# PROPOSED RULEMAKING

## STATE BOARD OF AUCTIONEER EXAMINERS

[ 49 PA. CODE CH. 1 ]

#### **Fees**

The State Board of Auctioneer Examiners (Board) proposes to amend § 1.41 (relating to schedule of fees) to read as set forth in Annex A.

This proposed rulemaking increases application fees to reflect updated costs of processing applications and increases all the Board's biennial renewal fees to ensure its revenue meets or exceeds the Board's current and projected expenses. This proposed rulemaking would increase the following application fees on a graduated basis: auctioneer, apprentice auctioneer, auction company, trading assistant, trading assistant company, special license and course of study. Approximately 141 applicants will be impacted annually by the increased application fees.

The Board is proposing graduated biennial renewal fee increases for the following licenses and registrations: auctioneer, apprentice auctioneer, auction company, trading assistant and trading assistant company. There are approximately 2,437 individuals who possess current licenses and registrations issued by the Board who will be required to pay more to renew their licenses or registrations.

## Effective Date

This proposed rulemaking would be effective upon final-form publication in the *Pennsylvania Bulletin*. The initial increase for application fees will be implemented immediately upon publication. Thereafter, the subsequent graduated increases for application fees will be implemented on July 1, 2025, and July 1, 2027.

The increased biennial renewal fees would be implemented beginning with the March 1, 2023—February 28, 2025, biennial renewal period. Thereafter, the subsequent graduated increases will be implemented with the biennial renewal for March 1, 2025—February 28, 2027, and for March 1, 2027—February 28, 2029.

### Statutory Authority

Under section 6(a) and (b) of the Auctioneer Licensing and Trading Assistant Registration Act (act) (63 P.S. § 734.6(a) and (b)), the license and examination fees and all other fees imposed under the provisions of this act shall be fixed by the Board by regulation and shall be subject to review in accordance with the Regulatory Review Act (71 P.S. §§ 745.1—745.14). If the revenues generated by fees, fines and civil penalties imposed in accordance with the provisions of this act are not sufficient to match expenditures over a 2-year period, the Board shall increase these fees by regulation, subject to review in accordance with the Regulatory Review Act, the projected revenues will meet or exceed projected expenditures. If the Bureau of Professional and Occupational Affairs (Bureau) determines that the fees established by the Board are inadequate to meet the minimum enforcement efforts required, then the Bureau, after consultation with the Board, shall increase the fees by regulation, subject to review in accordance with the Regulatory Review Act, adequate revenues will be raised to meet the required enforcement effort. In addition to the previously

cited authority, other sections of the act support the Board's authority to amend its fees by regulation when necessary.

Section 32 of the act (63 P.S. § 734.32) provides that "[t]he board may adopt rules and regulations necessary for the proper administration and enforcement of this act." Section 33(a) of the act  $(63 \text{ P.S.} \S 734.33(a))$  notes that "all fees fixed pursuant to section 203 of the act of July 1, 1978 (P.L. 700, No. 124), known as the Bureau of Professional and Occupational Affairs Fee Act, shall continue in full force and effect until changed by the board." Regarding fees for trading assistant registration, the act of October 8, 2008 (P.L. 1080, No. 89) (Act 89 of 2008) established trading assistant registration by adding section 10.1 (63 P.S. § 734.10.1 (Repealed)) Section 10.1(c) specifically required that a registration fee of \$100 be included with each application for registration. When the act was amended by the act of July 20, 2016 (P.L. 789, No. 88) (Act 88 of 2016), it added section 5.1 of the act (63 P.S. § 734.5.1(c)) requiring trading assistants and trading assistant companies to register with the Board and repealed section 10.1. Section 5.1(c) established an initial \$100 registration fee for trading assistants and trading assistant companies and expressly added the new language of allowing the Board to establish this fee by regulation. According to the fiscal notes for Act 88 of 2016 from the House and Senate Appropriations Committees, the statutory fee of \$100 for the registration and renewal of trading assistants established by section 10.1 was removed and the new section 5.1(c) provided language giving the Board the authority to increase this fee for both trading assistants and trading assistant companies when needed to increase its revenue. Here, section 5.1 expressly provides the authority for the Board to establish this fee by regulation and with the other sections of the act cited previously provides the Board with the authority to amend this initial fee by regulation when necessary.

## Background and Purpose

The Board is currently in a deficit projected to amount to over \$46,000 for Fiscal Year (FY) 2020-2021 and a projected amount of over \$372,000 in FY 2021-2022. Therefore, the revenues generated by fees, fines and civil penalties imposed in accordance with the provisions of the act are not sufficient to match expenditures over a 2-year period.

Under section 6(a) of the act, the Board is required to support its operations from the revenue it generates from fees, fines and civil penalties. The act further provides that the Board shall increase fees when expenditures outpace revenue. The majority of general operating expenses of the Board are borne by the licensee population through revenue generated by the biennial renewal of licenses. A small percentage of its revenue comes from application fees, fines and civil penalties.

In 2016, the Bureau and the Board began to review its application fees and determined that the existing fee schedule did not reflect the costs of the services provided by the Board. The Board receives an annual report from the Department of State's Bureau of Finance and Operations (BFO) regarding the Board's income and expenses. During the Board's January 9, 2018, meeting, the BFO presented its annual report of the Board's income and expenses. The Board reviewed the fees charged for applications and determined that the current application fees

did not accurately reflect the actual cost of processing applications in most instances due to the fact that application fees have not been increased since 2001. During the review, it was determined that the fees needed to be amended to reflect the actual cost of processing. This resulted in a decision to increase some fees, keep some fees the same and reduce one fee. The cost of processing the "special license to conduct auction" application was determined to currently exceed the actual cost of processing this application. Therefore, the Board is proposing a decrease to this application fee. Also, the Board found that the cost for processing certain applications and requests (application fee to change sponsor, application fee to change auction company license, certification of scores, permit or registration, and verification of license, registration, permit or approval) did not incur any additional cost so those fees remained unchanged. Absent those exceptions, the Board decided to increase all other application fees to accurately reflect the cost of processing applications. In addition, the 2016 amendments to the act removed the provisions relating to licensing "auction houses" and also "Nonresident licensees." Therefore, the changes to the fee schedule also reflect the need to delete fees regarding the licensure and renewal of "auction houses" as well as the fee associated with the "Nonresident exam eligibility review" based on the amendments to the act under Act 88 of 2016, which deleted "auction houses" and "Nonresident licenses.

Increasing application fees alone, however, does not produce sufficient revenue to meet or exceed the Board's projected expenditures. The Board last increased its biennial renewal fees in 2015 when the biennial renewal fee for auctioneer licensee increased from \$200 to \$260, the biennial renewal fees for apprentice auctioneer increased from \$100 to \$130, and the biennial renewal fee for auction houses and auction companies increased from \$200 to \$260. See 31 Pa.B. 6941 (December 22, 2001). This regulation proposes graduated biennial renewal fee increases for the following licenses and registrations: auctioneer, apprentice auctioneer, auction company, trading assistant and trading assistant company. There are approximately 2,437 individuals who possess current licenses and registrations issued by the Board who will be required to pay more to renew their licenses or registra-

On January 9, 2018, May 14, 2018, and September 9, 2019, the BFO reviewed the Board's finances. Based upon data presented, the BFO recommended that the Board consider an increase in biennial renewal fees and application fees. At the Board's May 14, 2018, meeting, the Board approved a schedule of proposed biennial renewal fees and application fees, and a subsequent exposure draft was released to stakeholders and interested parties. One comment was received from the Pennsylvania Auctioneers Association recommending that trading assistant and trading assistant company fees should be the same as auctioneer and auction company fees. The Board considered the comment and decided that because individuals licensed as auctioneers can do more types of auctioneering than trading assistants under the act the licensure renewal fees should not be the same. Regarding the application fees, because the type of review required by the Board regarding applications by trading assistants and trading assistant companies was more in line with the type of auctioneering performed by auction companies, the Board decided that those application fees should be the same because they required the same type of review and work during the evaluation of the application for approval.

After the exposure draft, the Board moved forward with drafting the regulatory package. In 2019, the Board revisited the structure of its fee schedules. As a part of the BFO's fee increase recommendations, the BFO recommended graduated application and biennial renewal fee increases so that the application fee increases are reflective of actual costs to process applications in each biennium and biennial renewal fees coincide more closely with the projected expenses for each biennium. Based upon the financial information presented to the Board on September 9, 2019, by the BFO, the Board adopted graduated fee increases for applications and biennial renewals.

During the Board's January 6, 2020, meeting, the BFO presented its annual report of the Board's income and expenses. After consideration of the more up-to-date data, the BFO recommended a revised schedule of fees, and the Board reconsidered an increase in biennial renewal fees. During the March 9, 2020, Board meeting, the Board reviewed the proposed annex again and voted to approve it and noted that the BFO would be returning to discuss any additional changes needed. During the September 14, 2020, Board meeting, the Board voted to approve the preamble to the regulatory package. During the January 11, 2021, Board meeting, the BFO presented its annual report of the Board's income and expenses, and the Board adopted the currently proposed graduated fee schedule to both minimize the initial impact of increased fees on licensees and ensure that the Board's fees are commensurate with its obligations and expenditures.

In determining appropriate fee increases, the Board considered the breakdown of sources for revenue. For example, during the FY 2016-2017 and 2017-2018 biennial period, 94% of revenue was received from renewals, 4% of revenue was received from applications and 2% was received from miscellaneous charges such as letters of good standing and civil penalties. While application fees only make up less than 4% of the Board's revenue, the Board, with the encouragement and support of the Bureau, determined that a re-evaluation of all application fees was appropriate, especially given that the Board has not updated its application fees since 2001.

During the January 11, 2021, meeting, the BFO presented updated summaries of the Board's revenue and expenses for FYs 2018-2019 and 2019-2020 and the projected revenue and expenses through FY 2023-2024. During FYs 2018-2019 through 2019-2020, the Board received biennial revenue of \$589,612.09, incurred expenses of \$651,142.11 and ended with a deficit of \$276,136.32. For FYs 2020-2021 and 2021-2022, the Board anticipates receiving biennial revenue of \$571,000 and anticipates incurring expenses of \$667,000. At the end of FY 2020-2021, the BFO anticipates a deficit balance of \$372,136.22. For FYs 2022-2023 and 2023-2024, with the implementation of this proposed fee increase, the Board projects receiving biennial revenue of \$890,000 and projects incurring expenses of \$687,000, ending with a deficit of \$169,136.32. The BFO's data demonstrates that the Board is not able to meet expenditures over a 2-year period. Therefore, in addition to increased application fees, the BFO recommended an increase in biennial renewal fees beginning in FY 2023-2025.

## Description of Proposed Amendments

The Board proposes to amend § 1.41 to set forth graduated fee schedules for application fees and biennial renewal fees. In doing so, the Board is deleting the existing fee schedule and adding a new schedule, organized by profession. Proposed subsection (a) lists the

graduated schedule of fees for applications for licensure, registration and services with corresponding fees and effective dates. Fees not associated with a profession are set forth under a miscellaneous fee category. The Board also proposes to add subsection (b), which lists the schedule of fees and corresponding effective dates for biennial renewal.

Under § 1.41(a), application fees would increase on a graduated level for the licenses and registrations for auctioneer, apprentice auctioneer, auction company, trading assistant and trading assistant company. The proposed application fees increase on a graduated basis so that the application fees collected during each biennium reflect the anticipated costs of processing applications for that biennium. These fees are designed to cover the cost to process applications and are borne by individual applicants. Actual cost calculations for application fees are based upon the following formula:

number of minutes to perform the function

pay rate for the classification of the personnel performing the function

a proportionate share of administrative overhead

Application fees for FY 2021-2022 are based on time study reports created within the Bureau giving each step in the process and the amount of time it takes to process one application. That amount is multiplied by the anticipated application requests for 1 year (times two since the increases are biennial). Increases effective July 1, 2025, and July 1, 2027, are calculated at a 9.5% increase based upon raises under current Commonwealth union contracts. Application fees are almost entirely dependent upon personnel-related costs.

The proposed biennial renewal fees would also increase on a graduated basis for auctioneer, apprentice auctioneer, auction company, trading assistant and trading assistant company. The graduated biennial renewal fee schedule is set forth under § 1.41(b), which lists all renewal fees and corresponding effective dates.

The new fee structure will be implemented beginning FYs 2022-2023 through 2023-2024 and will allow the Board to meet or exceed its projected expenditures of \$687,000.

Proposed application fees

As set forth as follows and in § 1.41(a), the proposed graduated increases will impact approximately 141 applicants annually as follows:

Auctioneer

The Board receives approximately 25 auctioneer applications per year. The graduated application fee increases will generate additional revenue as follows:

FY 2021-2022: The fee increase from \$50 to \$180 will generate an additional \$3,250 in application fees.

FY 2022-2023: The fee increase will continue to generate an additional \$3,250 in application fees.

FY 2023-2024: The fee increase from \$180 to \$200 will generate an additional \$500 in application fees.

FY 2024-2025: The fee increase will continue to generate an additional \$500 in application fees.

FY 2025-2026: The fee increase from \$200 to \$220 will generate an additional \$500 in application fees.

FY 2026-2027: The fee increase will continue to generate an additional \$500 in application fees.

Apprentice auctioneer

The Board receives approximately 40 apprentice auctioneer applications per year. The graduated application fee increases will generate additional revenue as follows:

FY 2021-2022: The fee increase from \$30 to \$145 will generate an additional \$4,600 in application fees.

FY 2022-2023: The fee increase will continue to generate an additional \$4,600 in application fees.

FY 2023-2024: The fee increase from \$145 to \$160 will generate an additional \$600 in application fees.

FY 2024-2025: The fee increase will continue to generate an additional \$600 in application fees.

FY 2025-2026: The fee increase from \$160 to \$175 will generate an additional \$600 in application fees.

FY 2026-2027: The fee increase will continue to generate an additional \$600 in application fees.

Auction company

The Board receives approximately 45 applications for license to practice as an auction company per year. The graduated application fee increases will generate additional revenue as follows:

FY 2021-2022: The fee increase from \$50 to \$120 will generate an additional \$3,150 in application fees.

FY 2022-2023: The fee increase will continue to generate an additional \$3,150 in application fees.

FY 2023-2024: The fee increase from \$120 to \$135 will generate an additional \$675 in application fees.

FY 2024-2025: The fee increase will continue to generate an additional \$675 in application fees.

FY 2025-2026: The fee increase from \$135 to \$150 will generate an additional \$675 in application fees.

FY 2026-2027: The fee increase will continue to generate an additional \$675 in application fees.

Trading assistant

The Board receives approximately 10 applications for registration to practice as a trading assistant per year. The graduated application fee increases will generate additional revenue as follows:

FY 2021-2022: The fee increase from \$100 to \$120 will generate an additional \$200 in application fees.

FY 2022-2023: The fee increase will continue to generate an additional \$200 in application fees.

FY 2023-2024: The fee increase from \$120 to \$135 will generate an additional \$150 in application fees.

FY 2024-2025: The fee increase will continue to generate an additional \$150 in application fees.

FY 2025-2026: The fee increase from \$135 to \$150 will generate an additional \$150 in application fees.

FY 2026-2027: The fee increase will continue to generate an additional \$150 in application fees.

Trading assistant company

The Board receives approximately ten trading assistant company applications per year. The graduated application fee increases will generate additional revenue as follows:

FY 2021-2022: The fee increase from \$100 to \$120 will generate an additional \$200 in application fees.

FY 2022-2023: The fee increase will continue to generate an additional \$200 in application fees.

FY 2023-2024: The fee increase from \$120 to \$135 will generate an additional \$150 in application fees.

FY 2024-2025: The fee increase will continue to generate an additional \$150 in application fees.

FY 2025-2026: The fee increase from \$135 to \$150 will generate an additional \$150 in application fees.

FY 2026-2027: The fee increase will continue to generate an additional \$150 in application fees.

#### Special license

The Board receives approximately ten special license applications per year. The graduated application fee will decrease in FY 2021-2022 and FY 2022-2023, decreasing revenue for each year by \$800. Increases will generate revenue as follows:

FY 2021-2022: The fee decrease from \$200 to \$120 will decrease revenue by \$800 in application fees.

FY 2022-2023: The fee decrease will continue to decrease revenue by \$800 in application fees.

FY 2023-2024: The fee increase from \$120 to \$135 will generate an additional \$150 in application fees.

FY 2024-2025: The fee increase will continue to generate an additional \$150 in application fees.

FY 2025-2026: The fee increase from \$135 to \$150 will generate an additional \$150 in application fees.

FY 2026-2027: The fee increase will continue to generate an additional \$150 in application fees.

#### Course of study

The Board receives approximately one application to approve a course per year. The graduated application fee increases will generate additional revenue as follows:

FY 2021-2022: The fee increase from \$45 to \$180 will generate an additional \$135 in application fees.

FY 2022-2023: The fee increase will continue to generate an additional \$135 in application fees.

FY 2023-2024: The fee increase from \$180 to \$200 will generate an additional \$20 in application fees.

FY 2024-2025: The fee increase will continue to generate an additional \$20 in application fees.

FY 2025-2026: The fee increase from \$200 to \$220 will generate an additional \$20 in application fees.

FY 2026-2027: The fee increase will continue to generate an additional \$20 in application fees.

## Proposed biennial renewal fees

As set forth as follows and in § 1.41(b), the proposed graduated increases will impact approximately 2,437 licensees, registrants and certificate holders annually as follows:

## Auctioneer

There are approximately 1,809 auctioneers who will be impacted by the proposed biennial renewal fee increases. The graduated biennial renewal fee increases will generate additional revenue as follows:

FY 2021-2022 and FY 2022-2023: The fee increase from \$260 to \$400 will generate an additional \$253,260 in revenue.

FY 2023-2024 and FY 2024-2025: The fee increase from \$400 to \$475 will generate an additional \$135,675 in revenue.

FY 2025-2026 and FY 2026-2027: The fee increase from \$475 to \$500 will generate an additional \$45,225 in revenue.

#### Apprentice auctioneer

There are approximately 248 apprentice auctioneers who will be impacted by the proposed biennial renewal fee increases. The graduated biennial renewal fee increases will generate additional revenue as follows:

FY 2021-2022 and FY 2022-2023: The fee increase from \$130 to \$200 will generate an additional \$17,360 in revenue.

FY 2023-2024 and FY 2024-2025: The fee increase from \$200 to \$250 will generate an additional \$12,400 in revenue.

FY 2025-2026 and FY 2026-2027: The fee increase from \$250 to \$300 will generate an additional \$12,400 in revenue.

#### Auction company

There are approximately 318 auctioneer companies who will be impacted by the proposed biennial renewal fee increases. The graduated biennial renewal fee increases will generate additional revenue as follows:

FY 2021-2022 and FY 2022-2023: The fee increase from \$260 to \$400 will generate an additional \$44,520 in revenue.

FY 2023-2024 and FY 2024-2025: The fee increase from \$400 to \$475 will generate an additional \$23,850 in revenue.

FY 2025-2026 and FY 2026-2027: The fee increase from \$475 to \$500 will generate an additional \$7,950 in revenue

### Trading assistant and trading assistant company

There are approximately 62 trading assistants and trading assistant companies who will be impacted by the proposed biennial renewal fee increases. The graduated biennial renewal fee increases will generate additional revenue as follows:

FY 2021-2022 and FY 2022-2023: The fee increase from \$100 to \$200 will generate an additional \$6,200 in revenue.

FY 2023-2024 and FY 2024-2025: The fee increase from \$200 to \$250 will generate an additional  $\$3,\!100$  in revenue.

FY 2025-2026 and FY 2026-2027: The fee increase from \$250 to \$300 will generate an additional \$3,100 in revenue.

## Fiscal Impact and Paperwork Requirements

At the end of FY 2020-2021, the Board is projected to have a deficit of \$46,136.32. The new fee structure is projected to produce biennial revenues of \$875,000 in FY 2021-2022 through FY 2022-2023, allowing the Board to begin to reduce the deficit spending. In FY 2022-2023 through FY 2023-2024, the new fee structure is projected to produce biennial revenues of \$890,000 and the deficit balance will continue to decrease to \$169,136.32. Therefore, the new fee structure is projected to allow the Board to meet or exceed its projected expenditures and will put the Board back on firm financial ground.

To accomplish this goal, the amendments will increase application and biennial renewal fees. The applicants, licensees and registrants will be required to comply with these regulations. The fees may be paid by applicants,

licensees or registrants or may be paid by their employers, should their employers choose to pay these fees. This proposed rulemaking should have no other fiscal impact on the private sector, the general public or political subdivisions of the Commonwealth.

Approximately 141 applicants will be impacted annually by the increased application fees. Specifically, the number of applicants affected are as follows: 25 auctioneers, 40 apprentice auctioneers, 1 course of study, 45 auction companies, 10 special licenses, 10 trading assistants and 10 trading assistant companies.

Based upon the graduated application fee increases, the total economic impact per fiscal year is as follows:

FY 2021-2022: \$10,735 FY 2022-2023: \$10,735 FY 2023-2024: \$ 2,245 FY 2024-2025: \$ 2,245 FY 2025-2026: \$ 2,245 FY 2026-2027: \$ 2,245 Total: \$31,250

There are approximately 2,437 individuals who possess current licenses and registrations issued by the Board who will be required to pay more to renew their licenses and registrations. Specifically, the number of individuals affected are as follows:

Based upon the previously listed biennial renewal fee increases, the economic impact is as follows:

FY 2021-2023: \$321,340 FY 2023-2025: \$175,025 FY 2025-2027: \$ 68,675 Total: \$565,040

Thus, the total economic impact to applicants, licensees, registrants or employers, if employers choose to pay application or licensing fees, is \$596,290. This amount reflects the economic impact that will occur between FY 2021-2022 through FY 2026-2027.

This proposed rulemaking will require the Board to revise its printed and online application forms. The amendments will not create additional paperwork for the regulated community or for the private sector.

## Sunset Date

The Board continuously monitors the effectiveness of its regulations. Therefore, no sunset date has been assigned. Additionally, the BFO provides the Board with an annual report detailing the Board's financial condition. In this way, the Board continuously monitors the adequacy of its fee schedule.

## Regulatory Review

Under section 5(a) of the Regulatory Review Act (71 P.S. § 745.5(a)), on March 10, 2022, the Board submitted a copy of this proposed rulemaking and a copy of a Regulatory Analysis Form to the Independent Regulatory Review Commission (IRRC) and to the Chairpersons of the Senate Consumer Protection and Professional Licensure Committee and the House Professional Licensure Committee. A copy of this material is available to the public upon request.

Under section 5(g) of the Regulatory Review Act, IRRC may convey any comments, recommendations or objections to the proposed rulemaking within 30 days from the close of the public comment period. The comments, recommendations or objections shall specify the regulatory review criteria in section 5.2 of the Regulatory Review Act (71 P.S. § 745.5b) which have not been met. The Regula-

tory Review Act specifies detailed procedures for review prior to final publication of the rulemaking, by the Board, the General Assembly and the Governor.

#### Public Comment

Interested persons are invited to submit written comments, recommendations or objections regarding this proposed rulemaking to the Regulatory Counsel for the Department of State, State Board of Auctioneer Examiners, P.O. Box 69523, Harrisburg, PA 17106-9523 or RA-STRegulatoryCounsel@pa.gov within 30 days following publication of this proposed rulemaking in the *Pennsylvania Bulletin*. Reference 16A-6411 Fees when submitting comments.

NEVIN B. RENTZEL, Chairperson

**Fiscal Note:** 16A-6411. No fiscal impact; (8) recommends adoption.

#### Annex A

## TITLE 49. PROFESSIONAL AND VOCATIONAL STANDARDS

#### PART I. DEPARTMENT OF STATE

## Subpart A. PROFESSIONAL AND OCCUPATIONAL AFFAIRS

## CHAPTER 1. STATE BOARD OF AUCTIONEER EXAMINERS

#### **FEES**

#### § 1.41. Schedule of fees.

[ Applicants shall pay the following fees:
Application fee for auctioneer license \$50
Biennial renewal fee for auctioneer license \$260
Application fee for apprentice auctioneer license
Biennial renewal fee for apprentice auctioneer\$130
Application fee for auction house and auction company \$50
Biennial renewal fee for auction house and auction company\$260
Special license to conduct auction\$200
Application fee to approve course\$45
Application fee to change sponsor\$15
Application fee to change auction house or auction company license\$15
Nonresident exam eligibility review\$25
Certification of scores, permit or registration \$25
Verification of license, registration, permit or approval

(a) An applicant for a license, certificate, registration or service shall pay the following fees at the time of application: (Editor's Note: The blank in the first column of effective dates refers to the date of publication of the final-form rulemaking in the Pennsylvania Bulletin).

		<u>Effective</u>	Effective July 1, 2025	Effective July 1, 2027
(1) Auctioneer	Application for license to practice as an auctioneer	<u>\$180</u>	<u>\$200</u>	<u>\$220</u>
(2) Apprentice auctioneer	Application for license to practice as an apprentice auctioneer	<u>\$145</u>	<u>\$160</u>	<u>\$175</u>
	Application fee to change sponsor	<u>\$15</u>	<u>\$15</u>	<u>\$15</u>
(3) Auction company	Application for license to practice as an auction company	<u>\$120</u>	<u>\$135</u>	<u>\$150</u>
	Application fee to change auction company license	<u>\$15</u>	<u>\$15</u>	<u>\$15</u>
(4) Trading assistant	Application for registration to practice as a trading assistant	<u>\$120</u>	<u>\$135</u>	<u>\$150</u>
(5) Trading assistant company	Application for registration to practice as a trading assistant company	<u>\$120</u>	<u>\$135</u>	<u>\$150</u>
(6) Miscellaneous	Special license to conduct auction	<u>\$120</u>	<u>\$135</u>	<u>\$150</u>
	Application fee to approve course	<u>\$180</u>	\$200	<u>\$220</u>
	Certification of scores, permit or registration	<u>\$25</u>	<u>\$25</u>	<u>\$25</u>
	Verification of license, registration, permit or approval	<u>\$15</u>	<u>\$15</u>	<u>\$15</u>

## (b) An applicant for biennial renewal of a license, certificate or registration shall pay the following fees:

		Effective March 1, 2023	Effective March 1, 2025	Effective March 1, 2027
(1) Auctioneer	Biennial renewal	<u>\$400</u>	<u>\$475</u>	<u>\$500</u>
(2) Apprentice auctioneer	Biennial renewal	\$200	\$250	\$300
(3) Auction company	Biennial renewal	\$400	\$475	\$500
(4) Trading assistant	Biennial renewal	\$200	\$250	\$300
(5) Trading assistant company	Biennial renewal	\$200	<u>\$250</u>	\$300

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