to File Comments with the Commission

Chapter 91 of the Commission's regulations requires the Council to make periodic reports to the Commission regarding the activities of the Council and its recommendations as to how the Commission may better serve the public and the interest of ratepayers.4 However, the current regulation does not expressly provide the Council with the ability to file comments as a party to Commission proceedings. There is a lengthy precedent of the Commission permitting the CAC to provide electronicallyfiled comments—facilitated by the Office of Communications—in rulemakings and other public proceedings. Otherwise, the Council provides advice and makes reports to the Commission through motions recorded in CAC meeting minutes and uploaded to the Commission's website. The Commission seeks comments on whether the Council should be empowered and explicitly authorized to file comments in public proceedings before the Commission.

The Diversity of Consumer Advisory Council Membership

Pursuant to Section 91.4(c)(1), "[t]he Council shall be composed of persons who, as a group, reflect a reasonable geographic representation of this Commonwealth and shall include low-income individuals, members of minority groups and various classes of consumers." The Council is reasonably representative of the Commonwealth from a geographic perspective-although, admittedly, there is currently no member from the City of Philadelphia—and is comprised of members who advocate for low-income consumers as well as various other consumer classes. Still, to continue meeting these diversity of membership mandates, the Commission seeks comments regarding organizations from which to include representatives in the future, ideas for recruiting Council members from minority communities, and whether the diversity obligation should be amended to allow for representatives or organizations that advocate low-income consumers' interests or if low-income consumers should be sought directly for Council membership. Further, the Commission requests comments on whether the appointments by the Governor, Lieutenant Governor, and the General Assembly should be derived from certain organizations.

Consumer Advisory Council Members Interaction with the Media or at Public Forums

Presently, no Council member may speak on behalf of the CAC without a quorum of the Council granting such authority. Nothing in the current regulation limits a Council member from speaking in their capacity as an individual in their respective community. However, Chapter 91 is silent about whether Commission approval is required before Council members speak publicly to persons in the media or at events like community meetings and public input hearings. The Commission seeks comments on whether the Commission's approval should be a prerequisite and what parameters need to exist, if any, before Council members speak publicly about the work of the Council or the Commission.

Clarifying to the General Assembly and the Governor's Office the Biennial Terms of the Consumer Advisory Council

Chapter 91 is also silent as to when CAC terms begin and end biennially. The Commission's present practice, which has been used for a number of years, is to make or ratify appointments at a Public Meeting every other June to become effective for a two-year term beginning July 1

http://www.puc.pa.gov/about\_puc/consumer\_advisory\_council.aspx.

<sup>&</sup>lt;sup>2</sup> http://www.puc.pa.gov/about\_puc/consumer\_advisory\_council.aspx.

<sup>3</sup> See Comments of the CAC re: Chapter 14 Implementation (66 Pa.C.S. §§ 1401—1419), Docket No. M-2014-2448824 (March 2, 2015); Comments of the CAC re: Amending 52 Pa.Code Chapter 53 to Prohibit Public Utilities from Charging Customers a Fee to Receive a Printed Utility Bill, Docket No. L-2014-2411278 (April 13, 2015); Comment of the CAC re: Standards and Billing Practices for Residential Utility Service at Chapter 56, Docket No. L-2015-2508421 (April 18, 2017); Comments of the CAC Supporting the Commission's Decision to Require Regulated Utilities of Provide Information Necessary to Determine the Impact to Consumers of the Tay Contains the Impact to Consumers the Tay Contains the Impact to C Provide Information Necessary to Determine the Impact to Consumers of the Tax Cuts and Jobs Act of 2017 (March 9, 2018).

<sup>4 52</sup> Pa. Code § 91.3(b).

of the same year. No matter how the Commission may decide to amend the governance and efficiency of the Council, the Commission believes its current practice for CAC terms beginning July 1 of a certain year should be specified so that the Governor's and Lieutenant Governor's Offices and the General Assembly are aware and know when they can be expected to make their Council appointments. The Commission invites comments regarding these biennial terms.

Ministerial Changes to the Council Including the Frequency of Council Meetings and Evaluating the Council's Composition

Section 91.3(d) of the regulation states, in pertinent part, that "[t]the Council shall hold regular meetings not less than quarterly." Currently, the entire Council meets bi-monthly and, as mentioned above, a subcommittee meets via conference call during the other months; this has been the practice for roughly seven years. Prior to this schedule, the CAC met monthly. However, in recent years the Council has often struggled to reach a quorum during its regular meetings, meaning the CAC cannot act, even to take cursory actions like approving the minutes from the previous meeting. The Commission seeks comments on whether it may be prudent to examine the frequency of Council meetings and whether regular meetings should be held monthly, bi-monthly, quarterly, or at the call of the Chairperson.

A quorum of the CAC consists of one more than half of the appointed members;<sup>5</sup> thus, in the current term a quorum is seven Council members. At times in recent terms the Council has struggled to reach a quorum for its general meetings, which means that ministerial and administrative tasks like approving the meeting agenda or the minutes from the previous meeting cannot be completed. Staff to the Council has also been tasked occasionally with trying to glean how many CAC members will be participating in a given meeting to determine whether the meeting should even take place. Given these recent difficulties, the Commission seeks comment on whether a simple majority vote of Council members present during general meetings should be satisfactory to approve meeting minutes. Further, the Commission seeks comment on whether the Council's rules regarding what constitutes a quorum should be amended and, if so, how a quorum should be deemed convened. The Commission welcomes any additional comments about quorum and how the CAC and the Commission can encourage greater attendance for Council meetings.

There is no provision in Chapter 91 that directs how many Council members should be appointed for each two-year term. As stated above, currently the CAC is comprised of twelve members. In recent terms, the Council has consisted of as many as sixteen members. Noting the concerns described herein about reaching a quorum regularly, the Commission seeks comments from interested parties about whether there should be minimum membership and/or maximum membership for the Council each term. If so, the Commission seeks input about what those membership requirements should be.

Finally, Chapter 91 expressly provides for the selection of a Chairperson from the members of the Council and designates that additional officers can be selected as the CAC deems appropriate. However, these other officers are not named specifically. In practice, a "Vice Chairperson" is elected alongside the Chairperson at the start of each two-year Council term. The Regulation also does not include a provision for the Council to form subcommittees such as the one that currently exists to help set and create the Council's agendas and priorities, as well as to draft comments when the CAC decides to participate in a public proceeding before the Commission. The Commission seeks comments on whether it would be prudent to formally require the Council to select a Vice Chairperson or other officers for each term. The Commission also seeks comments about whether it should expressly empower the CAC to form subcommittees from its membership to achieve specific, assigned tasks; Therefore,

#### It Is Ordered That:

- 1. Interested parties are requested to comment on this Advance Notice of Proposed Rulemaking regarding the procedures governing the Commission's Consumer Advisory Council.
- 2. The Law Bureau shall duly deposit this Order with the Legislative Reference Bureau to be published in the Pennsylvania Bulletin.
- 3. Written comments referencing Docket No. L-2018-3004948 be submitted within 45 days of publication in the Pennsylvania Bulletin to the Pennsylvania Public Utility Commission, Attn: Secretary, 400 North Street, Harrisburg, PA 17120. Comments may also be e-filed consistent with the Commission's e-filing instructions at: http:// www.puc.pa.gov/efiling/default/aspx.
- 4. This Order be served on the Office of Consumer Advocate, the Office of Small Business Advocate, the Commission's Bureau of Investigation and Enforcement, low-income advocates, current Consumer Advisory Council Members, the Energy Association of Pennsylvania, the Pennsylvania Telephone Association, the Governor, Lieutenant Governor and the House Consumer Affairs Committee and the Senate Consumer Protection and Professional Licensure Committee.
- 5. A copy of this Order shall be posted on the Commission's website.
- 6. The Law Bureau, in conjunction with the Office of Communications, prepare a recommendation to the Commission regarding a Consumer Advisory Council proposed rulemaking.
- 7. The contact persons for this matter are Tom Charles, Office of Communications, (717) 787-9504, thcharles@ pa.gov; and Colin W. Scott in the Law Bureau, (717) 783-5949, colinscott@pa.gov.

ROSEMARY CHIAVETTA, Secretary

# Statement of Vice Chairperson Andrew G. Place

Before us today for disposition is an Advance Notice of Proposed Rulemaking regarding the Commission's regulations governing the Consumer Advisory Council (CAC). The Commission is exploring whether any changes, including potentially rescinding the regulations, are warranted to improve the efficiency and role of this advisory body. I believe the Council and its members provide value to the Commission and the consumers they represent, both of which are not served if this group and its accompanying authority are stricken from the regula-

While I agree that regulations should be periodically reviewed to determine their effectiveness, this review of Chapter 91 considers an outcome of rescinding the Commission's regulations including the Council's governance and operations in total and replacing it via a mechanism other than a formal regulation. Additionally, preserving

 <sup>52</sup> Pa. Code § 91.4(b).
 52 Pa. Code § 91.4(a)(2)(i)-(ii).

the Council's ability to file comments in proceedings before the Commission and interacting with the media and the public would appear to be of clear public interest. While I encourage comments on these topics, with this understanding, I cannot support the Chapter 91 review.

There are several areas on which the Commission is seeking feedback through the Advance Notice of Proposed Rulemaking. I believe that it would be instructive to receive comments regarding similar consumer advisory councils for other state and/or federal regulatory agencies including the number of their respective members, the scope of their functions, and their interactions with their respective enabling regulatory agencies or other governmental bodies. I am also particularly interested in receiving and reviewing comments that address the additional issues articulated in this Advance Notice of Proposed Rulemaking such as the potential role that the Council

can provide to the Commission. I specifically encourage the Consumer Advisory Council, its individual members, and the specific organizations that these members may represent to submit comments at this docket.

There may indeed be ways in which the Council can increase its effectiveness and I am interested in evaluating those ideas and proposals which are not hinged upon a rescission of the CAC's regulatory language. However, because the potential rescission of this important Council is also part of this proposal, I am dissenting on the issuance of the Advance Notice of Proposed Rulemaking.

ANDREW G. PLACE, Vice Chairperson

[Pa.B. Doc. No. 18-1746. Filed for public inspection November 9, 2018, 9:00 a.m.]