# PENNSYLVANIA BULLETIN

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See Part II page 6093 for the Department of Health's **Emergency Medical Services** System Final-Form Rulemaking and Notice

#### Part I

#### Agencies in this issue

The Courts

Delaware River Basin Commission

Department of Banking and Securities

Department of Community and Economic Development

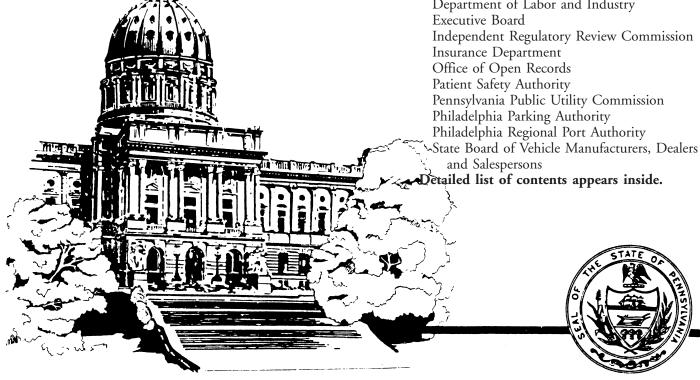
Department of Conservation and Natural Resources

Department of Education

Department of Environmental Protection

Department of Health

Department of Labor and Industry







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No. 467, October 2013

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## **CONTENTS**

THE COURTS	Request for bids
APPELLATE PROCEDURE	ment Plan
Order amending Rule 3121 of the Rules of Appellate Procedure; no. 235 appellate procedural rules doc	DEPARTMENT OF EDUCATION Notices
	Cyber charter school applications; public hearings 6011
DISCIPLINARY BOARD OF THE SUPREME COURT	DEPARTMENT OF ENVIRONMENTAL PROTECTION
Notice of suspension	Notices
LOCAL COURT RULES	Applications, actions and special notices 6012
Bedford County Local rule amendments; no. 3 for 2013 administrative doc	Citizens Advisory Council meeting change 6063 Nutrient Credit Trading Program; notice of certifica-
Westmoreland County Increase in clerk of courts' fees	tion requests
MINOR COURT CIVIL RULES	ment area; public hearing 6064
Order amending Rule 402 and the official note to	DEPARTMENT OF HEALTH
Rule 341 of the Minor Court Civil Rules; no. 364	Rules and Regulations
magisterial doc	Emergency medical services system 6093
SUPREME COURT	Notices
Court administrator of the First Judicial District of Pennsylvania, prothonotary of Philadelphia and clerk of courts of the First Judicial District of Pennsylvania; no. 412 judicial administration doc	Applications for exception:  Dermatology and Cosmetic Surgery Center
EXECUTIVE AGENCIES	UPMC Altoona Surgery Center (3 documents)6068,
DELAWARE RIVER BASIN COMMISSION	6069
Proposed Rulemaking	UPMC Hamot         6069           UPMC Horizon         6069
Proposed amendments to the Water Quality Regulations, Water Code and Comprehensive Plan to update water quality criteria for pH; public hearing	UPMC Passavant
DEPARTMENT OF BANKING AND SECURITIES	tions
Notices	Long-term care nursing facilities; request for excep-
Actions on applications	tion
DEPARTMENT OF COMMUNITY AND ECONOMIC DEVELOPMENT	Women, Infants and Children (WIC Program); maximum allowable prices and competitive
Notices	prices
Community Services Block Grant Program; legislative and public hearing; proposed State plan 6009	Updating the list of citations to ACIP recommenda- tions prescribing child immunization practices
DEPARTMENT OF CONSERVATION AND NATURAL RESOURCES	and immunizing agents and doses
Notices	Notices
Delaware Direct Watershed River Conservation Plan	Uniform Construction Code Review and Advisory Council meeting

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#### 5982

EXECUTIVE BOARD	PATIENT SAFETY AUTHORITY
Statements of Policy	Notices
Reorganization of the Board of Probation and	Public meeting
Parole	PENNSYLVANIA PUBLIC UTILITY COMMISSION
INDEPENDENT REGULATORY REVIEW COMMISSION	Notices Pipeline services
Notices	Service of notice of motor carrier applications 6084
Notice of filing of final rulemakings 6080	Service of notice of motor carrier formal complaints . 6085
INSURANCE DEPARTMENT	Telecommunications
Proposed Rulemaking	PHILADELPHIA PARKING AUTHORITY
Rules and procedural requirements for insurance holding company systems	Notices
Notices AF&L Insurance Company; rate increase filing for	Motor carrier medallion transfer application for service in the City of Philadelphia (6 documents)
several LTC forms	Motor carrier transfer application for limousine service in the City of Philadelphia 6088
Eastern Insurance Holdings, Inc 6081	PHILADELPHIA REGIONAL PORT AUTHORITY
Application for designation as a certified reinsurer 6081	Notices
Review procedure hearings; cancellation or refusal of insurance	Request for bids
OFFICE OF OPEN RECORDS	STATE BOARD OF VEHICLE MANUFACTURERS, DEALERS AND SALESPERSONS
Notices	Notices
Right-to-Know Law and Sunshine Law annual training	Bureau of Professional and Occupational Affairs v. Thomas J. Coury; doc. no. 1227-60-2013

## READER'S GUIDE TO THE PENNSYLVANIA BULLETIN AND PENNSYLVANIA CODE

#### Pennsylvania Bulletin

The *Pennsylvania Bulletin* is the official gazette of the Commonwealth of Pennsylvania. It is published every week and includes a table of contents. A cumulative subject matter index is published quarterly.

The *Pennsylvania Bulletin* serves several purposes. First, it is the temporary supplement to the *Pennsylvania Code*, which is the official codification of agency rules and regulations and other statutorily authorized documents. Changes in the codified text, whether by adoption, amendment, repeal or emergency action must be published in the *Pennsylvania Bulletin*. Further, agencies proposing changes to the codified text do so in the *Pennsylvania Bulletin*.

Second, the *Pennsylvania Bulletin* also publishes: Governor's Executive Orders; State Contract Notices; Summaries of Enacted Statutes; Statewide and Local Court Rules; Attorney General Opinions; Motor Carrier Applications before the Pennsylvania Public Utility Commission; Applications and Actions before the Department of Environmental Protection; Orders of the Independent Regulatory Review Commission; and other documents authorized by law.

The text of certain documents published in the *Pennsylvania Bulletin* is the only valid and enforceable text. Courts are required to take judicial notice of the *Pennsylvania Bulletin*.

## Adoption, Amendment or Repeal of Regulations

Generally an agency wishing to adopt, amend or repeal regulations must first publish in the *Pennsylvania Bulletin* a Notice of Proposed Rulemaking. There are limited instances when the agency may omit the proposal step; it still must publish the adopted version.

The Notice of Proposed Rulemaking contains the full text of the change, the agency contact person, a fiscal note required by law and background for the action.

The agency then allows sufficient time for public comment before taking final action. An adopted proposal must be published in the *Pennsylvania Bulletin* before it can take effect. If the agency

wishes to adopt changes to the Notice of Proposed Rulemaking to enlarge the scope, it must repropose.

#### Citation to the Pennsylvania Bulletin

Cite material in the *Pennsylvania Bulletin* by volume number, a page number and date. Example: Volume 1, *Pennsylvania Bulletin*, page 801, January 9, 1971 (short form: 1 Pa.B. 801 (January 9, 1971)).

#### Pennsylvania Code

The *Pennsylvania Code* is the official codification of rules and regulations issued by Commonwealth agencies and other statutorily authorized documents. The *Pennsylvania Bulletin* is the temporary supplement to the *Pennsylvania Code*, printing changes as soon as they occur. These changes are then permanently codified by the *Pennsylvania Code Reporter*, a monthly, loose-leaf supplement.

The *Pennsylvania Code* is cited by title number and section number. Example: Title 10 *Pennsylvania Code*, § 1.1 (short form: 10 Pa. Code § 1.1).

Under the *Pennsylvania Code* codification system, each regulation is assigned a unique number by title and section. Titles roughly parallel the organization of Commonwealth government. Title 1 *Pennsylvania Code* lists every agency and its corresponding *Code* title location.

#### **How to Find Documents**

Search for your area of interest in the *Pennsylvania Code*. The *Pennsylvania Code* is available at www.pacode.com.

Source Notes give you the history of the documents. To see if there have been recent changes, not yet codified, check the List of *Pennsylvania Code* Chapters Affected in the most recent issue of the *Pennsylvania Bulletin*.

A chronological table of the history of *Pennsylva-nia Code* sections may be found at www.legis.state. pa.us.

The *Pennsylvania Bulletin* also publishes a quarterly List of Pennsylvania Code Sections Affected which lists the regulations in numerical order, followed by the citation to the *Pennsylvania Bulletin* in which the change occurred. The *Pennsylvania Bulletin* is available at www.pabulletin.com.

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#### **Printing Format**

Material proposed to be added to an existing rule or regulation is printed in **bold face** and material proposed to be deleted from such a rule or regulation is enclosed in brackets [ ] and printed in **bold face**. Asterisks indicate ellipsis of *Pennsylvania Code* text retained without change. Proposed new or additional regulations are printed in ordinary style face.

#### **Fiscal Notes**

Section 612 of The Administrative Code of 1929 (71 P. S. § 232) requires that the Office of Budget prepare a fiscal note for regulatory actions and administrative procedures of the administrative departments, boards, commissions or authorities receiving money from the State Treasury stating whether the proposed action or procedure causes a loss of revenue or an increase in the cost of programs for the Commonwealth or its political subdivisions; that the fiscal note be published in the *Pennsylvania Bulletin* at the same time as the proposed change is advertised; and that the fiscal note shall provide the following information: (1) the designation of the fund out of which the appropriation providing for expenditures under the action or procedure shall be made; (2) the probable cost for the fiscal year the program is implemented; (3) projected cost estimate of the program for each of the five succeeding fiscal years; (4) fiscal history of the program for which expenditures are to be made; (5) probable loss of revenue for the fiscal year of its implementation; (6) projected loss of revenue from the program for each of the five succeeding fiscal years; (7) line item, if any, of the General Appropriation Act or other appropriation act out of which expenditures or losses of Commonwealth funds shall occur as a result of the action or procedures; (8) recommendation, if any, of the Secretary of the Budget and the reasons therefor.

The required information is published in the foregoing order immediately following the proposed change to which it relates; the omission of an item indicates that the agency text of the fiscal note states that there is no information available with respect thereto. In items (3) and (6) information is set forth for the first through fifth fiscal years; in that order, following the year the program is implemented, which is stated. In item (4) information is set forth for the current and two immediately preceding years, in that order. In item (8) the recommendation, if any, made by the Secretary of Budget is published with the fiscal note. See 4 Pa. Code § 7.231 et seq. Where "no fiscal impact" is published, the statement means no additional cost or revenue loss to the Commonwealth or its local political subdivision is intended.

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## List of Pa. Code Chapters Affected

The following numerical guide is a list of the chapters of each title of the  $Pennsylvania\ Code$  affected by documents published in the  $Pennsylvania\ Bulletin$  during 2013.

	G A.D. II
4 Pa. Code (Administration) Proposed Rules	<b>Statements of Policy</b> 16
405	10 1100
	28 Pa. Code (Health)
Statements of Policy	<b>Adopted rules</b> 1001
9	1001
58	1005
67a	1007
7 Pa. Code (Agriculture)	1009
Adopted Rules	1011
143	1015
144	1021
Proposed Rules	1023
137b	1025 6093
40 D. O. I. (D. I.)	1029
10 Pa. Code (Banking and Securities) Proposed Rules	1031
5	1033 6093
	31 Pa. Code (Insurance)
12 Pa. Code (Commerce, Trade and Local Government)	Adopted Rules
<b>Proposed Rules</b> 149	161
110 0110	163
17 Pa. Code (Conservation and Natural Resources)	Proposed Rules
<b>Adopted Rules</b> 45	25
40	124 1269
Proposed Rules	34 Pa. Code (Labor and Industry)
45	Adopted Rules
22 Pa. Code (Education)	63
Adopted Rules	05
4	37 Pa. Code (Law)
19	Proposed Rules
	79
25 Pa. Code (Environmental Protection)	40 Pa. Code (Liquor)
<b>Adopted Rules</b> 78	Adopted Rules
93	5
105	10
121	Proposed Rules
139	7
901	,
Proposed Rules	49 Pa. Code (Professional and Vocational Standards)
78	<b>Adopted Rules</b> 5
127	13
208	16 2142, 3347, 4329
271	18
272	25
284	36
285	,
287	Proposed Rules
288	1 1279
299	3
901	7
1021	13

#### 5986

15	1859	65
27	2439	69
33	1857	75
36	5827	93
45		113
47		139
11	1201	141
Statements of Policy		143
13	1/199	147
10	1422	401a
51 Pa. Code (Public Officers)		
		403a
Proposed Rules 53	2000	405a
99	3009	407a
E2 Do Codo (Bublio Htilitica)		437a
52 Pa. Code (Public Utilities)		441a
Adopted Rules	5500	461a
1		471a 660
5		491a 660
33	2820	493a
59 4586,		494a
62	1413	495a
111		497a
1005	2992	499a
		501a
Proposed Rules		511a
1	2602	581
3	2602	583
5	2602	585
23	2602	
29		587 4971
32		Proposed Rules
53		51
54		53
57		
59		
62		63
65		65
		69
1011		75 15, 3233
1015	1725	93
$1017 \dots 1720,$		101
1021		105
1027		137
1051	1725	139
1053		141
1055	1720	143
1057	1725	421a 2152
1059	1725	421b
C		439a
Statements of Policy	00.50	461a
41	2852	465a 834, 2152, 2827
55 Pa. Code (Public Welfare)		481a
		501a
Adopted Rules           52	099	503a
		503b
5230	2372	513a
Proposed Rules		525
1187 4599, 4855,	5899	
1189	5022	575
1109	9622	577 834
Statements of Policy		579 834
51	. 878	603a 834, 2152
5200		605a 2312
5210		609a 834
		623a 834
		627a
6000		629a
6400	. 222	631a
58 Pa Code (Recreation)		
58 Pa. Code (Recreation)		633a 834, 2152
Adopted Rules	0714	633a
		633a 834, 2152

641a 2312, 2827	37 3225
643a	63 514, 3227
645a	65
647a	Proposed Rules
653a	3
655a	9
657a	11 3082
659a 834	19
661a 834	25
663a 834	40
665a	
Statements of Policy	225 Pa. Code (Rules of Evidence)
57	Adopted Rules           Article I         620
439b	Article II
461b	Article III
61 Pa. Code (Revenue)	Article IV
Adopted Rules	Article V
7 532	Article VI 620
117	Article VII
119	Article VIII
121	Article IX
901	Article X
	Proposed Rules
67 Pa. Code (Transportation)	Article VIII
<b>Proposed Rules</b> 231	231 Pa. Code (Rules of Civil Procedure)
201	Adopted Rules
101 Pa. Code (General Assembly)	200
Statements of Policy	1000
31	1300 2135
303	1900 3932
201 Pa. Code (Rules of Judicial Administration)	1910 801, 2272, 5701
Adopted Rules	1915 2559, 3936, 3937, 4702
1	1930 3329
7 3327	3000 2136
19	Part II 1836
204 Pa. Code (Judicial System General Provisions)	Proposed Rules
Adopted Rules	1900
71	1910 3932, 5449
81	Part II 2010
83	024 De Cada (Bulas of Oriminal Brasadura)
303	234 Pa. Code (Rules of Criminal Procedure) Adopted Rules
Proposed Rules	1
71 1699	2
81	4
83	5
	6
207 Pa. Code (Judicial Conduct)	7
Proposed Rules	8
51	10 2560, 2704, 4062
210 Pa. Code (Appellate Procedure)	Proposed Rules
Adopted Rules	5
1 2007	6
2	8
3 2423, 3327	
5	237 Pa. Code (Juvenile Rules)
9	Adopted Rules
15	1
17	2 3938
19	6
21	11 3941
25	Proposed Rules
31	1
33 3223, 3470	11
•	

#### 5988

246 Pa. Code (Minor Court Civil Rules) Adopted Rules	<b>249 Pa. Code (Philadelphia Rules)</b> Unclassified 657, 658, 1078, 2138, 4064, 4212
200	<b>252 Pa. Code (Allegheny County Rules)</b> Unclassified
1000       4969         Proposed Rules       8, 2136         200       3470, 5701         400       8         500       3085, 3470         1000       3085	<b>255 Pa. Code (Local Court Rules)</b> Unclassified 9, 11, 212, 214, 299, 658, 964, 1173, 1175, 1176, 1408, 1410, 1551, 1842, 2139, 2308, 2309, 2424, 2435, 2563, 2989, 3088, 3230, 3332, 3942, 3943, 4065, 4067, 4070, 4071, 4213, 4326, 4327, 4723, 4724, 4726, 4839, 4845, 4848, 4970, 5395, 5450, 5590, 5703, 5797, 5798, 5799, 5804, 5991, 5993

## THE COURTS

## Title 210—APPELLATE PROCEDURE

PART I. RULES OF APPELLATE PROCEDURE [ 210 PA. CODE CH. 31 ]

Order Amending Rule 3121 of the Rules of Appellate Procedure; No. 235 Appellate Procedural Rules Doc.

#### **Amended Order**

Per Curiam

And Now, this 21st day of August, 2013, the proposal having not been published in the interests of justice and efficient administration:

It Is Ordered pursuant to Article V, Section 10 of the Constitution of Pennsylvania that Rule 3121 of the Pennsylvania Rules of Appellate Procedure is amended in the following form.

This *Order* shall be processed in accordance with Pa.R.J.A. No. 103(b), and the amendments shall be effective immediately.

#### Annex A

TITLE 210. APPELLATE PROCEDURE
PART I. RULES OF APPELLATE PROCEDURE
ARTICLE III. MISCELLANEOUS PROVISIONS
CHAPTER 31. BUSINESS OF THE COURTS
GENERALLY

#### ATTORNEYS AND COUNSELORS

Rule 3121. Practice of Law by Staff; Qualifications.

A. Neither the prothonotary, deputy prothonotary, chief clerk, nor any person employed in the Office of the Prothonotary, nor any [law clerk, administrative assistant, or secretary personal staff employed by an appellate court or by any judge thereof, shall practice [in the court before any court or tribunal of this **Commonwealth.** Nor shall any such person otherwise practice law without prior approval of the judge on whose staff such person is employed or of the president judge if such person is not so employed. Such a person may act pro se, and may perform routine legal work incident to the management of the personal affairs of the person or a member of the person's family, as long as the work is performed without compensation and does not involve the entry of an appearance on behalf of the family member in a court or other tribunal. Such limited practice is also subject to the disclosure of employment within the Unified Judicial System to the parties and the court in which the employee represents himself or herself.

This rule does not apply to *pro bono* activities, provided that they are performed without compensation; do not involve the entry of an appearance before any court or tribunal; do not involve a matter of public controversy, an issue likely to come before the person's court, or litigation against federal, state or local government; and are under-

taken after written approval of the Justice or Judge for whom the person is employed and the Chief Justice, or the President Judge of the Superior Court or Commonwealth Court, depending on which court employs the person.

B. Staff attorneys must either be members of the Bar of Pennsylvania, or must have received without exception an earned Bachelor of Laws or Juris Doctor degree from a law school that was an accredited law school at the time the staff attorney matriculated or graduated.

Official Note: Based on former Supreme Court Rule 6, former Superior Court Rule 4 and former Commonwealth Court Rule 60[, and makes no change in substance except to require approval by the appointing authority of the continuance of an outside law practice]. See also 42 Pa.C.S. § 2502 (certain persons not to appear as counsel). The term "personal staff" includes, for example, a staff attorney, law clerk, administrative assistant, secretary or tipstaff. See 42 Pa.C.S. § 102 (defining "personal staff").

[Pa.B. Doc. No. 13-1886. Filed for public inspection October 11, 2013, 9:00 a.m.]

## Title 246—MINOR COURT CIVIL RULES

PART I. GENERAL [ 246 PA. CODE CHS. 300 AND 400 ]

Order Amending Rule 402 and the Official Note to Rule 341 of the Minor Court Civil Rules; No. 364 Magisterial Doc.

#### Order

Per Curiam

And Now, this 26th day of September, 2013, upon the recommendation of the Minor Court Rules Committee; the proposal having been published for public comment at 42 Pa.B. 7007 (November 10, 2012):

It Is Ordered pursuant to Article V, Section 10 of the Constitution of Pennsylvania that Rule 402 and the Official Note to Rule 341 are amended in the following form.

This *Order* shall be processed in accordance with Pa.R.J.A. No. 103(b), and shall be effective December 25, 2013.

#### Annex A

TITLE 246. MINOR COURT CIVIL RULES PART I. GENERAL

CHAPTER 300. CIVIL ACTION SATISFACTION OF MONEY JUDGMENTS

Rule 341. Request for Entry of Satisfaction; Service; Entry of Satisfaction.

\* \* \* \* \*

Official Note: Subdivision A provides a mechanism for a judgment debtor, or anyone interested in the judgment, to file a written request for entry of satisfaction in the office of the magisterial district judge who rendered the judgment. See Section 8104(a) of the Judicial Code, 42 Pa.C.S. § 8104(a).

Subdivision B is intended to provide a number of alternative methods of service. See Rules 307, 308, 309, 310, 311, 312 and 313. When permitted, service by mail should be at the option of the person filing the request for entry of satisfaction. The requester shall be required to pay for all costs associated with initiating entry of satisfaction. If the requester is unable to locate or otherwise serve the request upon the judgment creditor, the requester may need to enter the judgment in the court of common pleas, and seek alternative service pursuant to Pa.R.C.P. 430.

\* \* \* \* \*

#### CHAPTER 400. ENFORCEMENT OF JUDGMENTS RENDERED BY MAGISTERIAL DISTRICT JUDGES FOR THE PAYMENT OF MONEY

Rule 402. Request for Order of Execution. Entry of Judgment in Court of Common Pleas.

\* \* \* \* \*

C. The plaintiff may enter the judgment, for the purpose of requesting an order of execution thereon, in an office of a magisterial district judge other than that in which it was rendered only if levy is to be made outside the county in which the judgment was rendered and the office in which the judgment is entered for execution is that of the magisterial district judge whose magisterial district is situated in the county in which levy is to be made.

The plaintiff may enter the judgment in such other office by filing therein a copy of the record of the proceedings containing the judgment, [certifed] certified to be a true copy by the magisterial district judge in whose existing office the judgment was rendered or by any other official custodian of the record.

- D. (1) The plaintiff may enter the judgment in the court of common pleas in any county. When so entered, the indexing, revival and execution of the judgment shall be in accordance with procedures applicable in the court of common pleas.
- (2) The judgment may be entered in the court of common pleas by filing with the prothonotary a copy of the record of the proceedings containing the judgment, certified to be a true copy by the magisterial district judge in whose office the judgment was rendered or by any other official custodian of the record.
- (3) The judgment may be entered in the court of common pleas after 30 days from the date the judgment is entered by the magisterial district judge. The judgment may not be entered in the court of common pleas after five years from the date the judgment is entered by the magisterial district judge.
- (4)(a) Within 14 days of entering the judgment in the court of common pleas, the plaintiff shall file satisfactory proof of the entry of judgment with the magisterial district court that entered the judgment, and the magisterial district court shall vacate the judgment from its docket.

- (b) If after 14 days of entering the judgment in the court of common pleas, the plaintiff fails to comply with subparagraph 4(a) of this rule, the defendant may file such proof with the magisterial district court that entered the judgment, and the magisterial district court shall vacate the judgment from its docket.
- (5) Except as provided in [subparagraph D(5)] paragraphs D(4) and D(6) of this rule, once the judgment is entered in the court of common pleas all further process must come from the court of common pleas and no further process may be issued by the magisterial district judge.
- [(5)] (6) The magisterial district judge shall enter satisfaction on the docket of the magisterial district court proceedings upon the filing by any party in interest of a certified copy of the docket entries of the court of common pleas showing the judgment and satisfaction have been entered in the court of common pleas.
- E. (1) As used in this rule, a judgment marked "expired" is a judgment that cannot be satisfied, revived, or vacated because the five-year period designated in Rule 402 has elapsed.
- (2) If the plaintiff does not request an order of execution in a magisterial district court or enter the judgment in a court of common pleas within five years of the date the judgment was entered by the magisterial district judge, then the judgment shall be marked expired.

Official Note: Under subdivision A of this rule, the execution proceedings are commenced by requesting an "order of execution." The request may not be filed before the expiration of 30 days after the date the judgment is entered by the magisterial district judge. This will give the defendant an opportunity to obtain a supersedeas within the appeal period. The request must be filed within five years of the date the judgment is entered by the magisterial district judge. No provision has been made for revival of a judgment in magisterial district court proceedings.

Subdivision C provides for entering the judgment, for the purpose of requesting an order of execution, in an office of a magisterial district judge other than that in which the judgment was rendered when levy is to be made outside the county in which the judgment was rendered. Compare Pa.R.C.P. No. 3002.

As to subdivision D, see Section 1516 of the Judicial Code, 42 Pa.C.S. § 1516. The 30 day limitation in the rule appears to be required by this Section. Certification by the magisterial district judge should not be done before the expiration of 30 days after the date of entry of the judgment. The only method available to renew a judgment would be to record the judgment in the Prothonotary's office prior to the expiration of the five-year period and then follow the applicable Rules of Civil Procedure for the revival of judgments. See Pa.R.C.P. No. 3025 et seq. Also, subdivision D makes clear that when the judgment is entered in the court of common pleas, all further process shall come from the court of common pleas and that no further process shall be issued by the magisterial district judge except that the magisterial district judge shall enter on the magisterial district court

docket vacating of the judgment due its entry at the court of common pleas, or proof of satisfaction of a judgment that had been entered in the court of common pleas and subsequently satisfied in that court. This exception is necessary so that procedures exist for entering satisfaction of all judgments with the magisterial district court, regardless of whether the judgment has been certified to and satisfied in the court of common pleas. A plaintiff filing a judgment in the court of common pleas is required to file satisfactory proof of the entry of judgment with the magisterial district court that entered the judgment, and the magisterial district court will then vacate its judgment. This step ensures that only the enforceable common pleas judgment will be reportable as an outstanding liability of the defendant.

Subdivision E provides that a judgment shall be marked expired if the plaintiff does not request an order of execution in a magisterial district court or enter the judgment in a court of common pleas within five years of the date the judgment was entered by the magisterial district judge. Limiting the time period for entry of the judgment to five years will give a plaintiff sufficient time to act without indefinitely penalizing a defendant.

#### FINAL REPORT

#### Recommendation 3-2013, Minor Court Rules Committee

Amendments to Rule 402 and the Official Note to Rule 341 of the Minor Court Civil Rules

#### Civil Actions; Time Limits for Requesting an Order of Execution or Entering Judgment in a Court of Common Pleas

On September 26, 2013, effective December 25, 2013, upon recommendation of the Minor Court Rules Committee<sup>1</sup>, the Supreme Court of Pennsylvania approved amendments to Rule 402 and the Official Note to Rule 341 of the Minor Court Civil Rules<sup>2</sup>.

#### I. Background and Discussion

The Minor Court Rules Committee (the "Committee") recommended amendments to the rules of procedure governing civil actions before magisterial district judges. The goal of these changes is (1) to suggest an option for a defendant when a plaintiff cannot be located or otherwise served with a request for entry of satisfaction of judgment pursuant to Pa.R.C.P.M.D.J. No. 341, and (2) to clarify the status of a judgment when a plaintiff has not executed upon it or entered it at a court of common pleas within the five year period set forth in Pa.R.C.P.M.D.J. No. 402.

The Committee received reports that some defendants have encountered difficulties when attempting to serve plaintiffs with requests to enter satisfaction of judgment because the plaintiffs have either moved, died, or are otherwise unavailable (e.g., corporate dissolution). Under current Pa.R.C.P.M.D.J. No. 341, the judgment creditor must be served with the request as the first step in the satisfaction process. Additionally, the Committee was advised that when a plaintiff enters a judgment at a court of common pleas pursuant to Pa.R.C.P.M.D.J. No. 402D, the judgment in the magisterial district court remains open and active because the magisterial district court is not advised of the action at common pleas.

Moreover, it is incumbent upon the plaintiff to adhere to the requirement in Pa.R.C.P.M.D.J. No. 402D(4), and not take further action in the magisterial district court. Finally, the Committee also considered the scenario where five years from the date of the judgment has elapsed, and the plaintiff has not taken any action to either execute on the judgment in a magisterial district court, or enter it a court of common pleas pursuant to Pa.R.C.P.M.D.J. No. 402. In all of these instances, defendants can be subject to adverse credit reporting because of these magisterial district court judgments, yet they have no effective means to update the record.

#### II. Approved Rule Changes

The Committee recommended amending the Official Note to Rule 341 to address the situation where the defendant is unable to locate or otherwise serve the request for entry of satisfaction of judgment on the judgment creditor. As the magisterial district courts do not have motions practice, the Committee considered mechanisms for obtaining alternative service. The amended note suggests that the defendant enter the judgment in the court of common pleas, and then seek alternative service in that court pursuant to Pa.R.C.P. 430.

The Committee also recommended amending Rule 402. First, the Committee recommended that a plaintiff entering judgment at the court of common pleas must also file satisfactory proof of the entry of judgment with the magisterial district court that rendered the judgment, and the magisterial district court will then vacate the judgment from its docket. If the plaintiff fails to do so within fourteen days of entry at the court of common pleas, then the defendant may make the filing with the magisterial district court. The Committee also recommended marking magisterial district court judgments that have not been executed upon or entered at the court of common pleas within the five year period prescribed by Rule 402 as expired, rendering the judgment unenforceable. Doing so will properly indicate that such a judgment is one that can no longer be enforced via the processes set forth in Rule 402.

[Pa.B. Doc. No. 13-1887. Filed for public inspection October 11, 2013, 9:00 a.m.]

## Title 255—LOCAL COURT RULES

#### **BEDFORD COUNTY**

Local Rule Amendments; No. 3 for 2013 Administrative Doc.

#### **Order of Court**

And Now, this 12th day of September, 2013, the Court hereby adopts the following new Local Rules:

- 1. Rule of Criminal Procedure 300.1. Summary Case ARD.
- 2. Rule of Civil Procedure 211.1. Non-Appearance of Oral Argument.
- 3. Rule of Civil Procedure 212.7. Motion Required for Placement on Civil Trial List.
  - 4. Rule of Civil Procedure 212.8. Pre-Trial Conference.

<sup>&</sup>lt;sup>1</sup> Minor Court Rules Committee Recommendation 3-2013.

<sup>&</sup>lt;sup>2</sup> Supreme Court of Pennsylvania Order No. 364, Magisterial Docket (September 26, 2013).

The Court hereby adopts amendments to the following existing Local Rules:

- 1. Rule of Civil Procedure 1028(c). Preliminary Objections.
- 2. Rule of Civil Procedure 1034(a). Motion for Judgment on the Pleadings.
- 3. Rule of Civil Procedure 1035.2(a). Motions for Summary Judgment.
  - 4. Rule of Judicial Administration 1901.

A copy of said Local Rules follows this Order and said Local Rules shall be effective in the 57th Judicial District of the Commonwealth of Pennsylvania thirty (30) days after publication in the *Pennsylvania Bulletin*.

By the Court

THOMAS S. LING, President Judge

#### **Rules of Criminal Procedure**

#### Rule 300.1. Summary Case ARD.

- (A) The District Attorney of Bedford County has filed a certification pursuant to Pa.R.Crim.P. 300, and:
- (1) has elected that ARD in summary cases shall exclusively proceed in the Court of Common Pleas pursuant to the procedures in Pa.R.Crim.P. 302, and
- (2) has designated the following classes of offenses and/or offenders, in addition to those which are statutorily excluded, as ineligible for summary case ARD:
- (a) any violation of Title 18 (Crimes Code) where the alleged offender is over twenty-one (21) years of age,
- (b) violations arising out of Title 24 (relating to Education).
- (c) violations arising out of Title 75 (relating to Vehicles),
- (d) any violation that results in serious bodily injury and/or death of any person.
- (B) Summary cases shall not be submitted for ARD consideration before the minor judiciary under Pa.R.Crim.P. 301.
- (C) Summary cases submitted for ARD consideration by the District Attorney shall proceed pursuant to Pa.R.Crim.P. 311 through Pa.R.Crim.P. 315.
- (1) Upon being notified that the District Attorney is moving a summary case for ARD consideration, a hearing under Pa.R.Crim.P. 312 et seq. shall be scheduled for the next available date reserved for Summary Appeal hearings.
- (D) If the judge finds that ARD is an appropriate disposition in the case, the following conditions may be imposed:
  - (1) court costs,
- (2) a program fee of not less than \$100, nor more than \$500,
  - (3) payment of restitution,
- (4) probation under the supervision of the Bedford County Office of Probation and Parole for a term not to exceed three (3) months,
- (5) successful completion of a Youth Alcohol Awareness Program,
- (6) successful completion of an Outpatient Drug and Alcohol Program,

- (7) completion of community service hours,
- (8) any conditions as may be agreed to by the parties, or
- (9) any other conditions that the judge believes are reasonable and appropriate.
- (E) The procedures for refusal, violation, completion and/or termination of ARD programs in summary cases shall be in accordance with Pa.R.Crim.P. 317 through Pa.R.Crim.P. 320.

#### **Rules of Civil Procedure**

#### Rule 211.1. Non-Appearance at Oral Argument.

If all parties fail to appear for oral argument scheduled upon a motion, and have not first obtained Court approval for their non-appearance, the motion at issue shall be dismissed without prejudice of being re-filed and re-scheduled for argument.

## Rule 212.7. Motion Required for Placement on Civil Trial List.

It shall be the responsibility of the parties in every civil action to notify the court when the matter is ready to proceed to trial. The parties shall do so by filing a motion that requests the judge to schedule a pre-trial conference and place the case on the civil trial list.

#### Rule 212.8. Pre-Trial Conference.

When a pre-trial conference has been scheduled pursuant to Local Rule 212.7, the parties shall file a concise pre-trial statement no later than seven (7) days before the date of the pre-trial conference. The pre-trial statement shall be no longer than three (3) pages and contain

- (a) a brief summary of the facts of the case;
- (b) whether the case is to be tried by jury or without a jury;
- (c) an estimate of the number of days required for trial;
- (d) a list of any presently outstanding pre-trial motions awaiting decision.

#### Rule 1028(c). Preliminary Objections.

All preliminary objections shall be filed in the Prothonotary's Office, which will then forward the preliminary objections to the Court Administrator's Office for scheduling. Argument for preliminary objections shall be scheduled for the next available Motions Court date. The Prothonotary's Office shall notify all counsel of record and/or unrepresented parties of the scheduling. Briefs shall be filed no later than seven (7) days prior to the date of argument. In the event there is an agreement amongst all parties to submit the matter on briefs, without oral argument, the request shall be made in writing to the Court Administrator.

## Rule 1034(a). Motion for Judgment on the Pleadings.

The procedure for Motions for Judgment on the Pleadings shall be the same as that for Preliminary Objections.

#### Rule 1035.2(a). Motions for Summary Judgment.

The procedure for Motions for Summary Judgment shall be the same as that for Preliminary Objections.

#### **Rules of Judicial Administration**

#### Rule 1901.

- (A) The Prothonotary shall list for general call at the first Motions Court date held after September 1 of each year all civil matters in which no action or proceedings have been taken for two years or more prior thereto and shall give notice thereof to counsel of record, and to parties for whom no appearance has been entered, as provided by Pa.R.J.A. 1901(c). If no action is taken or no written objection is docketed in such a matter prior to the commencement of the general call, the Prothonotary shall strike the matter from the list and enter an order as of course dismissing the matter with prejudice for failure to prosecute, under the provisions of this rule. If no good cause for continuing a matter is shown at the general call, an order shall be entered forthwith by the court for dismissal.
- (B) The clerk of court shall list at the first Motions Court date held after September 1 of each year all criminal proceedings in which no action or proceedings have been taken for two years or more prior thereto and shall give notice thereof to the District Attorney, any private prosecutor and the defendant, as provided by Pa.R.J.A. 1901(c). If no good cause for continuing a proceeding is shown at the general call, an order for dismissal shall be entered forthwith by the court.

\*\*Note: Local Rules 1028(c), 1034(a), 1035.2(a), and 1901 are amendments to the existing Local Rules.

[Pa.B. Doc. No. 13-1888. Filed for public inspection October 11, 2013, 9:00 a.m.]

Index for Urban Workers (not seasonally adjusted), for the time period of January 2006 through December 2009.

The following are the Clerk of Courts fees adjusted January 1, 2010.

- 1. A fee of \$202.75 will be collected for all proceedings in all misdemeanor and felony cases disposed of at any time during or after trial.
- 2. A fee of \$152.00 will be collected for all proceedings in all misdemeanor and felony cases disposed of before trial.
- 3. A fee of \$32.00 for all proceedings in summary matters.
  - 4. A fee of \$10.00 or all certifications.
- 5. A fee of \$20.00 for all other matters filed in the office and for all reports prepared by the clerk except that no fee shall be charged for filing township and borough audit reports or transcripts received which indicate a final disposition by the district justice.
- 6. A fee of \$50.60 for the filing of an appeal from a summary conviction before a district justice.
- 7. A fee of \$60.75 for an appeal from the court of common pleas to an appellate court.
- 8. A fee of \$0.061 per dollar for the first \$1,000 and \$0.023 per dollar for each additional \$1,000 or fraction thereof for the handling of money paid into court.

These fees are subject to change every three years based on Act 36 of 2000.

[Pa.B. Doc. No. 13-1889. Filed for public inspection October 11, 2013, 9:00 a.m.]

## WESTMORELAND COUNTY Increase in Clerk of Courts' Fees

#### **Approval**

And Now, this 20th of September, 2013, pursuant to 42 Pa.C.S.A. § 1725.4(a)(2), the Westmoreland County Clerk of Courts' request to increase fees and charges effective October 1, 2013 is approved at a level not to exceed 6.8%. The amount of this approval is the calculated percentage difference in the consumer price index for Urban Wage Earners and Clerical Workers for the U.S. city average, all items, not seasonally adjusted, for the benchmarks of 2007 through 2009, the immediate three years preceding the last increase.

By the Court

GARY P. CARUSO, President Judge

#### Bryan L Kline Clerk of Courts Westmoreland County

#### Fee Increase Notice

In accordance with Act 36 of 2000 that amends Title 42, Section 1725.4 the Westmoreland County Clerk of Courts Fee Schedule will increase 6.8~% effective January 1, 2010.

The 6.8% increase is based upon the U.S. Department of Labor—Bureau of Labor Statistics—Consumer Price

## DISCIPLINARY BOARD OF THE SUPREME COURT

#### **Notice of Suspension**

Notice is hereby given that Charles Dee Septowski having been suspended from the practice of law in the State of Texas for a period of 42 months, with 4 months served and 38 months stayed, by Judgment of Partially Probated Suspension of the District Court of Travis County, Texas, 126th Judicial District, dated November 23, 2011, and Agreed Judgments of Probated Suspension of the Grievance Committee of the State Bar of Texas dated June 13, 2012, and March 12, 2013; the Supreme Court of Pennsylvania issued an Order dated September 25, 2013, suspending Charles Dee Septowski from the practice of law in this Commonwealth for a period of 42 months with 4 months served and 38 months stayed. In accordance with Rule 217(f), Pa.R.D.E., since this formerly admitted attorney resides outside the Commonwealth of Pennsylvania, this notice is published in the Pennsylvania Bulletin.

ELAINE M. BIXLER,

Secretary The Disciplinary Board of the Supreme Court of Pennsylvania

[Pa.B. Doc. No. 13-1890. Filed for public inspection October 11, 2013, 9:00 a.m.]

5994 THE COURTS

### SUPREME COURT

Court Administrator of the First Judicial District of Pennsylvania, Prothonotary of Philadelphia and Clerk of Courts of the First Judicial District of Pennsylvania; No. 412 Judicial Administration Doc.

#### Order

And Now, this 24th day of September, 2013 in order to further streamline the operations of the First Judicial District of Pennsylvania, effective immediately, all duties and functions of the Prothonotary of Philadelphia and Clerk of Courts of the First Judicial District of Pennsylvania shall continue to be performed by Joseph H. Evers, the Court Administrator of the First Judicial District of Pennsylvania, until further order of this Court.

JUSTICE J. MICHAEL EAKIN, Supreme Court of Pennsylvania

[Pa.B. Doc. No. 13-1891. Filed for public inspection October 11, 2013, 9:00 a.m.]

Transfer of Duties of Prothonotary and Clerk of Quarter Sessions of Philadelphia, First Judicial District of Pennsylvania; No. 412 Judicial Administration Doc.

#### **Corrected Order**

And Now, this 30th day of September, 2013, pursuant to Article V, Section 10(a) of the Constitution of the Commonwealth of Pennsylvania, it is hereby Ordered and Decreed that all powers and duties of the Prothonotary of Philadelphia and the Clerk of Quarter Sessions of Philadelphia, First Judicial District, Pennsylvania, be transferred to the Trial Division of the First Judicial District. This Order and Caption supersede the Order entered on this docket at September 24, 2013.

JUSTICE J. MICHAEL EAKIN, Supreme Court of Pennsylvania

[Pa.B. Doc. No. 13-1892. Filed for public inspection October 11, 2013, 9:00 a.m.]

## PROPOSED RULEMAKING

## DELAWARE RIVER BASIN COMMISSION

[ 25 PA. CODE CH. 901 ]

Proposed Amendments to the Water Quality Regulations, Water Code and Comprehensive Plan to Update Water Quality Criteria for pH; Public Hearing

Summary: The Commission will hold a public hearing to receive comments on proposed amendments to the Commission's Water Quality Regulations, Water Code and Comprehensive Plan to update stream quality objectives (also called "water quality criteria") for pH in interstate tidal and non-tidal reaches of the main stem Delaware River

Dates: The public hearing will be held at 2:00 P.M. on Thursday, October 24, 2013. The hearing will continue until all those wishing to testify have had an opportunity to do so. Written comments will be accepted and must be received by 5:00 P.M. on Thursday, November 21, 2013. For more information regarding the procedures for the hearing and comments, see Supplementary Information.

Addresses: The public hearing will be held in the Goddard Conference Room at the Commission's office building located at 25 State Police Drive, West Trenton, NJ. As Internet mapping tools are inaccurate for this location, please use the driving directions posted on the Commission's website.

Oral Testimony and Written Comments: Persons wishing to testify at the hearing are asked to register in advance by phoning Paula Schmitt at 609-883-9500, ext. 224. Written comments may be submitted as follows: If by email, to paula.schmitt@drbc.state.nj.us; if by fax, to Commission Secretary at 609-883-9522; if by U.S. Mail, to Commission Secretary, DRBC, P.O. Box 7360, West Trenton, NJ 08628-0360; and if by overnight mail, to Commission Secretary, DRBC, 25 State Police Drive, West Trenton, NJ 08628-0360. Comments also may be delivered by hand at any time during the Commission's regular office hours (Monday through Friday, 8:30 A.M. through 5:00 P.M. except on national holidays) until the close of the comment period at 5:00 P.M. on Thursday, November 21, 2013. In all cases, please include the commenter's name, address and affiliation, if any, in the comment document and "pH Rulemaking" in the subject line.

For further information: The rule text and a report entitled "pH Criteria Revision Recommendations for Interstate Waters of the Delaware River Basin: Basis & Background Document" (DRBC, March 2013) are available on the Commission's web site, www.drbc.net. Hard copies of the latter document may be obtained for the price of postage by contacting Ms. Paula Schmitt at 609-883-9500, ext. 224. For questions about the technical basis for the rule, please contact Dr. Erik Silldorff at 609-883-9500 ext. 234. For queries about the rulemaking process, please contact Pamela Bush at 609-477-7203.

Supplementary Information

Background. The Commission in 1967 assigned stream quality objectives (also called "criteria") for pH to all tidal

and non-tidal interstate streams in the Delaware River Basin ("basin"). Since that time, scientists' understanding of natural fluctuations in freshwater and saltwater pH levels has grown. Likewise, the development and application of pH criteria have evolved, while the Commission's pH stream quality objectives have remained unchanged. Commission scientists in consultation with experts from DRBC member states and federal agencies have evaluated the pH criteria adopted by signatory states and recommended by federal agencies over the past four-anda-half decades. They have concluded that in order to minimize regulatory inconsistencies and better address natural pH cycles in the main stem Delaware River, two classes of revisions to the Commission's criteria for this shared interstate waterway should be considered. The first concerns the range of pH levels deemed to comprise the numeric standard within the tidal and non-tidal zones of the main stem and tidal portions of tributaries. The second concerns excursions outside the standard range that are attributable to natural conditions. The proposed revisions were unanimously endorsed by the Commission's Water Quality Advisory Committee ("WQAC") in March 2009. The WQAC is a standing committee of stakeholders, including regulators, municipal and industrial dischargers, academicians and environmental organizations that advises the Commission on technical matters relating to water quality within the basin.

Proposed Change to Existing Criteria. The Commission's existing pH criteria applicable to the main stem are expressed as ranges. "Between 6.0 and 8.5" is the range currently assigned to all freshwater (non-tidal) zones of the main stem Delaware River—DRBC Water Quality Zones 1A through 1E. In all tidal zones—DRBC Water Quality Zones 2 through 6, which include the tidal main stem and tidal portions of its tributaries—the pH range currently in effect is "between 6.5 and 8.5." The proposed amendments would make 6.5 the lower threshold of acceptable pH conditions for all water quality zones encompassing reaches of the main stem and tidal portions of its tributaries.

Natural Conditions Clause. The proposed amendments would add a clause to the pH criteria recognizing natural deviations outside the 6.5 to 8.5 pH range in the moderately acidic waters draining the Catskill Mountains and Pocono Plateau, the high-light and high-productivity zones of the non-tidal main stem, and the acidic coastal plain tidewaters of the Delaware Estuary.

Proposed Rule Text. In accordance with these proposed changes, the pH criteria for Water Quality Zones 1A through 1E (non-tidal main stem) and 2 through 6 (tidal main stem and tidal portions of tributaries) are proposed to be amended to read, "Between 6.5 and 8.5 inclusive, unless outside this range due to natural conditions." The affected sections of the Commission's Water Quality Regulations consist of subsection C.3 of each of sections 2.20.2 through 2.20.6, respectively, for Water Quality Zones 1A through 1E (non-tidal main stem); and sections 3.30.2 through 3.30.6, respectively, for Water Quality Zones 2 through 6 (tidal main stem and tidal portions of tributaries). It is further proposed to amend paragraph 410.1(c) of title 18 of the Code of Federal Regulations by replacing the date of incorporation by reference that appears there

(currently, December 8, 2010), with the date on which the Commission adopts a final rule in response to this proposal.

Dated: September 13, 2013

PAMELA M. BUSH, Esq., Secretary

**Fiscal Note:** 68-57. No fiscal impact; (8) recommends adoption.

[Pa.B. Doc. No. 13-1893. Filed for public inspection October 11, 2013, 9:00 a.m.]

### **INSURANCE DEPARTMENT**

[ 31 PA. CODE CH. 25 ]

#### Rules and Procedural Requirements for Insurance Holding Company Systems

The Insurance Department (Department) proposes to amend Chapter 25 (relating to rules and procedural requirements for insurance holding company systems) to read as set forth in Annex A. The rulemaking is proposed under the authority of sections 206, 506, 1501 and 1502 of The Administrative Code of 1929 (71 P. S. §§ 66, 186, 411 and 412), regarding the general rulemaking authority of the Department, and Article XIV of The Insurance Company Law of 1921 (act) (40 P. S. §§ 991.1401—991.1413), regarding insurance holding companies.

#### Purpose

Chapter 25 sets forth rules and procedural requirements applicable to insurance holding company systems. The purpose of this proposed rulemaking is to update Chapter 25 in accordance with amendments made to Article XIV of the act by the act of July 5, 2012 (P. L. 1111, No. 136) (Act 136).

The proposed amendments to Chapter 25 are based upon recent amendments to the model regulation developed by the National Association of Insurance Commissioners (NAIC) entitled "Insurance Holding Company System Model Regulation with Reporting Forms and Instructions" (Model #450). This proposed rulemaking is part of the financial regulation standards the Department must meet to maintain its accreditation as a regulator by the NAIC. Thus, the Commonwealth must enact requirements substantially similar to NAIC requirements to maintain NAIC accreditation.

A copy of the copyrighted NAIC model regulation was provided to the Senate Banking and Insurance Committee, the House Insurance Committee, the Independent Regulatory Review Commission (IRRC), the Governor's Office of Policy and Planning, the Governor's Office of General Counsel and the Attorney General to assist in the analysis of this proposed rulemaking. Copies of NAIC model regulations are available to the general public by contacting the NAIC.

Explanation of Proposed Amendments

Section 25.8 (relating to waivers) is proposed to be amended to change "corporation" to "person" in accordance with the nomenclature change to section 1402(a)(2) of the act  $(40\ P.\ S.\ \S\ 991.1402(a)(2))$ .

Section 25.12 (relating to forms—general requirements) is proposed to be amended to: add a reference to proposed Form F (relating to enterprise risk report), which is the

form for filing an enterprise risk report as required under section 1404(k.1) of the act (40 P.S. § 991.1404(k.1)); delete "initial" as unnecessary; provide that an applicant should file a copy of its Form A (relating to statement regarding the acquisition of control of or merger with a domestic insurer) with the NAIC if it requests a hearing on a consolidated basis under section 1402(f)(2.1) of the act; and allow the electronic filing of forms in certain circumstances.

Section 25.13 (relating to forms—incorporation by reference, summaries and omissions) is proposed to be amended to add a reference to proposed Form F.

Section 25.16 (relating to acquisition of control—statement filings) is proposed to be amended to update a reference to "domestic insurer" under section 1402(a)(2)(i) of the act in accordance with the amendment of this section by Act 136.

Section 25.18 (relating to summary of registration—statement filing) is proposed to be amended to delete the requirement that a copy of Form C (relating to summary of registration statement) be filed in a jurisdiction in which an insurer is authorized to do business, if requested by the chief insurance regulatory official of the jurisdiction.

Section 25.20 (relating to disclaimers and termination of registration) is proposed to be amended to: enhance the readability of subsection (a); include clarifying language that was added by Act 136 to the definition of "control" in section 1401 of the act (40 P.S. § 991.1401); and require supporting information with disclaimers of affiliation and requests for termination of registration.

Section 25.21 (relating to transactions subject to prior notice—notice filing) is proposed to be amended to further clarify the circumstances for filing and content of management agreements, service contracts, tax allocation agreements, guarantees and cost-sharing arrangements which are required to be filed for prior approval under section 1405(a)(2)(v) of the act  $(40\ P.\ S.\ \S\ 991.1405(a)(2)(v))$  as added by Act 136.

Proposed § 25.21a (relating enterprise risk report) sets forth the format and timing for filing the enterprise risk report required under section 1404(k.1) of the act as added by Act 136.

Section 25.22 (relating to all dividends and other distributions) is proposed to be amended to transpose the order of subsection (c)(5) and (6) to improve readability.

Form A is proposed to be amended to: delete the exemption for identifying affiliates with assets or less than 1/2 of 1% of total assets of the ultimate controlling person from the chart summarizing the applicants and affiliates; require a third-party background check upon request for individual applicants or persons who are directors, executive officers or owners of 10% or more of the voting securities of the applicant; require a 3-year financial projection of the insurer be attached as an exhibit to the Form A Filing; and add a statement recognizing the applicant's commitment to file an enterprise risk report as required under section 1402(b)(11.1) of the act as added by Act 136.

Form B (relating to insurance holding company system annual registration statement) is proposed to be amended to: delete the exemption for affiliates with assets or less than 1/2 of 1% of total assets of the ultimate controlling person from identification on the organizational chart; clarify the differences between the procedures for the submission of biographical information by individuals and

those to be used by corporation, an organization, a limited liability company or other legal entity; delete "consolidated" as a description of tax allocation statements to maintain consistency with terminology used in the act and other portions of the regulation; clarify the requirements for submission of financial statements to be submitted when the ultimate controlling person is a corporation, an organization, a limited liability company or other legal entity and the format in which the statements should be filed; and provide for the filing of a statement of corporate governance and internal controls as required under section 1404(b)(4.2) of the act as added by Act 136.

Form D (relating to prior notice of a transaction) is proposed to be amended to: update a reference to section 1405(2)(v) of the act as added by Act 136; add a section within which the insurer will explain how the transaction meets the fair and reasonable standard of section 1405(a)(1)(i) of the act; require the insurer to file a copy of the copy of the management agreement, service contracts, tax allocation agreement, guarantee or costsharing arrangement as required under section 1405(a)(2)(v) of the act as added by Act 136; clarify that transactions exceeding the thresholds provided under the act are to be reported under Item 3, per section 1405(a)(2)(i) of the act, while those that do not are to be reported under Item 6 per section 1405(a)(2)(v) of the act; add reinsurance pooling agreements to Item 6 as required under section 1405(a)(2)(iii)(C) of the act as added by Act 136; and include a new section for reporting management agreements, service contracts, tax allocation agreements, guarantees and cost-sharing arrangements as required under section 1405(a)(2)(v) of the act as added by Act 136.

Form E (relating to pre-acquisition notification statement of the potential competitive impact of a proposed merger or acquisition) is proposed to be amended to add a requirement that an applicant provide a determination of whether the transaction would violate the competitive standards in section 1403 of the act (40 P. S. § 991.1403) and, if so, provide justification of why the acquisition or merger would not substantially lessen competition or create a monopoly in this Commonwealth.

Proposed Form F is a form through which a company can identify and report its enterprise risk as required under section 1404(k.1) of the act as added by Act 136. This form, based upon the NAIC model, lists certain areas that could produce enterprise risk to an insurer and requires an attestation by appropriate individuals that the company has identified all required risks.

#### External Comments

The Department circulated pre-exposure drafts of the proposed rulemaking to the Insurance Federation of Pennsylvania, Inc., the Pennsylvania Association of Mutual Insurance Companies and the four nonprofit health plan corporations commonly referred to as "Blue Plans." Comments received were carefully considered and incorporated into the proposed rulemaking.

#### Affected Parties

This proposed rulemaking will apply to insurers authorized to do business in this Commonwealth who are members of an insurance holding company system. Additionally, certain provisions of the proposed rulemaking may apply to persons seeking to acquire an interest in a Pennsylvania domestic insurer.

Fiscal Impact

State government

The proposed rulemaking will strengthen and clarify existing regulatory requirements. There will not be material increase in cost to the Department as a result of this proposed rulemaking.

General public

The public will benefit to the extent the proposed rulemaking strengthens financial solvency regulatory requirements for insurers, thereby promoting the ability of the insurance industry to meet obligations under insurance policies.

 $Political\ subdivisions$ 

This proposed rulemaking will not impose additional costs on political subdivisions.

Private sector

This proposed rulemaking will not impose significant costs on the transaction of business in this Commonwealth.

Paperwork

This proposed rulemaking will not impose additional paperwork on the Department.

Effective Date

This proposed rulemaking will become effective within 30 days after final-form publication in the *Pennsylvania Bulletin*.

Sunset Date

The Department continues to monitor the effectiveness of regulations on a triennial basis. Therefore, a sunset date has not been assigned.

Contact Person

Questions or comments regarding the proposed rule-making may be addressed in writing to Peter J. Salvatore, Regulatory Coordinator, Insurance Department, 1326 Strawberry Square, Harrisburg, PA 17120, fax (717) 705-3873, psalvatore@pa.gov within 30 days following the publication of this proposed rulemaking in the *Pennsylvania Bulletin*.

Under the Regulatory Review Act (71 P.S. §§ 745.1—745.12), the Department is required to write to commentators requesting whether or not they wish to receive a copy of the final-form rulemaking. To better serve stakeholders, the Department has made a determination that commentators will receive a copy of the final-form rulemaking when it is made available to IRRC and the House and Senate Committees.

Regulatory Review

Under section 5(a) of the Regulatory Review Act (71 P. S. § 745.5(a)), on September 30, 2013, the Department submitted a copy of this proposed rulemaking and a copy of a Regulatory Analysis Form to IRRC and to the Chairpersons of the Senate Banking and Insurance Committee and the House Insurance Committee. A copy of this material is available to the public upon request.

Under section 5(g) of the Regulatory Review Act, IRRC may convey any comments, recommendations or objections to the proposed rulemaking within 30 days of the close of the public comment period. The comments, recommendations or objections must specify the regulatory review criteria which have not been met. The Regulatory Review Act specifies detailed procedures for review, prior

to final publication of the rulemaking, by the Department, the General Assembly and the Governor of comments, recommendations or objections raised.

MICHAEL F. CONSEDINE, Insurance Commissioner

**Fiscal Note:** 11-252. No fiscal impact; (8) recommends adoption.

#### Annex A

#### TITLE 31. INSURANCE

#### PART I. GENERAL PROVISIONS

#### Subpart B. SECURITIES AND STOCK TRANSACTIONS

#### CHAPTER 25. RULES AND PROCEDURAL REQUIREMENTS FOR INSURANCE HOLDING COMPANY SYSTEMS

#### § 25.8. Waivers.

This chapter does not constitute a waiver by the Department of its authority, for the purpose of examining into the affairs of a [corporation] person proposing to acquire or offering to acquire voting securities of an insurer or a person which controls an insurer, to have free access to its books and papers which relate to its business, and to the books and papers kept by any of its agents. The Department may summon, and administer the oath to, and examine as witnesses, the directors, officers and agents of the person and other persons, relative to its affairs, transactions and condition.

#### § 25.12. Forms—general requirements.

- (a) Forms [A—E] A—F located in Appendix A are intended to be guides in the preparation of the statements required by sections 1402—1405 of the act (40 P. S. §§ 991.1402—991.1405). They are not intended to be blank forms which are to be filled in. The forms filed shall contain the numbers and captions of all items, but the text of the items may be omitted if the answers are prepared in a manner that indicates clearly the scope and coverage of the items. Instructions, whether appearing under the items of the form or elsewhere therein, shall be omitted. Unless expressly provided otherwise, if an item is inapplicable or the answer thereto is in the negative, an appropriate statement to that effect shall be made.
- (b) Two copies of Forms A and E and one copy of Forms B—D and F, including exhibits and other papers and documents filed as a part thereof, shall be filed with the Commissioner by personal delivery, mail, facsimile or other form of electronic transmission acceptable to the Department. At least one copy shall be signed in the manner prescribed on the form. Unsigned copies shall be conformed. If the signature of a person is affixed under a power of attorney or other similar authority, a copy of the power of attorney or other authority shall also be filed with the form.
- (c) One of the filed copies of Form A shall be available for public inspection as of the date the [ initial ] filing is made; except that copies of personal financial statements of nonpublicly held ultimate controlling persons shall be given confidential treatment. Form A filings also may

- contain or reference other materials that are confidential, proprietary or privileged under statute, regulation, case law, administrative or court order, or other authority.
- (1) If a person filing a Form A wishes to assert that materials included or referenced within the filing are confidential, proprietary or privileged and should not be available for public inspection, the person shall notify the Department at the time the initial filing is made as follows:
- [(1)] (i) Identify the specific information, document, report or other material that is asserted to be confidential, proprietary or privileged.
- [(2)] (ii) State the basis upon which the assertion of confidentiality, proprietary or privilege is premised.
- [(3)] (iii) Identify the person to whom inquiries regarding the issue of confidential treatment should be directed.
- [(4)] (iv) Submit one copy of the filing with the materials asserted to be confidential, proprietary or privileged physically separate from the remainder of the filing, or as otherwise instructed by the Department.
- (2) If an applicant requests a hearing on a consolidated basis under section 1402(f)(2.1) of the act, in addition to filing Form A with the Commissioner, the applicant shall file a copy of the Form A with the NAIC in electronic form.
- (d) Forms shall be prepared on 8 1/2 inches x 11 inches paper and preferably bound at the top or the top lefthand corner. Exhibits and financial statements, unless specifically prepared for the filing, may be submitted in their original size. Copies of forms, financial statements or exhibits shall be clear, easily readable and suitable for [ photocopying ] review and reproduction. Debits in credit categories and credits in debit categories shall be designated so that they are clearly distinguishable on photocopies. An insurer may request that the Department accept a form in an electronic format only. Upon the Department's request, an insurer shall prepare an electronic version of the form, which may be submitted by secure e-mail, if this option is available to a company, or on CD-ROM mailed or hand-delivered to the Department.

## § 25.13. Forms—incorporation by reference, summaries and omissions.

(a) Information required in Form A, B[, D or E] or D—F located in Appendix A may be incorporated by reference as provided in this subsection if the incorporation would not make the information provided in the form incomplete, unclear or confusing. Information required by an item in Form A, B[, D or E] or D—F may be incorporated by reference in answer or partial answer to another item. Information contained in a financial statement, annual report, proxy statement, statement filed with a governmental authority or another document may be incorporated by reference in answer or partial answer to an item in Form A, B[, D or E] or D—F if the document or paper is filed as an exhibit to the form. Excerpts of documents may be filed as exhibits if the

documents are extensive. Documents currently on file with the Commissioner which were filed within the immediately preceding 3 years need not be attached as exhibits if there has been no change in the information already on file. References to information contained in exhibits or in documents already on file shall clearly identify the material and shall specifically indicate that the material is to be incorporated by reference in answer to the item.

\* \* \* \* \*

### § 25.14. Forms—information unknown or unavailable and extension of time to furnish.

- [ (a) Information required need be given only insofar as it is known or reasonably available to the person filing the form. If required information is unknown and not reasonably available to the person filing, either because the obtaining thereof would involve unreasonable effort or expense, or because it rests peculiarly within the knowledge of another person not affiliated with the person filing, the information may be omitted, subject to the following conditions. The person filing shall:
- (1) Give information on the subject as it possesses or can acquire without unreasonable effort or expense, together with the sources thereof.
- (2) Include a statement either showing that unreasonable effort or expense would be involved or indicating the absence of an affiliation with the person within whose knowledge the information rests and stating the result of a request made to that person for the information.
- (b) If it is impractical to furnish required information, document or report at the time it is required to be filed, [there may] a separate document shall be filed with the Commissioner [a separate document]:

\* \* \* \* \*

### § 25.15. Forms—additional information and exhibits.

- (a) In addition to the information expressly required to be included in Forms [A—E] A—F located in Appendix A, the person filing shall provide further material information, if any, as necessary for the completion or clarity of the information expressly required in the form. The person filing may also file exhibits as desired in addition to those expressly required by the form. The exhibits shall be marked to indicate clearly the subject matters to which they refer. The Commissioner may require the person filing the form to provide additional information as may be necessary to determine compliance with the act.
- (b) Changes to [ Form A, B, C, D or E shall ] Forms A—F must include on the top of the first page the phrase[:] "Change No. (insert number) to" and [ shall ] indicate the date of the change and not the date of the original filing.

#### § 25.16. Acquisition of control—statement filings.

\* \* \* \* \*

(f) If the person being acquired is deemed to be a "domestic insurer" under section [1402(a)(2)] 1402(a)(2)(i) of the act, the name of the domestic insurer on the first page of Forms A and E shall be indicated as follows: "ABC Insurance Company, a subsidiary of XYZ Holding Company."

(g) If a person deemed to be a "domestic insurer" under section [1402(a)(2)] 1402(a)(2)(i) of the act is being acquired, references to "the insurer" in Forms A and E shall refer to both the domestic subsidiary insurer and the person being acquired.

#### § 25.18. Summary of registration—statement filing.

An annual registration statement filed under section 1404 of the act (40 P.S. § 991.1404) shall include the information required on Form C located in Appendix A as prescribed by this chapter. [A copy of Form C shall be filed in any jurisdiction in which an insurer is authorized to do business, if requested by the chief insurance regulatory official of the jurisdiction.]

### § 25.20. Disclaimers and termination of registra-

- (a) A disclaimer of affiliation under section 1404(g) of the act (40 P.S. § 991.1404(g)) or a request for termination of registration under section [1404(g) and (k) of the act (40 P.S. § 991.1404(g) and (k))] 1404(k) of the act claiming that a person does not, or will not upon the taking of some proposed action, control another person, referred to as the "subject," within this [chapter, shall] subsection, must contain the following information:
- (1) The number of authorized, issued and outstanding voting securities of the subject.
- (2) With respect to the person whose control is denied and the affiliates of that person, the number and percentage of shares of the subject's [voting securities] votes that shareholders would be entitled to cast in the election of directors which are held of record or known to be beneficially owned, and the number of the shares concerning which there is a right to acquire, directly or indirectly.
- (3) The material relationships and bases for affiliation between the subject and the person whose control is denied and the affiliates of that person.
- (4) A statement explaining why the person should not be considered to control the subject **along with supporting information**.

\* \* \* \* \*

## § 25.21. Transactions subject to prior notice—notice filing.

\* \* \* \* \*

- (c) An insurer may not enter into a proposed transaction if a material change occurs in the information furnished on Form D unless the insurer has filed an amended Form D with the Department at least 30 days prior to entering into the transaction, or a shorter period the Department may permit, and the Department has not disapproved the amended transaction within that time period.
- (d) New or amended management agreements, service contracts, tax allocation agreements, guarantees and cost-sharing arrangements involving a domestic insurer and a person in its insurance holding company system must:

- (1) Be filed for prior approval under section 1405(a)(2)(v) of the act.
  - (2) At a minimum and as applicable:
- (i) Identify the person providing services and the nature of the services.
  - (ii) Set forth the methods to allocate costs.
- (iii) Require timely settlement, not less frequently than on a quarterly basis, and compliance with the Accounting Practices and Procedures Manual.
- (iv) Prohibit advancement of funds by the insurer to the affiliate except to pay for services defined in the agreement.
- (v) State that the insurer shall maintain oversight for functions provided to the insurer by the affiliate and that the insurer shall monitor services annually for quality assurance.
- (vi) Define books and records of the insurer to include the books and records developed or maintained under or related to the agreement.
- (vii) Specify that the books and records of the insurer are the exclusive property of the insurer, held for the benefit of the insurer and are subject to the control of the insurer.
- (viii) Include standards for termination of the agreement with and without cause.
- (ix) Include provisions for indemnification of the insurer in the event of gross negligence or willful misconduct on the part of the affiliated providing the services.
- (x) Specify that, if the insurer is placed in receivership or seized by the Commissioner under Article V of The Insurance Department Act of 1921 (40 P. S. §§ 221.1—221.63):
- (A) The rights of the insurer under the agreement extend to the receiver or Commissioner.
- (B) The books and records shall immediately be made available to the receiver or Commissioner immediately upon the receiver or the Commissioner's request.
- (xi) Specify that the affiliate does not have an automatic right to terminate the agreement if the insurer is placed in receivership under Article V of The Insurance Department Act of 1921.
- (xii) Specify that the affiliate will continue to maintain systems, programs or other infrastructure notwithstanding a seizure by the Commissioner under Article V of The Insurance Department Act of 1921 and shall make them available to the receiver for as long as the affiliate continues to receive timely payment for services rendered.
- (e) For purposes of subsection (d), "amended" does not include:
- (1) The continuation of an agreement or contract with no specified term or that is automatically renewed if provisions are not altered.

- (2) The addition of an affiliate if the filing evidencing the notification of the addition is made with a domiciliary regulator in another state.
- § 25.21a. Enterprise risk report.

The ultimate controlling person of an insurer required to file an enterprise risk report under section 1404(k.1) of the act (40 P.S. § 991.1404(k.1)) shall furnish the required information on Form F as set forth in Appendix A. If the Commissioner approves a merger or acquisition of control, a revised enterprise risk report shall be filed within 30 days after the end of the month in which the acquisition of control occurs unless otherwise ordered by the Commissioner.

#### § 25.22. All dividends and other distributions.

- (a) Under section 1404(e) of the act (40 P. S. § 991.1404(e)) a registered insurer is required to report to the Department all dividends and other distributions to shareholders within 5 business days following the declaration thereof and at least 10 days, commencing from the date of receipt by the Department, prior to payment thereof. The report shall include the information set forth in section 1404(e) of the act and subsection [(c)(1)—(5). The](c)(1)—(6) except that the information set forth in subsection [(c)(5)](c)(6) is not required for dividends other than dividends reported under section 1405(b) of the act (40 P. S. § 991.1405(b)) and dividends and other distributions filed under section 337.8 of the act (40 P. S. § 459.8).
- (b) Under section 337.8 of the act a domestic insurance company, association or exchange may pay dividends and other distributions to shareholders only out of unassigned funds as defined in section 337.8(e) of the act or upon approval of the Commissioner. Information filed with the Commissioner under section 337.8 of the act shall include the information set forth in subsection [(c)(1)—(5)] (c)(1)—(6).
- (c) Requests for approval of extraordinary dividends or another extraordinary distribution to shareholders under section 1405(b) of the act shall include the following:

\* \* \* \* \*

- (5) [A balance sheet and statement of income for the period intervening from the last annual statement filed with the Commissioner and the end of the month preceding the month in which the request for dividend or other distribution approval is submitted.
- (6) A statement demonstrating the transaction's compliance with section 1405(d) of the act by describing the effect of the proposed dividend or other distribution upon the insurer's surplus and the reasonableness of surplus in relation to the insurer's outstanding liabilities and the adequacy of surplus relative to the insurer's financial needs.
- (6) A balance sheet and statement of income for the period intervening from the last annual statement filed with the Commissioner and the end of the month preceding the month in which the request for dividend or other distribution approval is submitted.

(d) Reports of dividends and other distributions under this section shall include on the top of the first page the phrase: "Notice of Dividend or Other Distribution" and the name of the insurer.

\* \* \* \* \*

#### APPENDIX A

#### FORM A

## STATEMENT REGARDING THE ACQUISITION OF CONTROL OF OR MERGER WITH A DOMESTIC INSURER

\* \* \* \* \*

Item 2. Identity and Background of the Applicant

\* \* \* \* \*

C. Furnish a chart or listing clearly presenting the identities and the interrelationships among the applicant and all affiliates of the applicant. [ No affiliate need be identified if its total assets are equal to less than 1/2 of 1% of the total assets of an ultimate controlling person affiliated with the applicant. Indicate in the chart or listing the percentage of voting securities of each person which is owned or controlled by the applicant or by any other person. If control of any person is maintained other than by the ownership or control of voting securities, indicate the basis of control. As to each person specified in the chart or listing indicate the type of organization (e.g. corporation, trust, partnership) and the state or other jurisdiction of domicile. If court proceedings involving a reorganization or liquidation are pending with respect to any such person, indicate which person, and set forth the title of the court, nature of proceedings and the date when commenced.

Item 3. Identity and Background of Individuals Associated with the Applicant

Furnish a **third-party background check upon request and** biographical affidavit for (1) the applicant if the applicant is an individual or (2) all persons who are directors, executive officers or owners of 10% or more of the voting securities of the applicant if the applicant is not an individual. Biographical affidavits filed with the Department within the immediately preceding 3 years need not be included if there has been no change in the information already on file.

4 4 4

#### Item 12. Financial Statements and Exhibits

A. Financial statements and exhibits, and three-year financial projection of the insurer(s) shall be attached to this statement as an appendix, but list under this item the financial statements and exhibits so attached.

\* \* \* \* \*

D. File as exhibits copies of all tender offers for, requests or invitations for, tenders of, exchange offers for, and agreements to acquire or exchange any voting securities of the insurer and (if distributed) of additional soliciting material relating thereto, any proposed employment, consultation, advisory or management contracts concerning the insurer, annual reports to the stockholders of the insurer and the applicant for the last two fiscal years, and any additional documents or papers required by this chapter.

Item 13. Agreement Requirements for Enterprise Risk Management

Applicant agrees to provide, to the best of its knowledge and belief, the information required by Form F within thirty (30) days after the end of the month in which the acquisition of control occurs and annually thereafter as long as control exists or upon request as necessary for the Commissioner to evaluate enterprise risk of the insurer unless otherwise ordered by the Commissioner.

Item 14. Signature and Certification

\* \* \* \* \*

#### FORM B

#### INSURANCE HOLDING COMPANY SYSTEM ANNUAL REGISTRATION STATEMENT

\* \* \* \* \*

#### Item 2. Organizational Chart

Furnish a chart or listing clearly presenting the identities of and interrelationships among all affiliated persons within the insurance holding company system. [No affiliate need be shown if its total assets are equal to less than 1/2 of 1% of the total assets of an ultimate controlling person within the insurance holding company system unless it has assets valued at or exceeding \$5,000,000.] The chart or listing should show the percentage of each class of voting securities of each affiliate which is owned, directly or indirectly, by another affiliate.

\* \* \* \*

#### Item 4. Biographical Information

[Furnish] If the ultimate controlling person is a corporation, an organization, a limited liability company, or other legal entity, furnish the following information for the directors and executive officers of an ultimate controlling person in the form of biographical affidavits signed in the original: the individual's name and address, his or her principal occupation and all offices and positions held during the past five [(5)] years, and any conviction of crimes other than minor traffic violations during the past [ ten ] five years. If the ultimate controlling person is an individual, furnish the following information in the form of a biographical affidavit signed in the original: the individual's name and address, his or her principal occupation and all offices and positions held during the past five years, and any conviction of crimes other than minor traffic violations. Biographical affidavits filed with the Department within the immediately preceding [3] three years need not be included if there has been no change in the information already on file. The Department will accept copies of original, signed biographical affidavits filed with the chief insurance regulatory official of another jurisdiction if the following conditions are met:

\* \* \* \* \*

#### Item 5. Transactions and Agreements

Briefly describe the following agreements in force, and transactions currently outstanding or which have occurred during the last calendar year, including extraordinary dividends and other material transactions reported under §§ 25.21 and 25.22 of this chapter, between the Registrant and its affiliates:

\* \* \* \* \*

H. [Consolidated tax] Tax allocation agreements; and

\* \* \* \* \*

Item 8. Financial Statements and Exhibits

\* \* \* \* \* \*

B. [The] If the ultimate controlling person is a corporation, an organization, a limited liability company, or other legal entity, the financial statements shall include the annual financial statements of any ultimate controlling person in the insurance holding company system as of the end of the person's latest fiscal year. Financial statements are required for an ultimate controlling person who is an individual as well as for a corporation or other type of business organization.

If a holding company system includes more than one ultimate controlling person, annual financial statements are required for each ultimate controlling person unless the Commissioner, in the Commissioner's discretion, finds that annual financial statements for one or more of the ultimate controlling persons are not necessary to carry out the act.

If at the time of the initial registration, the annual financial statements for the latest fiscal year are not available, annual statements for the previous fiscal year may be filed and similar financial information shall be filed for any subsequent period to the extent that information is available. Financial statements may be prepared on either an individual basis, or unless the Commissioner otherwise requires, on a consolidated basis if the consolidated statements are prepared in the usual course of business.

Other than with respect to the foregoing, such financial statement shall be filed in a standard form and format adopted by the NAIC unless an alternative form is accepted by the Commissioner. Documentation and financial statements filed with the Securities and Exchange Commission or audited GAAP financial statements shall be deemed to be an appropriate form and format.

Unless the Commissioner otherwise permits, the annual financial statements shall be accompanied by the certificate of an independent public accountant to the effect that the statements present fairly the financial position of an ultimate controlling person and the results of its operations for the year then ended, in conformity with generally accepted accounting principles or with requirements of insurance or other accounting principles prescribed or permitted under law. If an ultimate controlling person is an insurer which is actively engaged in the business of insurance, the annual financial statements need not be certified, provided they are based on the Annual Statement [ of the insurer with the chief insurance regulatory official of ] filed in the insurer's domiciliary jurisdiction and are in accordance with requirements of insurance or other accounting principles prescribed or permitted under the law and regulations of that jurisdiction.

## Item 10. Corporate Governance and Internal Controls

\*

The insurer shall furnish a statement that the insurer's board of directors oversees corporate gov-

ernance and internal controls and that the insurer's officers or senior management have approved, implemented and will continue to maintain and monitor corporate governance and internal control procedures.

Item 11. Signature and Certification

\* \* \* \* \*

#### FORM D

#### PRIOR NOTICE OF A TRANSACTION

\* \* \* \* \*

Item 2. Description of the Transaction

Furnish the following information for each transaction for which notice is being given:

- A. A statement as to whether notice is being given under Section 1405(a)(2)(i), (ii), (iii), or (iv) **or** (v) of the act.
- B. A description of the nature and purpose of the transaction, including the anticipated immediate and long-term effect of the transaction on the financial condition of the insurer.
- C. A statement of how the transaction meets the fair and reasonable standard of section 1405(a)(1)(i) of the Act (40 P. S. § 991.1405(a)(1)(i)).
  - **D.** The proposed effective date of the transaction.
- E. A copy of the management agreement, service contracts, tax allocation agreement, guarantee or cost-sharing arrangement.
- Item 3. Sales, Purchases, Exchanges, Loans, Extensions of Credit, Guarantees, Investments, Pledges of Assets or Contributions to Surplus equal to or exceeding 3% of the insurer's admitted assets or 25% of surplus as regards policyholders reported pursuant to 40 P. S. § 991.1405(a)(2)(i).

Furnish a brief description of the amount and source of funds, securities, property or other consideration for the sale, purchase, exchange, loan, extension of credit, guarantee, investment, or pledge of assets, including assets to be received by the domestic insurer as a contribution to its surplus; whether any provision exists for purchase by the insurer filing notice, by any party to the transaction, or by any affiliate of the insurer filing notice; a description of the terms of any securities being received, if any; and a description of any other agreements relating to the transaction such as contracts or agreements for services, consulting agreements and the like. If the transaction involves other than cash, furnish a description of the consideration, its cost and its fair market value, together with an explanation and supporting documentation of the basis for valuation.

\* \* \* \* \*

No notice need be given **under this item** if the maximum amount which can at any time be outstanding or for which the insurer can be legally obligated under the loan, extension of credit or guarantee is less than [5%] 3% of the insurer's admitted assets or 25% of its surplus as of the 31st day of December next preceding. **Please see item 6 for the reporting of matters below these thresholds.** 

Item 4. Loans or Extensions of Credit to a Non-Affiliate

No notice need be given if the loan or extension of credit is one which equals less than [5%] 3% of the insurer's admitted assets or 25% of its surplus as of the 31st day of December next preceding.

#### Item 5. Reinsurance

If the transaction is a reinsurance agreement or modification thereto, as described by Section 1405(a)(2)(iii) of the act or a reinsurance pooling agreement or modification thereto as described by Section 1405(a)(2)(iii)(C) of the act, furnish a description of the known and/or estimated amount of liability to be ceded and/or assumed in each calendar year, the period of time during which the agreement will be in effect, and a statement whether an agreement or understanding exists between the insurer and non-affiliate to the effect that any portion of the assets constituting the consideration for the agreement will be transferred to one or more of the insurer's affiliates. Furnish a brief description of the consideration involved in the transaction, and a brief statement as to the effect of the transaction upon the insurer's surplus.

Notice shall be given for all reinsurance pooling agreements including modifications thereto.

No notice need be given for reinsurance agreements or modifications thereto if the reinsurance premium **is less** than 5% of the insurer's surplus as of the 31st day of December next preceding; or the change in the insurer's liabilities or any transfer of assets required to fund the transaction equals or exceeds 25% of the insurer's surplus as of the 31st day of December next preceding, including those agreements which may require as consideration the transfer of assets from an insurer to a nonaffiliate, if an agreement or understanding exists between the insurer and nonaffiliate that any portion of the assets will be transferred to one or more affiliates of the insurer or the projected reinsurance premium or change in the insurer's liabilities in any of the next three years, in connection with the reinsurance agreement or modification thereto is less than 5% of the insurer's surplus as regards policyholders, as of the 31st day of December next pre-

- Item 6. Management Agreements, Service Contracts, Tax Allocation Agreements, Guarantees and Cost-Sharing Arrangements reported pursuant to 40 P. S. § 991.1405(a)(2)(v).
- A. For management agreements and service contracts, furnish:
- (1) A brief description of the managerial responsibilities, or services to be performed.
- (2) A brief description of the agreement, including a statement of its duration, together with brief descriptions of the basis for compensation and the terms under which payment or compensation is to be made
  - B. For cost-sharing arrangements, furnish:
- (1) A brief description of the purpose of the agreement.
- (2) A description of the period of time during which the agreement is to be in effect.

- (3) A brief description of each party's expenses or costs covered by the agreement.
- (4) A brief description of the accounting basis to be used in calculating each party's costs under the agreement.
- (5) A brief statement as to the effect of the transaction upon the insurer's policyholder surplus.
- (6) A statement regarding the cost allocation methods that specifies whether proposed charges are based on "cost or market." If market based, rationale for using market instead of cost, including justification for the company's determination that amounts are fair and reasonable.
- (7) A statement regarding compliance with the NAIC Accounting Practices and Procedure Manual regarding expense allocation.
- C. For tax allocation agreements and guarantees, furnish:
- (1) A brief description of the purpose of the agreement.
- (2) A description of the period of time during which the agreement is to be in effect.
- (3) A brief statement as to the effect of the transaction upon the insurer's policyholder surplus.
- (4) A statement regarding compliance with the NAIC Accounting Practices and Procedure Manual regarding expense allocation.

Item 7. Signature and Certification

\_\_\_\_

#### FORM E

PRE-ACQUISITION NOTIFICATION STATEMENT OF THE POTENTIAL COMPETITIVE IMPACT OF A PROPOSED MERGER OR ACQUISITION

\* \* \* \* \*

#### Item 5. Market and Market Share

State specifically what market and market share the persons identified in Item 1 and Item 2 currently enjoy in this Commonwealth in each insurance market which, under section 1403(b)(2)(v) of the act (40 P.S. § 1403(b)(2)(v)), causes the proposed merger or acquisition not to be exempted from Article XIV of the act. Provide historical market and market share data for each person identified in Item 1 and Item 2 for the past 5 years, or for the number of years the person and any predecessors thereof have been transacting business if less than 5 years, and identify the source of the data. Provide a determination as to whether the proposed acquisition or merger, if consummated would violate the competitive standards as stated in Section 1403 of the Act (40 P.S. § 991.1403(d)(1)). If the proposed acquisition or merger would violate competitive standards, provide justification of why the acquisition or merger would not substantially lessen competition or create a monopoly in the Commonwealth.

\* \* \* \* \*

(*Editor's Note*: The following form is new and printed in regular type to enhance readability.)

#### PROPOSED RULEMAKING

## FORM F ENTERPRISE RISK REPORT

Filed with the Insurance Department of
By
Name of Registrant/Applicant
Behalf of/Related to Following Insurance Companies
me Address
te:, 20
me, Title, Address and telephone number of Individual to Whom Notices and Correspondence Concerning This tement Should Be Addressed:

#### ITEM 1. ENTERPRISE RISK

The Registrant/Applicant, to the best of its knowledge and belief, shall provide information regarding the following areas that could produce enterprise risk as defined in section 1401 of the act (40 P.S. § 991.1401) provided such information is not disclosed in the Insurance Holding Company System Annual Registration Statement filed on behalf of itself or another insurer for which it is the ultimate controlling person:

- A. Any material developments regarding strategy, internal audit findings, compliance or risk management affecting the insurance holding company system.
- B. Acquisition or disposal of insurance entities and reallocating of existing financial or insurance entities within the insurance holding company system.
- C. Any changes of shareholders of the insurance holding company system exceeding ten percent (10%) or more of voting securities.
- D. Developments in various investigations, regulatory activities or litigation that may have a significant bearing or impact on the insurance holding company system.
  - E. Business plan of the insurance holding company system and summarized strategies for next 12 months.
- F. Identification of material concerns of the insurance holding company system raised by a supervisory college, if any, in last year.
  - G. Identification of insurance holding company system capital resources and material distribution patterns.
- H. Identification of any negative movement, or discussions with rating agencies which may have caused, or may cause, potential negative movement in the credit ratings and individual insurer financial strength ratings assessment of the insurance holding company system (including both the rating score and outlook).
- I. Information on corporate or parental guarantees throughout the holding company and the expected source of liquidity should such guarantees be called upon.
- J. Identification of any material activity or development of the insurance holding company system that, in the opinion of senior management, could adversely affect the insurance holding company system.

The Registrant/Applicant may attach the appropriate form most recently filed with the U.S. Securities and Exchange Commission, provided the Registrant/Applicant includes specific references to those areas listed in Item 1 for which the form provides responsive information. If the Registrant/Applicant is not domiciled in the U.S., it may attach its most recent public audited financial statement filed in its country of domicile, provided the Registrant/Applicant includes specific references to those areas listed in Item 1 for which the financial statement provides responsive information.

#### ITEM 2: OBLIGATION TO REPORT.

If the Registrant/Applicant has not disclosed any information pursuant to Item 1, the Registrant/Applicant shall include a statement affirming that, to the best of its knowledge and belief, it has not identified enterprise risk subject to disclosure pursuant to Item 1.

#### ITEM 3: SIGNATURE AND CERTIFICATION

#### SIGNATURE AND CERTIFICATION

Signature and certification required as follows: **SIGNATURE** Pursuant to the requirements of Section 1404 of the act, the Registrant has caused this enterprise risk report to be duly signed on its behalf in the City of \_\_\_\_\_ and State of \_\_\_\_ on the \_\_\_\_ day (SEAL) Name of Registrant By (Name) (Title) Attest: (Signature of Officer) (Title) CERTIFICATION The undersigned deposes and says that (s)he has duly executed the attached enterprise risk report dated \_ for and on behalf of (Name of Company); that (s)he is the (Title of Officer) of such company and that (s)he is authorized to execute and file such instrument. Deponent further says that (s)he is familiar with such instrument and the contents thereof, and that the facts therein set forth are true to the best of his/her knowledge, information and belief. (Signature) (Type or print name beneath) [Pa.B. Doc. No. 13-1894. Filed for public inspection October 11, 2013, 9:00 a.m.]

## STATEMENTS OF POLICY

#### Title 4—ADMINISTRATION

PART II. EXECUTIVE BOARD [ 4 PA. CODE CH. 9 ]

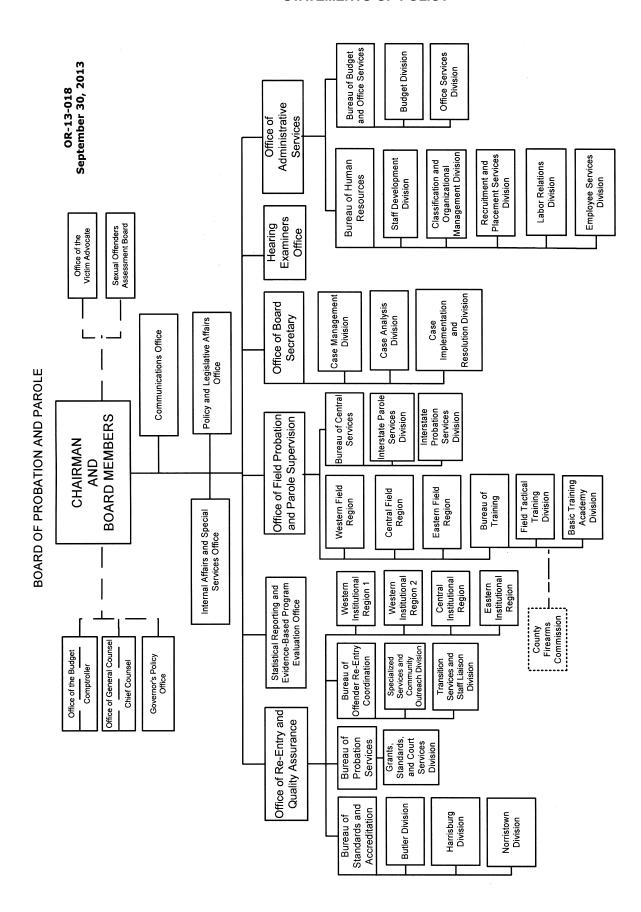
Reorganization of the Board of Probation and Parole

The Executive Board approved a reorganization of the Board of Probation and Parole effective September 30, 2013.

The organization chart at 43 Pa.B. 6007 (October 12, 2013) is published at the request of the Joint Committee on Documents under 1 Pa. Code § 3.1(a)(9) (relating to contents of *Code*).

(*Editor's Note*: The Joint Committee on Documents has found organization charts to be general and permanent in nature. This document meets the criteria of 45 Pa.C.S. § 702(7) (relating to contents of *Pennsylvania Code*) as a document general and permanent in nature which shall be codified in the *Pennsylvania Code*.)

[Pa.B. Doc. No. 13-1895. Filed for public inspection October 11, 2013, 9:00 a.m.]



#### DEPARTMENT OF BANKING AND SECURITIES

#### **Actions on Applications**

The Department of Banking and Securities (Department), under the authority contained in the act of November 30, 1965 (P. L. 847, No. 356), known as the Banking Code of 1965; the act of December 14, 1967 (P. L. 746, No. 345), known as the Savings Association Code of 1967; the act of May 15, 1933 (P. L. 565, No. 111), known as the Department of Banking and Securities Code; and the act of December 19, 1990 (P. L. 834, No. 198), known as the Credit Union Code, has taken the following action on applications received for the week ending October 1, 2013.

Under section 503.E of the Department of Banking and Securities Code (71 P. S. § 733-503.E), any person wishing to comment on the following applications, with the exception of branch applications, may file their comments in writing with the Department of Banking and Securities, Corporate Applications Division, 17 North Second Street, Suite 1300, Harrisburg, PA 17101-2290. Comments must be received no later than 30 days from the date notice regarding receipt of the application is published in the *Pennsylvania Bulletin*. The nonconfidential portions of the applications are on file at the Department and are available for public inspection, by appointment only, during regular business hours. To schedule an appointment, contact the Corporate Applications Division at (717) 783-2253. Photocopies of the nonconfidential portions of the applications may be requested consistent with the Department's Right-to-Know Law Records Request policy.

#### **BANKING INSTITUTIONS**

#### **Branch Applications**

#### **De Novo Branches**

	De l	Novo Branc	nes	
Date	Name and Location of Applicant		Location of Branch	Action
9-23-2013	Fleetwood Bank Fleetwood Berks County		1150 Berkshire Boulevard Wyomissing Berks County	Opened
9-25-2013	Woodlands Bank Williamsport Lycoming County		2 South Main Street Hughesville Lycoming County	Filed
9-30-2013	Integrity Bank Camp Hill Cumberland County		2055 Fruitville Pike Manheim Township Lancaster County	Filed
9-30-2013	Noah Bank Elkins Park Montgomery County		2337 Lemoine Avenue Fort Lee Bergen County, NJ	Filed
	Brar	ch Relocati	ions	
Date	Name and Location of Applicant		Location of Branch	Action
9-30-2013	Royal Bank America Narberth Montgomery County	To:	795 East Lancaster Avenue Villanova Delaware County	Filed
		From:	801 East Lancaster Avenue Villanova Delaware County	
9-30-2013	Somerset Trust Company Somerset Somerset County	To:	210 Ohio Street Boswell Somerset County	Filed
		From:	802 Atkinson Way Boswell Somerset County	
	Branch	Discontinu	iances	
Date	Name and Location of Applicant		Location of Branch	Action
9-27-2013	Northwest Savings Bank Warren Warren County		2 East Main Street Sykesville Jefferson County	Closed

#### SAVINGS INSTITUTIONS

No activity.

#### **CREDIT UNIONS**

#### **Branch Applications**

#### **De Novo Branches**

DateName and Location of Applicant Location of Branch Action 9-27-2013 Butler Armco Employees Credit Union 107 College Drive Approved

> Butler Butler **Butler County Butler County**

> > **Branch Relocations**

DateName and Location of Applicant Location of Branch Action 9-26-2013

To: 629 West Market Street Hidden River Credit Union

Pottsville Orwigsburg Schuylkill County Schuylkill County

From: 705 West Market Street

> Orwigsburg Schuylkill County

The Department's web site at www.dobs.state.pa.us includes public notices for more recently filed applications.

GLENN E. MOYER, Secretary

Approved

[Pa.B. Doc. No. 13-1896. Filed for public inspection October 11, 2013, 9:00 a.m.]

### DEPARTMENT OF **COMMUNITY AND ECONOMIC DEVELOPMENT**

Community Services Block Grant Program; Legislative and Public Hearing; Proposed State Plan

The Department of Community and Economic Development (Department) publishes notice of a legislative/public hearing to be held at 10:15 a.m. on Wednesday, October 23, 2013, in Room 8E-B, State Capital Building, Harrisburg, PA. The purpose of this hearing is to receive comments on the proposed Pennsylvania 2014-2015 annually-appropriated United States Department of Health and Human Services Community Services Block Grant Program State Plan (2014-2015 plan).

The 2014-2015 plan will authorize the current formulabased distribution of funds to allow for the continued delivery of services by the Commonwealth's 44 community action agencies.

A noteworthy issue in the 2014-2015 plan is the promotion of linkages and partnerships to make maximum use of limited funding. Agencies will also be encouraged to become more involved in community catalytic undertakings.

Copies of the 2014-2015 plan may be obtained beginning October 14, 2013, by contacting the Department of Community and Economic Development, Center for Community Services, Commonwealth Keystone Building, 400 North Street, 4th Floor, Harrisburg, PA 17120 or (717) 787-1984 or it can be downloaded from the Department's web site at www.newpa.com.

Written comments may be submitted to Lynette Praster, Director, Center for Community Services, Commonwealth Keystone Building, 400 North Street, 4th Floor, Harrisburg, PA 17120 until 5 p.m. on October 23, 2013.

Persons with a disability who wish to attend this hearing and require auxiliary aid, services or other accommodations to participate in the proceedings should contact Ashley Seburn at (717) 720-7456 or Yvonne Adams at (717) 787-1984 to discuss how the Department may accommodate their needs.

> C. ALAN WALKER, Secretary

[Pa.B. Doc. No. 13-1897. Filed for public inspection October 11, 2013, 9:00 a.m.]

## **DEPARTMENT OF CONSERVATION AND** NATURAL RESOURCES

Delaware Direct Watershed River Conservation Plan

The Department of Conservation and Natural Resources (Department), Bureau of Recreation and Conservation (Bureau) has approved the Delaware Direct Watershed River Conservation Plan (Plan) and is placing the Delaware River Watershed (Philadelphia) covered in the Plan on the Pennsylvania Rivers Conservation Registry (Registry).

The Philadelphia Water Department submitted the Plan and other required information to gain Registry status.

After review of the Plan and other information, the Department has determined that the Pennsylvania Rivers Conservation Program (Program) requirements have been satisfied and places the following on the Registry:

• The watershed area of the Delaware River, within the city limits of Philadelphia (Philadelphia County) that flows directly into the Delaware River, from its headwaters to its confluence with the Delaware River—35 square miles

This action becomes effective October 12, 2013. Projects submitted to the Bureau, which are identified as part of the Plan, become eligible for implementation, development or acquisition grant funding through the Program.

A copy of the Final Plan is available for review at the Philadelphia Water Department web site at www.philly watersheds.org/your\_watershed/delaware/delaware\_RCP and the Department of Conservation and Natural Resources, Rachel Carson State Office Building, 400 Market Street, 5th Floor, Harrisburg, PA 17101, (717) 783-2712.

ELLEN FERRETTI,

Acting Secretary

[Pa.B. Doc. No. 13-1898. Filed for public inspection October 11, 2013, 9:00 a.m.]

#### **Request for Bids**

The Department of Conservation and Natural Resources is soliciting bids for the following projects. Bid documents can be obtained from the Administrative Services Section, Bureau of Facility Design and Construction at (717) 787-5055:

FDC-001-3861.1—Bridge Rehabilitation, Structure No. 01-0019, Biesecker Gap Road over Biesecker Run, Forest District 1, Michaux State Forest, Quincy Township, Franklin County. Work included under this contract consists of channel cleaning; installation of rock protection and concrete underpinning; structure mounted and approach guide rail; cleaning and painting steel beams; and masonry substructure repair.

FDC-007-100561.1—Superstructure Replacement, Bridge No. 07-0031, Bear Run Road over Laurel Run, Forest District 7, Bald Eagle State Forest, Hartley Township, Union County. Work included under this contract consists of demolition of existing superstructure of Bear Run Road bridge crossing Laurel Run consisting of steel I-beams with a clear span of 23'-0". Replacement includes installation of prestressed concrete adjacent box beam bridge on the existing masonry abutments. Work under this contract also includes construction of approximately 240 feet of approach roadway consisting of driving surface aggregate and concrete slabs, guide rail and construction of R-7 Rock along the abutment faces.

FDC-210-7137.1—Rehabilitate Sewage System, Pleasant Valley—Phase I, Moraine State Park, Muddy Creek Township, Butler County. Work included under this contract consists of installation of one sewage duplex pump station with pumping equipment and control and approximately 2,100 feet of 4" HDPE sewer force main. It also includes demolition of an existing pump station, disconnection of part of an existing gravity sewer line and installation of approximately 1,500 feet of 6" HDPE gravity sewer line.

Bid documents will be available on or after October 9, 2013. The bid opening will be held on November 7, 2013.

ELLEN FERRETTI,

Acting Secretary

[Pa.B. Doc. No. 13-1899. Filed for public inspection October 11, 2013, 9:00 a.m.]

#### Sewickley Valley Rivers Conservation and Management Plan

The Department of Conservation and Natural Resources (Department), Bureau of Recreation and Conservation (Bureau) has approved the Sewickley Valley Rivers Conservation and Management Plan (Plan) and is placing the Little Sewickley Creek Watershed (Allegheny County) and the following watersheds covered in the Plan that flow directly into the Ohio River on the Pennsylvania Rivers Registry (Registry): Edgeworth Run, Hoey's Run, Davis Run, Park Run, Hayes Run, Kilbuck Run and Tom's Run watersheds and four unnamed tributaries.

Sewickley Borough, Allegheny County submitted the Plan and other required information to gain Registry status.

After review of the Plan and other information, the Department has determined that the Pennsylvania Rivers Conservation Program (Program) requirements have been satisfied and places the following on the Registry:

- The watershed area of Little Sewickley Creek from its headwaters to its confluence with the Ohio River in Allegheny County—7.4 square miles.
- All tributary streams within the Sewickley Creek watershed area.
- The watershed area of Fern Hollow from its headwaters to its confluence with the Little Sewickley Creek in Allegheny County—2.16 square miles.
- All tributary streams within the Fern Hollow watershed area.
- The watershed area of Edgeworth Run from the headwaters to its confluence with the Ohio River in Allegheny County—1.07 square miles.
- The watershed area of Hoey's Run from the headwaters to its confluence with the Ohio River in Allegheny County—1.07 square miles.
- The watershed area of Davis Run from the headwaters to its confluence with the Ohio River in Allegheny County—0.91 square mile.
- All tributary streams within the Davis Run watershed area.
- The watershed area of Park Run from the headwaters to its confluence with the Ohio River in Allegheny County—0.58 square mile.
- The watershed area of Hayes Run from the headwaters to its confluence with the Ohio River in Allegheny County—0.87 square mile.

- All tributary streams within the Hayes Run watershed area.
- An unnamed tributary from the headwaters in Aleppo Township to its confluence with the Ohio River in Haysville Borough (Allegheny County)—0.29 square mile.
- An unnamed tributary from the headwaters in Aleppo Township to its confluence with the Ohio River in Glenfield Borough (Allegheny County)—0.21 square mile.
- The watershed area of Kilbuck Run from its headwaters to its confluence with the Ohio River in Allegheny County—5.06 square miles.
- All tributary streams within the Kilbuck Run watershed area.
- An unnamed tributary from the headwaters in Glenfield Borough to its confluence with the Ohio River in Kilbuck Township (Allegheny County)—0.15 square mile.
- The watershed area of Tom's Run from its headwaters to its confluence with the Ohio River in Allegheny County—2.24 square miles.
- All tributary streams within the Tom's Run watershed area.
- An unnamed tributary from the headwaters in Kilbuck Township to its confluence with the Ohio River in Emsworth Borough (Allegheny County)—0.43 square mile.

This action becomes effective October 12, 2013. Projects submitted to the Bureau, which are identified as part of the Plan, become eligible for implementation, development or acquisition grant funding through the Program.

A copy of the Final Plan is available for review at the Sewickley Borough, 601 Thorn Street, Sewickley, PA 15143, (412) 741-4015, www.sewickleyborough.org and the Department of Conservation and Natural Resources, Rachel Carson State Office Building, 400 Market Street, 5th Floor, Harrisburg, PA 17101, (717) 787-7672.

ELLEN FERRETTI, Acting Secretary

[Pa.B. Doc. No. 13-1900. Filed for public inspection October 11, 2013, 9:00 a.m.]

## DEPARTMENT OF EDUCATION

## Cyber Charter School Applications; Public Hearings

The Department of Education (Department) has scheduled three public hearings regarding six cyber charter school applications that it received on or before October 1, 2013. The hearings will be held on November 15, 2013, November 21, 2013, and November 22, 2013, in Heritage Rooms A and B, lobby level, 333 Market Street, Harrisburg, PA 17126, beginning at 9 a.m. on each day. The

hearings pertain to applicants seeking to operate a cyber charter school beginning in the 2014-2015 school year, which include Insight PA Cyber Charter School, Acclaim Cyber Charter School, Synergy Cyber Charter School, Provost Academy Cyber Charter School, iSEEK Academy Cyber Charter School and The HOPE Leadership Cyber Charter School. The purpose of the hearings is to gather information from the applicants about the proposed cyber charter schools as well as receive comments from interested individuals regarding the applications. The applications and a listing of the dates and times scheduled for the hearing on each application can be viewed on the Department's web site at www.education.state.pa.us.

Individuals who wish to provide comments during the hearing on an application must provide a copy of their written comments to the Department and the applicant on or before November 1, 2013. Comments provided by this deadline and presented at the hearing will become part of the certified record. Failure to comply with this deadline will preclude the individual from providing comments at the hearings. Verbal comments may be limited based on the number of individuals requesting time to provide comments and may be limited if the comments are duplicative or repetitive of another individual's comments. Persons who are unable to attend the hearing may also provide the Department and the applicant with written comments on or before November 8, 2013. Written comments provided to the Department by this deadline will also become part of the certified record.

During the public hearing on an application, the cyber charter applicant will have 30 minutes to present information about the proposed cyber charter school. Each hearing will be conducted by the panel of individuals who have completed an initial review of the application. The panel members may question the applicant regarding issues identified during their review, as well as issues raised in the written comments filed by the deadline. Panel members may also question individuals who offer verbal comments. Commentators will not be permitted to question either the applicant or the panel members.

Comments sent to the Department should be addressed to Elizabeth Anzalone, Charter Schools Office, 333 Market Street, 10th Floor, Harrisburg, PA 17126-0333. Hearing agendas will be prepared after November 1, 2013, when the Department is aware of the number of individuals who wish to provide verbal comments at each hearing. The hearing agenda will provide the order of presentation, as well as specify the amount of time allotted to each commentator. Hearing agendas will be posted under Charter School Administration on the Department's web site at the following link http://www.portal.state.pa.us/portal/server.pt/community/charter\_schools/7356.

For questions regarding these hearings, contact Elizabeth Anzalone at (717) 787-9744 or charterschools@pa.gov.

CAROLYN C. DUMARESQ, Ed.D.,

Acting Secretary

[Pa.B. Doc. No. 13-1901. Filed for public inspection October 11, 2013, 9:00 a.m.]

#### DEPARTMENT OF ENVIRONMENTAL PROTECTION

**Applications, Actions and Special Notices** 

#### **APPLICATIONS**

# THE CLEAN STREAMS LAW AND THE FEDERAL CLEAN WATER ACT APPLICATIONS FOR NATIONAL POLLUTION DISCHARGE ELIMINATION SYSTEM (NPDES) PERMITS AND WATER QUALITY MANAGEMENT (WQM) PERMITS

This notice provides information about persons who have applied for a new, amended or renewed NPDES or WQM permit, a permit waiver for certain stormwater discharges or submitted a Notice of Intent (NOI) for coverage under a General Permit. The applications concern, but are not limited to, discharges regarding industrial, animal or sewage waste, discharges to groundwater, discharges associated with municipal separate storm sewer systems (MS4), stormwater associated with construction activities or concentrated animal feeding operations (CAFO). This notice is provided in accordance with 25 Pa. Code Chapters 91 and 92a and 40 CFR Part 122, implementing The Clean Streams Law (35 P. S. §§ 691.1—691.1001) and the Federal Clean Water Act (33 U.S.C.A. §§ 1251—1376).

Location	Permit Authority	Application Type or Category
Section I	NPDES NPDES	Renewals
Section II Section III	WOM	New or Amendment Industrial, Sewage or Animal Waste; Discharge into Groundwater
Section IV	NPDES	MS4 Individual Permit
Section V Section VI	NPDES NPDES	MS4 Permit Waiver Individual Permit Stormwater Construction
Section VII	NPDES	NOI for Coverage under NPDES General Permits

For NPDES renewal applications in Section I, the Department of Environmental Protection (Department) has made a tentative determination to reissue these permits for 5 years subject to effluent limitations and monitoring and reporting requirements in their current permits, with appropriate and necessary updated requirements to reflect new and changed regulations and other requirements.

For applications for new NPDES permits and renewal applications with major changes in Section II, as well as applications for MS4 Individual Permits and Individual Stormwater Construction Permits in Sections IV and VI, the Department, based upon preliminary reviews, has made tentative determinations of proposed effluent limitations and other terms and conditions for the permit applications. In accordance with 25 Pa. Code § 92a.32(d), the proposed discharge of stormwater associated with construction activities will be managed in accordance with the requirements of 25 Pa. Code Chapter 102. These determinations are published as proposed actions for comments prior to taking final actions.

Unless indicated otherwise, the United States Environmental Protection Agency (EPA) Region III Administrator has waived the right to review or object to proposed NPDES permit actions under the waiver provision in 40 CFR 123.24(d).

Persons wishing to comment on NPDES applications are invited to submit statements to the contact office noted before the application within 30 days from the date of this public notice. Persons wishing to comment on WQM permit applications are invited to submit statements to the office noted before the application within 15 days from the date of this public notice. Comments received within the respective comment periods will be considered in the final determinations regarding the applications. A comment submittal should include the name, address and telephone number of the writer and a concise statement to inform the Department of the exact basis of a comment and the relevant facts upon which it is based.

The Department will also accept requests for public hearings on applications. A public hearing may be held if the responsible office considers the public response significant. If a hearing is scheduled, a notice of the hearing will be published in the *Pennsylvania Bulletin* and a newspaper of general circulation within the relevant geographical area. The Department will postpone its final determination until after a public hearing is held.

Persons with a disability who require an auxiliary aid, service, including TDD users, or other accommodations to seek additional information should contact the Department through the Pennsylvania AT&T Relay Service at (800) 654-5984.

#### I. NPDES Renewal Applications

Northeast Region: Clean Water Program Manager, 2 Public Square, Wilkes-Barre, PA 18701-1915. Phone: 570-826-2511.

(Sewage) Ziegler Residence SR STP Heidelberg Township Jordan Creek

(Formerly Mr. & Mrs. Paul Geiger) (2-C)

8393 Hawkview Road Germansville, PA 18053

NPDES No. (Type)	Facility Name & Address	County & Municipality	Stream Name (Watershed No.)	EPA Waived Y/N?
PA0062634 (Sewage)	Larry L. Luckenbill Sammy's Mobile Home Park Route 61 South Schuylkill Haven, PA 17972	Schuylkill County North Manheim Township	UNT to Mahonney Creek (3-A)	Y
PAS232208 (Industrial Stormwater)	Carpenter Co. Fogelsville Plant 57 Olin Way Fogelsville, PA 18051	Lehigh County Upper Macungie Township	Iron Run (02C)	Y
PA0040487 (Sewage)	PCS Chadaga d/b/a Maple Lane Estates MHP RR 1 Freeland, PA 18224-2025	Luzerne County Foster Township	Pond Creek (2-A)	Y

NPDES No. (Type)	Facility Name & Address	County & Municipality	Stream Name (Watershed #)	EPA Waived Y/N?
PA0085243 (SEW)	Manns Choice Boro & Harrison Township Joint Sewer Authority PO Box 28 Manns Choice, PA 15550	Bedford County / Harrison Township	Raystown Branch Juniata River / 11-C	Y
PA0080713 (SEW)	Pennsy Supply, Inc. 1 Clear Spring Road Annville, PA 17003	Lebanon County / North Londonderry Township & North Annville Township	Killinger Creek / 7-D	Y
PA0009423 (IW)	Borough of Everett Area Municipal Authority 100 Mechanic Street Everett, PA 15537-1177	Bedford County / West Providence Township	Johns Run / 11-D	Y
PA0033057 (Sew)	Henry Nolt Crestview Village MHP 455B Middlecreek Lititz, PA 17543	Dauphin County / Londonderry Township	Iron Run / 7-D	Y
PA0261157 (Sew)	Velma E. Kerstetter 6710 Route 235 McAlisterville, PA 17049	Juniata County / Delaware Township	Delaware Creek / 12-B	Y

Southwest Region: Water Management Program Manager, 400 Waterfront Drive, Pittsburgh, PA 15222-4745

Dountwest Iteg	ion. Maier management i rogram mana	iger, 400 Marei pront Dri	oc, 1 11130urgm, 111 10222 41.	10
NPDES No. (Type)	Facility Name & Address	County & Municipality	Stream Name (Watershed #)	EPA Waived Y/N
PA0219321 Sewage	Shanksville Borough 1235 Main Street PO Box 127 Shanksville, PA 15560-0058	Somerset County Stonycreek Township	Stony Creek	N
PA0094706 Sewage	Tri-County Soccer & Sports Center 1220 Freedom Crider Road Freedom, PA 15042	Beaver County New Sewickley Township	Unnamed Tributary of North Fork Big Sewickley Creek	Y

## II. Applications for New or Expanded Facility Permits, Renewal of Major Permits and EPA Non-Waived Permit Applications

Southeast Region: Clean Water Program Manager, 2 East Main Street, Norristown, PA 19401. Telephone 484-250-5970

**PA0244295**, Sewage, SIC Code 1623, 4952, **Franconia Township Sewer Authority**, 671 Allentown Road, Telford, PA 18969. Facility Name: Franconia Township Sewer System. This existing facility is located in Franconia Township, **Montgomery County**.

Description of Existing Activity: The application is for a renewal of an NPDES permit for an existing discharge of treated sewage to Skippack Creek.

The receiving stream(s), Skippack Creek, is located in State Water Plan watershed 3E-Perkiomen and is classified for Trout Stocking, aquatic life, water supply and recreation. The nearest downstream public water supply intake for Aqua PA is located on Perkiomen Creek.

The proposed effluent limits for Outfall 001 are based on an annual average design flow of 0.15 MGD.

	Mass (lb/day)			Concentra		
	Average	Weekly		Average	Weekly	Instant.
Parameters	Monthly	Average	Minimum	Monthly	Average	Maximum
Flow (MGD)	Report	Report	XXX	XXX	XXX	XXX
pH (S.U.)	XXX	XXX	6.0	XXX	XXX	9.0
Dissolved Oxygen	XXX	XXX	6.0	XXX	XXX	XXX
$CBOD_5$	12.5	19.0	XXX	10	15	20
Total Suspended Solids	12.5	19.0	XXX	10	15	20
Total Dissolved Solids	373737	373737	373737	1 500	0.000	0500
(Interim)	XXX	XXX	XXX	1,500	2,000 Daily Max	2500
(Final)	XXX	XXX	XXX	1,000	2,000	2500
					Daily Max	
Osmotic Pressure (mOs/kg)	XXX	XXX	XXX	50	XXX	XXX
Fecal Coliform (CFU/100 ml)	XXX	XXX	XXX	200	XXX	1,000
				Geo Mean		
Total Nitrogen	7.5	XXX	XXX	6.0	XXX	12.0
Ammonia-Nitrogen						
May 1 - Oct 31	1.9	XXX	XXX	1.5	XXX	3.0
Nov 1 - Apr 30	4.0	XXX	XXX	3.0	XXX	6.0
Total Phosphorus	0.12	XXX	XXX	0.1	XXX	0.2

In addition, the permit contains the following major special conditions:

- No Stormwater into Sanitary Sewers
- Necessary Property Rights
- Proper Sludge Disposal
- Small Stream discharge
- Notification of Certified Operator
- Operations and Maintenance Plan
- Osmotic Pressure
- Total Dissolved Solids

You may make an appointment to review the DEP files on this case by calling the File Review Coordinator at 484-250-5910.

The EPA Waiver is in effect.

Southcentral Region: Clean Water Program Manager, 909 Elmerton Avenue, Harrisburg, PA 17110. Phone: 717-705-4707.

PA0246484, Sewage, SIC Code 4952, Little Washington WW Co., 762 West Lancaster Avenue, Gettysburg, PA 19010. Facility Name: Links of Gettysburg WWTP. This existing facility is located in Mount Joy Township, Adams County.

Description of Existing Activity: The application is for a renewal of an NPDES permit for an existing discharge of treated Sewage.

The receiving stream(s), Rock Creek, is located in State Water Plan watershed 13-D and is classified for Warm Water Fishes, aquatic life, water supply and recreation. The discharge is not expected to affect public water supplies.

The proposed effluent limits for Outfall 001 are based on a design flow of 0.110 MGD.

	$Mass\ (lb/day)$			Concentra		
Parameters	Average Monthly	$Daily\ Maximum$	Minimum	Average Monthly	Daily Maximum	Instant. Maximum
Flow (MGD)	Report	Report	XXX	XXX	XXX	XXX
pH (S.U.)	XXX	XXX	6.0	XXX	XXX	9.0
Dissolved Oxygen	XXX	XXX	5.0	XXX	XXX	XXX
$CBOD_5$	XXX	XXX	XXX	25	XXX	50
Total Suspended Solids	XXX	XXX	XXX	30	XXX	60
Fecal Coliform (CFU/100 ml)						
May 1 - Sep 30	XXX	XXX	XXX	200	XXX	1,000
				Geo Mean		
Oct 1 - Apr 30	XXX	XXX	XXX	2,000	XXX	10,000
				Geo Mean		
UV Transmittance (%)	_XXX	_XXX	$\operatorname{Report}$	_XXX	XXX	XXX
Total Nitrogen	Report	Report	XXX	Report	XXX	XXX
m . 1 m . 1	Annl Avg	Total Annual		Annl Avg		
Total Phosphorus	XXX	XXX	XXX	2.0	XXX	4.0

You may make an appointment to review the DEP files on this case by calling the File Review Coordinator at 717-705-4732.

The EPA Waiver is in effect.

**PA0262081**, Industrial—Hydrostatic Test Discharge, SIC Code 4922, **Texas Eastern Transmission LP**, 890 Winter Street, Waltham, MA 02451. Facility Name: Team 2014 Project—Perulack West and East Loop Segments. This proposed facility is located in Jackson Township (Outfall 001 and 002), Southwest Madison Township (Outfall 003), and Tyrone Township (Outfall 004), **Perry County**.

Description of Proposed Activity: The application is for a new NPDES permit for a new discharge of treated hydrostatic testing water from new pipeline segments.

The receiving stream(s), Unnamed Tributary of Sherman Creek (Outfall 002), Unnamed Tributary to Cisna Run (Outfall 003), Unnamed Tributary to Kansas Valley Run (Blain Hollow) (Outfall 001), and Unnamed Tributary to Muddy Run (Outfall 004), is located in State Water Plan watershed 12-B and 7-A and is classified for Warm Water Fishes, Migratory Fishes, High Quality Waters—Cold Water Fishes and, aquatic life, water supply and recreation. The discharge is not expected to affect public water supplies.

The proposed effluent limits for Outfall 001 are based on a design flow of 0.72 MGD.

	Mass (lb/day)			Concentrat		
		Daily				Instant.
Parameters	Average	Maximum	Minimum	Average		Maximum
Flow (GPM)	Report	Report	XXX	XXX	XXX	XXX
Duration of Discharge (hours)	XXX	XXX	XXX	XXX	XXX	Report
pH (S.U.)	XXX	XXX	6.0	XXX	XXX	9.0
Dissolved Oxygen	XXX	XXX	5.0	XXX	XXX	XXX
Total Residual Chlorine	XXX	XXX	XXX	Report	XXX	0.05
Total Suspended Solids	XXX	XXX	XXX	Report	XXX	10
Oil and Grease	XXX	XXX	XXX	Report	XXX	5
Dissolved Iron	XXX	XXX	XXX	Report	XXX	1.5

The proposed effluent limits for Outfall 002 are based on a design flow of 0.72 MGD.

	Mass (lb/day)			Concentrat		
Parameters	Average	Daily Maximum	Minimum	Average		$Instant.\\Maximum$
Flow (GPM)	Report	Report	XXX	XXX	XXX	XXX
Duration of Discharge (hours)	XXX	XXX	XXX	XXX	XXX	Report
pH (S.U.)	XXX	XXX	6.0	XXX	XXX	9.0
Dissolved Oxygen	XXX	XXX	5.0	XXX	XXX	XXX
Total Residual Chlorine	XXX	XXX	XXX	Report	XXX	0.05
Total Suspended Solids	XXX	XXX	XXX	Report	XXX	10
Oil and Grease	XXX	XXX	XXX	Report	XXX	5
Dissolved Iron	XXX	XXX	XXX	Report	XXX	1.5

The proposed effluent limits for Outfall 003 are based on a design flow of 0.72 MGD.

	$Mass\ (lb/day)$			Concentrat		
Parameters	Average	Daily Maximum	Minimum	Average		$Instant.\\Maximum$
Flow (GPM)	Report	Report	XXX	XXX	XXX	XXX
Duration of Discharge (hours)	XXX	XXX	XXX	XXX	XXX	Report
pH (S.U.)	XXX	XXX	6.0	XXX	XXX	9.0
Dissolved Oxygen	XXX	XXX	5.0	XXX	XXX	XXX
Total Residual Chlorine	XXX	XXX	XXX	Report	XXX	0.05
Total Suspended Solids	XXX	XXX	XXX	Report	XXX	10
Oil and Grease	XXX	XXX	XXX	Report	XXX	5
Dissolved Iron	XXX	XXX	XXX	Report	XXX	1.5

The proposed effluent limits for Outfall 004 are based on a design flow of 0.72 MGD.

	Mass (lb/day)			Concentrat		
Parameters	Average	Daily Maximum	Minimum	Average		Instant. Maximum
Flow (GPM)	Report	Report	XXX	XXX	XXX	XXX
Duration of Discharge (hours)	XXX	XXX	XXX	XXX	XXX	Report
pH (S.U.)	XXX	XXX	6.0	XXX	XXX	9.0
Dissolved Oxygen	XXX	XXX	5.0	XXX	XXX	XXX
Total Residual Chlorine	XXX	XXX	XXX	Report	XXX	0.05
Total Suspended Solids	XXX	XXX	XXX	Report	XXX	60
Oil and Grease	XXX	XXX	XXX	Report	XXX	30
Dissolved Iron	XXX	XXX	XXX	Report	XXX	7.0

You may make an appointment to review the DEP files on this case by calling the File Review Coordinator at 717-705-4732.

The EPA Waiver is in effect.

**PA0035823**, Sewage, SIC Code 7033, **Kent Saunders**, 5909 Little Cove Road, Mercersburg, PA 17236-9409. Facility Name: Saunderosa Park. This existing facility is located in Warren Township, **Franklin County**.

Description of Existing Activity: The application is for a renewal of an NPDES permit for an existing discharge of treated Sewage.

The receiving stream(s), Little Cove Creek, is located in State Water Plan watershed 13-B and is classified for Cold Water Fishes and Migratory Fishes, aquatic life, water supply and recreation. The discharge is not expected to affect public water supplies.

The proposed effluent limits for Outfall 001 are based on a design flow of 0.01 MGD.

	Mass	(lb/day)		$Concentration \ (mg/l)$			
<b>.</b>	Annual	Daily	3.61	Average		Instant.	
Parameters	Average	Maximum	Minimum	Monthly		Maximum	
Flow (MGD)	Report Avg Mo	Report	XXX	XXX	XXX	XXX	
pH (S.U.)	XXX	XXX	6.0	XXX	XXX	9.0	
Dissolved Oxygen	XXX	XXX	5.0	XXX	XXX	XXX	
Total Residual Chlorine	XXX	XXX	XXX	0.5	XXX	1.6	
$CBOD_5$	XXX	XXX	XXX	25	XXX	50	
Total Suspended Solids Fecal Coliform (CFU/100 ml)	XXX	XXX	XXX	30	XXX	60	
May 1 - Sep 30	XXX	XXX	XXX	200 Geo Mean	XXX	1,000	
Oct 1 - Apr 30	XXX	XXX	XXX	2,000 Geo Mean	XXX	10,000	
Nitrate-Nitrite as N	Report	XXX	XXX	Report Annl Avg	XXX	XXX	
Total Nitrogen	Report	XXX	XXX	Report Annl Avg	XXX	XXX	
Total Nitrogen	XXX	Report Total Annual	XXX	XXX	XXX	XXX	
Ammonia-Nitrogen							
May 1 - Oct 31	XXX	XXX	XXX	10	XXX	20	
Total Kjeldahl Nitrogen	Report	XXX	XXX	Report Annl Avg	XXX	XXX	
Total Phosphorus	Report	XXX	XXX	Report Annl Avg	XXX	XXX	
Total Phosphorus	XXX	Report Total Annual	XXX	XXX	XXX	XXX	

You may make an appointment to review the DEP files on this case by calling the File Review Coordinator at 717-705-4732.

The EPA Waiver is in effect.

**PA0086665**, SIC Code 4952, 8661, **Green Spring Brethren in Christ Church**, 720 Green Spring Road, Newville, PA 17241. Facility Name: Green Spring Brethren in Christ Church. This existing facility is located in North Newton Township, **Cumberland County**.

Description of Existing Activity: The application is for a renewal of an NPDES permit for an existing discharge of treated sewage.

The receiving stream(s), Green Spring Creek, is located in State Water Plan watershed 7-B and is classified for Cold Water Fishes, aquatic life, water supply and recreation. The discharge is not expected to affect public water supplies.

The proposed effluent limits for Outfall 001 are based on a design flow of 0.001 MGD.

	Mass (lb/day)			Concentra		
	Average	Daily		Average	Daily	Instant.
Parameters	Monthly	Maximum	Minimum	Monthly	Maximum	Maximum
Flow (MGD)	Report	Report	XXX	XXX	XXX	XXX
pH (S.U.)	XXX	XXX	6.0	XXX	XXX	9.0
Dissolved Oxygen	XXX	XXX	5.0	XXX	XXX	XXX
Total Residual Chlorine						
(Interim)	XXX	XXX	XXX	Report	XXX	Report
(Final)	XXX	XXX	XXX	0.5	XXX	1.6
$CBOD_5$	XXX	XXX	XXX	25	XXX	50
Total Suspended Solids	XXX	XXX	XXX	30	XXX	60

	Mass (lb/day)			Concentra		
	Average	Daily		Average	Daily	Instant.
Parameters	Monthly	Maximum	Minimum	Monthly	Maximum	Maximum
Fecal Coliform (CFU/100 ml)						
May 1 - Sep 30	XXX	XXX	XXX	200	XXX	XXX
				Geo Mean		
Oct 1 - Apr 30	XXX	XXX	XXX	2,000	XXX	XXX
				Geo Mean		

You may make an appointment to review the DEP files on this case by calling the File Review Coordinator at 717-705-4732.

The EPA Waiver is in effect.

PA0085111, SIC Code 4941, Altoona Water Authority, 900 Chestnut Avenue, Altoona, PA 16601-4617. Facility Name: Altoona City Tipton Water System. This existing facility is located in Antis Township, Blair County.

Description of Existing Activity: The application is for a renewal of an NPDES permit for an existing discharge of treated un-chlorinated potable water.

The receiving stream(s), Tipton Run, is located in State Water Plan watershed 11-A and is classified for High Quality Waters—Cold Water Fishes, aquatic life, water supply and recreation. The discharge is not expected to affect public water supplies.

The proposed effluent limits for Outfall 001 are based on a design flow of 0.8 MGD.

	Mass (	Concentration (mg/l)				
D ,	Average	Daily	14: :	Annual	Daily	Instant.
Parameters	Monthly	Maximum	Minimum	Average	Maximum	Maximum
Flow (MGD)	Report	Report	XXX	XXX	XXX	XXX
pH (S.U.)	XXX	XXX	6.0	XXX	XXX	9.0
Total Nitrogen	XXX	XXX	XXX	Report	XXX	XXX
Total Phosphorus	XXX	XXX	XXX	Report	XXX	XXX
Total Aluminum	Report	Report	XXX	0.26	0.52	0.65
	_	_		Avg Mo		

You may make an appointment to review the DEP files on this case by calling the File Review Coordinator at 717-705-4732.

The EPA Waiver is in effect.

**PA0085120**, SIC Code 4941, **Altoona Water Authority**, 900 Chestnut Avenue, Altoona, PA 16601-4617. Facility Name: Altoona City Plane 9 Water System. This existing facility is located in Juniata Township, **Blair County**.

Description of Existing Activity: The application is for a renewal of an NPDES permit for an existing discharge of treated filter backwash.

The receiving stream(s), Blair Gap Run, is located in State Water Plan watershed 11-A and is classified for Cold Water Fishes, aquatic life, water supply and recreation. The discharge is not expected to affect public water supplies.

The proposed effluent limits for Outfall 001 are based on a design flow of 0.24 MGD.

	$Mass\ (lb/day)$			$Concentration \ (mg/l)$			
	Average	Daily		Average	Daily	Instant.	
Parameters	Monthly	Maximum	Minimum	Monthly	Maximum	Maximum	
Flow (MGD)	Report	Report	XXX	XXX	XXX	XXX	
pH (S.U.)	XXX	XXX	6.0	XXX	XXX	9.0	
Total Residual Chlorine	XXX	XXX	XXX	0.16	XXX	0.52	
Total Suspended Solids	Report	Report	XXX	30	60	75	
Total Nitrogen	XXX	XXX	XXX	Report Annl Avg	XXX	XXX	
Total Phosphorus	XXX	XXX	XXX	Report Annl Avg	XXX	XXX	
Total Aluminum	0.6	1.2	XXX	1.2	2.4	3.0	
Total Iron	1.0	2.0	XXX	2.0	4.0	5.0	
Total Manganese	Report	Report	XXX	1.0	2.0	2.5	

You may make an appointment to review the DEP files on this case by calling the File Review Coordinator at 717-705-4732.

The EPA Waiver is not in effect.

PA0084492, Sewage, SIC Code 4952, Strohecker Carl E & Strohecker Janet A & Strohecker Mary E, 615A Dunkel School Road, Halifax, PA 17032-8984. Facility Name: Strohecker MHP. This existing facility is located in Halifax Township, Dauphin County.

Description of Existing Activity: The application is for a renewal of an NPDES permit for an existing discharge of treated Sewage.

The receiving stream(s), unnamed tributary to Susquehanna River, is located in State Water Plan watershed 6-C and is classified for Warm Water Fishes, aquatic life, water supply and recreation. The discharge is not expected to affect public water supplies.

The proposed effluent limits for Outfall 001 are based on a design flow of 0.062 MGD.

	Mass (	(lb/day)		Concentration (mg/l)			
Parameters	Average Monthly	Daily Maximum	Minimum	Average Monthly		Instant. Maximum	
	_			v	ww		
Flow (MGD)	Report	Report	XXX	XXX	XXX	XXX	
pH (S.U.)	XXX	XXX	6.0	XXX	XXX	9.0	
Dissolved Oxygen	XXX	XXX	5.0	XXX	XXX	XXX	
Total Residual Chlorine	XXX	XXX	XXX	0.06	XXX	0.21	
$CBOD_5$	XXX	XXX	XXX	25	XXX	50	
Total Suspended Solids	XXX	XXX	XXX	30	XXX	60	
Fecal Coliform (CFU/100 ml)							
May 1 - Sep 30	XXX	XXX	XXX	200 Can Maan	XXX	1,000	
Oct 1 - Apr 30	XXX	XXX	XXX	Geo Mean 2,000	XXX	10,000	
				Geo Mean		,	
Total Nitrogen	XXX	XXX	XXX	Report	XXX	XXX	
				Annl Avg			
Ammonia-Nitrogen				· ·			
May 1 - Oct 31	XXX	XXX	XXX	2.5	XXX	5.0	
Nov 1 - Apr 30	XXX	XXX	XXX	7.5	XXX	15	
Total Phosphorus	XXX	XXX	XXX	Report	XXX	XXX	
-				Annl Avg			

You may make an appointment to review the DEP files on this case by calling the File Review Coordinator at 717-705-4732.

The EPA Waiver is in effect.

PA0087106, Sewage, SIC Code 8661, Freedom Valley Worship Center AOG Inc., 3185 York Road, Gettysburg, PA 17325-8259. Facility Name: Freedom Valley Worship Center STP. This existing facility is located in Straban Township, Adams County.

Description of Existing Activity: The application is for a renewal of an NPDES permit for an existing discharge of treated sewage.

The receiving stream(s), Unnamed Tributary to Swift Run, is located in State Water Plan watershed 7-F and is classified for Warm Water Fishes, aquatic life, water supply and recreation. The discharge is not expected to affect public water supplies.

The proposed effluent limits for Outfall 001 are based on a design flow of 0.002 MGD.

	Mass (	lb/day)		$Concentration \ (mg/l)$		
Damana at ana	Average	Daily	M::	Average Manutalia	Daily	Instant.
Parameters	Monthly	Maximum	Minimum	Monthly	Maximum	Maximum
Flow (MGD)	Report	XXX	XXX	XXX	XXX	XXX
pH (S.U.)	XXX	XXX	6.0	XXX	XXX	9.0
Dissolved Oxygen	XXX	XXX	5.0	XXX	XXX	XXX
$CBOD_5$						
(Interim)	XXX	XXX	XXX	25	XXX	50
(Final)	XXX	XXX	XXX	10	XXX	20
Total Suspended Solids						
(Interim)	XXX	XXX	XXX	30	XXX	60
(Final)	XXX	XXX	XXX	10	XXX	20
Fecal Coliform (CFU/100 ml)						
Mar 1 - Sep 30 (Interim)	XXX	XXX	XXX	200	XXX	XXX
0				Geo Mean		
Oct 1 - Apr 30 (Interim)	XXX	XXX	XXX	2,000	XXX	XXX
(E:1)	VVV	VVV	ww	Geo Mean	VVV	VVV
(Final)	XXX	XXX	XXX	200 Can Maan	XXX	XXX
A NT: +				Geo Mean		
Ammonia-Nitrogen	XXX	XXX	XXX	6.0	XXX	10
May 1 - Oct 31	XXX	XXX	XXX	18	XXX	$\begin{array}{c} 12 \\ 36 \end{array}$
Nov 1 - Apr 30	ΛΛΛ	ΛΛΛ	ΛΛΛ	10	ΛΛΛ	90

You may make an appointment to review the DEP files on this case by calling the File Review Coordinator at 717-705-4732.

The EPA Waiver is in effect.

Southwest Regional Office: Regional Manager, Clean Water, 400 Waterfront Drive, Pittsburgh, PA 15222-4745; 412-442-4000

**PA0023701**, Sewage, SIC Code 4952, **Municipal Authority of the Borough of Midland**, 10th Street & Railroad Avenue, Midland, PA 15059. Facility Name: Midland STP. This existing facility is located in Midland Borough, **Beaver County**.

Description of Existing Activity: The application is for a renewal of an NPDES permit for an existing discharge of treated Sewage.

The receiving stream(s), Ohio River, is located in State Water Plan watershed 20-B and is classified for Warm Water Fishes, aquatic life, water supply and recreation. The discharge is not expected to affect public water supplies.

The proposed effluent limits for Outfall 001 are based on a design flow of 1.25 MGD.

	Mass (lb/day)			Concentration (mg/l)			
	Average	Daily		Average	Weekly	Instant.	
Parameters	Monthly	Maximum	Minimum	Monthly	Average	Maximum	
Flow (MGD)	Report	Report	XXX	XXX	XXX	XXX	
pH (S.U.)	XXX	XXX	6.0	XXX	XXX	9.0	
Dissolved Oxygen	XXX	XXX	4.0	XXX	XXX	XXX	
Total Residual Chlorine	XXX	XXX	XXX	0.5	XXX	1.6	
$CBOD_5$	261	417	XXX	25	40	50	
o .		Wkly Avg					
$BOD_5$							
Raw Sewage Influent	Report	Report	XXX	Report	XXX	XXX	
Total Suspended Solids	-	-		-			
Raw Sewage Influent	Report	Report	XXX	Report	XXX	XXX	
Total Suspended Solids	$3\bar{1}3$	$4\overline{6}9$	XXX	$3\overline{0}$	45	60	
<del>-</del>		Wkly Avg					
Fecal Coliform (CFU/100 ml)							
Apr 1 - Oct 31	XXX	XXX	XXX	200	XXX	400	
				Geo Mean			
Nov 1 - Mar 31	XXX	XXX	XXX	2,000	XXX	10,000	
				Geo Mean			
Total Nitrogen	XXX	XXX	XXX	XXX	Report	XXX	
					Daily Max		
Ammonia-Nitrogen	Report	XXX	XXX	Report	XXX	XXX	
Total Phosphorus	XXX	XXX	XXX	XXX	Report	XXX	
					Daily Max		

You may make an appointment to review the DEP files on this case by calling the File Review Coordinator at 412-442-4000.

The EPA Waiver is not in effect.

## III. WQM Industrial Waste and Sewerage Applications under The Clean Streams Law

Southcentral Region: Clean Water Program Manager, 909 Elmerton Avenue, Harrisburg, PA 17110. Phone: 717-705-4707.

WQM Permit No. 2292402, Amendment, Sewerage, Frank T. Perano, GSP Management Company, PO Box 677, Morgantown, PA 19543.

This proposed facility is located in Halifax Township, **Dauphin County**.

Description of Proposed Action/Activity: Seeking permit approval for the construction/modifications to existing dechlorination and post aeration facility at Alex Acres Mobile Home Park.

**WQM Permit No. 0113401**, Sewerage, **Gettysburg Municipal Authority**, 601 E. Middle Street, PO Box 3307, Gettysburg, PA 17325.

This proposed facility is located in Gettysburg Borough, Adams County.

Description of Proposed Action/Activity: Seeking permit approval for upgrades to existing parallel interceptors to 36", 42", and 48" diameter piping.

#### IV. NPDES Applications for Stormwater Discharges from MS4

#### V. Applications for NPDES Waiver Stormwater Discharges from MS4

# VI. NPDES Individual Permit Applications for Discharges of Stormwater Associated with Construction Activities

Southeast Region: Water Management Program Manager, 2 East Main Street, Norristown, PA 19401

NPDES Applicant Name &

Receiving Permit No. Municipality Water / Use  $\overline{Address}$ County PAI015113012 Sevenyard, LP Philadelphia City of Philadelphia Delaware River

795 E. Lancaster Ave, Suite 200

Villanova, PA 19085

WWF

Special

Northeast Region: Watershed Management Program Manager, 2 Public Square, Wilkes-Barre, PA 18701-1915.

Monroe County Conservation District, 8050 Running Valley Road, Stroudsburg Pa 18360-0917, 570-629-3060

**NPDES** Applicant Name &

Receiving Permit No. AddressCounty *Municipality* Water / Use

PAI024513012 Arsha Vidya Pitham Monroe Hamilton and Ross Aquashicola Creek (HQ-CWF, MF) 651 Route 115 Townships

Saylorsburg, PA 18353

## VII. List of NOIs for NPDES and/or Other General Permit Types

PAG-12 **CAFOs** 

## STATE CONSERVATION COMMISSION

# PROPOSED NUTRIENT MANAGEMENT PLANS RELATED TO APPLICATIONS FOR NPDES PERMITS FOR CAFOs

This notice provides information about agricultural operations that have submitted nutrient management plans (NMPs) for approval under 3 Pa.C.S. Chapter 5 and that have or anticipate submitting applications for new, amended or renewed NPDES permits, or Notices of Intent (NOIs) for coverage under a general permit, for CAFOs, under 25 Pa. Code Chapter 92a. This notice is provided in accordance with 25 Pa. Code Chapter 92a and 40 CFR Part 122, implementing The Clean Streams Law and the Federal Clean Water Act.

Based upon preliminary reviews, the State Conservation Commission (SCC) or County Conservation Districts (CCD) working under a delegation agreement with the SCC have completed an administrative review of NMPs described. These NMPs are published as proposed plans for comment prior to taking final actions. The NMPs are available for review at the CCD office for the county where the agricultural operation is located. A list of CCD office locations is available at http://www.nacdnet.org/about/districts/directory/pa.phtml or can be obtained from the SCC at the office address listed or by calling (717) 787-8821.

Persons wishing to comment on an NMP are invited to submit a statement outlining their comments on the plan to the CCD, with a copy to the SCC for each NMP, within 30 days from the date of this public notice. Comments received within the respective comment periods will be considered in the final determinations regarding the NMPs. Comments should include the name, address and telephone number of the writer and a concise statement to inform the SCC of the exact basis of the comments and the relevant facts upon which they are based. Comments should be sent to the SCC, Agriculture Building, Room 310, 2301 North Cameron Street, Harrisburg, PA 17110.

Persons with a disability who require an auxiliary aid, service, including TDD users or other accommodations to seek additional information should contact the SCC through the Pennsylvania AT&T Relay Service at (800) 654-5984.

#### APPLICATIONS

# NUTRIENT MANAGEMENT PLAN—PUBLIC NOTICE SPREADSHEET

Agricultural Operation Name and Address	County	Total Acres	Animal Equivalent Units	Animal Type	Protection Waters (HQ or EV or NA)	Renewal/New
William & Karol Wingert 5497 Shade Lane Alexandria, PA 16611	Huntingdon	1,305 total acres	1,839.63 AEUs	Dairy	Pike Run, Henry's Run, Shavers	Renewal
,		1,147.5 acres for manure application	1.6 AEUs/ac		Creek: HQ-CWF	
Arlin Wadel 301 McCulloch Road Shippensburg, PA 17257	Cumberland	250.2	407	Layers	N/A	Renewal
Leslie Burkholder 52 Burkholder Lane Fredericksburg, PA 17026	Lebanon	2.6	267.35	Poultry— Layers	NA	New

Agricultural Operation Name and Address	County	Total Acres	Animal Equivalent Units	Animal Type	Special Protection Waters (HQ or EV or NA)	Renewal/New
Kline's Hillside Farm Jeff Kline 167 Coble Road Chambersburg, PA 17202	Franklin	.9	551.37	Swine / Turkey	NA	Renewal
Henry & Kathy 135 Herr Drive Peach Bottom, PA 17563	Lancaster	140.8	391.11	Broiler/ Diary	HQ	New
Hillandale 94 Farm 6108 Carlisle Pike East Berlin, PA 17316 (mailing address: 3910 Oxford Road Gettysburg, PA 17325)	Adams	34.4	532	Chicken layers	NA	Renewal
Ken Meck 1503 Beaver Valley Pike Willow Street, PA 17584	Lancaster	238	356.87	Pullet/ Steer	NA	Renewal
Clifford Zimmerman 2993 Mill Rd. Elizabethtown, PA 17022	Dauphin	119.2	335.98	Broilers	NA	Renewal
Country View Family Farm—Van Blarcom Sow Farm	Bradford	18	2,715.95	Swine	N/A	Renewal

# PUBLIC WATER SUPPLY (PWS) PERMITS

Under the Pennsylvania Safe Drinking Water Act (35 P. S. §§ 721.1—721.17), the following parties have applied for PWS permits to construct or substantially modify public water systems.

Persons wishing to comment on permit applications are invited to submit statements to the office listed before the application within 30 days of this public notice. Comments received within this 30-day comment period will be considered in the formulation of the final determinations regarding an application. A comment should include the name, address and telephone number of the writer and a concise statement to inform the Department of the exact basis of a comment and the relevant facts upon which it is based. A public hearing may be held after consideration of comments received during the 30-day public comment period.

Following the comment period, the Department will make a final determination regarding the proposed permit. Notice of this final determination will be published in the *Pennsylvania Bulletin* at which time this determination may be appealed to the Environmental Hearing Board.

The permit application and related documents are on file at the office listed before the application and available for public review. Arrangements for inspection and copying information should be made with the office listed before the application.

Persons with a disability that require an auxiliary aid, service or other accommodations to participate during the 30-day public comment period should contact the office listed before the application. TDD users may contact the Department through the Pennsylvania AT&T Relay Service at (800) 654-5984.

### SAFE DRINKING WATER

#### Applications Received Under the Pennsylvania Safe Drinking Water Act

Central Office: Bureau Director, Safe Drinking Water, P. O. Box 8467, Harrisburg, PA 17105-8467

# Permit No. [9996509], Public Water Supply.

Applicant	[Southern Beverage Packers, Inc.]
[Township or Borough]	[Appling, Georgia]
Responsible Official	[Brian Hatcher, Quality Control Manager]
Type of Facility	[Out of State Bottled Water System]
Application Received Date	[September 27, 2013]
Description of Action	[Applicant requesting Department approval to sell bottled water in Pennsylvania under the brand names: Springtime Artesian Water, IGA Artesian Water, Carolina Choice Premium Artesian Water, Glen Falls Artesian Water and Sprint Mart Premium Artesian Water.]

Northeast Region: Safe Drinking Water Program Manager, 2 Public Square, Wilkes-Barre, PA 18701-1915

#### **Application No. 5413505**, Public Water Supply.

Applicant	Far Away Auburn
[Township or Borough]	South Manheim Twp, <b>Schuylkill Co.</b>
Responsible Official	Stanley Frompovich

Southwest Region: Water Supply Management Program Type of Facility **Bulk Water Hauling Station** Manager, 400 Waterfront Drive, Pittsburgh, Pa 15222-Consulting Engineer Charles J. Yourshaw, PE Application Received 8/22/12 **Permit No. 0413507**, Public Water Supply. Date Municipal Authority of the Applicant Description of Action Modifications to Far Away Borough of Midland Auburn, which include the 946 Railroad Avenue addition of spring #2, Midland, PA 15059 replacement of storage silos, [Township or Borough] Midland Borough relocation of treatment equipment, adding tankers for Responsible Official Raymond Presutti, Authority hauling and changing the load Board Chairman out pump. Municipal Authority of the Borough of Midland Application No. 4513503, Public Water Supply. 946 Railroad Avenue Midland, PA 15059 **Brodhead Creek Regional** Applicant Authority Type of Facility Water system 410 Mill Čreek Road Consulting Engineer Widmer Engineering, Inc. East Stroudsburg, PA 18301 806 Lincoln Place [Township or Borough] Tobyhanna Township Beaver Falls, PA 15010 **Monroe County** September 16, 2013 Application Received Responsible Official Mr. Kenneth Brown Date Authority Manager Description of Action Construction of a new water Type of Facility Public Water Supply intake. Consulting Engineer Pennoni Associates Inc. MINOR AMENDMENT Mr. Robert M. Campbell, PE. 100 N. Wilkes-Barre Blvd. Applications Received Under the Pennsylvania Safe Wilkes-Barre, PA 18702 **Drinking Water Act** Application Received September 26, 2013 Southwest Region: Water Supply Management Program Manager, 400 Waterfront Drive, Pittsburgh, Pa 15222-Description of Action This project provides for the construction of a transmission Application No. 6313504MA, Minor Amendment. main, booster pump station and 1.0 MG above ground storage **Applicant** Bentleyville Municipal tank in order to provide water Authority service to the proposed Kalahari 508 Main Street Resort. Bentleyville, PA 15314 [Township or Borough] Bentleyville Borough Southcentral Region: Water Supply Management Pro-Responsible Official gram Manager, 909 Elmerton Avenue, Harrisburg, PA Steve Perchinsky, Vice Chairman Bentleyville Municipal Authority 508 Main Street Permit No. 3613501, Public Water Supply. Bentleyville, PA 15314 **Morgan Corporation** Type of Facility Applicant Water system Municipality Brecknock Township Consulting Engineer Chester Engineers 501 McKean Avenue County Lancaster 3rd Floor Responsible Official Douglas J Sanders, Charleroi, PA 15022 Environmental Health & Application Received September 23, 2013 Safety Manager Date PO Box 588 Morgantown, PA 19543 Description of Action Bulk load-out facility to provide bulk water to Myers Trucking Public Water Supply Type of Facility Company. Consulting Engineer Christina Crawford MBA, P.E. Application No. 6313505MA, Minor Amendment. Spotts, Stevens & McCoy, Inc. 1047 North Park Road Applicant **Bentleyville Municipal** Reading, PA 19610-0307 Authority 508 Main Street Application 9/9/2013 Bentleyville, PA 15314 Withdrawn: [Township or Borough] Bentleyville Borough Description of Action Denver Site—Water softening system to treatment combine Responsible Official Steve Perchinsky, Vice Chairman radium. Bentleyville Municipal Authority

508 Main Street Bentleyville, PA 15314

	***		
Type of Facility	Water system	Type of Facility	Water system
Consulting Engineer	Chester Engineers 501 McKean Avenue 3rd Floor	Consulting Engineer	The EADS Group, Inc. 450 Aberdeen Drive Somerset, PA 15501
Application Received	Charleroi, PA 15022 September 23, 2013	Application Received Date	September 9, 2013
Date Description of Action	Bulk load-out facility to provide	Description of Action	Demonstration of 4-log treatment for groundwater
A	bulk water to Rice Energy.		sources.
	13515GWR, Minor Amendment.	Application No. 02	213531MA, Minor Amendment.
Applicant	BRIKO, LLC 110 Fremont Avenue Portage, PA 15946	Applicant	Pittsburgh Water & Sewer Authority Penn Liberty Plaza I
[Township or Borough]	Adams Township		1200 Penn Avenue
Responsible Official	Mike Koenigsberg and	(m. 1. p. 11	Pittsburgh, PA 15222
	Andrew Bridge BRIKO, LLC	[Township or Borough]	City of Pittsburgh
	110 Fremont Avenue Portage, PA 15946	Responsible Official	Sherri Jeffers, Engineering Project Manager Pittsburgh Water & Sewer
Type of Facility	Pleasantview Mobile Home Park		Authority
Consulting Engineer	Nittany Engineering & Associates, LLC		Penn Liberty Plaza I 1200 Penn Avenue Pittsburgh, PA 15222
	2836 Earlystown Road Suite 1	Type of Facility	Water system
	Centre Hall, PA 16828	Consulting Engineer	
Application Received Date	August 29, 2013	Application Received Date	September 19, 2013
Description of Action	Demonstration of 4-log treatment for groundwater sources.	Description of Action	Installation of approximately 2,450 feet of 12-inch diameter waterline.
			***************************************
Application No. 1	113515MA, Minor Amendment.	A 11 /1 NT 01	W10W10B#A B#! A I
Application No. 1: Applicant	BRIKO, LLC	= =	513512MA, Minor Amendment.
Applicant	BRIKO, LLC 110 Fremont Avenue Portage, PA 15946	Application No. 68 Applicant	<b>Highridge Water Authority</b> 17 Maple Avenue
Applicant  [Township or Borough]	BRIKO, LLC 110 Fremont Avenue Portage, PA 15946 Adams Township	Applicant	<b>Highridge Water Authority</b> 17 Maple Avenue Blairsville, PA 15717
Applicant	BRIKO, LLC 110 Fremont Avenue Portage, PA 15946	= =	Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717 Derry Township George Sulkosky, Executive Director Highridge Water Authority 17 Maple Avenue
Applicant  [Township or Borough]	BRIKO, LLC 110 Fremont Avenue Portage, PA 15946 Adams Township Mike Koenigsberg and Andrew Bridge BRIKO, LLC 110 Fremont Avenue	Applicant  [Township or Borough] Responsible Official	Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717 Derry Township George Sulkosky, Executive Director Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717
Applicant  [Township or Borough] Responsible Official	BRIKO, LLC 110 Fremont Avenue Portage, PA 15946 Adams Township Mike Koenigsberg and Andrew Bridge BRIKO, LLC 110 Fremont Avenue Portage, PA 15946 Pleasantview Mobile Home Park Nittany Engineering &	Applicant  [Township or Borough] Responsible Official  Type of Facility	Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717 Derry Township George Sulkosky, Executive Director Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717 Water system
Applicant  [Township or Borough] Responsible Official  Type of Facility	BRIKO, LLC 110 Fremont Avenue Portage, PA 15946 Adams Township Mike Koenigsberg and Andrew Bridge BRIKO, LLC 110 Fremont Avenue Portage, PA 15946 Pleasantview Mobile Home Park Nittany Engineering & Associates, LLC 2836 Earlystown Road	Applicant  [Township or Borough] Responsible Official	Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717 Derry Township George Sulkosky, Executive Director Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717 Water system Gibson Thomas Engineering Co., Inc.
Applicant  [Township or Borough] Responsible Official  Type of Facility	BRIKO, LLC 110 Fremont Avenue Portage, PA 15946 Adams Township Mike Koenigsberg and Andrew Bridge BRIKO, LLC 110 Fremont Avenue Portage, PA 15946 Pleasantview Mobile Home Park Nittany Engineering & Associates, LLC	Applicant  [Township or Borough] Responsible Official  Type of Facility	Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717 Derry Township George Sulkosky, Executive Director Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717 Water system Gibson Thomas Engineering Co., Inc. 1004 Ligonier Street PO Box 853
Applicant  [Township or Borough] Responsible Official  Type of Facility Consulting Engineer  Application Received	BRIKO, LLC 110 Fremont Avenue Portage, PA 15946 Adams Township Mike Koenigsberg and Andrew Bridge BRIKO, LLC 110 Fremont Avenue Portage, PA 15946 Pleasantview Mobile Home Park Nittany Engineering & Associates, LLC 2836 Earlystown Road Suite 1	Applicant  [Township or Borough] Responsible Official  Type of Facility Consulting Engineer	Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717 Derry Township George Sulkosky, Executive Director Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717 Water system Gibson Thomas Engineering Co., Inc. 1004 Ligonier Street PO Box 853 Latrobe, PA 15650
Applicant  [Township or Borough] Responsible Official  Type of Facility Consulting Engineer  Application Received Date	BRIKO, LLC 110 Fremont Avenue Portage, PA 15946 Adams Township Mike Koenigsberg and Andrew Bridge BRIKO, LLC 110 Fremont Avenue Portage, PA 15946 Pleasantview Mobile Home Park Nittany Engineering & Associates, LLC 2836 Earlystown Road Suite 1 Centre Hall, PA 16828 August 29, 2013	Applicant  [Township or Borough] Responsible Official  Type of Facility	Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717 Derry Township George Sulkosky, Executive Director Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717 Water system Gibson Thomas Engineering Co., Inc. 1004 Ligonier Street PO Box 853
Applicant  [Township or Borough] Responsible Official  Type of Facility Consulting Engineer  Application Received	BRIKO, LLC 110 Fremont Avenue Portage, PA 15946 Adams Township Mike Koenigsberg and Andrew Bridge BRIKO, LLC 110 Fremont Avenue Portage, PA 15946 Pleasantview Mobile Home Park Nittany Engineering & Associates, LLC 2836 Earlystown Road Suite 1 Centre Hall, PA 16828	Applicant  [Township or Borough] Responsible Official  Type of Facility Consulting Engineer  Application Received	Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717 Derry Township George Sulkosky, Executive Director Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717 Water system Gibson Thomas Engineering Co., Inc. 1004 Ligonier Street PO Box 853 Latrobe, PA 15650 September 16, 2013 Installation of approximately
Applicant  [Township or Borough] Responsible Official  Type of Facility Consulting Engineer  Application Received Date Description of Action	BRIKO, LLC 110 Fremont Avenue Portage, PA 15946 Adams Township Mike Koenigsberg and Andrew Bridge BRIKO, LLC 110 Fremont Avenue Portage, PA 15946 Pleasantview Mobile Home Park Nittany Engineering & Associates, LLC 2836 Earlystown Road Suite 1 Centre Hall, PA 16828 August 29, 2013 Relocation of the well inlet piping to achieve 4-log	Applicant  [Township or Borough] Responsible Official  Type of Facility Consulting Engineer  Application Received Date	Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717 Derry Township George Sulkosky, Executive Director Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717 Water system Gibson Thomas Engineering Co., Inc. 1004 Ligonier Street PO Box 853 Latrobe, PA 15650 September 16, 2013  Installation of approximately 3,065 feet of 6-inch diameter, 160 feet of 4-inch diameter and 300
Applicant  [Township or Borough] Responsible Official  Type of Facility Consulting Engineer  Application Received Date Description of Action	BRIKO, LLC 110 Fremont Avenue Portage, PA 15946 Adams Township Mike Koenigsberg and Andrew Bridge BRIKO, LLC 110 Fremont Avenue Portage, PA 15946 Pleasantview Mobile Home Park Nittany Engineering & Associates, LLC 2836 Earlystown Road Suite 1 Centre Hall, PA 16828 August 29, 2013 Relocation of the well inlet piping to achieve 4-log disinfection. 13511GWR, Minor Amendment. Rolling Rock Farms	Applicant  [Township or Borough] Responsible Official  Type of Facility Consulting Engineer  Application Received Date	Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717 Derry Township George Sulkosky, Executive Director Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717 Water system Gibson Thomas Engineering Co., Inc. 1004 Ligonier Street PO Box 853 Latrobe, PA 15650 September 16, 2013 Installation of approximately 3,065 feet of 6-inch diameter, 160
Applicant  [Township or Borough] Responsible Official  Type of Facility Consulting Engineer  Application Received Date Description of Action  Application No. 65	BRIKO, LLC 110 Fremont Avenue Portage, PA 15946 Adams Township Mike Koenigsberg and Andrew Bridge BRIKO, LLC 110 Fremont Avenue Portage, PA 15946 Pleasantview Mobile Home Park Nittany Engineering & Associates, LLC 2836 Earlystown Road Suite 1 Centre Hall, PA 16828 August 29, 2013  Relocation of the well inlet piping to achieve 4-log disinfection.  13511GWR, Minor Amendment. Rolling Rock Farms PO Box 717	Applicant  [Township or Borough] Responsible Official  Type of Facility Consulting Engineer  Application Received Date Description of Action  Application No. 02	Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717 Derry Township George Sulkosky, Executive Director Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717 Water system Gibson Thomas Engineering Co., Inc. 1004 Ligonier Street PO Box 853 Latrobe, PA 15650 September 16, 2013  Installation of approximately 3,065 feet of 6-inch diameter, 160 feet of 4-inch diameter and 300 feet of 2-inch diameter waterline.
Applicant  [Township or Borough] Responsible Official  Type of Facility Consulting Engineer  Application Received Date Description of Action  Application No. 65 Applicant	BRIKO, LLC 110 Fremont Avenue Portage, PA 15946 Adams Township Mike Koenigsberg and Andrew Bridge BRIKO, LLC 110 Fremont Avenue Portage, PA 15946 Pleasantview Mobile Home Park Nittany Engineering & Associates, LLC 2836 Earlystown Road Suite 1 Centre Hall, PA 16828 August 29, 2013 Relocation of the well inlet piping to achieve 4-log disinfection. 13511GWR, Minor Amendment. Rolling Rock Farms PO Box 717 Ligonier, PA 15658	Applicant  [Township or Borough] Responsible Official  Type of Facility Consulting Engineer  Application Received Date Description of Action	Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717 Derry Township George Sulkosky, Executive Director Highridge Water Authority 17 Maple Avenue Blairsville, PA 15717 Water system Gibson Thomas Engineering Co., Inc. 1004 Ligonier Street PO Box 853 Latrobe, PA 15650 September 16, 2013  Installation of approximately 3,065 feet of 6-inch diameter, 160 feet of 4-inch diameter and 300 feet of 2-inch diameter waterline.  213533MA, Minor Amendment. Harrison Township Water
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Responsible Official Charles V. Craig, General

Manager

Harrison Township Water

Authority

1705 Rear Freeport Road Natrona Heights, PA 15065

Type of Facility Water system

Consulting Engineer NIRA Consulting Engineers, Inc.

950 Fifth Avenue Corapolis, PA 15108

Application Received

Date

ceived September 30, 2013

Description of Action

Replacement of a high service pump and SCADA modifications.

# LAND RECYCLING AND ENVIRONMENTAL REMEDIATION

UNDER ACT 2, 1995 PREAMBLE 1

Acknowledgment of Notices of Intent to Remediate Submitted under the Land Recycling and Environmental Remediation Standards Act (35 P.S. §§ 6026.101—6026.907)

Sections 302-305 of the Land Recycling and Environmental Remediation Standards Act (act) (35 P.S. §§ 6026.302—6026.305) require the Department to publish in the Pennsylvania Bulletin an acknowledgment noting receipt of Notices of Intent to Remediate. An acknowledgment of the receipt of a Notice of Intent to Remediate is used to identify a site where a person proposes to, or has been required to, respond to a release of a regulated substance at a site. A person intending to use the background standard, Statewide health standard, the site-specific standard or intend to remediate a site as a special industrial area shall file a Notice of Intent to Remediate with the Department. A Notice of Intent to Remediate filed with the Department provides a brief description of the location of the site, a list of known or suspected contaminants at the site, the proposed remediation measures for the site and a description of the intended future use of the site. A person who demonstrates attainment of one or a combination of cleanup standards or receives approval of a special industrial area remediation identified under the act will be relieved of further liability for the remediation of the site for contamination identified in reports submitted to and approved by the Department. Furthermore, the person shall not be subject to citizen suits or other contribution actions brought by responsible persons not participating in the remediation.

Under sections 304(n)(1)(ii) and 305(c)(2) of the act, there is a 30-day public and municipal comment period for sites proposed for remediation using a site-specific standard, in whole or in part, and for sites remediated as a special industrial area. This period begins when a summary of the Notice of Intent to Remediate is published in a newspaper of general circulation in the area of the site. For the following site, proposed for remediation to a site-specific standard or as a special industrial area, the municipality, within which the site is located, may request to be involved in the development of the remediation and reuse plans for the site if the request is made within 30 days of the date specified as follows. During this comment period, the municipality may request that the person identified as the remediator of the site develop

and implement a public involvement plan. Requests to be involved and comments should be directed to the remediator of the site.

For further information concerning the content of a Notice of Intent to Remediate, contact the environmental cleanup program manager in the Department regional office listed before the notice. If information concerning this acknowledgment is required in an alternative form, contact the community relations coordinator at the appropriate regional office. TDD users may telephone the Department through the AT&T Relay Service at (800) 654-5984.

The Department has received the following Notices of Intent to Remediate:

Northeast Region: Eric Supey, Environmental Cleanup and Brownfields Program Manager, 2 Public Square, Wilkes-Barre, PA 18701-1915.

Marston Property, 104 Bone Ridge Road, Paupack Township, Wayne County, Thomas Hippensteal, Envirosearch Consultants, Inc., has submitted a Notice of Intent to Remediate on behalf of his client, Mr. Charles Marston, 301 Green Road, Berwyn, PA 19312, concerning the remediation of soil found to have been impacted by #2 fuel oil, released from an aboveground storage tank due to vandalism. The applicant proposes to remediate the site to meet the Residential Statewide Health Standards for soil. The intended future use of the site is residential.

A summary of the Notice of Intent to Remediate was published in *The Wayne Independent* on September 7, 2013

# DETERMINATION OF APPLICABILITY FOR RESIDUAL WASTE GENERAL PERMITS

Determination of Applicability for General Permit Received Under the Solid Waste Management Act (35 P. S. §§ 6018.101—6018.1003); the Municipal Waste Planning, Recycling and Waste Reduction Act (53 P. S. §§ 4000.101—4000.1904); and Residual Waste Regulations for a General Permit to Operate Residual Waste Processing Facilities and/or the Beneficial Use of Residual Waste Other Than Coal Ash.

Central Office: Division of Municipal and Residual Waste, Rachel Carson State Office Building, 14th Floor, 400 Market Street, Harrisburg, PA 17106-9170.

General Permit No. WMGR081D042. Vintage Tech, LLC, 4601 Bath Street, Philadelphia, PA 19137. The general permit WMGR081 is for the processing of electronic materials which is limited to sorting, disassembling and mechanical processing of uncontaminated source separated electronics equipment. This DOA application was determined to be administratively complete on September 25, 2013.

Persons interested in reviewing the general permit may contact Scott E. Walters, Chief, General Permits/Beneficial Use Section, Division of Municipal and Residual Waste, Bureau of Waste Management, P.O. Box 69170, Harrisburg, PA 17106-9170, 717-787-7381. TDD users may contact the Department through the Pennsylvania Relay Service, (800) 654-5984.

# AIR QUALITY

# PLAN APPROVAL AND OPERATING PERMIT APPLICATIONS NEW SOURCES AND MODIFICATIONS

The Department has developed an "integrated" plan approval, State Operating Permit and Title V Operating Permit program. This integrated approach is designed to make the permitting process more efficient for the Department, the regulated community and the public. This approach allows the owner or operator of a facility to complete and submit permitting documents relevant to its application one time, affords an opportunity for public input and provides for sequential issuance of the necessary permits.

The Department received applications for Plan Approvals or Operating Permits from the following facilities.

Copies of these applications, subsequently prepared draft permits, review summaries and other support materials are available for review in the regional office listed before the applications. Persons interested in reviewing the application files should contact the appropriate regional office to schedule appointments.

Persons wishing to receive a copy of a proposed Plan Approval or Operating Permit shall indicate interests to the Department regional office within 30 days of the date of this notice and shall file protests or comments on a proposed Plan Approval or Operating Permit within 30 days of the Department providing a copy of the proposed documents to persons or within 30 days of its publication in the Pennsylvania Bulletin, whichever comes first. Interested persons may also request that hearings be held concerning a proposed Plan Approval or Operating Permit. A comment or protest filed with the Department regional office shall include a concise statement of the objections to the issuance of the Plan Approval or Operating Permit and relevant facts which serve as the basis for the objections. If the Department schedules a hearing, a notice will be published in the *Pennsylvania Bulletin* at least 30 days prior the date of the hearing.

Persons with a disability who wish to comment and require an auxiliary aid, service or other accommodation to participate should contact the regional office listed before the application. TDD users may contact the Department through the Pennsylvania AT&T Relay Service at (800) 654-5984.

Final Plan Approvals and Operating Permits will contain terms and conditions to ensure that the source is constructed and operating in compliance with applicable requirements in 25 Pa. Code Chapters 121—143, the Federal Clean Air Act (42 U.S.C.A. §§ 7401—7671q) and regulations adopted under the Federal Clean Air Act.

#### PLAN APPROVALS

Plan Approval Applications Received under the Air Pollution Control Act (35 P. S. §§ 4001—4015) and 25 Pa. Code Chapter 127, Subchapter B that may have special public interest. These applications are in review and no decision on disposition has been reached.

Northwest Region: Air Quality Program, 230 Chestnut Street, Meadville, PA 16335-3481

Contact: Edward Orris, New Source Review Chief— Telephone: 814-332-6636

10-028J: Armstrong Cement & Supply Corp. (100 Clearfield Road, Cabot, PA 16023) for installation of a

baghouse and carbon injection system to control emissions from Kiln 1 & 2 in Winfield Township, **Butler County**. This is a Title V facility.

33-002E: Owens-Brockway Glass Container, Inc.—Crenshaw Plant No. 19 (3831 Route 219 North, Brockport, PA 15823) for modification of Furnace C, due to the age of the furnace and its general condition, to reduce NO<sub>x</sub> emissions in Snyder Township, Jefferson County. This is a Title V facility.

**43-152C: JMC Steel Group—Wheatland Tube Mill Street Facility** (134 Mill Street, Sharon, PA 16146) for reactivation of a coating line at their facility in the City of Sharon, **Mercer County**.

Intent to Issue Plan Approvals and Intent to Issue or Amend Operating Permits under the Air Pollution Control Act and 25 Pa. Code Chapter 127, Subchapter B. These actions may include the administrative amendments of an associated operating permit.

Southeast Region: Air Quality Program, 2 East Main Street, Norristown, PA 19401

Contact: James Beach, New Source Review Chief— Telephone: 484-250-5920

**46-0020J:** Superior Tube Company, Inc. (3900 Germantown Pike, Collegeville, PA 19426) to revise the hydrofluoric acid emissions limit from 0.54 lb/hr to 1.13 lb/hr of existing pickling operation controlled by a mist eliminator located in Lower Providence Township, **Montgomery County**. There will be no change in other air pollutant emissions due to this modification. This facility is a Title V facility. The Plan approval will contain record keeping and operating restriction designed to keep the facility operating within the allowable emissions and all applicable air quality requirements.

46-0142C: Custom Processing Services, LLC (461 State Street, East Greenville, PA 18041) for installation of a milling machine with a baghouse as control device for the PM emissions in **Montgomery County**. The company provides customized grinding, milling, blending, and drying services of various mineral products. The pollutant of concern is particulate matter. The Plan Approval and Operating Permit will include monitoring, record keeping and reporting requirements designed to address all applicable air quality requirements.

Northeast Region: Air Quality Program, 2 Public Square, Wilkes-Barre, PA 18711-0790

Contact: Norman Frederick, Facilities Permitting Chief—Telephone: 570-826-2409

- **40-00005A: UGI Development Co.** (390 Route 11, Hunlock Creek, PA 18621) for modification to the operating parameters of the following facility in Hunlock Township, **Luzerne County**:
- $\bullet$  Two GE LM6000 PC-Sprint CTGs (designated as Units 5 and 6).
- Two (2) supplementary natural gas-fired HRSGs with separate exhaust stacks, one for each Combustion Turbine ("CT")

The emissions are controlled by carbon monoxide ("CO") oxidation catalyst and selective catalytic reduction ("SCR") systems.

The source main emissions for the facility are NO<sub>x</sub>, CO, and VOC. The facility limits for these pollutants will not

change due to the modifications being made to the operational parameters of the turbines or the HRSGs duct burners.

The Plan Approval and Operating Permit will contain additional recordkeeping and operating restrictions designed to keep the facility operating within all applicable air quality requirements. Also, the company shall be required to monitor and record emissions on a continuous basis by use of a Continuous monitoring System Additionally, PSD and NSR applicability is not triggered for these modifications as the emission increases are minimal.

This facility is a Title V facility. The plan approval will include all appropriate testing, monitoring, recordkeeping and reporting requirements designed to keep the operations within all applicable air quality requirements.

**48-00004A:** Essroc Italcementi Group (Route 248 and Easton Road, Nazareth, PA 18046) for modification of their Kiln No. 1 air pollution control devices to comply with 40 CFR Part 63 Subpart LLL at their facility in Lower Nazareth Township, **Northampton County**.

In accordance with 25 Pa. Code §§ 127.44(a) and 127.45(a), the Department of Environmental Protection (DEP) has received and intends to issue a Plan Approval to Essroc Italcementi Group (Route 248 and Easton Road, Nazareth, PA 18046) for their facility located in Lower Nazareth Township, Northampton County. This Plan Approval No. 48-00004A will be incorporated into a Title V Permit through an administrative amendment at a later date.

Plan Approval No. 48-00004A is for the installation of an activated carbon injection system as a supplemental mercury control, improvements to the existing dust collector (Source ID 203), installation of a dry scrubber to control emissions of  $SO_2$ , and Kiln No. 1 stack partial reconstruction. The current emission limits for this source will not change as a result of this modification. The Plan Approval will contain additional recordkeeping, testing and operating restrictions designed to keep the facility operating within all applicable air quality requirements. The facility currently has a Title V Operating Permit No. 48-00004. This plan approval will, in accordance with 25 Pa. Code § 127.450, be incorporated into the Title V Operating Permit through an administrative amendment at a later date.

Copies of the application, DEP's analysis and other documents used in the evaluation of the application are available for public review during normal business hours at Air Quality Program, 2 Public Square, Wilkes-Barre, PA 18711.

Any person(s) wishing to provide DEP with additional information, which they believe should be considered prior to the issuance of this permit, may submit the information to the address shown in the preceding paragraph. Each written comment must contain the name, address and telephone number of the person submitting the comments, identification of the proposed permit No. 48-00004A and a concise statement regarding the relevancy of the information or objections to the issuance of the permit.

A public hearing may be held, if the Department of Environmental Protection, in its discretion, decides that such a hearing is warranted based on the comments received. All persons submitting comments or requesting a hearing will be notified of the decision to hold a hearing by publication in the newspaper or the *Pennsylvania Bulletin* or by telephone, where DEP determines such notification is sufficient. Written comments or requests

for a public hearing should be directed to Ray Kempa, Chief, New Source Review Section, Air Quality Program, 2 Public Square, Wilkes-Barre, PA 18711, Phone 570-826-2511 within 30 days after publication date.

Southcentral Region: Air Quality Program, 909 Elmerton Avenue, Harrisburg, PA 17110

Contact: Thomas J. Hanlon, Facility Permitting Chief— Telephone: 717-705-4862 or William Weaver, Program Manager—Telephone: 717-705-4702.

**06-05077C:** Can Corp. of America (326 June Avenue, Blandon, PA 19510) for replacing the No. 4 Sheet Coater oven with a new oven and installation of a new oxidizer to control the exhaust from the No. 4 Sheet Coater at the Can Corporation of America in Maidencreek Township, **Berks County**.

In accordance with 25 Pa. Code §§ 127.44(a) and 127.45(a), the Department of Environmental Protection (DEP) has received an application and intends to issue a Plan Approval to the abovementioned company for the abovementioned project. This plan approval may be incorporated into the company's facility-wide permit via an administrative amendment at a later date.

Plan Approval #06-05077C is for replacing the #4 Sheet Coater (Source 106) oven with a new oven, installation of a new oxidizer to control the exhaust of Source 106 and installation of a stack for the new oxidizer. The company shall be subject to and comply with 25 Pa. Code § 123.21 Sulfur Compound Emissions. The Plan Approval and Operating permit will contain additional recordkeeping and operating restrictions designed to keep the facility operating within all applicable air quality requirements. The expected actual air emissions from the proposed project are 3.1 tpy of  $\mathrm{NO}_{\mathrm{x}}, 2.6$  tpy of CO, 0.1 tpy of PM and 6.6 tpy of VOCs.

Copies of the application, DEP's analysis and other documents used in the evaluation of the application are available for public review during normal business hours at Air Quality Program, 909 Elmerton Avenue, Harrisburg, PA 17110.

A person may oppose the proposed plan approval, or may provide the Department with additional information to consider in its review, by filing a written protest with the Department at the address listed above. Each written comment must contain the name, address and telephone number of the person submitting the comments, identification of the proposed permit by the permit number listed above and a concise statement regarding the relevancy of the information or objections to the issuance of the permit.

A 30-day comment period, from the date of publication of this notice in the *Pennsylvania Bulletin*, will exist for the submission of comments or protests.

Thomas Hanlon, Facilities Permitting Chief, may be contacted at 717-705-4862, or at PA DEP Air Quality Program, 909 Elmerton Avenue, Harrisburg, PA 17110, for additional information or for the submission of comments or protests.

Plan approvals issues to sources identified in 25 Pa. Code § 127.44(b)(1)—(4) or plan approvals issued to sources with limitations on the potential to emit may become part of the SIP, and will be submitted to EPA for review and approval.

Northcentral Region: Air Quality Program, 208 West Third Street, Williamsport, PA 17701

Contact: Muhammad Q. Zaman, Environmental Program Manager—Telephone: 570-327-3648

41-00019A: VT Hackney, Inc. (914 Saegers Station Road, Montgomery, PA 17752-8501) for construction and operation of a spray booth equipped with an 11 million Btu per hour, natural gas-fired drying area (Source P321) at their Montgomery facility in Clinton Township, Lycoming County. The air contaminant emissions from Source P211 will be controlled by a set of panel filters (Control Device C321). This is a State Only (Synthetic Minor) facility for which an operating permit has been issued.

The Department's review of the information contained in the application submitted by VT Hackney, Inc., indicates that the source will comply with all applicable air quality requirements pertaining to air contamination sources and the emission of air contaminants, including the fugitive air contaminant emission requirement of 25 Pa. Code § 123.1, the particulate matter emission limitation of 25 Pa. Code § 123.13, the sulfur oxide emission limitation of 25 Pa. Code § 123.21 and the visible emission limitation of 25 Pa. Code § 123.41. Additionally, the Department has determined that the proposed facility satisfies best available technology (BAT) requirements pursuant to 25 Pa. Code §§ 127.1 and 127.12 as well as the requirements of 25 Pa. Code § 129.52—Surface Coating Process. Pursuant to the requirements of BAT, the volatile organic compounds (VOC) emissions from Source P321 shall not exceed 6.92 tons in any 12 consecutive month period. The plan approval, if issued, will subsequently be incorporated into State Only (Synthetic Minor) operating permit SMOP 41-00019 via an administrative amendment in accordance with 25 Pa. Code § 127.450 at a later date.

Based on the findings above, the Department proposes to issue a plan approval with annual emission restrictions for the spray booth as well as monitoring, recordkeeping and work practice requirements to verify compliance with the annual emission restrictions.

In addition to the emission limitations above, the following is a summary of the types of conditions the Department intends to place in Plan Approval 41-00019A to ensure compliance with applicable Federal and State regulatory requirements including the best available technology requirements of 25 Pa. Code §§ 127.1 and 127.12.

Recordkeeping requirement of the comprehensive daily records of all volatile organic compound-containing, volatile hazardous air pollutant-containing and acetone-containing materials used in, or associated with the use of Source P321

Work practice requirements to operate in accordance with the manufacturer's recommendations and good air pollution control practices to limit the emission of VOCs and particulate matter.

The potential air contaminate emissions from the spray booth will be 2.45 tons per year (tpy) for  $NO_x$ , 3.29 tpy for CO, 6.92 tpy for VOC, 0.02 tpy for sulfur oxide, 0.26 tpy for particulate matter (PM) including PM- $_{10}$  and PM- $_{2.5}$  and 3,160 tpy for carbon dioxide equivalent (CO $_2$ e).

A person may oppose the proposed plan approval, or may provide the Department with additional information to consider in its review, or may request a public hearing, by filing a written protest with the Department at the address listed above. Each written comment must contain the name, address and telephone number of the person submitting the comments, identification of the proposed permit by the permit number listed above and a concise statement regarding the relevancy of the information or objections to the issuance of the permit.

A 30-day comment period from the date of publication of this notice in the *Pennsylvania Bulletin* will exist for the submission of comments or protests.

David M. Shimmel, P.E., Chief, New Source Review Section, may be contacted at 570-327-3568, or at PA DEP Air Quality Program, 208 West Third Street, Suite 101, Williamsport, Pa 17701, for additional information or for the submission of comments or protests.

Southwest Region: Air Quality Program, 400 Waterfront Drive, Pittsburgh, PA 15222-4745

Contact: M. Gorog & B. Hatch, Environmental Engineer Managers—Telephone: 412-442-4163/5226

**26-00500B:** Alpha PA Coal Terminal, LLC (158 Portal Road, P. O. Box 1020, Waynesburg, PA 15370) for a proposed coal handling facility at the LaBelle Dock in Luzerne Township, **Fayette County**. This is a minor facility Plan Approval application submittal.

11-00524C: JWF Industries, Inc. (163 Cramer Pike, Johnstown, PA 15906) for Fabricated Plate Work (Boiler Shops) and Metal Tank (Heavy Gauge) Manufacturing at their facility in West Taylor Township, Cambria County. This is a minor facility Plan Approval application submittal.

**04-00738A:** Aliquippa Metal Recovery, LLC (100 Bet Tech Drive, Aliquippa, PA 15001) Notice is hereby given in accordance with 25 Pa. Code §§ 127.44—127.46 that the Department of Environmental Protection (DEP) intends to issue Air Quality Plan Approval: PA-04-00738A to allow the construction and temporary operation of a slag processing and metals recovery facility primarily consisting of two crushing/screening lines and one jig plant located in Hopewell Township, **Beaver County**.

Potential to emit from this proposed facility is calculated to be 17.70 tons of particulate matter (PM), 6.50 tons of particulate matter less than 10 or 2.5 microns in diameter (PM<sub>10</sub>/PM<sub>2.5</sub>), 0.48 ton of manganese (Mn), and 0.50 ton of total metallic hazardous air pollutants (HAP). Air emissions from this proposed slag processing and metal recovery facility have been aggregated with the nearby Beaver Valley Slag, Inc. and Beaver Valley Asphalt Corporation facilities' emissions for regulatory applicability purposes. Potential to emit from all three facilities combined is calculated to be 71.62 tons of nitrogen oxides (NOx), 63.47 tons of carbon monoxide (CO), 68.71 tons of PM, 27.3 tons of  $PM_{10}/PM_{2.5}$ , 16.52 tons of sulfur dioxide (SO<sub>2</sub>), less than 13.97 tons of volatile organic compounds (VOC), 1.53 tons of Mn, and less than 15.56 tons of total HAP. Facility throughput will be limited to not exceed 2,400,000 tons of slag processed through the crushing/screening lines and 672,000 tons of slag processed through the jig plant per year. Best available technology (BAT) for the proposed air contamination sources is the installation and use as necessary of water sprays on processing equipment, pressurized water truck and road sweeper for stockpile and roadway control, tarping of loaded trucks, and proper maintenance and operation. The authorization is subject to State regulations including 25 Pa. Code §§ 123.1, 123.2 and 123.31. Plan Approval has been conditioned to ensure compliance with all applicable rules. This includes throughput limitations, monitoring, recordkeeping, reporting, and work practice conditions. Once compliance with the Plan Approval is demonstrated, the applicant will subsequently apply for a State Only Operating Permit in accordance with Pa. Code Title 25 Subchapter F.

A person may oppose the proposed plan approval by filing a written protest with the Department through Alan Binder, Pennsylvania Department of Environmental Protection, 400 Waterfront Drive, Pittsburgh, PA, 15222. Additional written comments may also be submitted. Each protest or set of written comments must contain the name, address and telephone number of the person submitting the comments, identification of the proposed Plan Approval (PA-04-00738A) and concise statements regarding the relevancy of the information or objections to issuance of the Plan Approval.

All comments must be received prior to the close of business 30 days after the date of this publication.

A public hearing may be held, if the Department, in its discretion, decides that such a hearing is warranted based on the information received. All persons submitting comments or requesting a hearing will be notified of the decision to hold a hearing in writing or by publication in the newspaper and the *Pennsylvania Bulletin*, or by telephone, where the Department determines such notification by telephone is sufficient.

For additional information you may contact Alan Binder at 412-442-4168.

Northwest Region: Air Quality Program, 230 Chestnut Street, Meadville, PA 16335-3481

Contact: Edward Orris, New Source Review Chief— Telephone: 814-332-6636

**62-017U:** United Refining Co. (15 Bradley Street, Warren, PA 16365) for the modification of plan approval 62-017R for the inclusion of particulate matter emission limits that include both condensable and filterable emissions in Warren City, Warren County. This is a Title V facility. The public notice is required for sources required to obtain a Plan Approval in accordance with 25 Pa. Code § 127.44. This plan approval will, in accordance with 25 Pa. Code § 127.450 or § 127.505, be incorporated into the State Only operating permit at a later date.

Plan approval No 62-017U is for the modification of plan approval 62-017R for the inclusion of particulate matter emission limits that include both condensable and filterable emissions. This project will result in projected actual emissions of 111.8 tpy for PM/PM<sub>10</sub>/PM<sub>2.5</sub>. This Plan Approval will contain emission restriction, testing, recordkeeping, work practice standard and additional requirement conditions, which will satisfy the requirements of 25 Pa. Code § 127.12b (pertaining to plan approval terms and conditions) and will demonstrate Best Available Technology (BAT) for the source including, but are not limited to, the following:

- The emissions shall not exceed the following:
- $\bullet$  The total PM/PM $_{10}$ /PM $_{2.5}$  emissions (filterable & condensable) shall not exceed 25.52 #/hr and 111.8 tpy based on a consecutive 12-month period.
- $\bullet$  The filterable PM/PM $_{10}$ /PM $_{2.5}$  emissions shall not exceed 9.37 #/hr (9 #/kbbls) and the condensable PM/PM $_{10}$ /PM $_{2.5}$  emissions shall not exceed 16.15 #/hr (15.5 #/kbbls).
- $\bullet$  Within twelve (12) to eighteen (18) months prior to the expiration of the facility operating permit, a stack test for total PM (both filterable and condensable), PM<sub>10</sub> (both

filterable and condensable), and  $PM_{2.5}$  (both filterable and condensable) shall be performed in accordance with the provisions of Chapter 139.

- All conditions from plan approval 62-017R and operating permit 62-00017 remain in effect unless modified in this plan approval.
- $\bullet$  The permittee shall update the AIMs Inventory for PM/PM $_{10}$ /PM $_{2.5}$  to include both filterable and condensable emissions. The update should include year 2007 to the present. If applicable, the permittee shall revise their AIMs Emission Fee submittals for those same years.

In accordance with 25 Pa. Code § 127.44(f)(1), all the pertinent documents regarding this application (applications, review memos, and draft approvals) are also available for review from 8:00 a.m. to 4:00 p.m. at the Meadville Regional DEP office (Air Quality). Appointments for scheduling a review must be made by calling the DEP at (814) 332-6340.

In accordance with 25 Pa. Code § 127.44(f)(2), a 30-day comment period, from the date of publication, will exist for the submission of comments. Any person(s) wishing to provide DEP with additional information, which they believe should be considered prior to the issuance of this permit, may submit the information to Regional Air Quality Program Manager, Pennsylvania Department of Environmental Protection, 230 Chestnut Street, Meadville, PA 16335-3494 and must contain the name, address and telephone number of the person submitting the comments and a concise statement regarding the relevancy of the information or objections to the issuance of the permit.

A public hearing may be held, if the Department of Environmental Protection, in its discretion, decides that such a hearing is warranted based on the comments received. All persons submitting comments or requesting a hearing will be notified of the decision to hold a hearing by publication in the newspaper or the *Pennsylvania Bulletin* or by telephone, where DEP determines such notification is sufficient. Written comments or requests for a public hearing should be directed to Regional Air Quality Program Manager, 230 Chestnut St., Meadville, PA 16335; Phone (814) 332-6940.

In accordance with 25 Pa. Code § 127.45, a person may oppose the proposed plan approval by filing a written protest with the Department's Northwest Region Air Quality Program Manager.

If a plan approval has not undergone the above public notice process, the change to an operating permit must be treated as a significant modification. In these situations the Department should follow the procedures described in §§ 127.421 to 127.431 for state only operating permits or §§ 127.521 to 127.524 for Title V operating permits.

Department of Public Health, Air Management Services: 321 University Avenue, Philadelphia, PA 19104

Contact: Edward Wiener, Chief—Telephone: 215-685-9426

AMS 13154: S.D. Richman & Sons, Inc (2435 Wheatsheaf Lane. Philadelphia, PA 19137) for installation of one 15-40 tons/hr car Shredder, six Conveyor, one Under-Mill Oscillator and one Vibratory Feeder. There will be a potential emission increase of 11.34 tons of Particulate matter (PM) per year for the facility. The plan approval will contain operating, monitoring and recordkeeping requirements to ensure operation within all applicable requirements.

#### **OPERATING PERMITS**

Intent to Issue Title V Operating Permits under the Air Pollution Control Act and 25 Pa. Code Chapter 127, Subchapter G.

Southeast Region: Air Quality Program, 2 East Main Street, Norristown, PA 19401

Contact: Janine Tulloch-Reid, Facilities Permitting Chief—Telephone: 484-250-5920

23-00001: Sunoco, Inc (R & M), Marcus Hook Refinery (100 Green Street, Marcus Hook, PA 19061) for the operation of a Petrochemical processing plant in Marcus Hook Borough, Delaware County. As a result of potential emissions of VOCs and HAPs, the facility is a major stationary source as defined in Title I, Part D of the Clean Air Act Amendments, and is therefore subject to the Title V permitting requirements adopted in 25 Pa. Code Chapter 127, Subchapter G. The renewal contains all applicable requirements including monitoring, recordkeeping and reporting for the remaining sources at the facility.

Southcentral Region: Air Quality Program, 909 Elmerton Avenue, Harrisburg, PA 17110

Contact: Thomas J. Hanlon, Facility Permitting Chief— Telephone: 717-705-4862 or William Weaver, Program Manager—Telephone: 717-705-4702.

**36-05018:** Aquatic Co. (40 Industrial Road, Elizabethtown, PA 17022) for their fiberglass bathtub and shower manufacturing facility in West Donegal Township, Lancaster County.

In accordance with 25 Pa. Code §§ 127.424 and 127.425 the Department of Environmental Protection (DEP) has received an application and intends to issue an Air Quality Operating Permit for the abovementioned facility.

The subject facility had actual emissions in 2012 of 0.3 tpy of VOCs and 0.3 tpy of HAPs. The Operating Permit will include emission limits and work practice standards along with monitoring, recordkeeping and reporting requirements to ensure the facility complies with the applicable air quality regulations. Among other items, the conditions include provisions derived from 40 CFR Part 63, Subpart WWWW—National Emission Standards for Hazardous Air Pollutants: Reinforced Plastic Composites Production, 40 CFR Part 63, Subpart SS—National Emission Standards for Closed Vent Systems, Control Devices, Recovery Devices and Routing to a Fuel Gas System or a Process and 40 CFR Part 63 Subpart ZZZZ—National Emissions Standards for Hazardous Air Pollutants for Stationary Reciprocating Internal Combustion Engines.

Copies of the application, DEP's analysis and other documents used in the evaluation of the application are available for public review during normal business hours at the PA DEP Southcentral Regional Office, at 909 Elmerton Avenue, Harrisburg, PA 17110.

A person may oppose the proposed plan approval, or may provide the Department with additional information to consider in its review, or may request a public hearing, by filing a written protest with the Department at the address listed above. Each written comment must contain the name, address and telephone number of the person submitting the comments, identification of the proposed permit by the permit number listed above and a concise statement regarding the relevancy of the information or objections to the issuance of the permit.

A 30-day comment period, from the date of publication of this notice in the *Pennsylvania Bulletin*, will exist for the submission of comments or protests.

Thomas Hanlon, Facilities Permitting Chief, may be contacted at 717-705-4862, or at PA DEP Air Quality Program, 909 Elmerton Avenue, Harrisburg, PA 17110, for additional information or for the submission of comments or protests.

**06-05034: Texas Eastern Transmission, LP** (P. O. Box 1642, Houston, TX 77251-1642) for operation of the Bechtelsville natural gas compressor station in Washington Township, **Berks County**. This is a renewal of the Title V Operating Permit issued in 2008.

In accordance with 25 Pa. Code §§ 127.424 and 127.425 the Department of Environmental Protection (DEP) has received an application and intends to issue an Air Quality Operating Permit for the abovementioned facility.

The subject facility has actual emissions in 2012 of 53.25 tpy of CO, 158.04 tpy  $\mathrm{NO_x}$ , 3.71 tpy  $\mathrm{PM_{2.5}}$ , 1.91 tpy  $\mathrm{SO_x}$ , 11.31 tpy VOC, 2.74 tpy combined HAPs, and 2.67 tpy of a single HAP (formaldehyde). The operating permit will include emission limits and work practice standards along with monitoring, recordkeeping and reporting requirements to ensure the facility complies with the applicable air quality regulations. Among other items, the conditions include provisions derived from 40 CFR 63, Subpart ZZZZ—National Emissions Standards for Hazardous Air Pollutants for Stationary Reciprocating Internal Combustion Engines and 40 CFR 60, Subpart GG—Standards of Performance for Stationary Gas Turbines

Copies of the application, DEP's analysis and other documents used in the evaluation of the application are available for public review during normal business hours at the PA DEP Southcentral Regional Office, at 909 Elmerton Avenue, Harrisburg, PA 17110.

A person may oppose the proposed plan approval, or may provide the Department with additional information to consider in its review, or may request a public hearing, by filing a written protest with the Department at the address listed above. Each written comment must contain the name, address and telephone number of the person submitting the comments, identification of the proposed permit by the permit number listed above and a concise statement regarding the relevancy of the information or objections to the issuance of the permit.

A 30-day comment period, from the date of publication of this notice in the *Pennsylvania Bulletin*, will exist for the submission of comments or protests.

Thomas Hanlon, Facilities Permitting Chief, may be contacted at 717-705-4862, or at PA DEP Air Quality Program, 909 Elmerton Avenue, Harrisburg, PA 17110, for additional information or for the submission of comments or protests.

**22-05013: MI Metals, Inc.** (1517 Route 209, Millersburg, PA 17061) for operation of a surface coating facility in Millersburg Borough, **Dauphin County**. This is a renewal of their Title V Operating Permit issued in 2009.

In accordance with 25 Pa. Code §§ 127.424 and 127.425 the Department of Environmental Protection (DEP) has received an application and intends to issue an Air Quality Operating Permit for the abovementioned facility.

The subject facility had actual emissions in 2012 of 1 tpy of CO, 4 tpy  $NO_x$ , 0.1 tpy  $PM_{10}$ , 0.1 tpy  $PM_{2.5}$ , 45 tpy VOC, 14 tpy combined HAPs, and 8 tpy of a single HAP

(xylene). The operating permit will include emission limits and work practice standards along with monitoring, recordkeeping and reporting requirements to ensure the facility complies with the applicable air quality regulations. Among other items, the conditions include provisions derived from 40 CFR 63, Subpart MMMM—National Emission Standards for Hazardous Air Pollutants for Surface Coating of Miscellaneous Metal Parts and Products.

Copies of the application, DEP's analysis and other documents used in the evaluation of the application are available for public review during normal business hours at the PA DEP Southcentral Regional Office, at 909 Elmerton Avenue, Harrisburg, PA 17110.

A person may oppose the proposed operating permit, or may provide the Department with additional information to consider in its review, or may request a public hearing, by filing a written protest with the Department at the address listed above. Each written comment must contain the name, address and telephone number of the person submitting the comments, identification of the proposed permit by the permit number listed above and a concise statement regarding the relevancy of the information or objections to the issuance of the permit.

A 30-day comment period, from the date of publication of this notice in the *Pennsylvania Bulletin*, will exist for the submission of comments or protests.

Thomas Hanlon, Facilities Permitting Chief, may be contacted at 717-705-4862, or at PA DEP Air Quality Program, 909 Elmerton Avenue, Harrisburg, PA 17110, for additional information or for the submission of comments or protests.

#### Intent to Issue Operating Permits under the Air Pollution Control Act and 25 Pa. Code Chapter 127, Subchapter F.

Southeast Region: Air Quality Program, 2 East Main Street, Norristown, PA 19428

Contact: Janine Tulloch-Reid, Facilities Permitting Chief—Telephone: 484-250-5920

**09-00082:** Quakertown Veterinary Clinic, P.C. (2250 North Old Bethlehem Pike, Quakertown, PA 18951) for operation of a propane or natural gas-fired animal crematorium in Milford Township, **Bucks County**. This action is a renewal of a State Only Operating Permit (Natural Minor), which was originally issued on July 30, 2004. The renewal contains monitoring, recordkeeping and reporting requirements designed to keep the facility operating within all applicable air quality requirements.

Southcentral Region: Air Quality Program, 909 Elmerton Avenue, Harrisburg, PA 17110

Contact: Thomas J. Hanlon, Facility Permitting Chief—Telephone: 717-705-4862 or William Weaver, Program Manager—Telephone: 717-705-4702.

**22-03055: Kimmel's Coal & Packaging** (Machamer Avenue, P. O. Box 1, Wiconisco, PA 17097) for operation of a coal preparation facility in Wiconisco Township, **Dauphin County**. This is a renewal of the State-only operating permit issued in 2009.

In accordance with 25 Pa. Code §§ 127.424 and 127.425 the Department of Environmental Protection (DEP) has received an application and intends to issue an Air Quality Operating Permit for the abovementioned facility.

The subject facility has the potential to emit 30.6 tpy PM<sub>10</sub>, 8.4 tpy of CO, 14.5 tpy NO<sub>x</sub>, 1.7 tpy SO<sub>x</sub>, & 1.1 tpy

VOC. The operating permit will include emission limits and work practice standards along with monitoring, recordkeeping and reporting requirements to ensure the facility complies with the applicable air quality regulations. Among other items, the conditions include provisions derived from 40 CFR 60, Subpart Y—Standards of Performance for Coal Preparation and Processing Plants.

Copies of the application, DEP's analysis and other documents used in the evaluation of the application are available for public review during normal business hours at the PA DEP Southcentral Regional Office, at 909 Elmerton Avenue, Harrisburg, PA 17110.

A person may oppose the proposed operating permit, or may provide the Department with additional information to consider in its review, or may request a public hearing, by filing a written protest with the Department at the address listed above. Each written comment must contain the name, address and telephone number of the person submitting the comments, identification of the proposed permit by the permit number listed above and a concise statement regarding the relevancy of the information or objections to the issuance of the permit.

A 30-day comment period, from the date of publication of this notice in the *Pennsylvania Bulletin*, will exist for the submission of comments or protests.

Thomas Hanlon, Facilities Permitting Chief, may be contacted at 717-705-4862, or at PA DEP Air Quality Program, 909 Elmerton Avenue, Harrisburg, PA 17110, for additional information or for the submission of comments or protests.

**06-03054: Martin Stone Quarries, Inc.** (P. O. Box 297, Bechtelsville, PA 19505) for the stone crushing and processing operations at the Bechtelsville Quarry in Colebrookdale Township, **Berks County**.

In accordance with 25 Pa. Code §§ 127.424 and 127.425 the Department of Environmental Protection (DEP) has received an application and intends to issue a State Only Air Quality Operating Permit renewal for the above mentioned facility.

The facility's potential emissions are 27.0 tpy of PM, 1.28 tpy of  $NO_x$ , 0.61 tpy of CO, 0.45 tpy of  $SO_x$ , and 0.17 tpy of VOCs. The facility's stone crushing and processing operations are subject to 40 CFR Part 60, Subpart OOO, and the facility's non-road engines associated with the operation of two portable soil processing plants are subject to 40 CFR Part 63, Subpart ZZZZ. The Operating Permit will include emission limits and work practice standards along with monitoring, recordkeeping and reporting requirements to ensure the facility complies with the applicable air quality regulations.

Copies of the application, DEP's analysis and other documents used in the evaluation of the application are available for public review during normal business hours at the PA DEP Southcentral Regional Office, at 909 Elmerton Avenue, Harrisburg, PA 17110.

A person may oppose the proposed operating permit, or may provide the Department with additional information to consider in its review, or may request a public hearing, by filing a written protest with the Department at the address listed above. Each written comment must contain the name, address and telephone number of the person submitting the comments, identification of the proposed permit by the permit number listed above and a concise statement regarding the relevancy of the information or objections to the issuance of the permit.

A 30-day comment period, from the date of publication of this notice in the *Pennsylvania Bulletin*, will exist for the submission of comments, protests or requests for a public hearing.

Thomas Hanlon, Facilities Permitting Chief, may be contacted at (717) 705-4862, or at PA DEP Air Quality Program, 909 Elmerton Avenue, Harrisburg, PA 17110, for additional information or for the submission of comments or protests, or requests for a public hearing.

**67-05056:** ConAgra Foods, Inc. (2800 Black Bridge Road, York, PA 17406) for renewal of their State-Only Operating Permit issued in October 2008 for York Flour Mill in Manchester Township, **York County**.

In accordance with 25 Pa. Code §§ 127.424 and 127.425 the Department of Environmental Protection (DEP) has received an application and intends to renew an Air Quality Operating Permit for the abovementioned facility.

The facility performs grain handling, cleaning, and milling operations. The primary emissions from the facility is the particulate matter (PM). The actual  $PM_{10}$  emissions in 2012 was 22 tons. The Operating Permit will include emission limits and work practice standards along with monitoring, recordkeeping and reporting requirements to ensure the facility complies with the applicable air quality regulations. Among other items, the conditions include provisions derived from 40 CFR 63, Subpart JJJJJJ (related to Boiler 1).

Copies of the renewal application, DEP's analysis and other documents used in the evaluation of the application are available for public review during normal business hours at the PA DEP Southcentral Regional Office, at 909 Elmerton Avenue, Harrisburg, PA 17110.

A person may oppose the proposed operating permit, or may provide the Department with additional information to consider in its review, or may request a public hearing, by filing a written protest with the Department at the address listed above. Each written comment must contain the name, address and telephone number of the person submitting the comments, identification of the proposed permit by the permit number listed above and a concise statement regarding the relevancy of the information or objections to the issuance of the permit.

A 30-day comment period, from the date of publication of this notice in the *Pennsylvania Bulletin*, will exist for the submission of comments or protests.

Gary Helsel, Acting New Source Review Chief, may be contacted at 814-949-7935, or at PA DEP Air Quality Program, 909 Elmerton Avenue, Harrisburg, PA 17110, for additional information or for the submission of comments or protests.

**36-05152:** Kellogg USA, Inc. (2050 State Road, Lancaster, PA 17604) for their breakfast food manufacturing facility in East Hempfield Township, Lancaster County.

In accordance with 25 Pa. Code §§ 127.424 and 127.425 the Department of Environmental Protection (DEP) has received an application and intends to issue an Air Quality Operating Permit for the abovementioned facility.

The subject facility has actual emissions of 26.2 tpy of  $\mathrm{NO}_{\mathrm{x}}$ , 22.1 tpy of CO, 53.6 tpy of PM and 14.3 tpy of VOCs. The Operating Permit will include emission limits and work practice standards along with monitoring, recordkeeping and reporting requirements to ensure the facility complies with the applicable air quality regulations. Among other items, the conditions include provisions derived from 40 CFR Part 60, Subpart Dc—

Standards of Performance for Small Industrial-Commercial-Institutional Steam Generating Units and 40 CFR Part 63 Subpart ZZZZ—National Emissions Standards for Hazardous Air Pollutants for Stationary Reciprocating Internal Combustion Engines.

Copies of the application, DEP's analysis and other documents used in the evaluation of the application are available for public review during normal business hours at the PA DEP Southcentral Regional Office, at 909 Elmerton Avenue, Harrisburg, PA 17110.

A person may oppose the proposed plan approval, or may provide the Department with additional information to consider in its review, or may request a public hearing, by filing a written protest with the Department at the address listed above. Each written comment must contain the name, address and telephone number of the person submitting the comments, identification of the proposed permit by the permit number listed above and a concise statement regarding the relevancy of the information or objections to the issuance of the permit.

Thomas Hanlon, Facilities Permitting Chief, may be contacted at 717-705-4862, or at PA DEP Air Quality Program, 909 Elmerton Avenue, Harrisburg, PA 17110, for additional information or for the submission of comments or protests.

**22-03076: Bitner Crematory, LLC** (2100 Linglestown Road, Harrisburg, PA 17110) for operation of a human crematory at the facility in Susquehanna Township, **Dauphin County**.

In accordance with 25 Pa. Code §§ 127.424 and 127.425 the Department of Environmental Protection (DEP) has received an application and intends to issue an Air Quality Operating Permit for the abovementioned facility.

The subject facility's typical actual emissions are estimated to be 1.0 tpy of  $PM_{10},\ 1.4$  tpy of CO, 0.4 tpy of  $NO_x$ , 0.4 tpy SO $_x$ , and 0.4 tpy of VOCs. The Operating Permit will include emission limits and work practice standards along with monitoring and recordkeeping requirements to ensure the facility complies with the applicable air quality regulations.

Copies of the application, DEP's analysis and other documents used in the evaluation of the application are available for public review during normal business hours at the PA DEP Southcentral Regional Office, at 909 Elmerton Avenue, Harrisburg, PA 17110.

A person may oppose the proposed operating permit, or may provide the Department with additional information to consider in its review, or may request a public hearing, by filing a written protest with the Department at the address listed above. Each written comment must contain the name, address and telephone number of the person submitting the comments, identification of the proposed permit by the permit number listed above and a concise statement regarding the relevancy of the information or objections to the issuance of the permit.

A 30-day comment period, from the date of publication of this notice in the *Pennsylvania Bulletin*, will exist for the submission of comments or protests.

Thomas Hanlon, Facilities Permitting Chief, may be contacted at 717-705-4862, or at PA DEP Air Quality Program, 909 Elmerton Avenue, Harrisburg, PA 17110, for additional information or for the submission of comments or protests.

Southwest Region: Air Quality Program, 400 Waterfront Drive, Pittsburgh, PA 15222-4745

Contact: Barbara Hatch, Facilities Permitting Chief— Telephone: 412-442-4174

**32-00330: Amfire Mining Co., LLC** (One Energy Place, Latrobe, PA 15650) to operate a coal preparation plant, known as the Ondo Mine Coal Preparation Plant, in Brush Valley Township, **Indiana County**.

The facility contains air contamination sources consisting of a screen, conveyer, storage piles, plant roads, and a 400-bhp emergency diesel engine. Raw coal throughput of the facility is limited to 1,250,000 tons per year. Facility emissions are 24.6 tons per year of  $PM_{10}$  and 3.1 tons per year of  $NO_{\rm x}$ . The facility is limited to a maximum opacity from any processing equipment of 20 percent. Sources at the facility are subject to 40 CFR 60, Subpart Y—Standards of Performance for Coal Preparation, Processing Plants and 40 CFR Part 63 Subpart ZZZZ—National Emissions Standards for Hazardous Air Pollutants for Stationary Reciprocating Internal Combustion as well as state requirements. The permit includes emission limitations, and operational, monitoring, testing, reporting and recordkeeping requirements for the facility.

Those who wish to request a public hearing or provide the Department with additional written information that they believe should be considered prior to the issuance of this State Only Operating Permit may submit the information to Martin L. Hochhauser, P.E., Pennsylvania Department of Environmental Protection, 400 Waterfront Drive, Pittsburgh, PA, 15222. Each written comment must contain the name, address and telephone number of the person submitting the comments, identification of the proposed Operating Permit (specify Operating Permit OP-32-00330) and concise statements regarding the relevancy of the information or objections to issuance of the Operating Permit.

All comments and requests for a public hearing must be received prior to the close of business 30 days after the date of this publication. In accordance with 25 Pa. Code § 127.428, prior to issuing an operating permit, the Department may hold a fact-finding conference or hearing at which the petitioner, and a person who has properly filed a protest under § 127.426 (relating to filing protests) may appear and give testimony. The Department is not required to hold a conference or hearing. The applicant, the protestant and other participants will be notified of the time, place and purpose of a conference or hearing, in writing or by publication in a newspaper or the *Pennsylvania Bulletin*, unless the Department determines that notification by telephone will be sufficient.

**32-00348: Amfire Mining Co., LLC** (One Energy Place, Latrobe, PA 15650) for operation of a coal preparation plant, known as the Nolo Deep Mine Coal Preparation Plant, in Buffington Township, **Indiana County**.

The facility contains air contamination sources consisting of a breaker, crushers, screens, conveyer, storage piles, plant roads and two emergency diesel engines (890-bhp & 202-bhp). Raw coal throughput of the facility is limited to 900,000 tons per year. Facility emissions are 7.4 tons per year of  $\rm PM_{10}$  and 1.9 tons per year of  $\rm NO_{x}$ . The facility is limited to a maximum opacity from any processing equipment of 20 percent. Sources at the facility are subject to 40 CFR 60, Subpart Y—Standards of Performance for Coal Preparation, Processing Plants and 40 CFR Part 63 Subpart ZZZZ—National Emissions Standards for Hazardous Air Pollutants for Stationary Reciprocating Internal Combustion as well as state re-

quirements. The permit includes emission limitations, and operational, monitoring, testing, reporting and recordkeeping requirements for the facility.

Those who wish to provide the Department with additional written information that they believe should be considered prior to the issuance of this State Only Operating Permit may submit the information to Martin L. Hochhauser, P.E., Pennsylvania Department of Environmental Protection, 400 Waterfront Drive, Pitts-burgh, PA, 15222. Each written comment must contain the name, address and telephone number of the person submitting the comments, identification of the proposed Operating Permit (specify Operating Permit OP-32-00348) and concise statements regarding the relevancy of the information or objections to issuance of the Operating Permit.

All comments must be received prior to the close of business 30 days after the date of this publication. In accordance with 25 Pa. Code § 127.428, prior to issuing an operating permit, the Department may hold a fact-finding conference or hearing at which the petitioner, and a person who has properly filed a protest under § 127.426 (relating to filing protests) may appear and give testimony. The Department is not required to hold a conference or hearing. The applicant, the protestant and other participants will be notified of the time, place and purpose of a conference or hearing, in writing or by publication in a newspaper or the *Pennsylvania Bulletin*, unless the Department determines that notification by telephone will be sufficient.

26-00057: Matt Canestrale Contracting, Inc.—Labelle Site (1035 Labelle Rd, La Belle, PA 15450) for operation of a barge unloading and transferring operation to support the reclamation area on top of the hill adjacent to the unloading/loading area located in Luzerne Township, Fayette County. Coal ash and FGD sludge are being used as a capping material on the coal refuse pile to reduce infiltration and to provide a buttress for long-term stability. In accordance with 25 Pa. Code §§ 127.424 and 127.425 the Department of Environmental Protection (DEP) has received an application and is providing public notice that it intends to issue a renewal Air Quality Operating Permit for the above mentioned facility.

The subject facility consists of two docks for barge unloading, two excavators each with a clamshell and an area for truck/rail transshipping with front loaders. One dock/ excavator is considered primary, but both docks can be used at the same time. The rate of unloading the barges is limited by the number of trucks available. Barges are unloaded and material is transported to the reclamation area on the hill behind the barge unloading area. The trucks transporting the material cross one public road from the barge unloading area to reclamation area. The facility provided potential emission calculations based on 416,000 tons of material handled per 12 month period and AP-42 Emission Factors. Operating approximately ten hours a day and five days a week, the potential emissions are calculated to be 23.9 tons per year PM<sub>10</sub>. This includes the potential emissions from transferring material from barge to truck, haul roads, truck unloading, wind erosion and bulldozing.

The proposed authorization is subject to State and Federal Regulations. The permit includes operational requirements, monitoring requirements, and recordkeeping requirements as follows:

1. The Owner/Operator shall test the moisture content of each material received on barge at least once per week.

Records of the sample tests shall be maintained in a log on-site. The Owner/Operator may request a reduction in the frequency of the moisture content sample testing, in writing, provided there are no observations by the Department of any inconsistent test samples from the previous six month period.

- 2. The Owner/Operator shall conduct a daily survey of the facility during daylight hours while the facility is operating to ensure compliance with the fugitive emission and malodor restrictions in 25 Pa. Code §§ 123.1, 123.2 and 123.31. If any fugitive emissions or malodors in violation of § 123.1, § 123.2 or § 123.31 are apparent, the permittee shall take immediate corrective action to eliminate them.
- 3. The Owner/Operator shall maintain a daily record of all fugitive emission and odor surveys performed. The records shall include the date, time, name and title of the observer, whether fugitive emissions or malodors were observed and any corrective action. Records shall be kept on-site for a minimum of five years and made available to the Department.
- 4. The Owner/Operator shall maintain a daily log of material delivered to the facility, inventory on hand, and material shipped from the facility.
- 5. The Owner/Operator shall operate and maintain all sources at this facility in accordance with 25 Pa. Code § 127.444.
- 6. All trucks carrying material with a moisture content of less than 5 percent by weight are required to be tarped.
- 7. The Owner/Operator shall notify the Department at least 5 days in advance of handling materials at the facility that have not been previously handled or approved by the Department. The Owner/Operator shall await the Department's written approval prior to accepting any new material.
- 8. The drop height from the front-end loaders and clamshell buckets being used to transfer and load material into trucks shall be kept as short as possible to minimize fugitive emissions.
- 9. The facility shall not handle materials with a moisture content of less than 5 percent by weight under excessively windy conditions, where the force of the wind contributed to the generation of fugitive dust.
- 10. All unpaved in-plant roads shall be watered on a preventative basis. Other methods of dust control must be used when the weather conditions make the watering of unpaved roads hazardous.
- 11. All in-plant roads shall be maintained to prevent particulate matter from becoming airborne in accordance with 25 Pa. Code §§ 123.1 and 123.2
- 12. A set vehicle pattern shall be established and maintained for vehicles entering and exiting the facility.
- 13. In accordance with 25 Pa. Code § 123.1(c), the Owner/Operator shall promptly remove earth or other material from paved roads.
- 14. An operable water truck must be maintained onsite at all times.
- 15. The Department may require additional controls (water sprayers, paving, conveyor covers, etc.) based on evaluation of the operation after inspection and determination that existing controls are not adequate for controlling fugitive emissions.

Copies of the application, DEP's analysis and other documents used in the evaluation of the application are available for public review during normal business hours at the Department's Southwest Regional Office, 400 Waterfront Drive, Pittsburgh, PA 15222. Appointments for scheduling a review must be made by calling 412-442-4000.

Any person may submit comments, a request for the Department to hold a public hearing, or a protest to the operating permit or a proposed condition thereof, by filing such submissions in writing with the Department at the Southwest Regional Office. A 30-day comment period from the date of this publication will exist for the submission of comments.

All requests for a public hearing, and all protests to a proposed action, shall be filed with the Department within 30 days of the date that notice of the proposed action was published under 25 Pa. Code § 127.424 (relating to public notice). A protest must include the name, address and telephone number of the person filing the protest, identification of the proposed permit issuance being opposed (Operating Permit 26-00057) and a concise statement of the objections to the permit issuance and the relevant facts upon which the objections are based.

A public hearing may be held in accordance with 25 Pa. Code § 127.429, if the Department, in its discretion, decides that such a hearing is warranted based on the information received. If a public hearing is held, all persons who have properly filed a protest under 25 Pa. Code § 127.426 may appear and give testimony. The applicant, the protestant and other participants will be notified of the decision to hold a hearing (and the time, place and purpose of such hearing) by publication in the newspaper or by the *Pennsylvania Bulletin*, or by telephone, where the Department determines such notification by telephone is sufficient.

Written comments, protests and requests for a public hearing should be directed to Sheila Shaffer, Air Quality Engineering Specialist, Department of Environmental Protection, Southwest Region, 400 Waterfront Drive, Pittsburgh, PA 15222. For additional information concerning the permit or the issuance procedure, contact Sheila Shaffer at (412) 442-5227.

Northwest Region: Air Quality Program, 230 Chestnut Street, Meadville, PA 16335-3481

Contact: Matthew M. Williams—Telephone: 814-332-6131

24-00131: SGL Carbon Corp.—St. Marys Plant (900 Theresia Street, P. O. Box 1030, St. Marys, PA 15857) to issue a Natural Minor Operating Permit to this carbon and graphite manufacturing facility in St. Marys Borough, Elk County. The facility was previously a major source of VOC emissions but has since sold off several of the major VOC emitting sources. The potential emissions from this facility are now below the major source thresholds with the following ton per year (TPY) totals.

 $\rm PM_{10}=3.6$  TPY,  $\rm SO_x=0.0$  TPY,  $\rm NO_x=2.4$  TPY, VOCs = 6.1 TPY, CO = 2.0 TPY, TSP = 0.0 TPY, Cl = 0.2 TPY, and HCl = 0.2 TPY.

With the potential emissions well below major source thresholds, this facility now qualifies for and is being issued a Natural Minor Operating Permit.

#### PLAN APPROVALS

Receipt of Plan Approval Applications and Intent to Issue Plan Approvals, and Intent to Issue Amended Operating Permits under the Air Pollution Control Act and 25 Pa. Code Chapter 127, Subchapter B And Subchapter F. These actions may include the administrative amendments of an associated operating permit.

Northeast Region: Air Quality Program, 2 Public Square, Wilkes-Barre, PA 18711-0790

Contact: Norman Frederick, Facilities Permitting Chief—Telephone: 570-826-2409

64-00017A: Northeast Pennsylvania Pet Cremation Services, Inc. (256 Southwoods Court, Hawley, PA 18428) for installation and operation of an incinerator in Hawley Borough, Wayne County. The plan approval will contain operating restrictions, testing, monitoring, reporting, recordkeeping and work practice requirements designed to keep the facility operating within all applicable air quality requirements. This Plan Approval will be incorporated into a State-only Natural Minor operating permit at a later date.

# COAL AND NONCOAL MINING ACTIVITY APPLICATIONS

Applications under the Surface Mining Conservation and Reclamation Act (52 P. S. §§ 1396.1—1396.19a); the Noncoal Surface Mining Conservation and Reclamation Act (52 P. S. §§ 3301—3326); The Clean Streams Law (35 P. S. §§ 691.1—691.1001); the Coal Refuse Disposal Control Act (52 P. S. §§ 30.51—30.66); and The Bituminous Mine Subsidence and Land Conservation Act (52 P. S. §§ 1406.1—1406.20a). Mining activity permits issued in response to such applications will also address the applicable permitting requirements of the following statutes: the Air Pollution Control Act (35 P. S. §§ 4001—4015); the Dam Safety and Encroachments Act (32 P. S. §§ 693.1—693.27); and the Solid Waste Management Act (35 P. S. §§ 6018.101—6018.1003).

The following permit applications to conduct mining activities have been received by the Department. A copy of the application is available for inspection at the district mining office indicated before each application. Notices of requests for 401 Water Quality Certifications are included in individual application notices, as noted.

Written comments or objections, or requests for an informal conference, or a public hearing, as applicable, on a mining permit application and request for Section 401 water quality certification application may be submitted by any person or any officer or head of any Federal, state or local government agency or authority to the Department at the address of the district mining office indicated before each application within 30 days of this publication, or within 30 days after the last publication of the applicant's newspaper advertisement as provided by 25 Pa. Code §§ 77.121—77.123 and 86.31—86.34.

Written comments or objections regarding a mining permit application should contain the name, address and telephone number of persons submitting comments or objections, application number and a statement of sufficient detail to inform the Department on the basis of comment or objection and relevant facts upon which it is based.

A request for an informal conference or a public hearing, as applicable, on a mining permit application, as provided by 25 Pa. Code § 77.123 or § 86.34, must contain the name, address and telephone number of the requestor; the application number; a brief summary of the issues to be raised by the requestor at the conference; and a statement whether the requestor desires to have the conference conducted in the locality of the proposed mining activities.

When an NPDES number is listed, the mining activity permit application was accompanied by an application for an individual NPDES permit. A separate notice will be provided after the draft NPDES permit is prepared.

Coal Applications Received

California District Office: 25 Technology Drive, Coal Center, PA 15423, 724-769-1100

30841307. Emerald Coal Resources, LP (158 Portal Road, PO Box 1020, Waynesburg, PA 15322). To revise the permit for the Emerald Mine No. 1 in Franklin Township, Greene County to install five (5) GOB-Ventilation boreholes for Panel D-1. Surface Acres Proposed 3.91. No additional discharges. The application was considered administratively complete on September 24, 2013. Application received August 1, 2013.

30841316. Consol Pennsylvania Coal Company, LLC (1525 Pleasant Grove Rd., PO Box J, Claysville, PA 15323). To revise the permit for the Bailey Mine & Prep Plant in Richhill Township, Greene County to install an overhead power line referred to as the 1M power line. Application also includes a request for a Section 401 Water Quality Certification. Surface Acres Proposed 7.3. No additional Discharges. The application was considered administratively complete on September 26, 2013. Application received July 25, 2013.

11031301 and NPDES No. PA0235539. AMFIRE Mining Company, LLC (One Energy Place, Latrobe, PA 15650). To renew the permit for the Madison Mine in Cambria, Croyle, and Jackson Townships, Cambria County and related NPDES permit. No additional discharges. The application was considered administratively complete on September 26, 2013. Application received July 30, 2013.

Cambria District Mining Office: 286 Industrial Park Road, Ebensburg, PA 15931, 814-472-1900

Permit No. 11080102 and NPDES No. PA0262641. Bell Resources, Inc., 1340 Hoyt Road, Curwensville, PA 16833, revision of an existing bituminous surface mine to add 5.0 acres to the existing permit area in Susquehanna Township, Cambria County, affecting 28.4 acres. Receiving stream West Branch Susquehanna River classified for the following use: cold water fishery. There are no potable water supply intakes within 10 miles downstream. Application received September 19, 2013.

Permit No. 56080103, NPDES No. PA0262617 and General Permit GP12-56080103, AMFIRE Mining Company, LLC, One Energy Place, Latrobe, PA 15650, revision of an existing bituminous surface mine to obtain coverage for coal processing under air quality general permit GP-12 in Milford Township, Somerset County, affecting 78.7 acres. Receiving streams: unnamed tributary to/and South Glade Creek classified for the following use: warm water fishery. There are no potable water supply intakes within 10 miles downstream. Application received August 23, 2013.

Permit No. 32090102, NPDES No. PA0262811 and General Permit GP12-32090102, AMFIRE Mining Company, LLC, One Energy Place, Latrobe, PA 15650, revision of an existing bituminous surface mine to obtain

coverage for coal processing under air quality general permit GP-12 in Brush Valley Township, **Indiana County**, affecting 180.0 acres. Receiving streams: unnamed tributaries to/and Yellow Creek, unnamed tributaries to/and Ferrier Run classified for the following use: cold water fishery. There are no potable water supply intakes within 10 miles downstream. Application received August 29, 2013.

Permit No. 56753048, NPDES No. PA0119954 and General Permit GP12-56753048, Gray Mining Company, 1134 Stoystown Road, Friedens, PA 15541, revision of an existing bituminous surface mine to obtain coverage for coal processing under air quality general permit GP-12 in Quemahoning Township, Somerset County, affecting 84.7 acres. Receiving stream: unnamed tributary to Stonycreek River classified for the following use: warm water fishery. The first downstream potable water supply intake from the point of discharge is Hooversville Borough Municipal Authority, Stonycreek SWI. Application received September 3, 2013.

Greensburg District Mining Office: Armbrust Professional Center, 8205 Route 819, Greensburg, PA 15601, 724-925-5500

**65010101** and NPDES Permit No. PA0202908. M.B. Energy, Inc. (175 McKnight Road, Blairsville, PA 15717). Renewal application for continued passive treatment to an existing reclamation only bituminous surface mine, located in Derry Township, **Westmoreland County**, affecting 197 acres. Receiving streams: unnamed tributary

to Miller Run and Saxman Run, classified for the following use: WWF. There is no potable water supply intake within 10 miles downstream from the point of discharge. Renewal application received: September 23, 2013.

65860105 and NPDES Permit No. PA0202908. M.B. Energy, Inc. (175 McKnight Road, Blairsville, PA 15717). Renewal application for continued passive treatment to an existing reclamation only bituminous surface mine, located in Donegal and Mt. Pleasant Townships, Westmoreland County, affecting 369 acres. Receiving streams: unnamed tributaries to Four Mile Run and Jacobs Creek, classified for the following use: TSF. There is no potable water supply intake within 10 miles downstream from the point of discharge. Renewal application received: September 27, 2013.

Knox District Mining Office: P. O. Box 669, 310 Best Avenue, Knox, PA 16232-0669, 814-797-1191

33030110 and NPDES Permit No. PA0242454. RES Coal, LLC (P. O. Box 228, Armagh, PA 15920) Renewal of an existing bituminous surface mine in Snyder Township, Jefferson County affecting 122.5 acres. Receiving streams: Unnamed tributaries to Little Toby Creek, classified for the following uses: CWF. There are no potable surface water supply intakes within 10 miles downstream. Application received: September 25, 2013.

Noncoal Applications Received

Effluent Limits—The following effluent limits will apply to NPDES permits issued in conjunction with a noncoal mining permit:

A settleable solids instantaneous maximum limit of 0.5 ml/l applied to surface runoff resulting from a precipitation event of less than or equal to a 10-year 24-hour event. If coal will be extracted incidental to the extraction of noncoal minerals, at a minimum, the technology-based effluent limitations identified under coal applications will apply to discharges of wastewater to streams.

Pottsville District Mining Office: 5 West Laurel Boulevard, Pottsville, PA 17901, 570-621-3118

**06970302C9** and NPDES Permit No. PA0223794. Haines & Kibblehouse, Inc., (PO Box 196, Skippack, PA 19474), renewal of NPDES Permit for discharge of treated mine drainage from a quarry operation in Robeson and Union Townships, **Berks County** affecting 289.6 acres, receiving stream: Hay Creek, classified for the following use: EV—migratory fishes. Application received: September 3, 2013.

#### MINING ACTIVITY NPDES DRAFT PERMITS

This notice provides information about applications for a new, amended or renewed NPDES permits associated with mining activity (coal or noncoal) permits. The applications concern industrial waste (mining) discharges to surface water and discharges of stormwater associated with mining activities. This notice is provided in accordance with 25 Pa. Code Chapters 91 and 92a and 40 CFR Part 122, implementing provisions of The Clean Streams Law (35 P.S. §§ 691.1—691.1001) and the Federal Clean Water Act (33 U.S.C.A. §§ 1251—1376).

The Department of Environmental Protection (Department) has prepared a draft NPDES permit and made a tentative determination to issue the NPDES permit in conjunction with the associated mining activity permit.

Effluent Limits for Coal Mining Activities

For coal mining activities, NPDES permits, when issued, will contain effluent limits that are the more stringent of technology-based (BAT) effluent limitations or Water Quality Based Effluent Limits (WQBEL).

The BAT limits for coal mining activities, as provided in 40 CFR Part 434 and 25 Pa. Code Chapters 87—90 are as follows:

<sup>\*</sup> The parameter is applicable at all times.

Parameter	30-Day Average	$Daily\\Maximum$	Instantaneous Maximum
Iron (Total) Manganese (Total) Suspended solids pH* Alkalinity greater than acidity*	3.0 mg/l 2.0 mg/l 35 mg/l	6.0 mg/l 4.0 mg/l 70 mg/l greater than 6	7.0 mg/l 5.0 mg/l 90 mg/l 5.0; less than 9.0

<sup>\*</sup> The parameter is applicable at all times.

In addition, the Department imposes a technology-based aluminum limit of 2.0 mg/l (30 day average) to protect stream uses.

A settleable solids instantaneous maximum limit of 0.5 ml/l applies to: surface runoff (resulting from a precipitation event of less than or equal to a 10-year 24-hour event) from active mining areas; active areas disturbed by coal refuse disposal activities; mined areas backfilled and revegetated; and all other discharges and drainage (resulting from a precipitation event of greater than 1-year 24-hour to less than or equal to a 10-year 24-hour event) from coal refuse disposal piles. Similarly, modified BAT limits apply to iron, manganese and suspended solids in surface runoff, discharges and drainage resulting from these precipitation events and those of greater magnitude in accordance with 25 Pa. Code §§ 87.102, 88.92, 88.187, 88.292, 89.52 and 90.102.

Exceptions to BAT effluent limits may be applicable in accordance with 25 Pa. Code §§ 87.102, 88.92, 88.187, 88.292, 89.52 and 90.102.

Effluent Limits for Noncoal Mining Activities

The BAT limits for noncoal mining activities as provided in 40 CFR Part 436 and 25 Pa. Code Chapter 77 are as follows:

Parameter	30-day Average	Daily Maximum	Instantaneous Maximum
Suspended solids Alkalinity exceeding acidity*	35 mg/l	70 mg/l	90 mg/l
nH*		greater than 6	6.0: less than 9.0

<sup>\*</sup> The parameter is applicable at all times.

Discharges from noncoal mines located in some geologic settings (for example, in the coal fields) may require additional water quality based effluent limits. If additional effluent limits are needed for an NPDES permit associated with a noncoal mining permit, then the permit description specifies the parameters.

In addition to BAT or WQBEL limits, coal and noncoal NPDES permits establish effluent limitations in the form of implemented Best Management Practices (BMPs) identified in the associated Erosion and Sedimentation Plan, the Reclamation Plan and the NPDES permit application. These BMPs restrict the rates and quantities of associated pollutants from being discharged into surface waters in this Commonwealth.

More restrictive effluent limitations, restrictions on discharge volume or restrictions on the extent of mining that may occur are incorporated into an NPDES permit when necessary for compliance with water quality standards and antidegradation requirements (in accordance with 25 Pa. Code Chapters 91—96).

The procedures for determining the final effluent limits, using a mass-balance equation or model, are found in Technical Guidance Document 362-0600-001, NPDES Program Implementation—Memorandum of Understanding (MOU) Concerning Water Quality Management, NPDES Program Implementation, and Related Matters. Other specific factors to be considered include public comments and Total Maximum Daily Load(s). Additional discharge limitations may apply in the event that unexpected discharges occur.

Discharge rates for surface mining activities are precipitation driven. Discharge rates for proposed discharges associated with underground mining are noted in the permit description.

Persons wishing to comment on an NPDES draft permit should submit a written statement to the Department at the address of the district mining office indicated before each draft permit within 30 days of this public notice. Comments received within the comment period will be considered in the final determinations regarding the NPDES permit applications. Comments must include the name, address and telephone number of the writer and a concise statement to inform the Department of the exact basis of a comment and the relevant facts upon which it is based.

The Department will also accept requests or petitions for a public hearing on NPDES permit applications, as provided in 25 Pa. Code § 92a.82(d). The request or petition for a public hearing shall be filed within 30 days of this public notice and contain the name, address, telephone number and the interest of the party filing the request, and state the reasons why a hearing is warranted. A public hearing may be held if the Department considers the public interest significant. If a hearing is scheduled, a notice of the hearing on the NPDES permit application will be published in the *Pennsylvania Bulletin* and a newspaper of general circulation within the relevant geographical area. When a public hearing is held, the Department will consider comments from the public hearing in the final determination on the NPDES permit application.

Coal NPDES Draft Permits

Cambria District Mining Office: 286 Industrial Park Road, Ebensburg, PA 15931, 814-472-1900

NPDES No. PA0269204 (Mining permit no. 56120118), Rosebud Mining Company, 1117 Shaw Mines Road, Meyersdale, PA 15552-7228, new NPDES permit for surface coal and auger mine in Brothersvalley Township, Somerset County, affecting 36.1 acres. Receiving streams: unnamed tributaries to Blue Lick Creek, classified for the following uses: Cold Water Fishery. This receiving stream is included in the Casselman River TMDL. Application received: November 7, 2012.

Unless otherwise noted for a specific outfall, the proposed effluent limits for all outfalls in this permit are the BAT limits described above for coal mining activities.

The outfall(s) listed below discharge to Unnamed Tributaries to Blue Lick Creek:

Outfall Nos.	New Outfall $(Y/N)$
001	Y
002	Y
003	Y
004	Y

The proposed effluent limits for the above listed outfall(s) are as follows:

The proposed emident minus for the above histed ou	tiun(b) are ab ionon	ο.	
Outfalls: 001 & 002 (Treatment Ponds) Parameter	30-Day Average	Daily Maximum	Instant. Maximum
Iron (mg/l) Manganese (mg/l) Aluminum (mg/l) Total Suspended Solids (mg/l) pH (S.U.): Must be between 6.0 and 9.0 standard uni Alkalinity must exceed acidity at all times	3.0 2.0 0.75 35.0 ts at all times	6.0 4.0 1.5 70.0	7.0 5.0 1.8 90.0
Outfalls: 003 & 004 Sediment Ponds, Dry Weather Parameter	30-Day Average	Daily Maximum	Instant. Maximum
Iron (mg/l) Manganese (mg/l) Aluminum (mg/l) Total Suspended Solids (mg/l) pH (S.U.): Must be between 6.0 and 9.0 standard uni Alkalinity must exceed acidity at all times	3.0 2.0 2.0 35.0 ts at all times	6.0 4.0 4.0 70.0	7.0 5.0 5.0 90.0
Outfalls: 003 & 004 Sediment Ponds, < 10-yr 24-hr precipitation event Parameter	30-Day Average	Daily Maximum	Instant. Maximum

Iron (mg/l) 7.0 Total Settleable Solids (ml/l) 0.5

pH (S.U.): Must be between 6.0 and 9.0 standard units at all times

Alkalinity must exceed acidity at all times

Noncoal NPDES Draft Permits

Knox District Mining Office: P. O. Box 669, 310 Best Avenue, Knox, PA 16232-0669, 814-797-1191

NPDES No. PA0242144 (Permit No. 33020303). Glen Gery Corporation (P. O. Box 7001, Wyomissing, PA 19610) Renewal of an NPDES permit for a large industrial minerals surface mine in Oliver Township, Jefferson County, affecting 129.6 acres. Receiving streams: Unnamed tributaries to Little Sandy Creek, classified for the following uses: CWF. TMDL: None. Application received: August 14, 2013.

Unless otherwise noted for a specific outfall, the proposed effluent limits for all outfalls in this permit are the BAT limits described above for noncoal mining activities.

The outfall(s) listed below discharge to unnamed tributaries to Little Sandy Creek:

Outfall No.	New Outfall $(Y/N)$
TB1	N
TB2	N
TB3	N

The proposed effluent limits for the above listed outfall(s) are as follows:

Parameter	Minimum	30-Day Average	Daily Maximum	Instant. Maximum
pH¹ (S.U.)	6.0	S		9.0
Alkalinity greater than acidity <sup>1</sup> Total Suspended Solids (mg/l)		35	70	90
<sup>1</sup> The parameter is applicable at all times.				

The outfall(s) listed below discharge to unnamed tributaries to Little Toby Creek:

Outfall No.	New Outfall $(Y/N)$
Trap1	N
A	N
В	N
C	N

The proposed effluent limits for the above listed outfall(s) are as follows:

Parameter	Minimum	30-Day Average	Daily Maximum	Instant. Maximum
$pH^1$ (S.U.)	6.0			9.0
Alkalinity greater than acidity <sup>1</sup>				
Total Suspended Solids (mg/l)				90

# FEDERAL WATER POLLUTION CONTROL ACT, SECTION 401

The following permit applications, requests for Environmental Assessment approval and requests for 401 Water Quality Certification have been received by the Department. Section 401 of the Federal Water Pollution Control Act (FWPCA) (33 U.S.C.A. § 1341) requires the Commonwealth to certify that the involved projects will not violate the sections 301—303, 306 and 307 of the FWPCA (33 U.S.C.A. §§ 1311—1313, 1316 and 1317) as well as relevant State requirements. Persons objecting to approval of a request for certification under section 401 of the FWPCA, the issuance of a Dam Permit or Water Obstruction and Encroachment Permit or the approval of an Environmental Assessment shall submit comments, suggestions or objections within 30 days of the date of this notice as well as any questions to the office noted before an application. Comments should contain the name, address and telephone number of the person commenting, identification of the certification request to which the comments or objections are addressed and a concise statement of comments, objections or suggestions including the relevant facts upon which they are based.

The Department may conduct a fact-finding hearing or an informal conference in response to comments if deemed necessary. Each individual will be notified, in writing, of the time and place of a scheduled hearing or conference concerning the certification request to which the comment, objection or suggestion relates. Maps, drawings and other data pertinent to the certification request are available for inspection between 8 a.m. and 4 p.m. on working days at the office noted before the application.

Persons with a disability who wish to attend the hearing and require an auxiliary aid, service or other accommodation to participate in the proceedings should contact the specified program. TDD users may contact the Department through the Pennsylvania AT&T Relay Service at (800) 654-5984.

Applications Received under the Dam Safety and Encroachments Act (32 P. S. §§ 693.1—693.27) and section 302 of the Flood Plain Management Act (32 P. S. § 679.302) and Requests for Certification under section 401(a) of the FWPCA.

#### WATER OBSTRUCTIONS AND ENCROACHMENTS

Northeast Region: Waterways and Wetlands Program Manager, 2 Public Square, Wilkes-Barre, PA 18701-1915, Telephone 570-826-2511.

**E48-425.** Nicholas Pugliese, 1072 Grand Street, Phillipsburg, NJ 08865, in Palmer Township, Northampton County, U.S. Army Corps of Engineers, Philadelphia District.

To construct and maintain an 185-foot long stream enclosure of an UNT to Bushkill Creek (HQ-CWF, MF) consisting of an open bottom box culvert with a 22-foot span, and a 5.5-foot underclearance. The stream enclosure is associated with the Palmer Business Park. The project site is located on the west side of Community Drive approximately 0.10 mile from the intersection of State Route 0248 (Nazareth, PA Quadrangle; Latitude: 40° 41′ 7.9″; Longitude: -75° 15′ 16.7″) in Palmer Township, Northampton County.

Southcentral Region: Waterways & Wetlands Program, 909 Elmerton Avenue, Harrisburg, PA 17110, Ed Muzic, Section Chief, 717.705.4802.

F22-600: Derry Township, 600 Clearwater Road, Hershey, PA 17033 in Derry Township, Dauphin County, ACOE Baltimore District

To remove a structure from the floodplain at the location listed. The project proposes to temporarily affect approximately 0.52 acre of floodplains. The issuance of this permit will not constitute approval of Water Quality Certification under Section 401 of the Federal Clean Water Act (33 U.S.C.A. § 1341).

	Address	Waterway	Chapter 93 Designation	USGS Quadrangle	Latitude	Longitude
1	932 Cocoa Avenue Hershev. PA 17033	UNT to Spring Creek	$_{\rm MF}^{\rm WWF}$	Hershey	40.273689	-76.648522

E36-914: GBT Realty Corporation, 9010 Overlook Boulevard, Brentwood, Tennessee, 37027 in East Cocalico Township, Lancaster County, ACOE Baltimore District

To remove an existing endwall, install and maintain two concrete endwalls with riprap in an unnamed tributary to Stony Run (WWF), and to place and maintain fill in 0.047 acre of PEM wetlands for the purpose of constructing a proposed retail building. The project is located approximately 0.40 mile east of the intersection of S.R. 272 and Park Street (Latitude 40°13′5″, Longitude -76°6′25.6″) in East Cocalico Township, Lancaster County. Wetland impacts are de minimus and wetland replacement is not required.

Southwest Region: Waterways & Wetlands Program Manager, 400 Waterfront Drive, Pittsburgh, PA 15222-4745.

E32-501. GenOn Northeast Management Company, 121 Champion Way, Suite 300, Canonsburg, PA 15317-5817, West Wheatfield Township, Indiana County; ACOE Pittsburgh District

Applicant proposes:

- 1. To relocate approximately 4,565 linear feet (lf) of an unnamed tributary (UNT) (a.k.a. East Valley Stream) to the Conemaugh River (CWF), by reconstructing this watercourse as an approximately 5,302 lf channel; and
- 2. To relocate approximately 540 lf of a UNT (a.k.a. Stream DD) to the East Valley Stream (CWF), by reconstructing this watercourse as an approximately 985 lf channel.
- 3. The relocation of the East Valley stream will result in the loss of approximately 260 lf of another UNT (a.k.a. Stream C-C), and will reduce hydrology to approximately 705 lf of the upper portion of another UNT (a.k.a. the East-West Stream).
- 4. This project will also permanently impact nineteen (19) identified wetland areas, resulting in total impacts to approximately 2.80 acres of wetland areas (primarily PEM, with some PFO);
- 5. will permanently impact several, additional watercourses within the watershed, resulting in an additional 3,283 lf of impact to watercourses;
- 6. and will temporarily impact an additional 2,198 lf of various watercourses.
- 7. This project will include the replacement of three (3) existing culverts and the construction of eight (8) new culverts.

The aforementioned activities will result from the construction of Stage III, of the Residual Waste Landfill, at the Conemaugh Generating Station in West Wheatfield Township, Indiana County (New Florence, PA Quadrangle N: 4.04 inches; W: 9.24 inches; Latitude: 40° 23′ 50″; Longitude: 79° 03′ 59″).

The reconstructed stream segments, along with approximately 1,798 lf of enhancements to existing water-courses and the construction of approximately 3.20 acres of replacement wetlands, are intended to compensate for the cumulative and permanent impacts to approximately 9,353 lf of watercourse and 2.8 acres of wetland.

District Oil and Gas Operations: Eastern Oil & Gas District, 208 West Third Street, Suite 101, Williamsport, PA 17701

E5829-051 Amend. Williams Field Services Company, LLC; Park Place Corporate Center 2, 2000 Commerce Drive, Pittsburgh, PA 15275; Lenox Township, Susquehanna County, ACOE Baltimore District.

To construct, operate, and maintain:

- 1) a 12-inch natural gas pipeline crossing impacting 3 lineal feet of unnamed tributary to Millard Creek (CWF-MF, NRT) (Lenoxville, PA Quadrangle; N 41° 41′ 01″ Lat., W 75° 43′ 14″ Long.),
- 2) a 12-inch natural gas pipeline conventional bore crossing impacting 34 square feet (< 0.01 acre) of PFO wetlands (EV, >10 acres) (Lenoxville, PA Quadrangle; N 41° 40′ 16″ Lat., W 75° 44′ 11″ Long).

The project amendment consists of an additional stream and wetland crossing, in Lenox Township, Susquehanna County. The crossings are to be completed by conventional bore methods with no surface impacts. The project will result in an additional 3 lineal feet of stream impacts, and 0.01 acre of wetland impact, all for the purpose of conveying Marcellus Shale natural gas to market.

#### ENVIRONMENTAL ASSESSMENTS

Central Office: Environmental Program Manager, P. O. Box 8461, Harrisburg, PA 17105. Contact Person: Carol Varano at 717-783-5641.

EA1710-002. Pennsylvania Department of Environmental Protection, Bureau of Abandoned Mine Reclamation, P. O. Box 8461, Harrisburg, PA 17105. Abandoned Mine Land Reclamation Project, in Ferguson Township, Clearfield County, Baltimore ACOE District.

The applicant proposes to backfill an abandoned surface mine, which includes a total of 800 linear feet of dangerous highwall. The project will include the backfilling of 0.2 acre of open water and 0.12 acre of wetlands that have developed within the open surface mine pits. (Curwensville Quadrangle N: 1inch, W: 12.5 inches).

# **ACTIONS**

# THE PENNSYLVANIA CLEAN STREAMS LAW AND THE FEDERAL CLEAN WATER ACT

## FINAL ACTIONS TAKEN FOR NPDES PERMITS AND WQM PERMITS

The Department has taken the following actions on previously received applications for new, amended and renewed NPDES and WQM permits, applications for permit waivers and NOIs for coverage under General Permits. This notice of final action is provided in accordance with 25 Pa. Code Chapters 91 and 92a and 40 CFR Part 122, implementing provisions of The Clean Streams Law (35 P.S. §§ 691.1—691.101) and the Federal Clean Water Act (33 U.S.C.A. §§ 1251—1376).

Location Permit Authority Application Type or Category

Section I NPDES Renewals

Section II NPDES New or Amendment

Location	Permit Authority	Application Type or Category
Section III	WQM	Industrial, Sewage or Animal Wastes; Discharges to Groundwater
Section IV	NPDES	MS4 Individual Permit
Section V	NPDES	MS4 Permit Waiver
Section VI	NPDES	Individual Permit Stormwater Construction
Section VII	NPDES	NOI for Coverage under NPDES General Permits

Sections I—VI contain actions regarding industrial, animal or sewage wastes discharges, discharges to groundwater, and discharges associated with MS4, stormwater associated with construction activities and CAFOs. Section VII contains notices for parties who have submitted NOIs for Coverage under General NPDES Permits. The approval for coverage under these General NPDES Permits is subject to applicable effluent limitations, monitoring, reporting requirements and other conditions in each General Permit. The approval of coverage for land application of sewage sludge or residential septage under applicable general permit is subject to pollutant limitations, pathogen and vector attraction reduction requirements, operational standards, general requirements, management practices and other conditions in the respective permit. The permits and related documents, effluent limitations, permitting requirements and other information are on file and may be inspected and arrangements made for copying at the contact office noted before the action.

Persons aggrieved by an action may appeal that action to the Environmental Hearing Board (Board) under section 4 of the Environmental Hearing Board Act (35 P.S. § 7514) and 2 Pa.C.S. §§ 501—508 and 701—704 (relating to Administrative Agency Law). The appeal should be sent to the Environmental Hearing Board, Second Floor, Rachel Carson State Office Building, 400 Market Street, PO Box 8457, Harrisburg, PA 17105-8457, (717) 787-3483. TDD users may contact the Board through the Pennsylvania Relay Service, (800) 654-5984. Appeals must be filed with the Board within 30 days of publication of this notice in the *Pennsylvania Bulletin* unless the appropriate statute provides a different time period. Copies of the appeal form and the Board's rules of practice and procedure may be obtained from the Board. The appeal form and the Board's rules of practice and procedure are also available in Braille or on audiotape from the Secretary to the Board at (717) 787-3483. This paragraph does not, in and of itself, create a right of appeal beyond that permitted by applicable statutes and decisional law.

For individuals who wish to challenge an action, the appeal must reach the Board within 30 days. A lawyer is not needed to file an appeal with the Board.

Important legal rights are at stake, however, so individuals should contact a lawyer at once. Persons who cannot afford a lawyer may qualify for free pro bono representation. Call the Secretary to the Board at (717) 787-3483 for more information.

## I. NPDES Renewal Permit Actions

Northeast Regional Office: Clean Water Program Manager, 2 Public Square, Wilkes-Barre, PA 18701-1915. Phone: 570.826.2511.

NPDES No. (Type)	Facility Name & Address	County & Municipality	Stream Name (Watershed No.)	EPA Waived Y/N?
PA0032123 (Sewage)	Promised Land State Park RR 1 Box 96 Greentown, PA 18426	Pike County Greene Township	East Branch Wallenpaupack Creek (1-C)	N
PA0061646 (Industrial Waste)	PA American Water— Lake Scranton WTP 1500 Lake Scranton Place Roaring Brook Twp, PA 18444	Lackawanna County Roaring Brook Township	Stafford Meadow Brook (05A)	Y

Northcentral Regional Office: Clean Water Program Manager, 208 W Third Street Suite 101, Williamsport, PA 17701-6448. Phone: 570.327.3664.

NPDES No. (Type)	Facility Name & Address	County & Municipality	Stream Name (Watershed No.)	EPA Waived Y/N?
PA0229237 (Industrial Wastewater)	Clearfield Municipal Authority Water System One Pennfield Road Clearfield, PA 16830-2405	Clearfield County Lawrence Township	Moose Creek (8-B)	Y
PA0209520 (Sewage)	LeRaysville Borough WWTP PO Box 142 LeRaysville, PA 18829	Bradford County LeRaysville Borough	Rockwell Creek (4-D)	Y
PA0046094 (Sewage)	Ives Run Recreation 710 Ives Run Lane Tioga, PA 16946-9733	Tioga County Tioga Township	Crooked Creek (4-A)	Y

Southwest Region: Water Management Program Manager, 400 Waterfront Drive, Pittsburgh, PA 15222-4745

$NPDES\ No.$ $(Type)$	Facility Name & Address	County & Municipality	Stream Name (Watershed #)	EPA Waived Y/N
PA0093149 Industrial Waste	Creekside Mushrooms One Moonlight Drive Worthington, PA 16262-9730	Armstrong County West Franklin Township	Buffalo Creek & Unnamed Tributary of Buffalo Creek	Y
PA0203661 Industrial Waste	Reaxis 941 Robinson Highway McDonald, PA 15057-0279	Washington County Robinson Township	Unnamed Tributary to Robinson Run	Y
PA0098761 Sewage	Nemacolin Woodlands Resort US Route 40 East Farmington, PA 15437	Fayette County Wharton Township	Unnamed Tributary to Deadman Run	Y
PA0205753 Sewage	East Bethlehem Township Municipal Authority PO Box 136 Fredericktown, PA 15333-0136	Washington County East Bethlehem Township	Fishpot Run	Y

#### II. New or Expanded Facility Permits, Renewal of Major Permits and EPA Nonwaived Permit Actions

Southcentral Region: Clean Water Program Manager, 909 Elmerton Avenue, Harrisburg, PA 17110. Phone: 717-705-4707.

NPDES Permit No. PA0085707, Amendment #1, Sewage, Issac Wiesenfield, PA Farm Products, LLC, 1093 Mount Airy Road, Stevens, PA 17578.

This proposed facility is located in West Cocalico Township, Lancaster County.

Description of Proposed Action/Activity: Transfer of Permit, authorization to discharge to UNT Indian Run in Watershed 7-J.

NPDES Permit No. PA0086142, Amendment #1, Sewage, Tonya Bauer, Washington Township, Berks County, PO Box 52, 120 Barto Road, Barto, PA 19504.

This proposed facility is located in Washington Township, Berks County.

Description of Proposed Action/Activity: Transfer of Permit, authorization to discharge to West Branch Perkiomen Creek in Watershed 3-E.

#### III. WQM Industrial Waste and Sewerage Actions under The Clean Streams Law

Southcentral Region: Clean Water Program Manager, 909 Elmerton Avenue, Harrisburg, PA 17110. Phone: 717-705-4707.

WQM Permit No. 2113404, Sewerage, Lemoyne Borough Municipal Authority Cumberland County, 3 Lowther Street, Lemoyne, PA 17043-2029.

This proposed facility is located in Lemoyne Borough, Cumberland County.

Description of Proposed Action/Activity: Approval of the construction of sewerage facilities consisting of a Sanitary Sewer Relocation Project.

WQM Permit No. 3674401 A-1, Sewerage, Conewago Industrial Park Water & Sewer Company, PO Box 332, Lemoyne, PA 17043.

This proposed facility is located in West Donegal Township, Lancaster County.

Description of Proposed Action/Activity: Permit amendment approving the construction / modification / operation of sewage facilities consisting of 34,000-gallon pre-equalization tank; 54,000-gallon post-equalization tank; Sequencing Batch Reactor (SBR) Unit; 75,000-gallon sludge digester (existing aeration tank); sludge thickening tanks (2)—existing clarifiers (2); all other existing units; other appurtenances.

WQM Permit No. 3806401 Amendment, 13-1, Sewerage, RV Management Services LLC, PO Box 222178, Carmel, CA 93923.

This proposed facility is located in Union Township, Lebanon County.

Description of Proposed Action/Activity: Amendment approving the modification and operation of sewage facilities consisting of addition of Two 10,000 gallon EQ tanks and one 5000 de-nitrification tank; installation of wastewater pumps and chemical feed systems; a new building to house the new tanks.

WQM Permit No. 0694415, 0606401, 0606403, 0609402, and WQG02060902 (transfers), Sewerage, Tonya Bauer, Washington Township, Berks County, PO Box 52, 120 Barto Road, Barto, PA 19504.

This proposed facility is located in Washington Township, Berks County.

Description of Proposed Action/Activity: Transfer of Permits.

WQM Permit No. 3601204, Amendment #1, Industrial Waste, Issac Wiesenfeld, PA Farm Products, LLC, 1093 Mount Airy Road, Stevens, PA 17578.

This proposed facility is located in West Cocalico Township, Lancaster County.

Description of Proposed Action/Activity: Transfer of Permit.

Northcentral Region: Clean Water Program Manager, 208 West Third Street, Williamsport, PA 17701

WQM Permit No. 01081301, Sewerage, SIC Code 4952, Robert L. Storch, 3457 Porter Road, Troy, PA 16947.

This proposed facility is located in Troy Township, Bradford County.

Description of Proposed Action/Activity: Installation of a single residence small flow treatment facility comprised of a septic tank, a subsurface sand filter, an erosion chlorinator, and a chlorine contact tank.

WQM Permit No. 1401401 A-1, Sewage, SIC Code 4952, Mid-Centre County Authority, PO Box 811, Milesburg, PA  $1685\bar{3}$ -0811.

This existing facility is located in Boggs Township, Centre County.

Description of Proposed Action/Activity: Improvements to Pump Station No. 3.

#### IV. NPDES Stormwater Discharges from MS4 Permit Actions

#### V. NPDES Waiver Stormwater Discharges from MS4 Actions

# VI. NPDES Discharges of Stormwater Associated with Construction Activities Individual Permit Actions

Northeast Region:	Watershed Management Program	Manager, 2 Pub	lic Square, Wilkes-Barre,	PA 18701-1915.
NPDES Permit No.	Applicant Name & Address	County	Municipality	Receiving Water / Use
PAI023912011	Estate of William J. & Marion E. Henn 2733 Diane Boulevard Allentown, PA 18103	Lehigh	City of Bethlehem	Unnamed Tributary to Monocacy Creek (HQ-CWF, MF)
PAI024512015	Resorts USA, Inc. P.O. Box 447 Bushkill, PA 18324	Monroe	Middle Smithfield Township	Sand Hill Creek (HQ-CWF, MF)
	E Hotels, LP 116 Turtle Walk Lane Bartonsville, PA 18321			
PAS10U127R(1)	J.G. Petrucci Co. 171 Route 173 Suite 201 Asbury, NY 08802	Northampton	Forks Township	Bushkill Creek (HQ-CWF, MF)
PAI026412006	EKG Partnership 200 Spring Hill Road Honesdale, PA 18431	Wayne	Honesdale Borough & Texas Township	UNT to Lackawaxen River (HQ-CWF, MF)
Northcentral Regi	on: Waterways & Wetlands Progra	am Manager, 208	West Third Street, Willia	msport, PA 17701
Lycoming County	Conservation District: 542 Count	ty Farm Road S	uite 202 Montoursville	PA 17754 (570) 433-300

Lycoming County Conservation District: 542 County Farm Road Suite 202, Montoursville, PA 17754, (570) 433-3003

NPDES Permit No.	Applicant Name & Address	County	Municipality	Receiving Water / Use
PAI044113003	Kent Morton Moxie Patriot LLC 612 Center St S Ste 200 Vienna VA 22180	Lycoming	Clinton Township	Turkey Run WWF, MF

Southwest Region: Waterways and Wetlands Program Manager, 400 Waterfront Drive, Pittsburgh, PA 15222-4745.

NPDES Permit No.	Applicant Name & Address	County	Municipality	Receiving Water / Use
PAI050213007	Oliver/Hatcher Construction 27333 Meadowbrook Road	Allegheny County	City of Pittsburgh	Allegheny River (WWF-N)

Suite 100

Novi, MI 48377 & RD America, LLC. 15-24 132nd Street College Point, NY 11356

PAI052613001 Matheia Society Fayette County Wharton Township Pine Run (HQ-CWF)

111 Heritage Hills Road Uniontown, PA 15401

Municipality

**NPDES** Applicant Name &

Permit No. Address

PAi056312007 PennDOT Dist. 12-0 Washington North Strabane Little Chartiers Creek PO Box 459 County

County

825 N. Gallatin Ave Ext Uniontown, PA 15401

(HQ-WWF)Township

Receiving

Water / Use

# VII. Approvals to Use NPDES and/or Other General Permits

The EPA Region III Administrator has waived the right to review or object to this permit action under the waiver provision 40 CFR 123.23(d).

List of NPDES	S and/or Other General Permit Types
PAG-1	General Permit for Discharges From Stripper Oil Well Facilities
PAG-2	General Permit for Discharges of Stormwater Associated With Construction Activities
PAG-3	General Permit for Discharges of Stormwater From Industrial Activities
PAG-4	General Permit for Discharges From Small Flow Treatment Facilities
PAG-5	General Permit for Discharges From Gasoline Contaminated Ground Water Remediation Systems
PAG-6	General Permit for Wet Weather Overflow Discharges From Combined Sewer Systems (CSO)
PAG-7	General Permit for Beneficial Use of Exceptional Quality Sewage Sludge by Land Application
PAG-8	General Permit for Beneficial Use of Non-Exceptional Quality Sewage Sludge by Land Application to Agricultural Land, Forest, a Public Contact Site or a Land Reclamation Site
PAG-8 (SSN)	Site Suitability Notice for Land Application Under Approved PAG-8 General Permit Coverage
PAG-9	General Permit for Beneficial Use of Residential Septage by Land Application to Agricultural Land, Forest, or a Land Reclamation Site
PAG-9 (SSN)	Site Suitability Notice for Land Application Under Approved PAG-9 General Permit Coverage
PAG-10	General Permit for Discharge Resulting from Hydrostatic Testing of Tanks and Pipelines
PAG-11	(To Be Announced)
PAG-12	Concentrated Animal Feeding Operations (CAFOs)
PAG-13	Stormwater Discharges from Municipal Separate Storm Sewer Systems (MS4)
PAG-14	(To Be Announced)
PAG-15	General Permit for Discharges From the Application of Pesticides

General Permit Type—PAG-02

Central Office: Bureau of Abandoned Mine Reclamation, 400 Market Street, Floor 5, PO Box 8461, Harrisburg, PA 17105-8476

Facility Location: Municipality & County	Permit No.	Applicant Name & Address	Receiving Water/Use	Contact Office & Phone No.
Boggs and Bradford Townships Clearfield County	PAG02-1017-13-006	BAMR P. O. Box 8461 Harrisburg, PA 17105-8461	Roaring Run (CWF, MF), UNT to Moravian Run CWF, MF), UNT to Laurel Run (CWF, MF)	BAMR P. O. Box 8461 Harrisburg, PA 17105-8461 717-787-7669
Ridley Township Delaware County	PAG0200 2313019	Wayne Masciarella 1501 Baltimore Place Springfield, PA 19064	Ridley Creek WWF-MF	Southeast Regional Office 2 East Main Street Norristown, PA 19401 484-250-5900
Lower Merion Township Montgomery County	PAG0200 4613062	Liberty Lutheran Services 250 N. Bethlehem Pike Ambler, PA 19002	Unnamed Tributary to Schuylkill River WWF	Southeast Regional Office 2 East Main Street Norristown, PA 19401 484-250-5900

Facility Location:				
Municipality & County	Permit No.	Applicant Name & Address	Receiving Water / Use	Contact Office & Phone No.
Upper Providence Township Montgomery County	PAG0200 4612056	Robert Fieo 169 Bechtel Road Collegeville, PA 19426	Tributary of Mingo Creek WWF-MF	Southeast Regional Office 2 East Main Street Norristown, PA 19401 484-250-5900
Lower Salford Township Montgomery County	PAG0200 4611067	Chandu Patel 617 Harleysville Pike Harleysville, PA 19438	Skippack Creek TSF	Southeast Regional Office 2 East Main Street Norristown, PA 19401 484-250-5900
Schwenksville Borough Montgomery County	PAG0200 4609100R	Alfred E Manning 1202 N Gravel Pike PO Box 303 Zieglerville, PA 19492	Perkiomen Creek TSF-MF	Southeast Regional Office 2 East Main Street Norristown, PA 19401 484-250-5900
Hatfield Borough Montgomery County	PAG0200 4613019	Hatfield Ice 2049 Stout Avenue Warminster, PA 18974	Little Neshaminy WWF	Southeast Regional Office 2 East Main Street Norristown, PA 19401 484-250-5900
Butler Township Luzerne County	PAG02004006001R	Alliance Road Associates c/o David Gray 725 Skippack Pike Suite 340 Blue Bell, PA 19422	UNT to Black Creek (CWF, MF)	Luzerne County Conservation District 570-674-7991
Forks Township Northampton County	PAR10U157R	Gary J. Strausser Strausser Enterprises, Inc. 1108 Van Buren Road Easton, PA 18045	UNT Delaware River (CWF, MF) & Delaware River (WWF, MF)	Northampton County Conservation District 610-746-1971
Waterways & Wetl 717.705.4802	ands Program, 909 El	merton Avenue, Harrisburg,	PA 17110-8200, Nath	an Crawford, Section Chief,
717.705.4002				, ,
Facility Location: Municipality & County	Permit No.	Applicant Name & Address	Receiving Water / Use	Contact Office & Phone No.
Facility Location: Municipality &	Permit No. PAG02004413003			Contact Office &
Facility Location: Municipality & County Union Township,	PAG02004413003	Address Sharp Shopper 1100 Sharp Avenue	Water/Use UNT to Kish Creek	Contact Office & Phone No. Mifflin Co. Conservation District 20 Windmill Hill #4 Burnham, PA 17009
Facility Location: Municipality & County Union Township, Mifflin County  Granville Township,	PAG02004413003	Address Sharp Shopper 1100 Sharp Avenue Ephrata, PA 17522  Locust Campground Expansion Dave Knox 126 Brannon Lane	Water/Use UNT to Kish Creek (CWF)  Juniata River	Contact Office & Phone No.  Mifflin Co. Conservation District 20 Windmill Hill #4 Burnham, PA 17009 717.248.4695  Mifflin Co. Conservation District 20 Windmill Hill #4 Burnham, PA 17009
Facility Location: Municipality & County Union Township, Mifflin County  Granville Township, Mifflin County  Upper Paxton Township,	PAG02004413003 PAG02004413004	Address Sharp Shopper 1100 Sharp Avenue Ephrata, PA 17522  Locust Campground Expansion Dave Knox 126 Brannon Lane Lewistown, PA 17044 Ned Smith Center for Nature and Art 176 Water Company Road	Water/Use UNT to Kish Creek (CWF)  Juniata River (WWF)  Wiconisco Creek	Contact Office & Phone No. Mifflin Co. Conservation District 20 Windmill Hill #4 Burnham, PA 17009 717.248.4695 Mifflin Co. Conservation District 20 Windmill Hill #4 Burnham, PA 17009 717.248.4695 Dauphin Co Conservation District 1451 Peters Mountain Rd Dauphin, PA 17018 717.921.8100

Facility Location:							
Municipality & County	Permit No.	Applicant Name & Address	Receiving Water / Use	Contact Office & Phone No.			
Silver Spring Township, Cumberland County	PAG02002113032	Sam's East, Inc. Peter Clement 2001 SE 10th Street Bentonville, AR 72716	Conodoguinet Creek (WWF)	Cumberland Co Conservation Dist. 310 Allen Road, Suite 301 Carlisle, PA 17013 717.240.7812			
Lower Allen Township, Cumberland County	PAG02002113016	High Hotels LTD Thomas Smithgall 1853 William Penn Way Lancaster, PA 17601	UNT to Cedar Run (CWF)	Cumberland Co Conservation Dist. 310 Allen Road, Suite 301 Carlisle, PA 17013 717.240.7812			
Oxford Township, Adams County	PAG02000113019	Matthew B. Livelsberger 509 Maple Avenue Hanover, PA 17331	UNT to South Branch Conewago Creek (WWF)	Adams Co. Conservation District 670 Old Harrisburg Rd, Suite 201 Gettysburg, PA 17325 717.334.0636			
Franklin Township, Adams County	PAG02000113006	Roy D. Brubaker PA DCNR Michaux State Forest 10099 Lincoln Way East Fayetteville, PA 17222	Upper Three Runs (CWF)	Adams Co. Conservation District 670 Old Harrisburg Rd, Suite 201 Gettysburg, PA 17325 717.334.0636			
Northcentral Region: Watershed Management Program Manager, 208 West Third Street, Williamsport, PA 17701							
Facility Location: Municipality & County	Permit No.	Applicant Name & Address	Receiving Water/Use	Contact Office & Phone No.			
Richmond Township Tioga County	PAG02005912002(1)	William E. Robinson 16064 Route 6 Mansfield PA 16933	Ellen Run CWF	Tioga County Conservation District 50 Plaza Lane Wellsboro, PA 16901 (570) 724-1801, X 3			
Southwest Region: 4745.	Regional Waterways	& Wetlands Program Mana	ager, 400 Waterfront L	Orive, Pittsburgh, PA 15222-			
Facility Location: Municipality & County	Permit No.	Applicant Name and Address	Receiving Water/Use	Contact Office and Phone No.			
Richland Township Cambria County	PAG02001113009	University of Pittsburgh- Johnstown Campus 450 Schoolhouse Road Johnstown, PA 15904	Little Paint Creek (CWF)	Cambria County CD 401 Candlelight Drive Suite 229 Ebensburg, PA 15931 (814) 472-2120			
Dunbar Borough & Dunbar Township Cambria County	PAG02002612018-1	Dunbar Borough/Township Sanitary Authority 93 Connellsville Street Dunbar, PA 15431	Gist Run (TSF)	Fayette County CD 10 Nickman Plaza Lemont Furnace, PA 15456 (724) 438-4497			
Masontown Borough Fayette County	PAG02002613006	Shallenberger Construction 195 Enterprise Lane Connellsville, PA 15425	Monongahela River (WWF)	Fayette County CD 10 Nickman Plaza Lemont Furnace, PA 15456 (724) 438-4497			
Somerset Township Somerset County	PAG02005613003	Somerset County Technology Center 281 Technology Drive Somerset, PA 15501	UNT to East Branch of Coxes Creek (CWF) and Wells Creek (CWF)	Somerset County CD 6024 Glades Pike Rd Suite 103 Somerset, PA 15601 (814) 445-4652			

Facility Location: Municipality & County	Permit No.	Applicant Name and Address	Receiving Water/Use	Contact Office and Phone No.			
North Strabane Township Washington County	PAG02006313015	Capital H. Development 365 Christ Road Eighty-Four, PA 15330	UNT to Chartiers Creek (WWF)	Washington County CD 2800 North Main Street Suite 105 Washington, PA 15301 (724) 705-7098			
Unity Township Westmoreland County	PAG02006508015R	M&L Partners PO Box 11067 Pittsburgh, PA 15237	Nine Mile Run (WWF)	Westmoreland County CD 218 Donohoe Road Greensburg, PA 15601 (724) 837-5271			
New Stanton Borough Westmoreland County	PAG02006513027	Hudson Holding Company, LP 2450 Shenango Valley Freeway Hermitage, PA 16148	Sewickley Creek (WWF)	Westmoreland County CD 218 Donohoe Road Greensburg, PA 15601 (724) 837-5271			
Upper Burrell Township Westmoreland County	PAG02006513030	Cygnus Holdings, LLC. 270 Hunt Valley Road New Kensington, PA 15068	UNT to Pucketa Creek (TSF)	Westmoreland County CD 218 Donohoe Road Greensburg, PA 15601 (724) 837-5271			
Northwest Regional Office—Waterways and Wetlands, 230 Chestnut Street, Meadville PA 16335							
Facility Location: Municipality & County	Permit No.	Applicant Name and Address	Receiving Water / Use	Contact Office and Phone No.			
Cranberry Township Butler County	PAG02001013019	Seven Seas & Spas c/o Mr. Daniel Carroll 3 Hospital Drive Clarion PA 16214	Unt Likens Run WWF	Butler County Conservation District 724-284-5270			
City of Erie Erie County	PAG02002512003(2)	Erie County Convention Center Authority 809 French Street Erie PA 16501	Presque Isle Bay, WWF	Erie County Conservation District 814-825-6403			
Sandy Creek Township Mercer County	PAG02004313006	Sheakleyville DPP VII LLC 9010 Overlook Blvd Brentwood TN 37027	Mill Run WWF	Mercer County Conservation District 724-662-2242			
General Permit Ty	pe—PAG-03						
Facility Location Municipality & County	Permit No.	Applicant Name & Address	Receiving Water / Use	Contact Office & Phone No.			
New Britain Borough Bucks County	PAR800124	Central Bucks School District 320 West Swamp Road Doylestown, PA 18901	Cooks Run—2-F	Southeast Region Clean Water Program 484.250.5970			
Doylestown Township Bucks County	PAR800123	Central Bucks School District 320 West Swamp Road Doylestown, PA 18901	Pine Run—2-F	Southeast Region Clean Water Program 484.250.5970			
East Vincent Township Chester County	PAR800143 A-1	Mauger & Company Inc. 300c Lawrence Drive West Chester, PA 19380	Unnamed Tributary of Schuylkill River-3D	Southeast Region Clean Water Program 484.250.5970			
Hazle Township Luzerne County	PAR232237	Johns Manville 600 Jaycee Drive Hazle Township, PA 18202	Cranberry Creek and Stony Creek—5-D	DEP Northeast Regional Office Clean Water Program 2 Public Square, Wilkes-Barre, PA 18701-1915 570.826.2511			

Southcentral Region: Clean Water Program, 909 Elmerton Avenue, Harrisburg, PA 17110. Telephone: 717-705-4707.

Facility Location: Municipality & County	Permit No.	Applicant Name & Address	Receiving Water/Use	Contact Office & Phone No.			
Lancaster County East Earl Township	PAR213514	Terre Hill Concrete Products 485 Weaverland Valley Road PO Box 10 Terre Hill, PA 17581-0010	Conestoga River / WWF	DEP—SCRO— Clean Water Program 909 Elmerton Avenue Harrisburg, PA 17110 717-705-4707			
		Terre Hill Concrete Products—Plant 1 & 3 485 Weaverland Valley Road PO Box 10 Terre Hill, PA 17581-0010					
Lancaster County East Earl Township	PAR213515	Terre Hill Concrete Products PO Box 10 Terre Hill, PA 17581-0010	Conestoga River / WWF	DEP—SCRO— Clean Water Program 909 Elmerton Avenue Harrisburg, PA 17110 717-705-4707			
		Terre Hill Concrete Products Plant #2 1232 Precast Road PO Box 10 East Earl, PA 17519-0010					
Clearfield Borough Clearfield County	PAR204834	Forum US Inc. 1102 Industrial Park Road Clearfield, PA 16830	West Branch Susquehanna River—8-C	DEP Northcentral Regional Office Clean Water Program 208 W Third Street Suite 101, Williamsport, PA 17701-6448 570.327.0530			
General Permit Type—PAG-4							
Facility Location: Municipality & County	Permit No.	Applicant Name & Address	Receiving Water / Use	Contact Office & Phone No.			
Troy Township Bradford County	PAG045284	Robert L. Storch 3457 Porter Road Troy, PA 16947	Sugar Creek—4-C	DEP Northcentral Regional Office Clean Water Program 208 W Third Street Suite 101, Williamsport, PA			

## PUBLIC WATER SUPPLY PERMITS

The Department has taken the following actions on applications received under the Pennsylvania Safe Drinking Water Act (35 P. S. §§ 721.1—721.17) for the construction, substantial modification or operation of a public water system.

Persons aggrieved by an action may appeal that action to the Environmental Hearing Board (Board) under section 4 of the Environmental Hearing Board Act and 2 Pa.C.S. §§ 501—508 and 701—704. The appeal should be sent to the Environmental Hearing Board, Second Floor, Rachel Carson State Office Building, 400 Market Street, PO Box 8457, Harrisburg, PA 17105-8457, (717) 787-3483. TDD users may contact the Board through the Pennsylvania Relay Service, (800) 654-5984. Appeals must be filed with the Board within 30 days of publication of this notice in the *Pennsylvania Bulletin* unless the appropri-

ate statute provides a different time period. Copies of the appeal form and the Board's rules of practice and procedure may be obtained from the Board. The appeal form and the Board's rules of practice and procedure are also available in Braille or on audiotape from the Secretary to the Board at (717) 787-3483. This paragraph does not, in and of itself, create a right of appeal beyond that permitted by applicable statutes and decisional law.

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For individuals who wish to challenge an action, the appeal must reach the Board within 30 days. A lawyer is not needed to file an appeal with the Board.

Important legal rights are at stake, however, so individuals should show this document to a lawyer at once. Persons who cannot afford a lawyer may qualify for free pro bono representation. Call the Secretary to the Board at (717) 787-3483 for more information.

#### SAFE DRINKING WATER

# Actions taken under the Pennsylvania Safe Drinking Water Act

Southeast Region: Water Supply Management Program Manager, 2 East Main Street, Norristown, PA 19401

Permit No. 1513516, Public Water Supply.

Applicant Coventry Manor Nursing

Home

3031 Chestnut Hill Road Pottstown, PA 19465

Township South Coventry

County Chester
Type of Facility PWS

Consulting Engineer Cawley Environmental Services,

Inc. 637 Jeffers Circle Exton, PA

19341

Permit to Construct

September 27, 2013

Issued

Permit No. 1513523, Public Water Supply.

Applicant Aqua Pennsylvania, Inc.

762 West Lancaster Avenue Bryn Mawr, PA 19010

Township West Whiteland

County Chester
Type of Facility PWS

Consulting Engineer Aqua Pennsylvania, Inc.

762 West Lancaster Avenue Bryn Mawr, PA 19010

Permit to Construct September 27, 2013

Issued

Permit No. 4613502, Public Water Supply.

Applicant Pennsylvania American

Water

800 West Hershey Park Drive

Hershey, PA 17033

Township West Norriton
County Montgomery

Type of Facility PWS

Consulting Engineer URS Corporation

Iron Hill Corporation Center

4051 Ogletown Road

Suite-300

Newark, DE 19713 September 25, 2013

Permit to Construct Issued

Permit No. 2313504, Public Water Supply.

Applicant Chester Water Authority

415 Welsh Street P.O. Box 467 Chester, PA 19016

Township Bethel
County **Delaware**Type of Facility PWS

Consulting Engineer Tank Industry Consultants

Consultants

7740 West New York Street Indianapolis, Indiana 46214 Permit to Construct

September 27, 2013

Issued

Southcentral Region: Water Supply Management Program Manager, 909 Elmerton Avenue, Harrisburg, PA

Permit No. 2813504, Public Water Supply.

Applicant South Mountain Restoration

Center

Municipality Guilford Township

County Franklin

Responsible Official Dennis L Fleagle, Facilities

Maintenance Manager 10058 South Mountain Road South Mountain, PA 17261-0999

Type of Facility This application is for the

addition of a new polymer as aid in coagulation, addition of sodium permanganate as pretreatment agent, and the rebuilding of the filter media as

regular maintenance.

Consulting Engineer Desai Milind, P.E.

PA Department of Public Welfare

3 Gingko Drive Harrisburg, PA 17110

Permit to Construct

Issued:

**Operations Permit** issued to: **Christman Lake**, 3060141, Windor Township, **Berks County** on 9/18/2013 for the operation of facilities approved under Construction Permit No. 0609514.

9/26/2013

**Operations Permit** issued to: **H2O to Go**, 7366413, **Lancaster County** on 9/18/2013 for the operation of facilities submitted under Application No. 7366413.

Operations Permit issued to: Coaldale-Six Mile Run Water Corporation, 4050025, Coaldale Borough, Bedford County on 9/18/2013 for the operation of facilities approved under Construction Permit No. 0512511 MA.

**Operations Permit** issued to: **Peifer Brothers**, 7360072, Warwick Township, **Lancaster County** on 9/9/2013 for the operation of facilities approved under Construction Permit No. 3613507.

**Operations Permit** issued to: **Vail Water Association, LLC**, 4070056, Tyrone Borough, **Blair County** on 9/18/2013 for the operation of facilities approved under Construction Permit No. 0713508 MA.

Northcentral Region: Safe Drinking Water Program Manager, 208 West Third Street, Suite 101, Williamsport, PA 17701-6448.

**Permit No. 0813501-MA Operation** Public Water Supply.

Applicant Towanda Municipal

Authority

Township/Borough Towanda Borough

County Bradford

Responsible Official Fred Johnson, Superintendent

Towanda Municipal Authority

724 Main Street Towanda, PA 18848

Type of Facility Public Water Supply Consulting Engineer Eric A. Casanave, P.E.

> Stiffler, McGraw and Assoc., Inc. 1731 North Juniata Street

Hollidaysburg, PA 16648

Permit Issued 9/27/13

Description of Action Operation of an automated,

> self-service, bulk water loading station, housed within a prefabricated, steel-sided, insulated enclosure that is anchored to a concrete base that replaces the fire hydrant based, manually operated, Towanda fill

station.

Permit No. Minor Amendment—Operation Public

Water Supply.

Northern Tioga School Applicant

District

Township/Borough Lawrence Township

County **Tioga** 

Responsible Official Dale Crans, Maintenance

Supervisor

Northern Tioga School District

17 Coates Avenue Elkland, PA 16920

Type of Facility Public Water Supply Consulting Engineer Gregory Cummings, P.E. Larson Design Group

1 West Market Street, Suite 301

Corning, NY 14830

Permit Issued

Description of Action Operation of the new hydro

pneumatic storage tank and pressure-sustaining duplex pump

Southwest Region: Water Supply Management Program Manager, 400 Waterfront Drive, Pittsburgh, Pa 15222-

Permit No. 2613503, Public Water Supply.

Applicant Pennsylvania American

Water Company

800 West Hersheypark Drive

Hershey, PA 17033

[Borough or Township] City of Connellsville

County **Fayette** 

Type of Facility Snyder Street pump station

Consulting Engineer

Permit to Construct September 24, 2013

Issued

Permit No. 1113514MA, Minor Amendment. Public

Water Supply.

Applicant Municipal Authority of the

**Borough of Portage** 606 Cambria Street Portage, PA 15946

[Borough or Township] Portage Township

County Cambria Type of Facility Water system Consulting Engineer The EADS Group, Inc.

450 Aberdeen Drive Somerset, PA 15501

Permit to Operate Issued

September 24, 2013

Northwest Region: Safe Drinking Water Program Manager, 230 Chestnut Street, Meadville, PA 16335-3481

Transfer of Operation Permit issued to Scenic Mobile Home Park, LLC, PWSID #6430001, Pymatuning Township, Mercer County on September 24, 2013. Action is for change in ownership; the potable water supplier will do business as Scenic Mobile Home Park. The new permit number is 4388506-MA1-T1.

Construction/Operation Permit issued Borough of Ridgway, PWSID #6240012, Ridgway Borough, Elk **County**, for the construction/operation of the installation and operation of mobile bulk loading stations at two (2) take points for the purpose of bulk water sale for non-potable water. Construction and Operation authorized simultaneously under permit number 2491502-MA3 issued September 24, 2013.

Permit No. 2013503 Public Water Supply

**Forest Green Estates** Applicant

Associates, LP

Township or Borough West Mead Township

Crawford County

Type of Facility **Public Water Supply** Consulting Engineer Mark J. Corey, P.E.

Mark J. Corey & Associates

P.O. Box 268

Harborcreek, PA 16421 September 25, 2013

Permit to Construct

Issued

LAND RECYCLING AND ENVIRONMENTAL REMEDIATION

> **UNDER ACT 2, 1995** PREAMBLE 2

The following plans and reports were submitted under the Land Recycling and Environmental Remediation Standards Act (35 P.S. §§ 6026.101— 6026.907).

Provisions of Sections 301—308 of the Land Recycling and Environmental Remediation Standards Act (act) (35 P. S. §§ 6026.301—6026.308) require the Department to publish in the Pennsylvania Bulletin a notice of submission of plans and reports. A final report is submitted to document cleanup of a release of a regulated substance at a site to one of the act's remediation standards. A final report provides a description of the site investigation to characterize the nature and extent of contaminants in environmental media, the basis for selecting the environmental media of concern, documentation supporting the selection of residential or nonresidential exposure factors, a description of the remediation performed and summaries of sampling analytical results which demonstrate that remediation has attained the cleanup standard selected. Submission of plans and reports, other than the final report, will also be published in the Pennsylvania Bulletin. These include the remedial investigation report, risk assessment report and cleanup plan for a site-specific standard remediation. A remedial investigation report

includes conclusions from the site investigation; concentration of regulated substances in environmental media; benefits of reuse of the property; and, in some circumstances, a fate and transport analysis. If required, a risk assessment report describes potential adverse effects caused by the presence of regulated substances. If required, a cleanup plan evaluates the abilities of potential remedies to achieve remedy requirements.

For further information concerning plans or reports, contact the environmental cleanup program manager in the Department regional office under which the notice of receipt of plans or reports appears. If information concerning plans or reports is required in an alternative form, contact the community relations coordinator at the appropriate regional office. TDD users may telephone the Department through the AT&T Relay Service at (800) 654-5984.

The Department has received the following plans and reports:

Northeast Region: Eric Supey, Environmental Cleanup and Brownfields Program Manager, 2 Public Square, Wilkes-Barre, PA 18701-1915.

Carbondale Former Manufactured Gas Plant, 8th Avenue at Mill Street, Lackawanna County, Bruce Middleman, Stantec Consulting Services Inc., has submitted a Cleanup Plan on behalf of his clients, Anthony Rymar, UGI Penn Natural Gas, Inc., 2525 North 12th Street, Reading, PA 19612, concerning the remediation of soil and groundwater found to have been impacted by polycyclic aromatic hydrocarbons (PAH), volatile organic compounds (VOC) and lead caused from historical operations used for the manufacture of coal gas. The applicants propose to remediate the site to meet the residential Statewide Health Standards for soil and the Site Specific Standards for soil and groundwater. A summary of the Cleanup Plan was published in *The Carbondale News/The Villager* on September 11, 2013.

Okula Property, 2 Deibert Drive, Jackson Township, Monroe County. Jim Gibson, Brinkerhoff Environmental Services, Inc., 133 Jackson Road, Suite D, Medford, NJ 08055 has submitted a Final Report (on behalf of his client, Anna Okula, 2 Deibert Drive, Stroudsburg, PA 18360), concerning the remediation of soil and groundwater found to have been impacted by kerosene as a result of a release from a kerosene aboveground storage tank. The applicant proposes to remediate the site to meet the Residential Statewide Health Standard for soil and groundwater. A public notice regarding the submission of the Final Report was published in *The Pocono Record*, on September 4, 2013.

Marston Property, 104 Bone Ridge Road, Paupack Township, Wayne County, Thomas Hippensteal, Envirosearch Consultants, Inc., has submitted a Final Report on behalf of his client, Mr. Charles Marston, 301 Green Road, Berwyn, PA 19312, concerning the remediation of soil found to have been impacted by #2 fuel oil, released from an aboveground storage tank due to vandalism. The applicant proposes to remediate the site to meet the Residential Statewide Health Standards for soil. The intended future use of the site is residential. A public notice regarding the submission of the Final Report was published in *The Wayne Independent* on September 19, 2013.

Southwest Region: Environmental Cleanup Program Manager, 400 Waterfront Drive, Pittsburgh, Pa 15222-4745

Buffalo Creek Farms, 207 Iron Bridge Road, South Buffalo Township, Armstrong County, Harris Environmental Inc., 600 Stone House Road, Clarion PA. 16214, on behalf of Buffalo Creek Farms, submitted a final report for the remediation of contaminated site soils encountered during the removal of two unregulated underground storage tanks that contained gasoline for farm equipment use. The report is intended to document remediation of the site to meet the residential statewide health standard.

Comtech Industries Inc. (former Fort Pitt Lot #2), Fort Pitt Business Park, Four Coins Drive, Borough of Canonsburg, Washington County. BA Group Inc., 2525 Green Tech Drive, Suite D, State College PA 16803, on behalf of Comtech Industries Inc., submitted a Final Report concerning remediate localized areas of contamination present in site soils to the statewide health and suite specific standard for VOC's, SVOC's and metals. Future use of the property will be non-residential.

Former GM Stamping Plant, West Mifflin Borough, Allegheny County. KU Resources, 22 South Linden Street, Duquesne PA 15110 on behalf of Michael J. Roberts, Mifflin, LLC, 1650 Des Peres Rd, Suite 303, St. Louis, MO 63131 has submitted a Risk Assessment and Cleanup Plan Remedial on September 26 2013. Environmental concerns include metal impacted soil and groundwater with hydraulic oils which have been released throughout the former manufacturing building.

# LAND RECYCLING AND ENVIRONMENTAL REMEDIATION

UNDER ACT 2, 1995 PREAMBLE 3

The Department has taken action on the following plans and reports under the Land Recycling and Environmental Remediation Standards Act (35 P. S. §§ 6026.101—6026.907).

Section 250.8 of 25 Pa. Code and administration of the Land Recycling and Environmental Remediation Standards Act (act) require the Department to publish in the Pennsylvania Bulletin a notice of its final actions on plans and reports. A final report is submitted to document cleanup of a release of a regulated substance at a site to one of the remediation standards of the act. A final report provides a description of the site investigation to characterize the nature and extent of contaminants in environmental media, the basis of selecting the environmental media of concern, documentation supporting the selection of residential or nonresidential exposure factors, a description of the remediation performed and summaries of sampling methodology and analytical results which demonstrate that the remediation has attained the cleanup standard selected. Plans and reports required by the act for compliance with selection of remediation to a sitespecific standard, in addition to a final report, include a remedial investigation report, risk assessment report and cleanup plan. A remedial investigation report includes conclusions from the site investigation; concentration of regulated substances in environmental media; benefits of reuse of the property; and, in some circumstances, a fate and transport analysis. If required, a risk assessment report describes potential adverse effects caused by the presence of regulated substances. If required, a cleanup

plan evaluates the abilities of potential remedies to achieve remedy requirements. A work plan for conducting a baseline remedial investigation is required by the act for compliance with selection of a special industrial area remediation. The baseline remedial investigation, based on the work plan, is compiled into the baseline environmental report to establish a reference point to show existing contamination, describe proposed remediation to be done and include a description of existing or potential public benefits of the use or reuse of the property. The Department may approve or disapprove plans and reports submitted. This notice provides the Department's decision and, if relevant, the basis for disapproval.

For further information concerning the plans and reports, contact the environmental cleanup program manager in the Department regional office under which the notice of the plan or report appears. If information concerning a final report is required in an alternative form, contact the community relations coordinator at the appropriate regional office. TDD users may telephone the Department through the AT&T Relay Service at (800) 654-5984.

The Department has received the following plans and reports:

Northeast Region: Eric Supey, Environmental Cleanup and Brownfields Program Manager, 2 Public Square, Wilkes-Barre, PA 18701-1915.

Kumernitsky Residence, 2863 West Scenic Drive, Moore Township, Northampton County, Richard Trimpi, Trimpi Associates, Inc., had submitted a Final Report on behalf of his clients, Mr. & Mrs. Frank Kumernitsky, 2863 West Scenic Drive, Danielsville, PA 18038, concerning the remediation of soil found to have been impacted by kerosene from a corrosion hole that opened on an aboveground storage tank during delivery. The report documented attainment of the Residential Statewide Health Standard for soils and was approved on September 19, 2013.

Southcentral Region: Environmental Cleanup and Brownfields Program Manager, 909 Elmerton Avenue, Harrisburg, PA 17110. Phone 717.705.4705.

Eakin Residence, 605 Hastings Drive. Harrisburg, PA 17112, Lower Paxton Township, Dauphin County. BL Companies, 4242 Carlisle Pike, Suite 260, Camp Hill, PA 17011, on behalf of George Eakin, 605 Hastings Drive, Harrisburg, PA 17112, submitted a Final Report concerning the remediation of site soils contaminated with No. 2 fuel oil released from an aboveground storage tank. The Final Report demonstrated attainment of the Residential Statewide Health standard and was approved by the Department on September 26, 2013.

Maple Press Company, 480 Willow Springs Lane, York, PA 17404, Manchester Township, York County. BL Companies, Inc., 4242 Carlisle Pike, Suite 260, Camp Hill, PA 17011, on behalf of The Maple Press Company, 480 Willow Springs Lane, York, PA 17406, submitted a Final Report concerning the remediation of site groundwater contaminated with petroleum hydrocarbons and chlorinated solvents. The Final Report did not demonstrate attainment of the Statewide Health standard and was disapproved by the Department on September 27, 2013.

## REGISTRATION FOR GENERAL PERMIT—RESIDUAL WASTE

Registration issued Under the Solid Waste Management Act (35 P. S. §§ 6018.101—6018.1003); the Residual Waste Planning, Recycling and Waste Reduction Act (53 P. S. §§ 4000.101—4000.1904); and Residual Waste Regulations for a General Permit to Operate Residual Waste Processing Facilities and/or the Beneficial Use of Residual Waste Other Than Coal Ash.

Northcentral Region: Regional Solid Waste Manager, 208 West Third Street, Williamsport, PA 17701

General Permit Registration No. WMGR079NC002. Glenn O. Hawbaker, Inc., 711 East College Avenue, Bellefonte, PA 16823. Registration to operate under General Permit No. WMGR079 for a processing facility located in Loyalsock Township, Lycoming County, for processing and beneficial use of waste asphalt shingles. The registration was approved by Northcentral Regional Office on September 25, 2013.

Persons interested in reviewing the general permit may contact Lisa D Houser, P.E., Facilities Manager, Williamsport Regional Office, 208 West Third Street, Suite 101, Williamsport, PA 17701, (570) 327-3740. TDD users may contact the Department through the Pennsylvania Relay service, (800) 654-5984.

### AIR QUALITY

General Plan Approval and Operating Permit Usage Authorized under the Air Pollution Control Act (35 P. S. §§ 4001—4015) and 25 Pa. Code Chapter 127 to construct, modify, reactivate or operate air contamination sources and associated air cleaning devices.

Northeast Region: Air Quality Program, 2 Public Square, Wilkes-Barre, PA 18711-0790

Contact: Norman Frederick, Facilities Permitting Chief—Telephone: 570-826-2409

**GP5-58-007:** Susquehanna Gathering Co., LLC (1299 Oliver Road, New Milford, PA 18834) on September 4, 2013, to construct and operate twelve (12) IC Engines, one (1) generator, three (3) dehydrators, and three (3) condensate tanks at a Natural Gas Compressor Station site in New Milford Township, Susquehanna County.

**GP3-40-004:** Hunlock Sand & Gravel Co. (125 Gravel Road, Hunlock Creek, PA 18621) on September 5, 2013, to construct and operate a Portable Crushing Operation with water sprays in Hunlock Township, Luzerne County.

GP5-58-013: Susquehanna Gathering Co. I, LLC (PO Box 839, 1429 Oliver Road, New Milford, PA 18834) on September 26, 2013, to construct and operate a Natural Gas Compressor Facility at their site in Jackson Township, Susquehanna County.

GP5-58-012: Williams Field Services LLC (51 Warren Street, Tunkhannock, PA 18657) on September 17, 2013, to construct and operate compressor engines and dehys/reboilers at the Shields natural Gas Compressor Station site located in Dimock Township, Susquehanna County.

Northcentral Region: Air Quality Program, 208 West Third Street, Williamsport, PA 17701

Contact: Muhammad Q. Zaman, Environmental Program Manager—Telephone: 570-327-3648

GP3-41-634B: E&J Construction LLC (1842 Masten Road, Canton, PA 17724) on September 24, 2013, to construct and operate a Kolberg model FT2650 portable jaw crusher pursuant to General Plan Approval and/or General Operating Permit For Portable Nonmetallic Mineral Processing Plants (BAQ-GPA/GP-3) at the Minnier Quarry No. 1 in McNett Township, Lycoming County.

GP11-41-634: E&J Construction LLC (1842 Masten Road, Canton, PA 17724) on September 24, 2013, to construct and operate a 305 bhp Cummins model QSC-CPL-8539 diesel-fired engine pursuant to General Plan Approval and/or General Operating Permit (BAQ-GPA/GP 11): Nonroad Engine(s) at the Minnier Quarry #1 in McNett Township, Lycoming County.

Plan Approvals Issued under the Air Pollution Control Act and regulations in 25 Pa. Code Chapter 127, Subchapter B relating to construction, modification and reactivation of air contamination sources and associated air cleaning devices.

Southeast Region: Air Quality Program, 2 East Main Street, Norristown, PA 19401

Contact: James Beach, New Source Review Chief— Telephone: 484-250-5920

**23-0003W:** Monroe Energy, LLC (4101 Post Road, Trainer, PA 19061) issued on September 24, 2013, for a D2 project to increase ultra-low sulfur diesel production located at 4101 Post Road, Trainer Borough, **Delaware County**. This plan approval authorizes the construction of the project, and the transfer and use of volatile organic compound (VOC) emission reduction credits (ERCs).

Northeast Region: Air Quality Program, 2 Public Square, Wilkes-Barre, PA 18711-0790

Contact: Norman Frederick, Facilities Permitting Chief—Telephone: 570-826-2409

**40-00001A:** Fairchild Semiconductor Corp. (125 Crestwood Road, Mountaintop, PA 18707) on September 30, 2013, for reactivation of an acid scrubber and operation of two (2) burn boxes exhausting to an acid scrubber at their facility in Wright Township, Luzerne County.

Southcentral Region: Air Quality Program, 909 Elmerton Avenue, Harrisburg, PA 17110

Contact: Thomas J. Hanlon, Facility Permitting Chief— Telephone: 717-705-4862 or William Weaver, Program Manager—Telephone: 717-705-4702.

**07-05021B: Albemarle Corp.** (2858 Back Vail Road, Tyrone PA 16686-8100) on September 16, 2013, for installation of two scrubbers. The scrubbers will be used to control emissions generated from existing chemical processing equipment at their Tyrone Facility in Tyrone Borough, **Blair County**.

Northcentral Region: Air Quality Program, 208 West Third Street, Williamsport, PA 17701

Contact: Muhammad Q. Zaman, Environmental Program Manager—Telephone: 570-327-3648

**60-00022A: EN-TIRE Logistics of Milton PA, LLC** (1205 Edgecliff Drive, Bedford, TX 76022) on September 27, 2013, issued a plan approval to construct a two stage (boiler/kiln) tire-derived fuel/natural gas-fired combustion

unit and associated control devices, a cooling tower, a dry sorbent storage and handling system, and a 10,000 gallon aqueous ammonia storage tank on the property of the NGC Industries, LLC facility located in White Deer Township, **Union County**.

Plan Approval Revisions Issued including Extensions, Minor Modifications and Transfers of Ownership under the Air Pollution Control Act and 25 Pa. Code §§ 127.13, 127.13a and 127.32.

Southeast Region: Air Quality Program, 2 East Main Street, Norristown, PA 19401

Contact: James Beach, New Source Review Chief— Telephone: 484-250-5920

**46-0274A: Tegrant Diversified Brands, Inc.** (161 Corporate Drive, Montgomery, PA 18936) on September 25, 2013, to operate a rigid polyurethane foam process in Montgomery Township, **Montgomery County**.

**46-0169D:** Constantia Colmar, Inc. (92 County Line Road, Colmar, PA 18915) on September 20, 2013, for the operation of a new flexographic press in Hatfield Township, **Montgomery County**.

15-0060G: Southeastern Chester County Refuse Authority (SECCRA) (219 Street Road, West Grove, PA 19390) on September 24, 2013, for expansion of its existing landfill in London Grove Township, Chester **County**. The modification revises the testing frequency for volatile organic compounds (VOC) in the landfill gas. The modification permits the enclosed flare (Source ID  $C0_7$ ) to operate up to its full capacity as a primary control device as well as a backup control device to two existing landfill gas fired engines (Source IDs  $\rm CO_5$  and  $\rm CO_8$ ). Emissions allowed on a 12-month rolling basis for the flare itself are increased for VOC, nitrogen oxides (NO<sub>x</sub>), carbon monoxide (CO), sulfur oxides (SO<sub>x</sub>) and particulate matter  $(PM/PM_{10}/PM_{2.5})$ . However emissions of these pollutants allowed for the combination of the engines and the flare remain unchanged. Several clarifications and corrections of typographical errors are made.

Northcentral Region: Air Quality Program, 208 West Third Street, Williamsport, PA 17701

Contact: Muhammad Q. Zaman, Environmental Program Manager—Telephone: 570-327-3648

47-309-001A: United States Gypsum Co. (60 PPL Road, Danville, PA 17821) on September 20, 2013, for authorization to continue operation of the railcar handling and unloading system at their wallboard manufacturing plant in Derry Township, Montour County pursuant to the terms and conditions of the plan approval an additional 180 days in order to continue the ongoing compliance evaluation for the air contaminant sources and incorporate them into the initial Title V operating permit for their Washingtonville facility. The plan approval has been extended.

**08-00010F: Global Tungsten & Powders Corp.** (1 Hawes Street, Towanda, PA 18848) on September 19, 2013, to extend the authorization to operate the sources pursuant to the plan approval an additional 180 days from September 27, 2013 to March 26, 2014, at their facility in Towanda Borough, **Bradford County**. The plan approval has been extended.

**08-399-019E: Global Tungsten & Powders Corp.** (1 Hawes Street, Towanda, PA 18848) on September 19, 2013, to extend the authorization to operate the sources pursuant to the plan approval an additional 180 days

from October 12, 2013 to April 10, 2014, at their facility in Towanda Borough, **Bradford County**. The plan approval has been extended.

**18-00011I:** Croda, Inc. (8 Croda Way, Mill Hall, PA 17751) on September 19, 2013, to extend the authorization to operate the sources pursuant to the plan approval an additional 180 days from September 28, 2013 to March 27, 2014 at their facility in Bald Eagle Township, Clinton County. The plan approval has been extended.

Southwest Region: Air Quality Program, 400 Waterfront Drive, Pittsburgh, PA 15222-4745

Contact: M. Gorog & B. Hatch, Environmental Engineer Managers—Telephone: 412-442-4163/5226

**32-00055F: NRG Homer City Services, LLC** (1750 Power Plant Rd., Homer City, PA 15748) on September 25, 2013, to extend the period of temporary operation of the 313 MMBtu/hr distillate oil-fired auxiliary boiler authorized under plan approval PA-32-00055F, until April 4, 2014, at Homer City Generating Station located in Black Lick and Center Townships, **Indiana County**. The plan approval has been extended.

**32-00055G:** NRG Homer City Services, LLC (1750 Power Plant Rd., Homer City, PA 15748) on September 25, 2013, to allow the continued construction of activated carbon injection (ACI) systems to control mercury emissions from boiler Units 1 & 2 at Homer City Generating Station located in Black Lick and Center Townships, Indiana County.

**03-00245B: Rosebud Mining Co.** (301 Market Street, Kittanning, PA 16201) on September 26, 2013, to extend temporary operation and allow time to apply for a modification of this Plan Approval for their TJS No. 6 Coal Preparation Plant located in Plumcreek Township, **Armstrong County**. The plan approval has been extended.

**63-00943A: Dominion Transmission, Inc.**, (445 West Main Street, Clarksburg, WV 26301) on September 30, 2013, issued a plan approval extension with an expiration date of April 4, 2014. The name of the Permit Contact was also changed from Scott Kingston to Jeffery Zehner during this action. This action authorizes Dominion Transmission to continue to temporarily operate a propane storage and marketing station, known as the Charleroi Propane Station, in Fallowfield Township, **Washington County**. The plan approval has been extended.

Northwest Region: Air Quality Program, 230 Chestnut Street, Meadville, PA 16335-3481

Contact: Edward Orris, New Source Review Chief— Telephone: 814-332-6636

10-265B: Iron Mountain Information Management—The Underground (1137 Branchton Road, Boyers, PA 16020) on September 30, 2013, to issue a plan approval extension for the installation of ten (10) emergency generators at their facility in Cherry Township, Butler County. The plan approval has been extended.

**24-120C:** Dominion Transmission, Inc.—Ardell Station (389 Crissman Road, Weedville, PA 15865) on September 30, 2013, to issue a plan approval extension for the construction of a 10310 hp compressor turbine, eight new 0.842 mmbtu/hr microturbines, and ten space heaters in Benezette Township, **Elk County**. This is a Title V facility. The plan approval has been extended.

33-140B: Dominion Transmission, Inc.—Punxsutawney Station (88 Laska Road, Punxsutawney, PA

15767) on September 30, 2013, to issue a plan approval extension for the construction of a 6130 hp compressor turbine, five new 0.842 mmbtu/hr micro-turbines, and one new 2.76 mmbtu/hr line heater for a measurement and regulation facility in Perry Township, **Jefferson County**. This is a Title V facility. The plan approval has been extended.

33-185A: Columbia Gas Transmission LLC—Iowa Compressor Station (Iowa Road, Pine Creek, PA 17739) on September 30, 2013, to issue a plan approval extension for the construction of two compressor engines and support equipment. This is a State Only facility and is in Pine Creek Township, Jefferson County. The plan approval has been extended.

**37-317B: Ellwood Mill Products** (712 Moravia Street, New Castle, PA 16101) on September 30, 2013, to issue a plan approval extension for the construction of a natural gas-fired forge furnace with a total heat input of 26.4 mmbtu/hr in New Castle City, **Lawrence County**. This is a State Only facility. The plan approval has been extended.

### Title V Operating Permits Issued under the Air Pollution Control Act and 25 Pa. Code Chapter 127, Subchapter G.

Southwest Region: Air Quality Program, 400 Waterfront Drive, Pittsburgh, PA 15222-4745

Contact: Barbara Hatch, Facilities Permitting Chief— Telephone: 412-442-4174

11-00378: Interpower—Ahlcon Partners, L.P. (141 Interpower Drive Colver, PA 15927) on September 24, 2013, to issue a Title V Operating Permit effective September 24, 2013, to authorize the continued operations of their Colver Power Plant in Cambria Township, Cambria County.

### Operating Permits for Non-Title V Facilities Issued under the Air Pollution Control Act and 25 Pa. Code Chapter 127, Subchapter F.

Southeast Region: Air Quality Program, 2 East Main Street, Norristown, PA 19428

Contact: Janine Tulloch-Reid, Facilities Permitting Chief—Telephone: 484-250-5920

15-00051: Allan A. Myers, L.P.—d/b/a Independence Construction Materials,—Devault Asphalt Plant (Route 29, (Devault) Charlestown Twp., PA 19432) On September 27, 2013, for a non-Title V, State Only, Synthetic Minor operating permit in Charlestown Township, Chester County. This action is a renewal of the State Only Operating Permit. The permit was initially issued on 5-21-2008 and will expire on 9/30/2013. The Allan A. Myers Devault Asphalt Plant consists of counter-flow drum mix asphalt plant, with a rated capacity of 450 tons per hour (tph) and an aggregate drum dryer/burner that uses natural gas as the primary fuel and No. 2 fuel oil as the backup fuel. The plant utilizes a combination baghouse/cyclone for control of particulate matter emissions. The plant also has a recycled asphalt pavement (RAP) crusher, rated at 250 tph. The renewed permit will include monitoring, recordkeeping and reporting requirements designed to keep the facility operating within all applicable air quality requirements.

**09-00096: Suburban Heating Oil Partners** (3115 State Road, Telford, PA 18969) on September 27, 2013, for renewal of a State Only, Synthetic Minor Operating Permit in West Rockhill Township, **Bucks County**. The

facility operates a petroleum bulk facility and gasoline dispensing facility. The proposed renewal of the Operating Permit includes: name change from Inergy Propane to Suburban Heating Oil Partners, the addition of a facility Volatile Organic Compound (VOC) emission limit of 2.0 tons per year, and the addition of NESHAPS Subpart CCCCCC regulations for gasoline dispensing facilities. The facility maintains a synthetic minor operating status by maintaining total VOC emissions to less than 24.90 tons per year. The renewed permit includes testing, monitoring, recordkeeping and reporting requirements designed to keep the facility operating within all applicable local, state, and federal air quality requirements.

Northeast Region: Air Quality Program, 2 Public Square, Wilkes-Barre, PA 18711-0790

Contact: Norman Frederick, Facilities Permitting Chief—Telephone: 570-826-2409

54-00033: Waste Management & Processors, Inc. (P.O. Box K, Frackville, PA 17931-0609) on September 25, 2013, for the operation of a coal preparation plant in Mahanoy Township, Schuylkill County. The particulate emission will be controlled through the use of a water suppression system. This is a renewal of a State-Only operating permit. The State-Only operating permit includes emissions, work practice standards and testing, monitoring, recordkeeping and reporting requirements designed to keep the facility operating within all applicable air quality requirements.

Southwest Region: Air Quality Program, 400 Waterfront Drive, Pittsburgh, PA 15222-4745

Contact: Barbara Hatch, Facilities Permitting Chief— Telephone: 412-442-4174

11-00498: Pets After Life Services / Portage (3215 Frankstown Road, Portage, PA 15946) on September 24, 2013, the Department issued a State Only Operating Permit for the pet crematory located in Portage Township, Cambria County. The subject facility consists of a R&K Incinerator, Model 36, with a primary chamber rated at 0.210 MMBtu/hr and a secondary chamber rated at 0.280 MMBtu/hr. The facility has the potential to emit less than 1 ton per year of each criteria pollutant. Once during each cremation cycle, the permittee shall conduct a fugitive emission survey and a malodor survey around the perimeter of the facility property to ensure compliance with 25 Pa. Code §§ 123.1, 123.2, and 123.31. Records of the survey performed must be recorded. Particulate emissions shall not exceed 0.08 gr/dscf corrected to 7% oxygen. The permittee shall maintain records of time and corresponding temperature during each cremation cycle when the temperature of the secondary combustion chamber is least 1800 degrees Fahrenheit; the amount of fuel used on a monthly basis; hours of operation; and cremation cycles. The temperature of the secondary (or last) chamber shall achieve at least 1800 degrees Fahrenheit during each cremation cycle. The proposed authorization is subject to State and Federal Regulations. The permit includes operation requirements, monitoring requirements, and recordkeeping requirements.

**63-00874: Therm-O-Rock East, Inc.** (85 Washington Avenue, Donora, PA 15033) on September 24, 2013, the Department issued a State Only Operation Permit for the operation blending, bagging of thermally expanding nonmetallic minerals (perlite and vermiculite) located in the Donora Borough, **Washington County**. The subject facility consists of storage silos, mixers, and material handling and transferring. The facility has the potential to emit 9.19 tpy PM and 2.70 tpy PM-10. The facility is

required to conduct a weekly survey of the facility during daylight hours while the facility is operating to ensure compliance with the visible emission, fugitive emission and malodor restrictions. Records of the weekly survey performed must be recorded. Monthly preventative maintenance inspections are to be performed on the control devices and recorded in an on-site log. Particulate matter emissions are not to exceed 0.04 gr/dscf. The proposed authorization is subject to State and Federal Regulations. The permit includes operation requirements, monitoring requirements, and recordkeeping requirements.

Operating Permit Revisions Issued including Administrative Amendments, Minor Modifications or Transfers of Ownership under the Air Pollution Control Act and 25 Pa. Code §§ 127.412, 127.450, 127.462 and 127.464.

Southeast Region: Air Quality Program, 2 East Main Street, Norristown, PA 19401

Contact: Janine Tulloch-Reid, Facilities Permitting Chief—Telephone: 484-250-5920

15-00027: Johnson Matthey, Inc.—Catalyst Systems Div. (456 Devon Park Drive, Wayne, PA 19087-1816) On September 24, 2013, located in Tredyffrin Township, Chester County. The company operates a catalytic converter manufacturing, research and development facility. The Title V Operating Permit is being amended to incorporate into TVOP the conditions and sources from Plan Approval 15-0027J, the NOx Plantwide Applicability Limit (PAL) restriction from Plan Approval 15-0027K, to identify a change in the name, address or phone number of the Responsible Official and Permit Contact Person, and to make minor corrections to the operating permit.

46-00158: Colorcon, Inc. (415 Moyer Boulevard, West Point, PA 19486) On September 25, 2013, for a Minor Modification of State Only (Natural Minor) Operating Permit No. 46-00158 for its facility located in Upper Gwynedd Township, Montgomery County. The Minor Modification incorporates the following changes regarding control devices associated with the existing lake plant spray dryer (Source ID 120):

- The existing dust collector (Source ID C120) is followed by an existing demister (not previously listed).
- The existing dust collector may be operated with a differential pressure of less than or equal to 9 inches of water.

The Minor Modification is issued under the Air Pollution Control Act (35 P. S.  $\S 4001-4015$ ) and 25 Pa. Code  $\S 127.461-127.462$ .

46-00121: Main Line Hospitals, Inc.—dba Lankenau Medical Center (100 E. Lancaster Avenue, Wynnewood, PA 19096) on September 27, 2013, located in Lower Merion Township, Montgomery County. The operating permit was amended to remove an operating hour restriction from Source ID 200 and 500 of the facility's State Only Operating Permit, 46-00121. The removal of the operating hour restriction does not reflect any changes or increases to facility emissions and does relieve the facility from complying with all other air quality requirements. The sources consist of eight (8) diesel-fired emergency electric generators. This Administrative Amendment is issued in accordance with the Air Pollution Control Act (35 P.S. §§ 4001—4015) and 25 Pa. Code § 127.450.

Southwest Region: Air Quality Program, 400 Waterfront Drive, Pittsburgh, PA 15222-4745

Contact: Barbara Hatch, Facilities Permitting Chief— Telephone: 412-442-4174

30-00183: EQT Gathering, LLC, (Suite 1700, 625 Liberty Ave, Pittsburgh, PA, 15222) Administrative Amendment issued on September 30, 2013 to change the names of the Responsible Official, Permit Contact, and Plant Contact. Wesley Soyster is now the Responsible Official and Kimberly Walker is now the Permit Contact, and Plant Contact in a State Only Operating Permit for a natural gas compressor station known as the Jupiter Compressor Station, located in Morgan Township, Greene County.

De Minimis Emissions Increases Authorized under 25 Pa. Code § 127.449.

Northwest Region: Air Quality Program, 230 Chestnut Street, Meadville, PA 16335-3481

Contact: Edward Orris, New Source Review Chief— Telephone: 814-332-6636

42-00028: Saint Gobain Containers—Port Allegany (One Glass Place, Port Allegany, PA 16743) for its facility located in Port Allegany Borough, McKean County. The De minimis emission increase is for the addition of frit to Shops 31 & 32 and subsequent heat input increase in Forehearths. In addition, this source is exempt from plan approval as it complies with 25 Pa. Code § 127.14(a)(8). The Department hereby approves the De minimis emission increase. The following table is a list of the De minimis emission increases as required by 25 Pa. Code 127.449(i). This list includes the De minimis emission increases since the Title V Operating Permit issuance on February 24, 2009.

Date	Source	$PM_{10}$ (tons)	$SO_x$ (tons)	$NO_x$ (tons)	VOC (tons)	CO (tons)
6-13-12	Furnace #1	0.08	0.2	0.32	0.02	0.02
9-30-13	Frit Addition	0.071	0.01	0.86	0.05	0.72
Total Reported Increases		0.151	0.21	1.18	0.07	0.74
Allowable		0.6 ton/source 3 tons/facility	1.6 tons/source 8 tons/facility	1 ton/source 5 tons/facility	1 ton/source 5 tons/facility	4 tons/source 20 tons/facility

## ACTIONS ON COAL AND NONCOAL MINING ACTIVITY APPLICATIONS

Actions on applications under the Surface Mining Conservation and Reclamation Act (52 P.S. §§ 1396.1—1396.19a); the Noncoal Surface Mining Conservation and Reclamation Act (52 P.S. §§ 3301-3326); The Clean Streams Law; the Coal Refuse Disposal Control Act (52 P.S. §§ 30.51— 30.66); and The Bituminous Mine Subsidence and Land Conservation Act (52 P.S. §§ 1406.1— 1406.20a). The final action on each application also constitutes action on the NPDES permit application and, if noted, the request for a Section 401 Water Quality Certification. Mining activity permits issued in response to applications will also address the application permitting requirements of the following statutes: the Air Quality Pollution Act (35 P.S. §§ 4001—4014); the Dam Safety and Encroachments Act (32 P. S. §§ 693.1— 693.27); and the Solid Waste Management Act (35 P. S. §§ 6018.101—6018.1002).

Coal Permits Issued

Knox District Mining Office: P.O. Box 669, 310 Best Avenue, Knox, PA 16232-0669, 814-797-1191

33020105. P. and N. Coal Company, Inc. (P.O. Box 332, Punxsutawney, PA 15767) Renewal of an existing bituminous surface and auger mine in Knox Township, Jefferson County affecting 128.0 acres. Receiving streams: Unnamed tributaries to Five Mile Run and Five Mile Run. This renewal is issued for reclamation only. Application received: August 8, 2013. Permit Issued: September 25, 2013.

Moshannon District Mining Office: 186 Enterprise Drive, Philipsburg, PA 16866, 814-342-8200 17970106 and NPDES PA0220612. Forcey Coal, Inc. (475 Banion Road, Madera, PA 16661). Permit renewal for the continued operation and restoration of a bituminous surface mine in Decatur Township, Clearfield County, affecting 119.9 acres. Receiving streams: Big Run to Moshannon Creek classified for the following use: Cold Water Fishes. There are no potable water supply intakes within 10 miles downstream. Application received February 7, 2013. Permit issued September 24, 2013.

Pottsville District Mining Office: 5 West Laurel Boulevard, Pottsville, PA 17901, 570-621-3118

13890201C and NPDES Permit No. PA0594580. Panther Creek Permitting, LLC, (67 Park Place East, Morristown, NJ 07960), correction to an existing coal refuse reprocessing operation to reduce permitting acreage and update NPDES Points in Nesquehoning Borough, Carbon County affecting 76.8 acres, receiving streams: First Hollow Run and Nesquehoning Creek. Application received: June 7, 2013. Correction issued: September 24, 2013.

 $Noncoal\ Permits\ Issued$ 

Cambria District Mining Office: 286 Industrial Park Road, Ebensburg, PA 15931, 814-472-1900

Permit No. 44030301 and NPDES Permit No. PA0269247, Glenn O. Hawbaker, 1952 Waddle Road, State College, PA 16803, new NPDES permit, Armagh Township, Mifflin County. Receiving stream: Honey Creek classified for the following use: high quality cold water fishery. There are no potable water supply intakes within 10 miles downstream. Application received September 4, 2013.

Knox District Mining Office: P.O. Box 669, 310 Best Avenue, Knox, PA 16232-0669, 814-797-1191

2579301-GP-104. Fiesler Sand & Gravel, LLC (3853 Knoyle Road, Erie, PA 16510-4924) General NPDES Permit for stormwater discharges associated with mining

activities on Mine Drainage Permit No. 2579301 in Greene Township, **Erie County**. Application received: August 9, 2013. Permit Issued: September 25, 2013.

37080303-GP-104. Three Rivers Aggregates, LLC (1807 Shenango Road, New Galilee, PA 16141) General NPDES Permit for stormwater discharges associated with mining activities on Surface Mining Permit No. 37080303 in Scott & Plain Grove Townships, Lawrence County. Application received: September 3, 2013. Permit Issued: September 25, 2013.

Moshannon District Mining Office: 186 Enterprise Drive, Philipsburg, PA 16866, 814-342-8200

08120306 and NPDES 08120306GP-104. M. R. Dirt, Inc. (21186 Route 187, Towanda, PA 18848). Commencement, operation and restoration of a large noncoal surface mine (shale) located in Asylum Township, Bradford County affecting 36.7 acres. Receiving stream(s): Unnamed Tributary to Bennetts Creek to Bennetts Creek to Susquehanna River classified for the following use(s): Warm Water Fishes. There are no portable water supply intakes within 10 miles downstream. Application received: October 12, 2012. Permit issued: September 12, 2013.

08120303 and NPDES 08120303GP-104. Keystone Rock & Excavation, LLC (12101 Route 6, Troy, PA 16947). Commencement, operation and restoration of a large noncoal surface mine (shale and sandstone) operation in West Burlington Township, Bradford County affecting 83.9 acres. Receiving streams: Unnamed Tributaries to Sugar Creek and Sugar Creek classified as Trout Stocking and Migratory Fishes. There are no potable water supply intakes within 10 miles downstream. Application received: June 15, 2012. Permit issued: September 12, 2013.

## ACTIONS ON BLASTING ACTIVITY APPLICATIONS

Actions on applications under the Explosives Acts of 1937 and 1957 and 25 Pa. Code § 211.124. Blasting activity performed as part of a coal or noncoal mining activity will be regulated by the mining permit for that coal or noncoal mining activity.

Blasting Permits Issued

Greensburg District Mining Office: Armbrust Professional Center, 8205 Route 819, Greensburg, PA 15601, 724-925-5500

26134004. Discovery Acquisitions Services, Inc. (4141 Katy Hockley Road, Katy, TX 77493). Blasting activity permit to conduct seismic activity for the Rosul 2D located in Washington and Jefferson Townships, Fayette County and Allenport Borough, Washington County. The duration of blasting is expected to last 90 days. Blasting permit issued: September 26, 2013.

Knox District Mining Office: P.O. Box 669, 310 Best Avenue, Knox, PA 16232-0669, 814-797-1191

10134004. Sonoma Valley Partners, LP (P.O. Box 449, Mars, PA 16046) Blasting activity permit for construction blasting in Connoquenessing Township, **Butler County**. This blasting activity permit expires on July 1, 2014. Permit Issued: September 23, 2013.

Moshannon District Mining Office: 186 Enterprise Drive, Philipsburg, PA 16866, 814-342-8200

**41134107. Wampum Hardware Company** (2856 Stoystown Rd, Friedens, PA 15541). Blasting for well pad

development located in Anthony Township, **Lycoming County** with an expiration date of December 31, 2013. Permit issued: September 24, 2013.

**59134006.** Brainard Explosives LLC (3978 SR 2073, Kingsley, PA 18826). Blasting for Country View Farm utility line located in Sullivan Township, **Tioga County** with an expiration date of December 31, 2013. Permit issued: September 17, 2013.

**59134007. Precision Geophysical, Inc.** (2695 SR 83 South, Millersburg, OH 44654). Seismic exploration located in Union Township, Tioga County and Armenia Township, **Bradford County** with an expiration date of December 31, 2013. Permit issued: September 17, 2013.

Pottsville District Mining Office: 5 West Laurel Boulevard, Pottsville, PA 17901, 570-621-3118

**06134108. Keystone Blasting Service**, (15 Hopeland Road, Lititz, PA 17543), construction blasting for Stone Croft Village in Marion Township, **Berks County** with an expiration date of September 30, 2014. Permit issued: September 25, 2013.

**06134109. J Roy's, Inc.**, (P.O. Box 125, Bowmansville, PA 17507), construction blasting for Willow Glenn in Maidencreek Township, **Berks County** with an expiration date of September 20, 2014. Permit issued: September 25, 2013.

**36134152. Keystone Blasting Service**, (15 Hopeland Road, Lititz, PA 17543), construction blasting for Glenn Martin Manure Pit in East Earl Township, **Lancaster County** with an expiration date of October 30, 2013. Permit issued: September 25, 2013.

**36134153. Keystone Blasting Service**, (15 Hopeland Road, Lititz, PA 17543), construction blasting for Summerlynn Green in Ephrata Township, **Lancaster County** with an expiration date of September 30, 2014. Permit issued: September 25, 2013.

**36134154. Keystone Blasting Service**, (15 Hopeland Road, Lititz, PA 17543), construction blasting for storm sewer at 213 Wildflower Drive, Terre Hill in East Earl Township, **Lancaster County** with an expiration date of November 30, 2013. Permit issued: September 25, 2013.

**38134123.** Maine Drilling & Blasting, Inc., (P.O. Box 1140, Gardiner, ME 04345), construction blasting for Millet Plastics in South Lebanon Township, **Lebanon County** with an expiration date of September 19, 2014. Permit issued: September 25, 2013.

**54134102. Douglas Explosives, Inc.**, (PO Box 77, Philipsburg, PA 16866), construction blasting for SR 61 Schuylkill County ECMS 12613 in West Brunswick Township, **Schuylkill County** with an expiration date of September 12, 2014. Permit issued: September 25, 2013.

**58134007. Brainard Explosives**, (3978 State Route 2073, Kingsley, PA 18826), construction blasting for the Traver Well Pad E 07-081 in Choconut Township, **Susquehanna County** with an expiration date of June 30, 2014. Permit issued: September 26, 2013.

### FEDERAL WATER POLLUTION CONTROL ACT SECTION 401

The Department has taken the following actions on previously received permit applications, requests for Environmental Assessment approval and requests for Water Quality Certification under section 401 of the Federal Water Pollution Control Act (FWPCA) (33 U.S.C.A. § 1341).

Except as otherwise noted, the Department has granted 401 Water Quality Certification certifying that the construction and operation described will comply with sections 301—303, 306 and 307 of the FWPCA (33 U.S.C.A. §§ 1311—1313, 1316 and 1317) and that the construction will not violate applicable Federal and State water quality standards.

Persons aggrieved by an action may appeal that action to the Environmental Hearing Board (Board) under section 4 of the Environmental Hearing Board Act and 2 Pa.C.S. §§ 501—508 and 701—704. The appeal should be sent to the Environmental Hearing Board, Second Floor, Rachel Carson State Office Building, 400 Market Street, PO Box 8457, Harrisburg, PA 17105-8457, (717) 787-3483. TDD users may contact the Board through the Pennsylvania Relay Service, (800) 654-5984. Appeals must be filed with the Board within 30 days of publication of this notice in the Pennsylvania Bulletin unless the appropriate statute provides a different time period. Copies of the appeal form and the Board's rules of practice and procedure may be obtained from the Board. The appeal form and the Board's rules of practice and procedure are also available in Braille or on audiotape from the Secretary to the Board at (717) 787-3483. This paragraph does not, in and of itself, create a right of appeal beyond that permitted by applicable statutes and decisional law.

For individuals who wish to challenge an action, the appeal must reach the Board within 30 days. A lawyer is not needed to file an appeal with the Board.

Important legal rights are at stake, however, so individuals should show this notice to a lawyer at once. Persons who cannot afford a lawyer may qualify for free pro bono representation. Call the Secretary to the Board at (717) 787-3483 for more information.

Actions on applications for the following activities filed under the Dam Safety and Encroachments Act (32 P. S. §§ 693.1—693.27), section 302 of the Flood Plain Management Act (32 P. S. § 679.302) and The Clean Streams Law and Notice of Final Action for Certification under section 401 of the FWPCA.

Permits, Environmental Assessments and 401 Water Quality Certifications Issued:

### WATER OBSTRUCTIONS AND ENCROACHMENTS

Southcentral Region: Waterways & Wetlands Program, 909 Elmerton Avenue, Harrisburg, PA 17110, Ed Muzic, Section Chief, 717.705.4802.

E36-916: County of Lancaster, 150 North Queen Street, Lancaster, PA 17603 in West Lampeter Township, Lancaster County, ACOE Baltimore District.

To remove existing structures and to install and maintain 1.) a 25.5-foot wide bridge with a normal span of 88.0 feet and an underclearance of 11.5 feet over Mill Creek (WWF, MF); 2.) an 18.0-inch diameter thermoplastic pipe storm water outfall to Mill Creek (WWF, MF); and 3.) a 30.0-inch diameter thermoplastic pipe storm water outfall to Mill Creek (WWF, MF), permanently impacting 0.01 acre of palustrine emergent wetlands and temporarily impacting 0.01 acre of palustrine emergent wetlands, all for the purpose of improving traffic safety. The project is located at the intersection of Eckman Road and Mill Creek Road (Latitude 40° 00′ 16.77″, Longitude -76° 18′ 0.82″) in West Lampeter Township, Lancaster County. The wetland impacts are deminimus and mitigation is not required.

Southwest Region: Waterways and Wetlands Program Manager, 400 Waterfront Drive, Pittsburgh, PA 15222-4745.

E63-650. Pennsylvania Department of Transportation—District 12-0, P O Box 459, 825 North Gallatin Avenue, Uniontown, PA 15401, North Strabane Township, Washington County; ACOE Pittsburgh District.

Applicant has been given consent to construct and maintain 214 feet of 78 inch concrete pipe enclosure with 61 feet of scour protection for the replacement of an existing 64 foot by 60 inch concrete culvert within an unnamed tributary to Little Chartiers Creek (HQ-WWF); place and maintain fill in a de minimis 0.02 acre of PEM wetland; and construct and maintain associated stormwater outfalls. To compensate for the stream impacts the permittee shall construct and maintain a stream restoration project in Sherrick Run. This permit also includes 1050 feet of temporary impacts on Sherrick Run associated with the stream mitigation. This project is associated with the SR 519 Section 138 Safety Improvement Project for PennDOT Engineering District 12-0. (Washington East Pa Quadrangle; N: 13.25 inches; W: 0.25 inch; Latitude 40° 12′ 18″; Longitude -80° 7′ 33″) North Strabane Township, Washington County.

District Oil & Gas Operations: Eastern Oil & Gas District, 208 West Third Street, Suite 101, Williamsport, PA 17701

**E6629-017:** Cabot Oil & Gas, Five Penn Center West, Suite 401, Pittsburgh, PA 15276, Lemon Township, **Wyoming County**, ACOE Baltimore District.

To construct, operate and maintain the Meshoppen Creek Impoundment, which consists of a 10.1 million gallon centralized freshwater impoundment and associated access roads, with the following impacts:

- 1. 875 square feet of permanent impacts to Palustrine Emergent (PEM) Wetlands via the placement of fill in the wetlands (Springville, PA Quadrangle, Latitude: N41°37′ 40.87″, Longitude: W75°56′52.15″);
- 2. 875 square feet of permanent impacts to Palustrine Emergent (PEM) Wetlands via the placement of fill in the wetlands (Springville, PA Quadrangle, Latitude: N41°37′ 40.14″, Longitude: W75°56′53.19″);
- 3. 4,800 square feet of permanent impacts to Palustrine Emergent (PEM) Wetlands via the placement of fill in the wetlands (Springville, PA Quadrangle, Latitude: N41°37′43.36″, Longitude: W75°56′51.32″); and
- 4. 1,325 square feet of permanent impacts to Palustrine Emergent (PEM) Wetlands via the placement of fill in the wetlands (Springville, PA Quadrangle, Latitude: N41°37′43.54″, Longitude: W75°56′53.84″).

The project will result in 7,875 square feet (0.18 acre) of permanent PEM wetland impacts all for the purpose of installing a 10.1 million gallon centralized freshwater impoundment to support Marcellus shale development.

**E4129-075:** Anadarko Marcellus Midstream, LLC, 33 West Third Street, Suite 200, Williamsport, PA 17701, Cascade Township, Lycoming County, ACOE Baltimore District.

To construct, operate, and maintain:

1) two 6-inch gas pipelines, one 12-inch waterline, and two 6-inch waterlines impacting 47 linear feet of an unnamed tributary to West Branch Wallis Run (EV, MF) (Barbours, PA Quadrangle 41°28′08″N 76°51′33″W);

- 2) two 6-inch gas pipelines, one 12-inch waterline, two 6-inch waterlines, and a timber mat bridge impacting 8870 square feet of palustrine forested (PFO) wetland (Barbours, PA Quadrangle 41°27′24″N 76°52′00″W);
- 3) two 6-inch gas pipelines, one 12-inch waterline, two 6-inch waterlines, and a timber mat bridge impacting 51 linear feet of West Branch Wallis Run (EV, MF) and 1987 square feet of adjacent palustrine forested (PFO) wetlands (Barbours, PA Quadrangle 41°27′21″N 76°51′57″W);
- 4) two 6-inch gas pipelines, one 12-inch waterline, and two 6-inch waterlines impacting 109 square feet of palustrine emergent (PEM) wetland (Barbours, PA Quadrangle 41°27′10″N 76°51′44″W).

The project will result in a total of 98 linear feet of stream impacts and 0.25 acre of wetland impacts all for the purpose of installing a natural gas gathering line, water line, and temporary access roadways for Marcellus well development.

**E4129-066: EXCO Resources (PA), LLC**, 3000 Ericsson Drive, Suite 200, Warrendale, PA 15086, Cogan House Township, **Lycoming County**, ACOE Baltimore District.

To construct, operate, and maintain:

- 1. one 24-inch culvert impacting 28 linear feet of an unnamed tributary to Roaring Run (EV, MF) (Salladasburg Quadrangle 41°22′18″N 77°09′54″W);
- 2. one 24-inch culvert impacting 50 linear feet of an unnamed tributary to Roaring Run (EV, MF) (Salladasburg Quadrangle 41°22′19″N 77°10′00″W);
- 3. one 19.5-foot x 6.75-foot open bottom arch culvert, two 12-inch water lines, and a timber mat bridge impacting 147 linear feet of unnamed tributaries to Roaring Run (EV, MF) (Salladasburg Quadrangle 41°22′18″N 77°10′25″W);
- 4. one 19.5-foot x 6.75-foot open bottom arch culvert, two 12-inch water lines, and a timber mat bridge impacting 83 linear feet of Roaring Run (EV, MF) (Salladasburg Quadrangle 41°22′18″N 77°10′30″W);
- 5. one 12-foot x 6.25-foot open bottom arch culvert, two 12-inch water lines, and a timber mat bridge impacting 110 linear feet of an unnamed tributary to Roaring Run (EV, MF) (Salladasburg Quadrangle 41°22′17″N 77°10′38″W)

The project will result in 418 linear feet of stream impacts all for the purpose of installing a permanent access road to natural gas well pads, water line, and temporary access roadways for Marcellus well development.

E0829-069: Chesapeake Appalachia, LLC, 14 Chesapeake Lane, Sayre, PA 18840, Overton Township, Bradford County, ACOE Baltimore District.

To construct, operate and maintain an access road with:

- 1. a 18 inch by 90 foot long culvert and associated fill impacting 870 square feet of a Palustrine Emergent Wetland (EV) (Overton, PA Quadrangle Latitude: 41°34′ 16″, Longitude: -76°34′48″);
- 2. two 12 inch by 20 foot long culverts and associated fill impacting 4,191 square feet of Palustrine Scrub-Shrub Wetland (EV) (Overton, PA Quadrangle Latitude:  $41^{\circ}34'18''$ , Longitude:  $-76^{\circ}35'00''$ );
- 3. two 12 inch by 30 foot long culverts, two 12 inch by 40 foot long culverts and associated fill impacting 4,024 square feet of a Palustrine Forested Wetland (EV) (Overton, PA Quadrangle Latitude: 41°34′21″, Longitude: -76°35′09″).

The project will result in 9,085 square feet (0.21 acre) of permanent impacts to PEM, PSS and PFO wetlands, all for the purpose of installing a permanent access road to a natural gas well pad in Overton Township, Bradford County. The permittee will provide 0.18 acre of PFO, 0.15 acre of PSS and 0.02 acre of PEM wetland mitigation on site in Overton Township, Bradford County (Overton, PA Quadrangle Latitude: 41°34′19″, Longitude: -76°35′18″).

### EROSION AND SEDIMENT CONTROL

The following Erosion and Sediment Control permits have been issued.

Persons aggrieved by an action may appeal that action to the Environmental Hearing Board (Board) under section 4 of the Environmental Hearing Board Act and 2 Pa.C.S. §§ 501—508 and 701—704. The appeal should be sent to the Environmental Hearing Board, Second Floor, Rachel Carson State Office Building, 400 Market Street, PO Box 8457, Harrisburg, PA 17105-8457, (717) 787-3483. TDD users may contact the Board through the Pennsylvania Relay Service, (800) 654-5984. Appeals must be filed with the Board within 30 days of publication of this notice in the Pennsylvania Bulletin unless the appropriate statute provides a different time period. Copies of the appeal form and the Board's rules of practice and procedure may be obtained from the Board. The appeal form and the Board's rules of practice and procedure are also available in Braille or on audiotape from the Secretary to the Board at (717) 787-3483. This paragraph does not, in and of itself, create a right of appeal beyond that permitted by applicable statutes and decisional law.

For individuals who wish to challenge an action, the appeal must reach the Board within 30 days. A lawyer is not needed to file an appeal with the Board.

Important legal rights are at stake, however, so individuals should show this notice to a lawyer at once. Persons who cannot afford a lawyer may qualify for free pro bono representation. Call the Secretary to the Board at (717) 787-3483 for more information.

Southwest Region: Waterways & Wetlands Program Manager, 400 Waterfront Drive, Pittsburgh, Pa 15222-4745.

Applicant Name &

ESCGP-2 No. 04138003

Address
Sunoco Pipeline, LP

525 Fritztown Road Sinking Springs, PA 19608 County
Beaver County

Chippewa Township, Brighton Township, South

Municipality

Beaver Township, and Darlington Township Receiving Water/Use

North Fork Little Beaver Creek (HQ-CWF), South Branch Brady Run (TSF) and Twomile Run (WWF)

ESCGP-2 No. 11138002	Applicant Name & Address Utility Pipeline, Ltd. 4100 Holiday St. NW Suite 201 Canton, OH 44718	County Cambria County	Municipality Adams Township	Receiving Water/Use South Fork Little Conemaugh River (CWF)
63108001-5	MarkWest Liberty Midstream & Resources, LLC. 4600 J. Barry Court Suite 500 Canonsburg, PA 15317	Washington	Chartiers Township, Mount Pleasant Township, Canton Township, Hopewell Township, Buffalo Township, Blaine Township, Donegal Township and West Finley Township	UNTs to Brush Run (HQ-WWF), Brush Run (HQ-WWF), UNTs to Chartiers Creek (WWF), UNTs to Georges Run (WWF), Georges Run (WWF), UNTs to Chartiers Run (WWF), UNTs to Spottedtail Run (WWF), UNTs to Robinson Fork (WWF), UNTs to Beham Run (WWF), Blockhouse Run (WWF), UNTs to Blockhouse Run (WWF), UNTs to Bonar Creek (HQ-WWF), Dutch Fork (HQ-WWF), UNTs to Dutch Fork (HQ-WWF), UNTs to Buffalo Creek (HQ-WWF), UNTs to Enlow Fork (TSF), and Enlow Fork (TSF)
65138003-1	Peoples Natural Gas Company, LLC. 1201 Pitt Street Pittsburgh, PA 15221	Westmoreland County	Hempfield Township, Penn Township, and Salem Township	Beaver Run (HQ-CWF) and Brush Creek (TSF)

Northwest Region: Oil and Gas Program Manager, 230 Chestnut St., Meadville, PA 16335

ESCGP-1 #ESG13-019-0042—Waltman to Welter Gas Pipeline

Applicant Mountain Gathering, LLC

Contact Dewey Chalos

Address 810 Houston Street

City Fort Worth State TX Zip Code 76102

County Butler Township(s) Donegal(s)

Receiving Stream(s) and Classification(s) Buffalo Creek, Little Buffalo Run, UNT Bonnie Brook / Buffalo Creek—HQ/CWF, WWF, Connoquenessing Creek, Allegheny River

ESCGP-1 #ESX13-019-0044—Bloom Well Pad Applicant R.E. Gas Development, LLC Contact Michael T. Endler Address 600 Cranberry Woods Drive
City Cranberry Township State PA Zip Code 16066
County Connoquenessing Township(s) Butler(s)
Receiving Stream(s) and Classification(s) UNT to Little
Connoquenessing Creek—CWF, Slippery Rock Creek
Watershed, Little Connoquenessing Creek—CWF

ESCGP-1 #ESX13-085-0023—Pilgram 1H to Pilgram 2H Pipeline
Applicant Halcon Field Services, LLC
Contact Rich DiMichele
Address 2984 Kirilia Blvd
City Hermitage State PA Zip Code 16148
County Mercer Township(s) Otter Creek(s)
Receiving Stream(s) and Classification(s) UNT to Otter
Creek, Otter Creek—TSF, Neshannock Creek

Eastern Region: Oil & Gas Management Program Manager, 208 West Third Street, Williamsport, PA 17701

ESCGP-1 # ESX13-115-0104

Applicant Name SW Gathering, LLC Contact Person David Freudenrich

Address 6000 Town Center Boulevard, Suite 300

City, State, Zip Canonsburg, PA 15317

County Susquehanna County

Township(s) Silver Lake Township

Receiving Stream(s) and Classification(s) UNT to Little Snake Creek (CWF/MF)

### ESCGP-1 # ESX12-115-0214 (01)

Applicant Name Southwestern Energy Production Com-

Contact Person Dave Sweeley Address 917 State Route 92 North

City, State, Zip Tunkhannock, PA 18657

County Susquehanna County

Township(s) Jackson and Oakland Townships

Receiving Stream(s) and Classification(s) UNTs to Lewis Creek (CWF/MF), UNTs to Salk Lick Creek (HQ-CWF/ MF)

### ESCGP-1 # ESG13-015-0045

Applicant Name Appalachia Midstream Svc. Co., LLC

Contact Person Randy DeLaune

Address 100 IST Center

City, State, Zip Horseheads, NY 14845

County Bradford County

Township(s) Monroe Township

Receiving Stream(s) and Classification(s) Millstone Creek, UNT to Millstone Creek, Schrader Creek, UNT to Schrader Creek (All HQ, CWF/MF)

### ESCGP-1 # ESG13-033-0001

Applicant Name Campbell Oil & Gas, Inc.

Contact Person Erik Wood

Address PO Box 278

City, State, Zip Indiana, PA 15701

County Clearfield County

Township(s) Bigler and Knox Townships

Receiving Stream(s) and Classification(s) Pine Run and UNT to Pine Run (EV), Lost Run and UNT to Lost Run (CWF), Potts Run (CWF);

Secondary: Clearfield Creek (WWF)

### ESCGP-1 # ESX12-081-0132 (02)

Applicant Name Anadarko Marcellus Midstream LLC

Contact Person Rane Wilson

Address 33 West Third Street, Suite 200

City, State, Zip Williamsport, PA 17701

County Lycoming County Township(s) Pine Township

Receiving Stream(s) and Classification(s) Bonnell Run,

Fourmile Run, Smith Run (All EV/MF); Secondary: Little Pine Creek (EV/MF)

### ESCGP-1 # ESG13-081-0051

Applicant Name Pennsylvania General Energy Company, LLC

Contact Person Douglas Kuntz

Address 120 Market Street

City, State, Zip Warren, PA 16365

County Lycoming County

Township(s) Pine Township

Receiving Stream(s) and Classification(s) Buckeye Run (CWF);

Secondary: Little Pine Creek (EV)

ESCGP-1 # ESG13-081-0053

Applicant Name Pennsylvania General Energy Company,

Contact Person Douglas Kuntz

Address 120 Market Street

City, State, Zip Warren, PA 16365

County Lycoming County

Township(s) Cummings and McHenry Townships

Receiving Stream(s) and Classification(s) Callahan Run (HQ/CWF), Hackett Ford (EV);

Secondary: Pine Creek, Little Pine Creek (Both EV)

### ESCGP-1 # ESG13-081-0052

Applicant Name Anadarko E&P Onshore, LLC

Contact Person Rane Wilson

Address 33 West Third Street, Suite 200

City, State, Zip Williamsport, PA 17701

County Lycoming County

Township(s) Cogan House Township

Receiving Stream(s) and Classification(s) UNT Larry's

Creek, Larry's Creek (EV);

Secondary: Larry's Creek (EV), West Branch Susquehanna River (WWF)

### ESCGP-1 # ESG13-015-0051

Applicant Name Appalachia Midstream Svc. Co., LLC

Contact Person Randy DeLaune

Address 100 IST Center

City, State, Zip Horseheads, NY 14845

County Bradford County

Township(s) Albany Township

Receiving Stream(s) and Classification(s) Ladds Creek (CWF/MF)

### ESCGP-1 # ESX12-113-0045 (01)

Applicant Name Appalachia Midstream Svc. Co., LLC

Contact Person Randy DeLaune

Address 100 IST Center

City, State, Zip Horseheads, NY 14845

County Sullivan County

Township(s) Forks Township

Receiving Stream(s) and Classification(s) Yellow Run, UNT to Yellow Run, UNT to Big Bottom Run, Streby Run (All EV);

Secondary: Yellow Run, Big Bottom Run (EV)

### ESCGP-1 # ESG13-115-0085

Applicant Name Cabot Oil & Gas Corp.

Contact Person Kenneth Marcum

Address Five Penn Center West, Suite 401

City, State, Zip Pittsburgh, PA 15276

County Susquehanna County

Township(s) Gibson Township

Receiving Stream(s) and Classification(s) Rock Creek to Tunkhannock Creek (HQ-WF/MF)

### ESCGP-1 # ESG13-115-0096

Applicant Name SW Gathering, LLC

Contact Person David Freudenrich

Address 6000 Town Center Boulevard, Suite 300

City, State, Zip Canonsburg, PA 15317

County Susquehanna County

Township(s) New Milford Township

Receiving Stream(s) and Classification(s) UNTs to Meylert Creek (HQ-CWF/MF), UNTs to Nine Partners Creek (CWF/MF), Nine Partners Creek (CWF/MF)

### ESCGP-1 # ESX13-015-0054

Applicant Name Appalachia Midstream Svc. Co., LLC

Contact Person Randy DeLaune

Address 100 IST Center

City, State, Zip Horseheads, NY 14845

County Bradford County

Township(s) Smithfield and Burlington Townships Receiving Stream(s) and Classification(s) Deerlick Run (WWF/MF)

ESCGP-1 # ESX13-115-0013 (02)

Applicant Name Williams Field Svcs. Co., LLC

Contact Person Sandra Lojak

Address Park Place Corporate Center 2, 2000 Commerce Drive

City, State, Zip Pittsburgh, PA 15275

County Susquehanna County

Township(s) Lenox Township

Receiving Stream(s) and Classification(s) Martins Creek, Willow Brook, UNTs of Willow Brook, Utley Brook, Millard Creek, UNTs of Millard Creek (All CWF/MF); Secondary: Tunkhannock Creek

Southwest Region: Oil & Gas Program Mgr. 400 Water-front Dr. Pittsburgh PA

ESCGP-2 No: ESX13-125-0041

Applicant Name: Laurel Mountain Midstream LLC

Contact Person Ms Stephanie Ranker Address: 2620 Memorial Blvd Suite A

City: Connellsville State: PA Zip Code: 15425

County: Washington Township: Amwell

Receiving Stream (s) And Classifications: UNT to 81359 Smith Run; Other TSF

ESCGP-2 No.: ESX13-129-0004

Applicant Name: Laurel Mountain Midstream LLC

Contact Person: Ms Stephanie Ranker Address: 2620 Memorial Boulevard Suite A City: Connellsville State: PA Zip Code: 15425 County: Westmoreland Township(s): Sewickley

Receiving Stream(s) and Classifications: Trib 37618, UNT 1 to Sewickley Creek, UNT 2 to UNT 1 to Sewickley Creek; Kelly Run / Lower Youghiogheny River Watershed; Other WWF

ESCGP-2 No.: ESX13-063-0005

Applicant Name: CNX Gas Company LLC

Contact Person: Mr Jonathan Madill

Address: 280 Indian Springs Road Suite 333 City: Indiana State: PA Zip Code: 15701 County: Indiana Township(s): Center

Receiving Stream(s) and Classifications: Trib 44081 & 44085 of Cherry Run / Cherry Run Watershed; Other CWF

ESCGP-2 No.: ESX11-051-0039 MAJOR REVISION

Applicant Name: Chevron Appalachia LLC Contact Person: Mr Alexander Genovese Address: 800 Mountain View Drive City: Smithfield State: PA Zip Code: 15478 County: Fayette Township(s): Nicholson

Receiving Stream(s) and Classifications: UNT to Cats Run WWF, UNT to Jacobs Creek WWF/Monongahela River; Other WWF

ESCGP-2 No.: ESG13-005-0013

Applicant Name: PennEnergy Resources LLC

Contact Person: Mr Greg Muse

Address: 1000 Commerce Drive Park Place One Suite 100

City: Pittsburgh State: PA Zip Code: 15275

COUNTY Armstrong Township(s): West Franklin Receiving Stream(s) and Classifications: UNT to Buffalo

Creek; HQ

ESCGP-2 No.: ESG13-007-0005

Applicant Name: Utica Gas Services LLC Contact Person: Mr Stephen D Hall Address: 7235 Whipple Avenue Northwest City: North Canton State: OH Zip Code: 44720 County: Beaver Township(s): South Beaver Receiving Stream(s) and Classifications: Brush Run (HQ-CWF) Tributary 33347, 33348, 33349, 33350, 33351, 33353, 33357, to Brush Run (HQ-CWF) Painter Run (HQ-CWF) Tributary 33392 to Painter Run (HQ-CWF); HQ; Other CWF

### OIL AND GAS MANAGEMENT

The following Well Permits have been issued with a waiver under 58 Pa.C.S. § 3215(b)(4) (relating to well location restrictions).

Persons aggrieved by an action may appeal that action to the Environmental Hearing Board (Board) under section 4 of the Environmental Hearing Board Act (35 P.S. § 7514) and 2 Pa.C.S. §§ 501—508 and 701—704 (relating to Administrative Agency Law). The appeal should be send to the Environmental Hearing Board, Second Floor, Rachel Carson State Office Building, 400 Market Street, PO Box 8457, Harrisburg, PA 17105-8457, (717) 787-3483. TDD users may contact the Board through the Pennsylvania Relay Service, (800) 654-5984. Appeals must be filed which the Board within 30 days of publication of this notice in the *Pennsylvania Bulletin* unless the appropriate statute provides a different time period. Copies of the appeal form and the Board's rules of practice and procedure may be obtained from the Board. The appeal form and the Board's rules of practice and procedure are also available in Braille or on audiotape from the Secretary to the Board at (717) 787-3483. This paragraph does not in and of itself create a right of appeal beyond that permitted by applicable statutes and decisional law.

For individuals who wish to challenge an action, the appeal must reach the Board within 30 days. A lawyer is not needed to file an appeal with the Board.

Important legal rights are at stake, however, so individuals should show this notice to a lawyer at once. Persons who cannot afford a lawyer may quality for pro bono representation. Call the Secretary to the Board at (717) 787-3483.

Northwest Region District Oil and Gas Operations, Program Manager, 230 Chestnut St. Meadville, PA 16335

Well Permit #: 121-45388-00-00

Well Farm Name Masters East Lease 1726

Applicant Name: Catalyst Energy, Inc.

Contact Person: R. John Cass

Address: 424 S. 27th St Ste 304, Pittsburgh, PA 15203-2380

County: Venango

Municipality Rockland Township:

Name of Stream, Spring, Body of Water as identified on the most current 7 1/2 minute topographic quadrangle map of the United States Geologic Survey subject to the Section 3215(b)(4) waiver: Kossuth

Well Permit #: 081-21315-00-00

Well Farm Name Texas Blockhouse B-7H

Applicant Name: Anadarko E&P Onshore LLC.

Contact Person: Patricia Jaenecke

Address: P O Box 1330, Houston, TX 77251-1330

County: Lycoming

Municipality Pine Township:

Name of Stream, Spring, Body of Water as identified on the most current 7 1/2 minute topographic quadrangle map of the United States Geologic Survey subject to the Section 3215(b)(4) waiver: White Pine, Blockhouse Creek.

Well Permit #: 081-21316-00-00

Well Farm Name Texas Blockhouse B-8H

Applicant Name: Anadarko E&P Onshore LLC.

Contact Person: Patricia Jaenecke

Address: P O Box 1330, Houston, TX 77251-1330

County: Lycoming

Municipality Pine Township:

Name of Stream, Spring, Body of Water as identified on the most current 7 1/2 minute topographic quadrangle map of the United States Geologic Survey subject to the Section 3215(b)(4) waiver: White Pine, Blockhouse Creek

Well Permit #: 081-21317-00-00

Well Farm Name Texas Blockhouse B-10H Applicant Name: Anadarko E&P Onshore LLC.

Contact Person: Patricia Jaenecke

Address: P O Box 1330, Houston, TX 77251-1330

County: Lycoming

Municipality Pine Township:

Name of Stream, Spring, Body of Water as identified on the most current 7 1/2 minute topographic quadrangle map of the United States Geologic Survey subject to the Section 3215(b)(4) waiver: White Pine, Blockhouse Creek.

Well Permit #: 081-21318-00-00

Well Farm Name Texas Blockhouse B-11H Applicant Name: Anadarko E&P Onshore LLC.

Contact Person: Patricia Jaenecke

Address: P O Box 1330, Houston, TX 77251-1330

County: Lycoming

Name

Municipality Pine Township:

Name of Stream, Spring, Body of Water as identified on the most current 7 1/2 minute topographic quadrangle map of the United States Geologic Survey subject to the Section 3215(b)(4) waiver: White Pine, Blockhouse

Well Permit #: 081-21319-00-00

Well Farm Name Texas Blockhouse B-12H Applicant Name: Anadarko E&P Onshore LLC.

Contact Person: Patricia Jaenecke

Address: P O Box 1330, Houston, TX 77251-1330

County: Lycoming

Municipality Pine Township:

Name of Stream, Spring, Body of Water as identified on the most current 7 1/2 minute topographic quadrangle map of the United States Geologic Survey subject to the Section 3215(b)(4) waiver: White Pine, Blockhouse Creek.

Well Permit #: 015-22728-00-00

Well Farm Name F Pad, Heckman-Camp 2H

Type of

Applicant Name: Southwestern Energy Production Co

Contact Person: John Nicholas

Address: 2350 N Sam Houston Pkwy East, Houston, TX 77032

County: Bradford

Municipality Herrick Township:

Name of Stream, Spring, Body of Water as identified on the most current 7 1/2 minute topographic quadrangle map of the United States Geologic Survey subject to the Section 3215(b)(4) waiver: Le Raysville, unnamed tributary to Camp Creek

### SPECIAL NOTICES

### Notice of Certification to Perform Radon-Related Activities in Pennsylvania

In the month of September 2013 Department of Environmental Protection of the Commonwealth of Pennsylvania, under the authority contained in the Radon Certification Act, act of July 9, 1987, P. L. 238, No. 43 (63 P. S. Sections 2001-2014) and regulations promulgated thereunder at 25 Pa. Code Chapter 240, has certified the persons listed below to perform radon-related activities in Pennsylvania. The period of certification is two years. (For a complete list of persons currently certified to perform radon-related activities in Pennsylvania and for information as to the specific testing devices that persons certified for testing or laboratory are certified to use, contact the Bureau of Radiation Protection, Radon Division, P. O. Box 8469, Harrisburg, PA 17105-8469, (1-800-23RADON).

Čertification Jenny Ashburn 3296 Tarr Hollow Rd. Testing Murrysville, PA 15668 Dr. Joseph Baicker 403 Skillman Rd. Laboratory Skillman, NJ 08558 Analysis 7501 E. Parkside Dr. George Basista Testing Boardman, OH 44517 Bill Brodhead 2844 Slifer Valley Rd. Mitigation WPB Enterprises, Inc. Riegelsville, PA 18077 PO Box 128 Linda Carmichael Testing 60 Lenni Rd. Lenni, PA 19052 David Gunselman 4 Theresa Dr. Testing Conestoga, PA 17516 Charles Gutridge 800 Antietam Dr. Mitigation Premier Termite and Pest Control Douglassville, PA 19518 Travis Hammond 84 Beckes Hill Rd. Testing Williamsport, PA 17701 Fred Hohman 26 Windihill Dr. Testing Greensburg, PA 15601

Address

Name	Address	Type of Certification
Darryl Jamison	125 E. 42nd St. Erie, PA 16504	Testing
Robert Johnson	340 Freed Rd. Harleysville, PA 19438	Testing
David Kapturowski AccuStar Labs	11 Awl St. Medway, MA 02053	Laboratory Analysis
Matthew Kennedy	3920 Market St. Camp Hill, PA 17011	Testing
Fred Klein	702 Manchester Dr. Maple Glen, PA 19002	Testing
Thomas Murray	PO Box 221 North East, PA 16428	Testing
Wayne Murray	63 Bethesda Church Rd. East Holtwood, PA 17532	Testing
Timothy A. Musser	213 N. 14th St. Allentown, PA 18102	Mitigation
Prosser Laboratories	PO Box 118 Effort, PA 18330	Laboratory Analysis
Radon Technology & Environmental	828 Oak St. Royersford, PA 19468	Mitigation
Jerry Reed	456 Avoy Rd. Lake Ariel, PA 18436	Testing
Jooik Ro	30 Sunset Ave. Carlisle, PA 17013	Testing
David Scott	417 Pikeland Ave. Spring City, PA 19475	Testing & Mitigation
Jacob Troost	PO Box 163 Brodheadsville, PA 18322	Testing
Clyde Volk 1st Home Inspections, Inc.	1934 Overland Ct. Allison Park, PA 15101	Testing

[Pa.B. Doc. No. 13-1902. Filed for public inspection October 11, 2013, 9:00 a.m.]

### Citizens Advisory Council Meeting Change

A meeting of the Citizens Advisory Council was originally scheduled to be held on Tuesday, October 15, 2013, at 11 a.m. in Room 105, Rachel Carson State Office Building, 400 Market Street, Harrisburg, PA 17101. The time of the meeting has been changed to 10 a.m.

Questions concerning the schedule or agenda items can be directed to Marjorie Hughes at (717) 787-4527 or mahughes@pa.gov. The schedule, an agenda for the meeting and notices of meeting changes will be available through the Public Participation Center on the Department of Environmental Protection's (Department) web site at www.dep.state.pa.us.

Persons in need of accommodations as provided for in the Americans with Disabilities Act of 1990 should contact Marjorie Hughes at the previously listed number or through the Pennsylvania AT&T Relay Service at (800) 654.5984 (TDD) to discuss how the Department may accommodate their needs.

E. CHRISTOPHER ABRUZZO,

Acting Secretary

 $[Pa.B.\ Doc.\ No.\ 13\text{-}1903.\ Filed\ for\ public\ inspection\ October\ 11,\ 2013,\ 9:00\ a.m.]$ 

## Nutrient Credit Trading Program; Notice of Certification Requests

The Department of Environmental Protection (Department) provides notice of the following certification requests that have been submitted under the Nutrient Credit Trading Program (Trading Program). See 25 Pa. Code § 96.8 (relating to use of offsets and tradable credits from pollution reduction activities in the Chesapeake Bay Watershed), published at 40 Pa.B. 5790 (October 9, 2010).

The information described in this notice relates to submitted certification requests received in September 2013.

Certification Requests

The following requests are being reviewed by the Department.

Applicant

Municipal Authority of the Town of Bloomsburg. (Columbia County) (NPDES Permit No. PA-0027171) Pollutant Reduction Activity Description

This certification request is for nutrient reduction credits to be generated from the pollutant reduction activity of the town's installed wastewater treatment process.

Applicant

Lewisburg Area Joint Sewer This certification request is Authority (Union County) (NPDES Permit No. PA-0044661)

Williamsport Sanitary Authority (Lycoming County) (NPDES Permit No. PA-0027057)

Pollutant Reduction Activity Description

for nutrient reduction credits to be generated from the pollutant reduction activity of the town's installed wastewater treatment process.

This certification request is for nutrient reduction credits to be generated from the pollutant reduction activity of the town's installed wastewater treatment process.

Written Comments

Interested persons may submit written comments on these proposed pollutant reduction activities by October 28, 2013. The Department will accept comments submitted by e-mail. Comments submitted by facsimile will not be accepted. A return name and address must be included in each e-mail transmission. Written comments should be submitted to Jay Braund, Bureau of Point and Non-Point Source Management, Department of Environmental Protection, P. O. Box 8774, Harrisburg, PA 17105-8774, (717) 772-5636, jbraund@pa.gov.

For further information about this certification request or the Trading Program contact Jay Braund at the previously listed address or phone number or visit the Department's web site at www.dep.state.pa.us (DEP Keywords: "Nutrient Trading").

> E. CHRISTOPHER ABRUZZO, Acting Secretary

[Pa.B. Doc. No. 13-1904. Filed for public inspection October 11, 2013, 9:00 a.m.]

### Proposed Revision to the State Implementation Plan for the Reading Fine Particulate Matter Nonattainment Area; Public Hearing

Particulate matter (PM) includes both solid and liquid particles suspended in the air. Fine particle pollution describes particulate matter that is less than or equal to  $2.5~\rm micrometers$  in diameter (PM $_{2.5}$ ). PM $_{2.5}$  concentrations above the Federal health-based standard pose a serious human health threat. Air quality in the Reading PM<sub>2.5</sub> Nonattainment Area, consisting of Berks County, has improved and now meets the 1997 PM<sub>2.5</sub> healthbased standards. Therefore, the Department of Environmental Protection (Department) plans to submit a request to the United States Environmental Protection Agency (EPA) to redesignate this area to attainment.

The Department published notice at 43 Pa.B. 5661 (September 21, 2013) of a public comment period and public hearing on the request and on a proposed State Implementation Plan revision containing the 2007 comprehensive inventory and a maintenance plan demonstrating that the area can maintain the standard for the next 10 years as required under section 175A(a) of the Federal Clean Air Act (42 U.S.C.A. § 7505a(a)). The notice explained that the maintenance plan will also establish new motor vehicle emission budgets for purposes of transportation conformity, upon EPA approval.

The Department is postponing the public hearing by 1 week and extending the public comment period. Public notice of the new public hearing date and extension of the public comment period has been provided by local newspaper advertisement in the affected area.

The Department will hold a public hearing to receive comments on the proposal on Wednesday, October 30, 2013, at 11 a.m. at the Department's Reading District Office, 1005 Crossroads Boulevard, Reading, PA 19605. Persons wishing to present testimony at the hearing should contact Alex Haas, P. O. Box 8468, Harrisburg, PA 17105, (717) 787-9495, alehaas@pa.gov to reserve a time. Witnesses should keep testimony to 10 minutes and should provide two written copies of their statement at the hearing.

If by October 28, 2013, no person has expressed an interest in testifying at the hearing, the hearing will be cancelled. The Department will notify the public if the hearing is cancelled on the Bureau of Air Quality web page at http://www.dep.state.pa.us/dep/deputate/airwaste/ aq/default.htm.

Persons may also contact the Department to find out if the hearing is cancelled by contacting Alex Haas at (717) 787-9495 or alehaas@pa.gov.

The request to redesignate the area to attainment and the proposed State Implementation Plan revision are available on the Department's web site at www.depweb. state.pa.us (choose "Air," choose "Bureau of Air Quality," choose "Regs/Plans," choose "Clean Air Plans") or through the contact persons listed as follows.

Persons with a disability who wish to attend the hearing and require an auxiliary aid, service or other accommodation to participate in the proceeding should contact Alex Haas at (717) 787-9495 or alehaas@pa.gov. TDD users may contact the Pennsylvania AT&T Relay Service at (800) 654-5984 to discuss how the Department can best accommodate their needs.

The Department must receive comments no later than November 1, 2013. Written comments should be sent to the attention of Nancy Herb, Bureau of Air Quality, P.O. Box 8468, Harrisburg, PA 17105-8468, nherb@pa.gov. Use "Reading  $PM_{2.5}$  Redesignation" as the subject line in written communication.

> E. CHRISTOPHER ABRUZZO, Acting Secretary

 $[Pa.B.\ Doc.\ No.\ 13\text{-}1905.\ Filed\ for\ public\ inspection\ October\ 11,\ 2013,\ 9:00\ a.m.]$ 

### DEPARTMENT OF HEALTH

### Application of Dermatology and Cosmetic Surgery Center for Exception

Under 28 Pa. Code § 51.33 (relating to requests for exceptions), the Department of Health (Department) gives notice that Dermatology and Cosmetic Surgery Center has requested an exception to the requirements of 28 Pa. Code § 571.1 (relating to minimum standards), which requires compliance with minimum standards contained in the following publication: Guidelines for Design and Construction of Hospitals and Healthcare Facilities. The facility specifically requests exemption from the following standards contained in this publication: 3.7-3.8.1.1A (relating to separate area).

This request is on file with the Department. Persons may receive a copy of a request for exception by requesting a copy from the Department of Health, Division of Acute and Ambulatory Care, Room 532, Health and Welfare Building, Harrisburg, PA 17120, (717) 783-8980, fax (717) 772-2163, ra-paexcept@pa.gov.

Persons who wish to comment on an exception request may do so by sending a letter by mail, e-mail or facsimile to the Division at the address listed previously.

Comments received by the Department within 10 days after the date of publication of this notice will be reviewed by the Department before it decides whether to approve or disapprove the request for exception.

Persons with a disability who wish to obtain a copy of a request and/or provide comments to the Department and require an auxiliary aid, service or other accommodation to do so should contact the Director, Division of Acute and Ambulatory Care at (717) 783-8980, for speech and/or hearing impaired persons V/TT (717) 783-6154, or the Pennsylvania AT&T Relay Service (800) 654-5984 (TT).

MICHAEL WOLF,

Secretary

[Pa.B. Doc. No. 13-1906. Filed for public inspection October 11, 2013, 9:00 a.m.]

## Application of Healthsouth Rehabilitation Hospital of Altoona for Exception

Under 28 Pa. Code § 51.33 (relating to requests for exceptions), the Department of Health (Department) gives notice that Healthsouth Rehabilitation Hospital of Altoona has requested an exception to the requirements of 28 Pa. Code § 107.62(a) and (b) (relating to oral orders).

This request is on file with the Department. Persons may receive a copy of a request for exception by requesting a copy from the Department of Health, Division of Acute and Ambulatory Care, Room 532, Health and Welfare Building, Harrisburg, PA 17120, (717) 783-8980, fax (717) 772-2163, ra-paexcept@pa.gov.

Persons who wish to comment on an exception request may do so by sending a letter by mail, e-mail or facsimile to the Division at the address listed previously.

Comments received by the Department within 10 days after the date of publication of this notice will be reviewed by the Department before it decides whether to approve or disapprove the request for exception.

Persons with a disability who wish to obtain a copy of a request and/or provide comments to the Department and require an auxiliary aid, service or other accommodation to do so should contact the Division at the previously listed address or phone number, or for speech and/or hearing impaired persons V/TT (717) 783-6154, or the Pennsylvania AT&T Relay Service at (800) 654-5984.

MICHAEL WOLF, Secretary

 $[Pa.B.\ Doc.\ No.\ 13\text{-}1907.\ Filed\ for\ public\ inspection\ October\ 11,\ 2013,\ 9:00\ a.m.]$ 

## Application of Heritage Valley Beaver Hospital for Exception

Under 28 Pa. Code § 51.33 (relating to requests for exceptions), the Department of Health (Department) gives notice that Heritage Valley Beaver Hospital has requested an exception to the requirements of 28 Pa. Code § 107.26(b)(1) and (3)—(8) (relating to additional committees).

This request is on file with the Department. Persons may receive a copy of a request for exception by requesting a copy from the Department of Health, Division of Acute and Ambulatory Care, Room 532, Health and Welfare Building, Harrisburg, PA 17120, (717) 783-8980, fax (717) 772-2163, ra-paexcept@pa.gov.

Persons who wish to comment on an exception request may do so by sending a letter by mail, e-mail or facsimile to the Division at the address listed previously.

Comments received by the Department within 10 days after the date of publication of this notice will be reviewed by the Department before it decides whether to approve or disapprove the request for exception.

Persons with a disability who wish to obtain a copy of a request and/or provide comments to the Department and require an auxiliary aid, service or other accommodation to do so should contact the Division at the previously listed address or phone number, or for speech and/or hearing impaired persons V/TT (717) 783-6154, or the Pennsylvania AT&T Relay Service at (800) 654-5984.

MICHAEL WOLF, Secretary

 $[Pa.B.\ Doc.\ No.\ 13\text{-}1908.\ Filed\ for\ public\ inspection\ October\ 11,\ 2013,\ 9:00\ a.m.]$ 

## Application of Heritage Valley Sewickley Hospital for Exception

Under 28 Pa. Code § 51.33 (relating to requests for exceptions), the Department of Health (Department) gives notice that Heritage Valley Sewickley Hospital has requested an exception to the requirements of 28 Pa. Code § 107.26(b)(1) and (3)—(8) (relating to additional committees).

This request is on file with the Department. Persons may receive a copy of a request for exception by requesting a copy from the Department of Health, Division of Acute and Ambulatory Care, Room 532, Health and Welfare Building, Harrisburg, PA 17120, (717) 783-8980, fax (717) 772-2163, ra-paexcept@pa.gov.

Persons who wish to comment on an exception request may do so by sending a letter by mail, e-mail or facsimile to the Division at the address listed previously.

Comments received by the Department within 10 days after the date of publication of this notice will be reviewed by the Department before it decides whether to approve or disapprove the request for exception.

Persons with a disability who wish to obtain a copy of a request and/or provide comments to the Department and require an auxiliary aid, service or other accommodation to do so should contact the Division at the previously listed address or phone number, or for speech and/or

hearing impaired persons V/TT (717) 783-6154, or the Pennsylvania AT&T Relay Service at (800) 654-5984.

MICHAEL WOLF, Secretary

[Pa.B. Doc. No. 13-1909. Filed for public inspection October 11, 2013, 9:00 a.m.]

## Application of Indiana Regional Medical Center for Exception

Under 28 Pa. Code § 51.33 (relating to requests for exceptions), the Department of Health (Department) gives notice that Indiana Regional Medical Center has requested an exception to the requirements of 28 Pa. Code § 153.1 (relating to minimum standards), which requires compliance with minimum standards contained in the following publication: Guidelines for Design and Construction of Hospitals and Healthcare Facilities. The facility specifically requests exception from the following standards contained in this publication: 3.1-7.2.2.1 (relating to common elements for outpatient).

This request is on file with the Department. Persons may receive a copy of a request for exception by requesting a copy from the Department of Health, Division of Acute and Ambulatory Care, Room 532, Health and Welfare Building, Harrisburg, PA 17120, (717) 783-8980, fax (717) 772-2163, ra-paexcept@pa.gov.

Persons who wish to comment on an exception request may do so by sending a letter by mail, e-mail or facsimile to the Division at the address listed previously.

Comments received by the Department within 10 days after the date of publication of this notice will be reviewed by the Department before it decides whether to approve or disapprove the request for exception.

Persons with a disability who wish to obtain a copy of a request and/or provide comments to the Department and require an auxiliary aid, service or other accommodation to do so should contact the Director, Division of Acute and Ambulatory Care at (717) 783-8980, for speech and/or hearing impaired persons V/TT (717) 783-6154, or the Pennsylvania AT&T Relay Service (800) 654-5984 (TT).

MICHAEL WOLF,

Secretary

 $[Pa.B.\ Doc.\ No.\ 13\text{-}1910.\ Filed\ for\ public\ inspection\ October\ 11,\ 2013,\ 9:00\ a.m.]$ 

## Application of Kindred Hospital Pittsburgh North Shore for Exception

Under 28 Pa. Code § 51.33 (relating to requests for exceptions), the Department of Health (Department) gives notice that Kindred Hospital Pittsburgh North Shore has requested an exception to the requirements of 28 Pa. Code § 107.62(a) and (b) (relating to oral orders).

This request is on file with the Department. Persons may receive a copy of a request for exception by requesting a copy from the Department of Health, Division of Acute and Ambulatory Care, Room 532, Health and Welfare Building, Harrisburg, PA 17120, (717) 783-8980, fax (717) 772-2163, ra-paexcept@pa.gov.

Persons who wish to comment on an exception request may do so by sending a letter by mail, e-mail or facsimile to the Division at the address listed previously.

Comments received by the Department within 10 days after the date of publication of this notice will be reviewed by the Department before it decides whether to approve or disapprove the request for exception.

Persons with a disability who wish to obtain a copy of a request and/or provide comments to the Department and require an auxiliary aid, service or other accommodation to do so should contact the Division at the previously listed address or phone number, or for speech and/or hearing impaired persons V/TT (717) 783-6154, or the Pennsylvania AT&T Relay Service at (800) 654-5984.

MICHAEL WOLF, Secretary

[Pa.B. Doc. No. 13-1911. Filed for public inspection October 11, 2013, 9:00 a.m.]

## Application of Magee-Womens Hospital of UPMC for Exception

Under 28 Pa. Code § 51.33 (relating to requests for exceptions), the Department of Health (Department) gives notice that Magee-Womens Hospital of UPMC has requested an exception to the requirements of 28 Pa. Code § 107.62(a) and (b) (relating to oral orders).

This request is on file with the Department. Persons may receive a copy of a request for exception by requesting a copy from the Department of Health, Division of Acute and Ambulatory Care, Room 532, Health and Welfare Building, Harrisburg, PA 17120, (717) 783-8980, fax (717) 772-2163, ra-paexcept@pa.gov.

Persons who wish to comment on an exception request may do so by sending a letter by mail, e-mail or facsimile to the Division at the address listed previously.

Comments received by the Department within 10 days after the date of publication of this notice will be reviewed by the Department before it decides whether to approve or disapprove the request for exception.

Persons with a disability who wish to obtain a copy of a request and/or provide comments to the Department and require an auxiliary aid, service or other accommodation to do so should contact the Division at the previously listed address or phone number, or for speech and/or hearing impaired persons V/TT (717) 783-6154, or the Pennsylvania AT&T Relay Service at (800) 654-5984.

MICHAEL WOLF, Secretary

[Pa.B. Doc. No. 13-1912. Filed for public inspection October 11, 2013, 9:00 a.m.]

### **Application of Palmerton Hospital for Exception**

Under 28 Pa. Code § 51.33 (relating to requests for exceptions), the Department of Health (Department) gives notice that Palmerton Hospital has requested an exception to the requirements of 28 Pa. Code § 101.31(7) (relating to hospital requirements).

This request is on file with the Department. Persons may receive a copy of a request for exception by request-

ing a copy from the Department of Health, Division of Acute and Ambulatory Care, Room 532, Health and Welfare Building, Harrisburg, PA 17120, (717) 783-8980, fax (717) 772-2163, ra-paexcept@pa.gov.

Persons who wish to comment on an exception request may do so by sending a letter by mail, e-mail or facsimile to the Division at the address listed previously.

Comments received by the Department within 10 days after the date of publication of this notice will be reviewed by the Department before it decides whether to approve or disapprove the request for exception.

Persons with a disability who wish to obtain a copy of a request and/or provide comments to the Department and require an auxiliary aid, service or other accommodation to do so should contact the Division at the previously listed address or phone number, or for speech and/or hearing impaired persons V/TT (717) 783-6154, or the Pennsylvania AT&T Relay Service at (800) 654-5984.

MICHAEL WOLF, Secretary

[Pa.B. Doc. No. 13-1913. Filed for public inspection October 11, 2013, 9:00 a.m.]

## Application of Pottstown Memorial Medical Center for Exception

Under 28 Pa. Code § 51.33 (relating to requests for exceptions), the Department of Health (Department) gives notice that Pottstown Memorial Medical Center has requested an exception to the requirements of 28 Pa. Code § 107.62(a) and (b) (relating to oral orders).

This request is on file with the Department. Persons may receive a copy of a request for exception by requesting a copy from the Department of Health, Division of Acute and Ambulatory Care, Room 532, Health and Welfare Building, Harrisburg, PA 17120, (717) 783-8980, fax (717) 772-2163, ra-paexcept@pa.gov.

Persons who wish to comment on an exception request may do so by sending a letter by mail, e-mail or facsimile to the Division at the address listed previously.

Comments received by the Department within 10 days after the date of publication of this notice will be reviewed by the Department before it decides whether to approve or disapprove the request for exception.

Persons with a disability who wish to obtain a copy of a request and/or provide comments to the Department and require an auxiliary aid, service or other accommodation to do so should contact the Division at the previously listed address or phone number, or for speech and/or hearing impaired persons V/TT (717) 783-6154, or the Pennsylvania AT&T Relay Service at (800) 654-5984.

MICHAEL WOLF, Secretary

[Pa.B. Doc. No. 13-1914. Filed for public inspection October 11, 2013, 9:00 a.m.]

### Application of Select Specialty Hospital Harrisburg Hospital for Exception

Under 28 Pa. Code § 51.33 (relating to requests for exceptions), the Department of Health (Department) gives

notice that Select Specialty Hospital Harrisburg Hospital has requested an exception to the requirements of 28 Pa. Code § 153.1 (relating to minimum standards), which requires compliance with minimum standards contained in the following publication: *Guidelines for Design and Construction of Hospitals and Healthcare Facilities*. The facility specifically requests exemption from the following standards contained in this publication: 2.2-2.2.2.7(2)(a)(i) (relating to central bathing facilities).

This request is on file with the Department. Persons may receive a copy of a request for exception by requesting a copy from the Department of Health, Division of Acute and Ambulatory Care, Room 532, Health and Welfare Building, Harrisburg, PA 17120, (717) 783-8980, fax (717) 772-2163, ra-paexcept@pa.gov.

Persons who wish to comment on an exception request may do so by sending a letter by mail, e-mail or facsimile to the Division at the address listed previously.

Comments received by the Department within 10 days after the date of publication of this notice will be reviewed by the Department before it decides whether to approve or disapprove the request for exception.

Persons with a disability who wish to obtain a copy of a request and/or provide comments to the Department and require an auxiliary aid, service or other accommodation to do so should contact the Director, Division of Acute and Ambulatory Care at (717) 783-8980, for speech and/or hearing impaired persons V/TT (717) 783-6154, or the Pennsylvania AT&T Relay Service (800) 654-5984 (TT).

MICHAEL WOLF, Secretary

[Pa.B. Doc. No. 13-1915. Filed for public inspection October 11, 2013, 9:00 a.m.]

### **Application of UPMC Altoona for Exception**

Under to 28 Pa. Code § 51.33 (relating to requests for exceptions), the Department of Health (Department) hereby gives notice that UPMC Altoona has requested an exception to the requirements of 28 Pa. Code §§ 107.2, 107.32 and 127.32 (relating to medical staff membership; meetings and attendance; and written orders).

This request is on file with the Department. Persons may receive a copy of a request for exception by requesting a copy from the Department of Health, Division of Acute and Ambulatory Care, Room 532, Health and Welfare Building, Harrisburg, PA 17120, (717) 783-8980, fax (717) 772-2163, ra-paexcept@pa.gov.

Persons who wish to comment on an exception request may do so by sending a letter by mail, e-mail or facsimile to the Division at the address listed previously.

Comments received by the Department within 10 days after the date of publication of this notice will be reviewed by the Department before it decides whether to approve or disapprove the request for exception.

Persons with a disability who wish to obtain a copy of a request and/or provide comments to the Department and require an auxiliary aid, service or other accommodation to do so should contact the Division at the previously listed address or phone number, or for speech and/or

hearing impaired persons V/TT (717) 783-6154, or the Pennsylvania AT&T Relay Service at (800) 654-5984.

MICHAEL WOLF, Secretary

[Pa.B. Doc. No. 13-1916. Filed for public inspection October 11, 2013, 9:00 a.m.]

hearing impaired persons V/TT (717) 783-6154, or the Pennsylvania AT&T Relay Service at (800) 654-5984.

MICHAEL WOLF, Secretary

[Pa.B. Doc. No. 13-1918. Filed for public inspection October 11, 2013, 9:00 a.m.]

### Application of UPMC Altoona for Exception

Under 28 Pa. Code § 51.33 (relating to requests for exceptions), the Department of Health (Department) gives notice that UPMC Altoona has requested an exception to the requirements of 28 Pa. Code § 107.26(b)(2) (relating to additional committees).

This request is on file with the Department. Persons may receive a copy of a request for exception by requesting a copy from the Department of Health, Division of Acute and Ambulatory Care, Room 532, Health and Welfare Building, Harrisburg, PA 17120, (717) 783-8980, fax (717) 772-2163, ra-paexcept@pa.gov.

Persons who wish to comment on an exception request may do so by sending a letter by mail, e-mail or facsimile to the Division at the address listed previously.

Comments received by the Department within 10 days after the date of publication of this notice will be reviewed by the Department before it decides whether to approve or disapprove the request for exception.

Persons with a disability who wish to obtain a copy of a request and/or provide comments to the Department and require an auxiliary aid, service or other accommodation to do so should contact the Division at the previously listed address or phone number, or for speech and/or hearing impaired persons V/TT (717) 783-6154, or the Pennsylvania AT&T Relay Service at (800) 654-5984.

 $\begin{array}{c} \text{MICHAEL WOLF,} \\ \text{Secretary} \end{array}$ 

[Pa.B. Doc. No. 13-1917. Filed for public inspection October 11, 2013, 9:00 a.m.]

## Application of UPMC Altoona Surgery Center for Exception

Under 28 Pa. Code § 51.33 (relating to requests for exceptions), the Department of Health (Department) gives notice that UPMC Altoona Surgery Center has requested an exception to the requirements of 28 Pa. Code § 553.31(a) (relating to administrative responsibilities).

This request is on file with the Department. Persons may receive a copy of a request for exception by requesting a copy from the Department of Health, Division of Acute and Ambulatory Care, Room 532, Health and Welfare Building, Harrisburg, PA 17120, (717) 783-8980, fax (717) 772-2163, ra-paexcept@pa.gov.

Persons who wish to comment on an exception request may do so by sending a letter by mail, e-mail or facsimile to the Division at the address listed previously.

Comments received by the Department within 10 days after the date of publication of this notice will be reviewed by the Department before it decides whether to approve or disapprove the request for exception.

Persons with a disability who wish to obtain a copy of a request and/or provide comments to the Department and require an auxiliary aid, service or other accommodation to do so should contact the Division at the previously listed address or phone number, or for speech and/or hearing impaired persons V/TT (717) 783-6154, or the Pennsylvania AT&T Relay Service at (800) 654-5984.

MICHAEL WOLF, Secretary

[Pa.B. Doc. No. 13-1919. Filed for public inspection October 11, 2013, 9:00 a.m.]

### Application of UPMC Altoona for Exception

Under 28 Pa. Code § 51.33 (relating to requests for exceptions), the Department of Health (Department) gives notice that UPMC Altoona has requested an exception to the requirements of 28 Pa. Code § 123.25(2) (relating to regulations for control of anesthetic explosion hazards):

The request is on file with the Department. Persons may receive a copy of a request for exception by requesting a copy from the Department of Health, Division of Acute and Ambulatory Care, Room 532, Health and Welfare Building, Harrisburg, PA 17120, (717) 783-8980, fax (717) 772-2163, ra-paexcept@pa.gov.

The facility is requesting a waiver of the comment period, as set forth in 28 Pa. Code § 51.33(c).

Persons with a disability who wish to obtain a copy of a request and/or provide comments to the Department and require an auxiliary aid, service or other accommodation to do so should contact the Division at the previously listed address or phone number, or for speech and/or

## Application of UPMC Altoona Surgery Center for Exception

Under 28 Pa. Code § 51.33 (relating to requests for exceptions), the Department of Health (Department) gives notice that UPMC Altoona Surgery Center has requested an exception to the requirements of 28 Pa. Code § 563.10 (relating to ownership).

This request is on file with the Department. Persons may receive a copy of a request for exception by requesting a copy from the Department of Health, Division of Acute and Ambulatory Care, Room 532, Health and Welfare Building, Harrisburg, PA 17120, (717) 783-8980, fax (717) 772-2163, ra-paexcept@pa.gov.

Persons who wish to comment on an exception request may do so by sending a letter by mail, e-mail or facsimile to the Division at the address listed previously.

Comments received by the Department within 10 days after the date of publication of this notice will be reviewed by the Department before it decides whether to approve or disapprove the request for exception.

Persons with a disability who wish to obtain a copy of a request and/or provide comments to the Department and require an auxiliary aid, service or other accommodation to do so should contact the Division at the previously listed address or phone number, or for speech and/or hearing impaired persons V/TT (717) 783-6154, or the Pennsylvania AT&T Relay Service at (800) 654-5984.

MICHAEL WOLF, Secretary

[Pa.B. Doc. No. 13-1920. Filed for public inspection October 11, 2013, 9:00 a.m.]

## Application of UPMC Altoona Surgery Center for Exception

Under 28 Pa. Code § 51.33 (relating to requests for exceptions), the Department of Health (Department) gives notice that UPMC Altoona Surgery Center has requested an exception to the requirements of 28 Pa. Code § 569.35 (relating to general safety precautions).

This request is on file with the Department. Persons may receive a copy of a request for exception by requesting a copy from the Department of Health, Division of Acute and Ambulatory Care, Room 532, Health and Welfare Building, Harrisburg, PA 17120, (717) 783-8980, fax (717) 772-2163, ra-paexcept@pa.gov.

Persons who wish to comment on an exception request may do so by sending a letter by mail, e-mail or facsimile to the Division at the address listed previously.

Comments received by the Department within 10 days after the date of publication of this notice will be reviewed by the Department before it decides whether to approve or disapprove the request for exception.

Persons with a disability who wish to obtain a copy of a request and/or provide comments to the Department and require an auxiliary aid, service or other accommodation to do so should contact the Division at the previously listed address or phone number, or for speech and/or hearing impaired persons V/TT (717) 783-6154, or the Pennsylvania AT&T Relay Service at (800) 654-5984.

 $\begin{array}{c} \text{MICHAEL WOLF,} \\ Secretary \end{array}$ 

[Pa.B. Doc. No. 13-1921. Filed for public inspection October 11, 2013, 9:00 a.m.]

### Application of UPMC Hamot for Exception

Under 28 Pa. Code § 51.33 (relating to requests for exceptions), the Department of Health (Department) gives notice that UPMC Hamot has requested an exception to the requirements of 28 Pa. Code § 107.62(a) and (b) (relating to oral orders).

This request is on file with the Department. Persons may receive a copy of a request for exception by requesting a copy from the Department of Health, Division of Acute and Ambulatory Care, Room 532, Health and Welfare Building, Harrisburg, PA 17120, (717) 783-8980, fax (717) 772-2163, ra-paexcept@pa.gov.

Persons who wish to comment on an exception request may do so by sending a letter by mail, e-mail or facsimile to the Division at the address listed previously. Comments received by the Department within 10 days after the date of publication of this notice will be reviewed by the Department before it decides whether to approve or disapprove the request for exception.

Persons with a disability who wish to obtain a copy of a request and/or provide comments to the Department and require an auxiliary aid, service or other accommodation to do so should contact the Division at the previously listed address or phone number, or for speech and/or hearing impaired persons V/TT (717) 783-6154, or the Pennsylvania AT&T Relay Service at (800) 654-5984.

MICHAEL WOLF, Secretary

[Pa.B. Doc. No. 13-1922. Filed for public inspection October 11, 2013, 9:00 a.m.]

### Application of UPMC Horizon for Exception

Under 28 Pa. Code § 51.33 (relating to requests for exceptions), the Department of Health (Department) gives notice that UPMC Horizon has requested an exception to the requirements of 28 Pa. Code § 107.62(a) and (b) (relating to oral orders).

This request is on file with the Department. Persons may receive a copy of a request for exception by requesting a copy from the Department of Health, Division of Acute and Ambulatory Care, Room 532, Health and Welfare Building, Harrisburg, PA 17120, (717) 783-8980, fax (717) 772-2163, ra-paexcept@pa.gov.

Persons who wish to comment on an exception request may do so by sending a letter by mail, e-mail or facsimile to the Division at the address listed previously.

Comments received by the Department within 10 days after the date of publication of this notice will be reviewed by the Department before it decides whether to approve or disapprove the request for exception.

Persons with a disability who wish to obtain a copy of a request and/or provide comments to the Department and require an auxiliary aid, service or other accommodation to do so should contact the Division at the previously listed address or phone number, or for speech and/or hearing impaired persons V/TT (717) 783-6154, or the Pennsylvania AT&T Relay Service at (800) 654-5984.

MICHAEL WOLF, Secretary

[Pa.B. Doc. No. 13-1923. Filed for public inspection October 11, 2013, 9:00 a.m.]

### Application of UPMC Passavant for Exception

Under 28 Pa. Code § 51.33 (relating to requests for exceptions), the Department of Health (Department) gives notice that UPMC Passavant has requested an exception to the requirements of 28 Pa. Code § 107.62(a) and (b) (relating to oral orders).

This request is on file with the Department. Persons may receive a copy of a request for exception by requesting a copy from the Department of Health, Division of Acute and Ambulatory Care, Room 532, Health and Welfare Building, Harrisburg, PA 17120, (717) 783-8980, fax (717) 772-2163, ra-paexcept@pa.gov.

Persons who wish to comment on an exception request may do so by sending a letter by mail, e-mail or facsimile to the Division at the address listed previously.

Comments received by the Department within 10 days after the date of publication of this notice will be reviewed by the Department before it decides whether to approve or disapprove the request for exception.

Persons with a disability who wish to obtain a copy of a request and/or provide comments to the Department and require an auxiliary aid, service or other accommodation to do so should contact the Division at the previously listed address or phone number, or for speech and/or hearing impaired persons V/TT (717) 783-6154, or the Pennsylvania AT&T Relay Service at (800) 654-5984.

MICHAEL WOLF,

Secretary

[Pa.B. Doc. No. 13-1924. Filed for public inspection October 11, 2013, 9:00 a.m.]

### Application of Western PA Surgery Center Wexford Branch for Exception

Under 28 Pa. Code § 51.33 (relating to requests for exceptions), the Department of Health (Department) gives notice that Western PA Surgery Center Wexford Branch has requested an exception to the requirements of 28 Pa. Code § 569.35 (relating to general safety precautions).

This request is on file with the Department. Persons may receive a copy of a request for exception by requesting a copy from the Department of Health, Division of Acute and Ambulatory Care, Room 532, Health and Welfare Building, Harrisburg, PA 17120, (717) 783-8980, fax (717) 772-2163, ra-paexcept@pa.gov.

Persons who wish to comment on an exception request may do so by sending a letter by mail, e-mail or facsimile to the Division at the address listed previously.

Comments received by the Department within 10 days after the date of publication of this notice will be reviewed by the Department before it decides whether to approve or disapprove the request for exception.

Persons with a disability who wish to obtain a copy of a request and/or provide comments to the Department and require an auxiliary aid, service or other accommodation to do so should contact the Division at the previously listed address or phone number, or for speech and/or hearing impaired persons V/TT (717) 783-6154, or the Pennsylvania AT&T Relay Service at (800) 654-5984.

MICHAEL WOLF,

Secretary

[Pa.B. Doc. No. 13-1925. Filed for public inspection October 11, 2013, 9:00 a.m.]

## Long-Term Care Nursing Facilities; Request for Exception

The following long-term care nursing facilities are seeking exceptions to 28 Pa. Code § 211.9(g) (relating to pharmacy services):

Broad Mountain Nursing and Rehabilitation Center 500 West Laurel Street Frackville, PA 17931 FAC ID 282802

Meadowcrest Nursing Center 1200 Braun Road Bethel Park, PA 15102

Stonebridge Health and Rehabilitation Center 102 Chandra Drive Duncannon, PA 17020

These requests are on file with the Department of Health (Department). Persons may receive a copy of a request for exception by requesting a copy from the Department of Health, Division of Nursing Care Facilities, Room 526, Health and Welfare Building, Harrisburg, PA 17120, (717) 787-1816, fax (717) 772-2163, ra-paexcept@pa.gov.

Persons who wish to comment on an exception request may do so by sending a letter by mail, e-mail or facsimile to the Division at the address listed previously.

Comments received by the Department within 10 days after the date of publication of this notice will be reviewed by the Department before it decides whether to approve or disapprove the request for exception.

Persons with a disability who wish to obtain a copy of the request and/or provide comments to the Department and require an auxiliary aid, service or other accommodation to do so should contact the Division at the address or phone number listed previously, or for speech and/or hearing impaired persons V/TT (717) 783-6514, or the Pennsylvania AT&T Relay Service (800) 654-5984 (TT).

MICHAEL WOLF,

Secretary

[Pa.B. Doc. No. 13-1926. Filed for public inspection October 11, 2013, 9:00 a.m.]

# Special Supplemental Nutrition Program for Women, Infants and Children (WIC Program); Maximum Allowable Prices and Competitive Prices

### Maximum Allowable Prices and Competitive Prices for Type 1 Stores in Regular Cost Counties

Under 28 Pa. Code §§ 1103.4(5) and 1105.3(a)(1) (relating to selection criteria for authorization and reauthorization; and terms and conditions of participation) and 7 CFR 246.12 (relating to food delivery systems), the WIC Program hereby publishes notice of the Maximum Allowable Prices and Competitive Prices for Type 1 Stores in Regular Cost Counties.

### Maximum Allowable Prices

Effective October 1, 2013, through December 31, 2013, the Maximum Allowable Prices the Department of Health (Department) will pay Type 1 Stores in Regular Cost Counties for WIC allowable foods are as follows:

M	aximum
A	llowable
Description	Price
Beans, Canned—15.5/16 oz	\$1.33
Beans/Peas—Dry—16 oz	
Canned Fish—Pink Salmon	\$2.45

	Iaximum Allowable		Maximum Allowable
Description	Price	Description	Price
Canned Fish—Sardines	\$1.56 \$1.38	Good Start Gerber Graduate Protect Powder Formula—22 oz	. \$23.16
Cereal (per oz.)	. \$0.32	Good Start Protect Powder Formula—12.4 oz	
Cheese, 16 oz. Eggs		Nutramigen Concentrate Formula—13 oz	
Gerber Infant Cereal—8 oz.		Nutramigen w/Enflora Powder Formula—	. ψυ.υυ
Infant Fruits, 100%—4 oz	. \$0.65	12.6 oz	. \$24.31
Infant Vegetables, 100%—4 oz. Infant Meats, 100%—2.5 oz.	. \$0.65 . \$1.02	Pediasure RTF Formula—8 oz	
Juice—11.5/12 oz.		Pediasure Sidekicks RTF Formula—8 oz	
Juice—48 oz	. \$3.07	Similac Advance Concentrate—Blue Formula—	
Juice—64 oz.  Kosher Cheese—16 oz.		13 oz Similac Advance RTF—Blue Formula—32 oz	. \$4.83 . \$7.60
Kosher Low Fat Milk—quart		Similac Advance Powder—Blue Formula—	. φ1.00
Kosher Low Fat Milk—1/2 gallon	. \$3.25	12.4 oz	. \$15.17
Kosher Whole Milk—quart	. \$1.74 . \$3.35	Similac Expert Care Alimentum RTF Formula—32 oz	. \$9.40
Milk, Dry—9.6 oz.		Similac Expert Care Alimentum Powder	. φυ.το
Milk, Dry—25.6 oz	. \$9.00	Formula—16 oz	. \$27.59
Milk, Evaporated—12 oz	. \$1.40 . \$1.40	Similac Expert Care for Diarrhea RTF Formula—32 oz	. \$6.79
Milk, Low Fat—quart		Similac Expert Care NeoSure RTF Formula—	. ф0.79
Milk, Low Fat Lactose Free—quart	. \$2.42	32 oz	. \$7.69
Milk, Low Fat Lactose Free—1/2 gallon	. \$3.98 . \$1.38	Similac Expert Care NeoSure Powder	. \$16.06
Milk, Whole—quart		Formula—13.1 oz	. ф10.00
Milk, Whole Lactose Free—quart	. \$2.39	Formula—13 oz	
Milk, Whole Lactose Free—1/2 gallon		Similar Soy Isomil RTF—Pink Formula—32 oz.	. \$7.89
Peanut Butter—16-18 oz		Similac Soy Isomil Powder—Pink Formula— 12.4 oz	. \$15.84
Soy Beverage—8th Continent 64 oz	. \$3.37	Store Brand Milk Based Concentrate	·
Tofu—16 oz		Formula—13 oz	. \$3.40 . \$5.45
Whole Grain—Bread, 16 oz	. \$3.49 . \$4.19	Store Brand Milk Based NTF Formula—32 oz  Store Brand Milk Based Powder Formula—	. фо.4о
Whole Grain—Brown Rice, 16 oz	. \$1.86	25.75 oz	. \$15.77
Whole Grain—Brown Rice, 24 oz		A store must permit purchase of WIC allows	ble foods
Whole Grain—Oats, 16 oz. Whole Grain—Oats, 24 oz.		that exceed the maximum allowable price to WI	
Whole Grain—Soft Corn or Whole Wheat		pants using a WIC check; however, the amou exceed the maximum allowable price of any W	
Tortillas, 16 oz.  Boost RTF Formula—8 oz.		able foods sold to WIC participants will be bill	
EnfaCare RTF Formula—32 oz.		store on a quarterly basis in accordance with 28	
EnfaCare w/Iron Powder Formula—12.8 oz		§ 1105.2 (relating to price adjustment).	
Enfamil Premium Infant Concentrate	¢4.05	Competitive Prices	
Formula—13 oz		To remain WIC Authorized, each store must	
Enfamil Premium Infant Powder Formula—	·	the minimum inventory of the following WIC for at, or below, the Competitive Prices listed for t	
12.5 oz	. \$15.19	peer group. Effective October 1, 2013, through 1	December
Good Start Gentle Concentrate—Orange Formula—12.1 oz	. \$4.70	31, 2013, the Competitive Prices for WIC Auth	
Good Start Gentle RTF—Orange		for Type 1 Stores in Regular Cost Counties are a	
Formula—4-count, 8.45 oz. (33.8 oz.)	. \$6.64	Description	mpetitive Prices
Good Start Gentle Powder—Orange Formula—12.7 oz	. \$14.97	Beans, Canned—15.5/16 oz	
Good Start Soothe Powder—Purple		Beans/Peas—Dry—16 oz.	. \$2.00
Formula—12.4 oz	. \$15.78	Canned Fish—Pink Salmon	. \$2.36
Good Start Soy Concentrate—Blue Formula— 12.1 oz	. \$4.77	Canned Fish—Sardines	
Good Start Soy RTF—Blue Formula—4-count,		Canned Fish—Tuna Cereal (per oz.)	
8.45 oz. (33.8 oz.)	\$6.68	Cheese, 16 oz	. \$6.79
Good Start Soy Powder—Blue Formula— 12.9 oz	. \$15.79	Eggs	. \$1.86 . \$2.20
Good Start Gerber Graduate Soy Powder		Infant Fruits, 100%—4 oz.	
Formula—24 oz	. \$22.21	Infant Vegetables, 100%—4 oz	. \$0.64
Good Start Gerber Graduate Gentle Powder Formula—22 oz	. \$22.30	Infant Meats, 100%—2.5 oz	
	T	Suice -11.0/12 02.	. ψΔ.ΔΙ

	petitive		1aximum
Description	Prices		Allowable
Juice—48 oz	\$3.00	Description	Price
Juice—64 oz	\$3.66	Milk, Dry—9.6 oz	. \$4.48
Kosher Cheese—16 oz.  Kosher Low Fat Milk—1/2 gallon	\$7.82 \$3.15	Milk, Dry—25.6 oz.	. \$9.48 . \$1.50
Kosher Whole Milk—1/2 gallon	\$3.15 \$3.15	Milk, Evaporated—12 oz	
Milk, Low Fat—1/2 gallon	\$2.25	Milk, Low Fat—1/2 gallon	
Milk, Whole—1/2 gallon	\$2.23	Milk, Low Fat Lactose Free—quart	. \$2.51
Peanut Butter—16-18 oz	\$3.24	Milk, Low Fat Lactose Free—1/2 gallon	. \$4.28
Whole Grain—Bread, 16 oz	\$3.31	Milk, Whole—quart	. \$1.38
Whole Grain—Brown Rice, 16 oz	\$1.71	Milk, Whole—1/2 gallon	. \$2.55
Whole Grain—Oats, 16 oz.	\$2.35	Milk, Whole Lactose Free—quart	
Whole Grain—Soft Corn or Whole Wheat	¢0.49	Milk, Whole Lactose Free—1/2 gallon	
Tortillas, 16 oz	\$2.43	Peanut Butter—16-18 oz Soy Beverage—Pacific Natural Foods 32 oz	
Formula—12.1 oz	\$4.70	Soy Beverage—8th Continent 64 oz	
Good Start Gentle Powder—Orange	Ψ1	Tofu—16 oz	
Formula—12.7 oz	\$14.97	Whole Grain—Bread, 16 oz	. \$3.63
Good Start Soy Concentrate—Blue Formula—		Whole Grain—Bread, 24 oz	. \$4.19
12.1 oz	\$4.77	Whole Grain—Brown Rice, 16 oz	
Good Start Soy Powder—Blue Formula—	447 50	Whole Grain—Brown Rice, 24 oz	. \$3.04
12.9 oz	\$15.79	Whole Grain—Oats, 16 oz.	. \$2.60
Similac Advance Concentrate—Blue Formula— 13 oz	\$4.83	Whole Grain—Oats, 24 oz	. \$6.22
Similac Advance Powder—Blue Formula—	φ4.00	Tortillas, 16 oz.	. \$2.57
12.4 oz	\$15.17	Boost RTF Formula—8 oz.	
Similac Soy Isomil Concentrate—Pink	<b>+</b>	EnfaCare RTF Formula—32 oz	
Formula—13 oz	\$4.95	EnfaCare w/Iron Powder Formula—12.8 oz	. \$16.42
Similac Soy Isomil Powder—Pink Formula—		Enfamil Premium Infant Concentrate	
12.4 oz	\$15.84	Formula—13 oz.	. \$4.89
<b>Maximum Allowable Prices and Competitive</b>	Drigos	Enfamil Premium Infant RTF Formula—32 oz.	. \$7.24
for Type 2 Stores in Regular Cost Countie		Enfamil Premium Infant Powder Formula— 12.5 oz	. \$15.29
101 Type 2 Stores in Regular Cost Country	CG	Good Start Gentle Concentrate—Orange	. ф15.29
Under 28 Pa. Code §§ 1103.4(5) and 1105.3(a)(1)		Formula—12.1 oz	. \$4.81
CFR 246.12, the WIC Program hereby publishes n		Good Start Gentle RTF—Orange	. φ1.01
the Maximum Allowable Prices and Competitive	Prices	Formula—4-count, 8.45 oz. (33.8 oz.)	. \$6.79
for Type 2 Stores in Regular Cost Counties.		Good Start Gentle Powder—Orange	
Maximum Allowable Prices		Formula—12.7 oz.	. \$15.14
		Good Start Soothe Powder—Purple	¢15 70
Effective October 1, 2013, through December 31		Formula—12.4 oz	. \$15.78
the Maximum Allowable Prices the Department w		12.1 oz	. \$4.84
Type 2 Stores in Regular Cost Counties for WIC all foods are as follows:	lowable	Good Start Soy RTF—Blue Formula—4-count,	. ψ1.01
		8.45 oz. (33.8 oz.)	. \$6.80
	eximum	Good Start Soy Powder—Blue Formula—	
	lowable	12.9 oz	. \$15.79
Description	Price	Good Start Gerber Graduate Soy Powder	φοο 40
Beans, Canned—15.5/16 oz	\$1.37	Formula—24 oz	. \$22.49
Beans/Peas—Dry—16 oz.	\$2.24	Formula—22 oz	. \$22.52
Canned Fish—Pink Salmon Canned Fish—Sardines	$$2.45 \\ $1.70$	Good Start Gerber Graduate Protect Powder	. φΔΔ.0Δ
Canned Fish—Tuna	\$1.70 \$1.47	Formula—22 oz.	. \$23.16
Cereal (per oz.)	\$0.33	Good Start Protect Powder Formula—12.4 oz	44 = 4
Cheese, 16 oz.	\$7.28	Nutramigen Concentrate Formula—13 oz	
Eggs	\$1.96	Nutramigen RTF Formula—32 oz	. \$9.59
Gerber Infant Cereal—8 oz	\$2.24	Nutramigen w/Enflora Powder Formula—	ΦΩ4.4Ω
Infant Fruits, 100%—4 oz	\$0.70	12.6 oz	. \$24.42 . \$1.89
Infant Vegetables, 100%—4 oz	\$0.70	Pediasure w/Fiber RTF Formula—8 oz	
Infant Meats, 100%—2.5 oz	$$1.12 \\ $2.56$	Pediasure Sidekicks RTF Formula—8 oz	
Juice—48 oz	\$2.56 \$3.12	Similac Advance Concentrate—Blue Formula—	. Ψ=.00
Juice—64 oz	\$4.15	13 oz	. \$4.83
Kosher Cheese—16 oz.	\$8.40	Similac Advance RTF—Blue Formula—32 oz	
Kosher Low Fat Milk—quart	\$1.78	Similac Advance Powder—Blue Formula—	A
Kosher Low Fat Milk—1/2 gallon	\$3.25	12.4 oz.	. \$15.34
Kosher Whole Milk—quart	\$1.74 \$3.35	Similac Expert Care Alimentum RTF Formula—32 oz.	. \$9.40
Kosher Whole Milk_1/9 gallon	*× × ×	1 01 III U1a — 04 U4	. თუ.4U

	aximum llowable Price
Description	17166
Similac Expert Care Alimentum Powder	
Formula—16 oz	\$28.28
Similac Expert Care for Diarrhea RTF	
Formula—32 oz	\$6.79
Similac Expert Care NeoSure RTF Formula—	
32 oz	\$7.74
Similac Expert Care NeoSure Powder	
Formula—13.1 oz	\$16.16
Similac Soy Isomil Concentrate—Pink	,
Formula—13 oz	\$4.95
Similac Sov Isomil RTF—Pink Formula—32 oz	\$7.89
Similac Soy Isomil Powder—Pink Formula—	4
12.4 oz	\$16.01
Store Brand Milk Based Concentrate	
Formula—13 oz	\$3.40
Store Brand Milk Based RTF Formula—32 oz	\$5.45
Store Brand Milk Based Powder Formula—	,
25.75 oz	\$16.03

A store must permit purchase of WIC allowable foods that exceed the maximum allowable price to WIC participants using a WIC check; however, the amounts that exceed the maximum allowable price of any WIC allowable foods sold to WIC participants will be billed to the store on a quarterly basis in accordance with 28 Pa. Code § 1105.2.

### Competitive Prices

To remain WIC Authorized, each store must maintain the minimum inventory of the following WIC food items at, or below, the Competitive Prices listed for the store's peer group. Effective October 1, 2013, through December 31, 2013, the Competitive Prices for WIC Authorization for Type 2 Stores in Regular Cost Counties are as follows:

Com	ıpetitive
Description	Prices
Beans, Canned—15.5/16 oz	\$1.27
Beans/Peas—Dry—16 oz	\$2.08
Canned Fish—Pink Salmon	\$2.37
Canned Fish—Sardines	\$1.57
Canned Fish—Tuna	\$1.35
Cereal (per oz.)	\$0.31
Cheese, 16 oz	\$6.81
Eggs	\$1.86
Gerber Infant Cereal—8 oz	\$2.23
Infant Fruits, 100%—4 oz	\$0.69
Infant Vegetables, 100%—4 oz	\$0.69
Infant Meats, 100%—2.5 oz	\$1.10
Juice—11.5/12 oz	\$2.45
Juice—48 oz	\$3.06
Juice—64 oz	\$3.83
Kosher Cheese—16 oz	\$8.30
Kosher Low Fat Milk—1/2 gallon	\$3.15
Kosher Whole Milk—1/2 gallon	\$3.15
Milk, Low Fat—1/2 gallon	\$2.25
Milk, Whole—1/2 gallon	\$2.23
Peanut Butter—16-18 oz	\$3.51
Whole Grain—Bread, 16 oz	\$3.52
Whole Grain—Brown Rice, 16 oz	\$1.71
Whole Grain—Oats, 16 oz	\$2.65
Whole Grain—Soft Corn or Whole Wheat	
Tortillas, 16 oz.	\$2.43
Good Start Gentle Concentrate—Orange	
Formula—12.1 oz	\$4.81
Good Start Gentle Powder—Orange	
Formula—12.7 oz	\$15.14

Com	petitive
Description	Prices
Good Start Soy Concentrate—Blue Formula—	
12.1 oz	\$4.84
Good Start Soy Powder—Blue Formula—	
12.9 oz	\$15.79
Similac Advance Concentrate—Blue Formula—	4
13 oz	\$4.83
Similac Advance Powder—Blue Formula—	A
12.4 oz	\$15.34
Similac Soy Isomil Concentrate—Pink	<b>4.05</b>
Formula—13 oz.	\$4.95
Similac Soy Isomil Powder—Pink Formula—	41001
12.4 oz	\$16.01

## Maximum Allowable Prices and Competitive Prices for Type 3 Stores in Regular Cost Counties

Under 28 Pa. Code §§ 1103.4(5) and 1105.3(a)(1) and 7 CFR 246.12, the WIC Program hereby publishes notice of the Maximum Allowable Prices and Competitive Prices for Type 3 Stores in Regular Cost Counties.

### Maximum Allowable Prices

Effective October 1, 2013, through December 31, 2013, the Maximum Allowable Prices the Department will pay Type 3 Stores in Regular Cost Counties for WIC allowable foods are as follows:

	Maximum
Description	Price
Description  Beans, Canned—15.5/16 oz. Beans/Peas—Dry—16 oz. Canned Fish—Pink Salmon Canned Fish—Sardines Canned Fish—Tuna Cereal (per oz.) Cheese, 16 oz. Eggs Gerber Infant Cereal—8 oz. Infant Fruits, 100%—4 oz. Infant Vegetables, 100%—4 oz. Infant Meats, 100%—2.5 oz. Juice—11.5/12 oz. Juice—48 oz. Juice—48 oz. Juice—64 oz. Kosher Cheese—16 oz. Kosher Low Fat Milk—quart Kosher Low Fat Milk—quart Kosher Whole Milk—1/2 gallon Milk, Dry—9.6 oz. Milk, Dry—25.6 oz. Milk, Evaporated—12 oz. Milk, Low Fat—quart	Allowable Price \$1.37 \$2.26 \$2.45 \$1.70 \$1.47 \$0.36 \$7.30 \$1.96 \$2.24 \$0.71 \$0.71 \$1.15 \$2.61 \$3.23 \$4.20 \$8.40 \$1.78 \$3.25 \$1.74 \$3.35 \$4.70 \$9.53 \$1.57 \$1.47
Milk, Low Fat—1/2 gallon  Milk, Low Fat Lactose Free—quart  Milk, Low Fat Lactose Free—1/2 gallon	\$2.57 \$2.51 \$4.28
Milk, Whole—quart Milk, Whole—1/2 gallon Milk, Whole Lactose Free—quart Milk, Whole Lactose Free—1/2 gallon	\$2.55 \$2.48 \$4.26
Peanut Butter—16-18 oz.  Soy Beverage—Pacific Natural Foods 32 oz.  Soy Beverage—8th Continent 64 oz.  Tofu—16 oz.  Whole Grain—Bread, 16 oz.  Whole Grain—Bread, 24 oz.	\$3.55 \$3.24 \$3.90 \$2.62 \$3.63 \$4.19
Whole Grain—Brown Rice, 16 oz.	\$2.15

	Maximum Allowable
Description	Price
Whole Grain—Brown Rice, 24 oz.  Whole Grain—Oats, 16 oz.  Whole Grain—Oats, 24 oz.  Whole Grain—Soft Corn or Whole Wheat	\$2.79 \$6.22
Tortillas, 16 oz.  Boost RTF Formula—8 oz.  EnfaCare RTF Formula—32 oz.  EnfaCare w/Iron Powder Formula—12.8 oz.  Enfamil Premium Infant Concentrate	\$1.85 \$7.50
Formula—13 oz Enfamil Premium Infant RTF Formula—32 oz.	\$5.49 . \$7.29
Enfamil Premium Infant Powder Formula— 12.5 oz	
Formula—12.1 oz	
Formula—4-count, 8.45 oz. (33.8 oz.) Good Start Gentle Powder—Orange	
Formula—12.7 oz	
Formula—12.4 oz	
12.1 oz	
Good Start Soy Powder—Blue Formula— 12.9 oz.	
Good Start Gerber Graduate Soy Powder Formula—24 oz	
Good Start Gerber Graduate Gentle Powder Formula—22 oz	
Good Start Gerber Graduate Protect Powder Formula—22 oz.	
Good Start Protect Powder Formula—12.4 oz.	
Nutramigen Concentrate Formula—13 oz	\$7.49
Nutramigen RTF Formula—32 oz Nutramigen w/Enflora Powder Formula—	\$9.65
12.6 oz	
Pediasure RTF Formula—8 oz	\$2.10
Pediasure w/Fiber RTF Formula—8 oz	\$1.94
Pediasure Sidekicks RTF Formula—8 oz Similac Advance Concentrate—Blue Formula—	
13 oz	\$7.60
12.4 oz. Similac Expert Care Alimentum RTF Formula—32 oz.	
Similac Expert Care Alimentum Powder Formula—16 oz.	
Similac Expert Care for Diarrhea RTF Formula—32 oz.	
Similac Expert Care NeoSure RTF Formula— 32 oz	
Similac Expert Care NeoSure Powder Formula—13.1 oz.	\$16.25
Similac Soy Isomil Concentrate—Pink Formula—13 oz	\$5.37 . \$7.89
Similac Soy Isomil Powder—Pink Formula— 12.4 oz.	
Store Brand Milk Based Concentrate Formula—13 oz	\$3.40
Store Brand Milk Based RTF Formula—32 oz. Store Brand Milk Based Powder Formula—	\$5.45
25.75 oz	\$17.00

A store must permit purchase of WIC allowable foods that exceed the maximum allowable price to WIC participants using a WIC check; however, the amounts that exceed the maximum allowable price of any WIC allowable foods sold to WIC participants will be billed to the store on a quarterly basis in accordance with 28 Pa. Code § 1105.2.

### Competitive Prices

To remain WIC Authorized, each store must maintain the minimum inventory of the following WIC food items at, or below, the Competitive Prices listed for the store's peer group. Effective October 1, 2013, through December 31, 2013, the Competitive Prices for WIC Authorization for Type 3 Stores in Regular Cost Counties are as follows:

Description	Competitive Prices
Description  Beans, Canned—15.5/16 oz. Beans/Peas—Dry—16 oz. Canned Fish—Pink Salmon Canned Fish—Sardines Canned Fish—Tuna Cereal (per oz.) Cheese, 16 oz. Eggs Gerber Infant Cereal—8 oz. Infant Fruits, 100%—4 oz. Infant Vegetables, 100%—4 oz. Infant Meats, 100%—2.5 oz. Juice—11.5/12 oz. Juice—48 oz. Juice—64 oz. Kosher Cheese—16 oz. Kosher Low Fat Milk—1/2 gallon Milk, Low Fat—1/2 gallon Milk, Whole—1/2 gallon Peanut Butter—16-18 oz. Whole Grain—Bread, 16 oz.	\$1.27 \$2.10 \$2.37 \$1.64 \$1.38 \$0.34 \$6.83 \$1.86 \$2.23 \$0.70 \$1.15 \$2.51 \$3.16 \$3.97 \$3.15 \$3.15 \$2.25 \$3.15 \$2.23 \$3.15
Whole Grain—Brown Rice, 16 oz	\$2.11
Whole Grain—Oats, 16 oz.  Whole Grain—Soft Corn or Whole Wheat Tortillas, 16 oz.	\$2.70
Good Start Gentle Concentrate—Orange Formula—12.1 oz	\$4.81
Formula—12.7 oz	\$15.31
12.1 oz	\$4.93
12.9 oz. Similac Advance Concentrate—Blue Formula—	\$15.98
13 oz	
12.4 oz	\$15.68
Similac Soy Isomil Concentrate—Pink Formula—13 oz	\$5.37
Similac Soy Isomil Powder—Pink Formula— 12.4 oz.	\$16.35

## Maximum Allowable Prices and Competitive Prices for Type 1 Stores in High Cost Counties

Under 28 Pa. Code §§ 1103.4(5) and 1105.3(a)(1) and 7 CFR 246.12, the WIC Program hereby publishes notice of the Maximum Allowable Prices and Competitive Prices for Type 1 Stores in High Cost Counties.

Maximum

### Maximum Allowable Prices

Effective October 1, 2013, through December 31, 2013, the Maximum Allowable Prices the Department will pay Type 1 Stores in High Cost Counties for WIC allowable foods are as follows:

loods are as follows.	
	Maximum
	Allowable
Description	Price
Beans, Canned—15.5/16 oz.	\$1.35
Beans/Peas—Dry—16 oz.	
Canned Fish—Pink Salmon	\$2.45
Canned Fish—Sardines	\$1.92
Canned Fish—Tuna	\$1.38
Cereal (per oz.)	
Cheese, 16 oz.	\$7.40
Eggs	\$2.06
Gerber Infant Cereal—8 oz.	\$2.30
Infant Fruits, 100%—4 oz.	\$0.71
Infant Vegetables, 100%—4 oz.	\$0.71
Infant Meats, 100%—2.5 oz	
Juice—11.5/12 oz.	\$2.45
Juice—48 oz.	
Juice—64 oz	
Kosher Cheese—16 oz.	
Kosher Low Fat Milk—quart	
Kosher Low Fat Milk—1/2 gallon	
Kosher Whole Milk—quart	\$1.74
Kosher Whole Milk—1/2 gallon	\$3.35
Milk, Dry—9.6 oz	
Milk, Dry—25.6 oz	
Milk, Evaporated—12 oz	
Milk, Low Fat—quart	\$1.42
Milk, Low Fat—1/2 gallon	\$2.65
Milk, Low Fat Lactose Free—quart	\$2.51
Milk, Low Fat Lactose Free—quart	\$4.00
Milk, Whole—quart	\$1.40
Milk, Whole—1/2 gallon	\$2.63
Milk, Whole Lactose Free—quart	\$2.48
Milk, Whole Lactose Free—1/2 gallon	\$3.95
Peanut Butter—16-18 oz	\$3.55
Soy Beverage—Pacific Natural Foods 32 oz	\$3.40
Soy Beverage—8th Continent 64 oz	
Tofu—16 oz	
Whole Grain—Bread, 16 oz.	\$3.63
Whole Grain—Bread, 24 oz.	\$4.19
Whole Grain—Brown Rice, 16 oz	\$1.95
Whole Grain—Brown Rice, 24 oz	\$3.79
Whole Grain—Oats, 16 oz.	\$2.64
Whole Grain—Oats, 24 oz.	\$5.70
Whole Grain—Soft Corn or Whole Wheat	фо. <b>с</b> о
Tortillas, 16 oz.	\$2.62
Boost RTF Formula—8 oz.	\$1.75
EnfaCare RTF Formula—32 oz.	
EnfaCare w/Iron Powder Formula—12.8 oz	\$16.63
Enfamil Premium Infant Concentrate	¢4.00
Formula—13 oz	\$4.96
Enfamil Premium Infant RIF Formula—52 oz.  Enfamil Premium Infant Powder Formula—	. \$7.24
12.5 oz	\$15.52
Good Start Gentle Concentrate—Orange	φ15.52
Formula—12.1 oz	\$4.82
Good Start Gentle RTF—Orange	ψτ.υΔ
Formula—4-count, 8.45 oz. (33.8 oz.)	\$6.76
Good Start Gentle Powder—Orange	ψυ.τυ
Formula—12.7 oz.	\$15.15
Good Start Soothe Powder—Purple	φ10,10
Formula—12.4 oz.	\$15.82
	•

	Allowable
Description	Price
Good Start Soy Concentrate—Blue Formula—	<b>4.0</b>
12.1 oz	\$4.85
Good Start Soy RTF—Blue Formula—4-count, 8.45 oz. (33.8 oz.).	\$6.78
Good Start Soy Powder—Blue Formula— 12.9 oz	\$15.79
Good Start Gerber Graduate Soy Powder	
Formula—24 oz	\$22.54
Formula—22 oz.	\$22.91
Good Start Gerber Graduate Protect Powder	·
Formula—22 oz.	\$23.74
Good Start Protect Powder Formula—12.4 oz.	
Nutramigen Concentrate Formula—13 oz	\$7.40
Nutramigen RTF Formula—32 oz	\$9.65
12.6 oz	
Pediasure RTF Formula—8 oz	\$1.89
Pediasure w/Fiber RTF Formula—8 oz	
Pediasure Sidekicks RTF Formula—8 oz	\$2.00
Similac Advance Concentrate—Blue Formula—	
13 oz	\$5.04
Similac Advance RTF—Blue Formula—32 oz Similac Advance Powder—Blue Formula—	\$7.60
12.4 oz	\$15.37
Similac Expert Care Alimentum RTF Formula—32 oz	\$9.50
Similac Expert Care Alimentum Powder	
Formula—16 oz	\$27.93
Formula—32 oz	\$6.97
Similac Expert Care NeoSure RTF Formula—	φ= 00
32 oz	\$7.86
Formula—13.1 oz	\$16.20
Similac Soy Isomil Concentrate—Pink Formula—13 oz	\$5.16
Cimilar Carr Isamil DTE Dink Formula 20 or	\$5.10 ¢7.00
Similac Soy Isomil RTF—Pink Formula—32 oz. Similac Soy Isomil Powder—Pink Formula—	
12.4 oz	\$16.04
Formula—13 oz	\$3.40
Store Brand Milk Based RTF Formula—32 oz.	\$5.45
Store Brand Milk Based Powder Formula—	
25.75 oz	\$16.07

A store must permit purchase of WIC allowable foods that exceed the maximum allowable price to WIC participants using a WIC check; however, the amounts that exceed the maximum allowable price of any WIC allowable foods sold to WIC participants will be billed to the store on a quarterly basis in accordance with 28 Pa. Code § 1105.2.

### Competitive Prices

To remain WIC Authorized, each store must maintain the minimum inventory of the following WIC food items at, or below, the Competitive Prices listed for the store's peer group. Effective October 1, 2013, through December 31, 2013, the Competitive Prices for WIC authorization for Type 1 Stores in High Cost Counties are as follows:

	oetitive
Description	Prices
Beans, Canned—15.5/16 oz	\$1.25
Beans/Peas—Dry—16 oz	\$2.03
Canned Fish—Pink Salmon	\$2.37

Description Con	npetitive Prices		Maximum Allowable
Canned Fish—Sardines	\$1.78	Description	Price
Canned Fish—Tuna		Infant Meats, 100%—2.5 oz	\$1.12
Cereal (per oz.)		Juice—11.5/12 oz	\$2.56
Cheese, 16 oz		Juice—48 oz	
Eggs	\$1.96	Juice—64 oz.	\$4.24
Gerber Infant Cereal—8 oz		Kosher Cheese—16 oz.	
Infant Fruits, 100%—4 oz	\$0.68	Kosher Low Fat Milk—quart	
Infant Vegetables, 100%—4 oz	\$0.68 \$1.03	Kosher Low Fat Milk—1/2 gallon Kosher Whole Milk—quart	
Infant Meats, 100%—2.5 oz		Kosher Whole Milk—1/2 gallon	
Juice—48 oz	\$3.06	Milk, Dry—9.6 oz.	
Juice—64 oz	\$3.66	Milk, Dry—25.6 oz.	
Kosher Cheese—16 oz	\$8.70	Milk, Evaporated—12 oz	\$1.55
Kosher Low Fat Milk—1/2 gallon	\$3.15	Milk, Low Fat—quart	\$1.47
Kosher Whole Milk—1/2 gallon	\$3.15	Milk, Low Fat—1/2 gallon	
Milk, Low Fat—1/2 gallon	\$2.33	Milk, Low Fat Lactose Free—quart	
Milk, Whole—1/2 gallon	\$2.31	Milk, Low Fat Lactose Free—1/2 gallon	\$4.32
Peanut Butter—16-18 oz	\$3.35 \$3.31	Milk, Whole—quart	\$1.45 \$2.63
Whole Grain—Brown Rice, 16 oz	\$1.80	Milk, Whole Lactose Free—quart	
Whole Grain—Oats, 16 oz.	\$2.59	Milk, Whole Lactose Free—1/2 gallon	
Whole Grain—Soft Corn or Whole Wheat	Ψ <b>2.</b> 33	Peanut Butter—16-18 oz.	
Tortillas, 16 oz	\$2.48	Soy Beverage—Pacific Natural Foods 32 oz	\$3.40
Good Start Gentle Concentrate—Orange		Soy Beverage—8th Continent 64 oz	\$3.71
Formula—12.1 oz	\$4.82	Tofu—16 oz	\$2.62
Good Start Gentle Powder—Orange	44747	Whole Grain—Bread, 16 oz.	
Formula—12.7 oz.	\$15.15	Whole Grain—Bread, 24 oz.	
Good Start Soy Concentrate—Blue Formula—	\$4.85	Whole Grain—Brown Rice, 16 oz	
12.1 oz	Ф4.00	Whole Grain—Brown Rice, 24 oz	
12.9 oz	\$15.79	Whole Grain—Oats, 24 oz.	
Similac Advance Concentrate—Blue Formula—	Ψ200	Whole Grain—Soft Corn or Whole Wheat	
13 oz	\$5.04	Tortillas, 16 oz	\$2.62
Similac Advance Powder—Blue Formula—		Boost RTF Formula—8 oz.	\$1.79
12.4 oz.	\$15.37	EnfaCare RTF Formula—32 oz	
Similac Soy Isomil Concentrate—Pink	<b>A= 40</b>	EnfaCare w/Iron Powder Formula—12.8 oz	\$16.63
Formula—13 oz.	\$5.16	Enfamil Premium Infant Concentrate	фг O1
Similac Soy Isomil Powder—Pink Formula— 12.4 oz	\$16.04	Formula—13 oz	
12.4 02.	φ10.04	Enfamil Premium Infant Powder Formula—	. φ1.Δ3
<b>Maximum Allowable Prices and Competitive</b>		12.5 oz	\$15.52
for Type 2 Stores in High Cost Countie	es	Good Start Gentle Concentrate—Orange	φ10.02
Under 28 Pa. Code §§ 1103.4(5) and 1105.3(a)(	1) and 7	Formula—12.1 oz	\$4.82
CFR 246.12, the WIC Program hereby publishes		Good Start Gentle RTF—Orange	
the Maximum Allowable Prices and Competitiv	e Prices	Formula—4-count, 8.45 oz. (33.8 oz.)	\$6.91
for Type 2 Stores in High Cost Counties.		Good Start Gentle Powder—Orange	415 50
Maximum Allowable Prices		Formula—12.7 oz.	\$15.50
		Good Start Soothe Powder—Purple Formula—12.4 oz	\$16.35
Effective October 1, 2013, through December 3		Good Start Soy Concentrate—Blue Formula—	ψ10.00
the Maximum Allowable Prices the Department of		12.1 oz	\$4.85
will pay Type 2 Stores in High Cost Counties allowable foods are as follows:	ior wic	Good Start Soy RTF—Blue Formula—4-count,	,
		8.45 oz. (33.8 oz.)	\$7.00
	laximum	Good Start Soy Powder—Blue Formula—	
	Allowable Price	12.9 oz	\$15.91
Description		Good Start Gerber Graduate Soy Powder	\$22.82
Beans, Canned—15.5/16 oz		Formula—24 oz	ф44.04
Beans/Peas—Dry—16 oz. Canned Fish—Pink Salmon	\$2.25 $$2.45$	Formula—22 oz.	\$23.17
Canned Fish—Fink Salmon Canned Fish—Sardines	\$2.45 $$1.92$	Good Start Gerber Graduate Protect Powder	φ=σ.11
Canned Fish—Tuna	\$1.32 $$1.47$	Formula—22 oz.	\$23.74
Cereal (per oz.)		Good Start Protect Powder Formula—12.4 oz.	\$15.81
Cheese, 16 oz		Nutramigen Concentrate Formula—13 oz	
Eggs	\$2.06	Nutramigen RTF Formula—32 oz.	\$9.65
Gerber Infant Cereal—8 oz.		Nutramigen w/Enflora Powder Formula—	¢04 F7
Infant Fruits, 100%—4 oz		12.6 oz	\$24.57 \$2.24
IIIIaiii vegetadies, $100\%$ —4 0Z	DU. ( )	TOSIGNATO IVIT I UTILIQUE O UZ	ΨΔ.Δ <del>Τ</del>

	laximum Ilowable
Description	Price
Pediasure w/Fiber RTF Formula—8 oz	\$1.99 \$2.00
13 oz Similac Advance RTF—Blue Formula—32 oz	\$5.11 \$7.60
Similac Advance Powder—Blue Formula— 12.4 oz	
Formula—32 oz	\$9.55
Formula—16 oz	\$28.34
Formula—32 oz	\$7.43
Similac Expert Care NeoSure RTF Formula— 32 oz	\$8.06
Formula—13.1 oz	\$16.80
Formula—13 oz	\$5.23 \$7.89
Similac Soy Isomil Powder—Pink Formula— 12.4 oz	\$16.21
Formula—13 oz	\$3.40
Store Brand Milk Based RTF Formula—32 oz Store Brand Milk Based Powder Formula—	\$5.45
25.75 oz	\$16.07
Formula—12.1 oz	\$4.89

A store must permit purchase of WIC allowable foods that exceed the maximum allowable price to WIC participants using a WIC check; however, the amounts that exceed the maximum allowable price of any WIC allowable foods sold to WIC participants will be billed to the store on a quarterly basis in accordance with 28 Pa. Code § 1105.2.

### Competitive Prices

To remain WIC Authorized, each store must maintain the minimum inventory of the following WIC food items at, or below, the Competitive Prices listed for the store's peer group. Effective October 1, 2013, through December 31, 2013, the Competitive Prices for WIC Authorization for Type 2 Stores in High Cost Counties are as follows:

Com	petitive
Description	Prices
Beans, Canned—15.5/16 oz	\$1.27
Beans/Peas—Dry—16 oz	\$2.10
Canned Fish—Pink Salmon	\$2.37
Canned Fish—Sardines	\$1.78
Canned Fish—Tuna	\$1.35
Cereal (per oz.)	\$0.33
Cheese, 16 oz	\$6.86
Eggs	\$1.96
Gerber Infant Cereal—8 oz	\$2.28
Infant Fruits, 100%—4 oz	\$0.69
Infant Vegetables, 100%—4 oz	\$0.69
Infant Meats, 100%—2.5 oz	\$1.10
Juice—11.5/12 oz	\$2.45
Juice—48 oz	\$3.16
Juice—64 oz	\$3.93
Kosher Cheese—16 oz.	\$8.70
Kosher Low Fat Milk—1/2 gallon	\$3.15
Kosher Whole Milk—1/2 gallon	\$3.15
Milk, Low Fat—1/2 gallon	\$2.33

Com	petitive
Description	Prices
Milk, Whole—1/2 gallon Peanut Butter—16-18 oz. Whole Grain—Bread, 16 oz. Whole Grain—Brown Rice, 16 oz.	\$2.31 \$3.51 \$3.52 \$1.94
Whole Grain—Oats, 16 oz	\$2.97
Tortillas, 16 oz	\$2.56
Formula—12.1 oz	\$4.82
Formula—12.7 oz	\$15.50
12.1 oz	\$4.85
12.9 oz	\$15.91
13 oz	\$5.11
12.4 oz	\$15.54
Formula—13 oz	\$5.23
12.4 oz	\$16.21

## Maximum Allowable Prices and Competitive Prices for Type 3 Stores in High Cost Counties

Under 28 Pa. Code §§ 1103.4(5) and 1105.3(a)(1) and 7 CFR 246.12, the WIC Program hereby publishes notice of the Maximum Allowable Prices and Competitive Prices for Type 3 Stores in High Cost Counties.

### Maximum Allowable Prices

Effective October 1, 2013, through December 31, 2013, the Maximum Allowable Prices the Department will pay Type 3 Stores in High Cost Counties for WIC allowable foods are as follows:

Marimum

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	Allowable
Description	Price
Beans, Canned—15.5/16 oz	\$1.44
Beans/Peas—Dry—16 oz	\$2.27
Canned Fish—Pink Salmon	\$2.49
Canned Fish—Sardines	\$1.92
Canned Fish—Tuna	\$1.47
Cereal (per oz.)	
Cheese, 16 oz	
Eggs	
Gerber Infant Cereal—8 oz	\$2.59
Infant Fruits, 100%—4 oz	\$0.85
Infant Vegetables, 100%—4 oz	\$0.85
Infant Meats, 100%—2.5 oz	\$1.17
Juice—11.5/12 oz	
Juice—48 oz	\$3.34
Juice—64 oz	
Kosher Cheese—16 oz	\$9.04
Kosher Low Fat Milk—quart	\$1.90
Kosher Low Fat Milk—1/2 gallon	\$3.25
Kosher Whole Milk—quart	\$1.74
Kosher Whole Milk—1/2 gallon	\$3.35
Milk, Dry—9.6 oz	\$4.70
Milk, Dry—25.6 oz	\$9.60
Milk, Evaporated—12 oz	\$1.60
Milk, Low Fat—quart	\$1.59
Milk, Low Fat—1/2 gallon	\$2.79
Milk, Low Fat Lactose Free—quart	\$2.51
Milk, Low Fat Lactose Free—1/2 gallon	\$4.32

	laximum llowable		laximum Allowable
Description	Price	Description	Price
Milk, Whole—quart		Similac Expert Care NeoSure Powder	
Milk, Whole—1/2 gallon		Formula—13.1 oz.	\$16.88
Milk, Whole Lactose Free—quart		Similac Soy Isomil Concentrate—Pink Formula—13 oz	\$5.69
Peanut Butter—16-18 oz		Similac Soy Isomil RTF—Pink Formula—32 oz	\$7.99
Soy Beverage—Pacific Natural Foods 32 oz		Similac Soy Isomil Powder—Pink Formula—	φιισσ
Soy Beverage—8th Continent 64 oz	\$3.90	12.4 oz	\$17.37
Tofu—16 oz	\$2.62	Store Brand Milk Based Concentrate	<b>\$0.40</b>
Whole Grain—Bread, 16 oz		Formula—13 oz. Store Brand Milk Based RTF Formula—32 oz	
Whole Grain—Bread, 24 oz		Store Brand Milk Based Powder Formula—	φυ.4υ
Whole Grain—Brown Rice, 24 oz	\$3.79	25.75 oz	\$17.86
Whole Grain—Oats, 16 oz	\$3.00	A store must permit purchase of WIC allowal	ble foods
Whole Grain—Oats, 24 oz.	\$6.22	that exceed the maximum allowable price to WIC	
Whole Grain—Soft Corn or Whole Wheat Tortillas, 16 oz	\$2.64	pants using a WIC check; however, the amou	
Boost RTF Formula—8 oz.	\$1.85	exceed the maximum allowable price of any WI	C allow-
EnfaCare RTF Formula—32 oz	\$8.03	able foods sold to WIC participants will be billed	ed to the
EnfaCare w/Iron Powder Formula—12.8 oz	\$17.20	store on a quarterly basis in accordance with 28 \ 1105.2.	ra. Code
Enfamil Premium Infant Concentrate	φ <u>τ</u> 40	· ·	
Formula—13 oz		Competitive Prices	
Enfamil Premium Infant NIF Formula—52 oz Enfamil Premium Infant Powder Formula—	φ1.30	To remain WIC Authorized, each store must	
12.5 oz	\$16.40	the minimum inventory of the following WIC fo at, or below, the Competitive Prices listed for th	
Good Start Gentle Concentrate—Orange		peer group. Effective October 1, 2013, through I	
Formula—12.1 oz.	\$5.60	31, 2013, the Competitive Prices for WIC Auth	orization
Good Start Gentle RTF—Orange	¢c 01	for Type 3 Stores in High Cost Counties are as	
Formula—4-count, 8.45 oz. (33.8 oz.) Good Start Gentle Powder—Orange	\$6.91	Con	mpetitive
Formula—12.7 oz	\$16.92	Description	Prices
Good Start Soothe Powder—Purple	·	Beans, Canned—15.5/16 oz	\$1.38
Formula—12.4 oz.	\$17.05	Beans/Peas—Dry—16 oz	\$2.11
Good Start Soy Concentrate—Blue Formula—	\$5.49	Canned Fish—Pink Salmon	\$2.38
12.1 oz	φυ.4 <i>9</i>	Canned Fish—Sardines	\$1.78
8.45 oz. (33.8 oz.)	\$7.00	Canned Fish—Tuna	
Good Start Soy Powder—Blue Formula—		Cheese, 16 oz.	
12.9 oz	\$17.20	Eggs	\$1.96
Good Start Gerber Graduate Soy Powder	<b>¢</b> 02 <b>5</b> 0	Gerber Infant Cereal—8 oz.	\$2.56
Formula—24 oz	\$23.52	Infant Fruits, 100%—4 oz.	\$0.85
Formula—22 oz	\$23.90	Infant Vegetables, 100%—4 oz	
Good Start Gerber Graduate Protect Powder		Juice—11.5/12 oz.	:
Formula—22 oz.		Juice—48 oz	\$3.30
Good Start Protect Powder Formula—12.4 oz		Juice—64 oz	
Nutramigen Concentrate Formula—13 oz	\$9.74	Kosher Cheese—16 oz.  Kosher Low Fat Milk—1/2 gallon	
Nutramigen w/Enflora Powder Formula—	ψυ.14	Kosher Whole Milk—1/2 gallon	
12.6 oz	\$25.00	Milk, Low Fat—1/2 gallon	
Pediasure RTF Formula—8 oz		Milk, Whole—1/2 gallon	\$2.38
Pediasure w/Fiber RTF Formula—8 oz	$$2.43 \\ $2.45$	Peanut Butter—16-18 oz	\$3.57
Pediasure Sidekicks RTF Formula—8 oz Similac Advance Concentrate—Blue Formula—	φ <b>2.4</b> 0	Whole Grain—Bread, 16 oz.	
13 oz	\$5.57	Whole Grain—Brown Rice, 16 oz	
Similac Advance RTF—Blue Formula—32 oz	\$8.02	Whole Grain—Soft Corn or Whole Wheat	Ψ2.01
Similac Advance Powder—Blue Formula—	440 50	Tortillas, 16 oz	\$2.59
12.4 oz	\$16.70	Good Start Gentle Concentrate—Orange	<b>4= 00</b>
Similac Expert Care Alimentum RTF Formula—32 oz	\$9.55	Formula—12.1 oz	\$5.60
Similac Expert Care Alimentum Powder	Ψυ.υυ	Formula—12.7 oz	\$16.92
Formula—16 oz	\$29.93	Good Start Soy Concentrate—Blue Formula—	
Similac Expert Care for Diarrhea RTF	¢0.05	12.1 oz	\$5.49
Formula—32 oz	\$8.05	Good Start Soy Powder—Blue Formula—	ф <b>1</b> П ОО
32 oz	\$8.25	12.9 oz. Similac Advance Concentrate—Blue Formula—	\$17.20
	- J.=J	13 oz	\$5.57
			+0.0.

Description	petitive Prices
Similac Advance Powder—Blue Formula—	
12.4 oz.	\$16.70
Similac Soy Isomil Concentrate—Pink Formula—13 oz	\$5.69
Similac Soy Isomil Powder—Pink Formula—	φυ.υυ
12.4 oz	\$17.37

Persons with a disability who require an alternative format of this listing (for example, large print, audiotape, Braille) should contact Renee Sadler, Department of Health, Bureau of WIC, 625 Forster Street, 7th Floor West, Health and Welfare Building, Harrisburg, PA 17120, (717) 783-1289, or for speech and/or hearing impaired persons V/TT (717) 783-6514, or the Pennsylvania AT&T Relay Service (800) 654-5984.

MICHAEL WOLF,

Secretary

[Pa.B. Doc. No. 13-1927. Filed for public inspection October 11, 2013, 9:00 a.m.]

### **Traumatic Brain Injury Advisory Board Meeting**

The Traumatic Brain Injury Advisory Board, established under section 1252 of the Federal Traumatic Brain Injury Act of 1996 (42 U.S.C.A. § 300d-52), will hold a public meeting on Friday, November 1, 2013, from 10 a.m. to 3 p.m. The meeting will be held in the large conference room of the Community Center, 2nd Floor, Giant Food Store, 2300 Linglestown Road, Harrisburg, PA 17110.

For additional information, or for persons with a disability who wish to attend the meeting and require an auxiliary aid, service or other accommodation to do so, contact Darlene Smith, Administrative Assistant, Division of Child and Adult Health Services at (717) 772-2762, or for speech and/or hearing impaired persons contact V/TT (717) 783-6514, or the Pennsylvania AT&T Relay Service (800) 654-5984.

This meeting is subject to cancellation without notice.

MICHAEL WOLF,

Secretary

[Pa.B. Doc. No. 13-1928. Filed for public inspection October 11, 2013, 9:00 a.m.]

## Updating the List of Citations to ACIP Recommendations Prescribing Child Immunization Practices and Immunizing Agents and Doses

In accordance with 31 Pa. Code §§ 89.806(a) and 89.807(b) (relating to coverage of child immunizations; and immunizing agents, doses and AWPs), the Department of Health (Department), Bureau of Communicable Diseases, Division of Immunization is updating 31 Pa. Code Chapter 89, Appendices G and H (relating to ACIP recommendations prescribing child immunization practices; and immunizing agents and doses). The Department has primary responsibility for the interpretation and the implementation of 31 Pa. Code §§ 89.806 and

89.807. See 31 Pa. Code § 89.801(b) (relating to authority and purpose; implementation).

Health insurance policies are required by the Childhood Immunization Insurance Act (act) (40 P. S. §§ 3501—3508) and regulations promulgated thereunder, 31 Pa. Code Chapter 89, Subchapter L (relating to childhood immunization insurance) to include coverage for certain childhood immunizations, unless the policies are exempted by the act and 31 Pa. Code § 89.809 (relating to exempt policies). The childhood immunizations covered are those that meet Advisory Committee on Immunization Practices (ACIP) standards in effect on May 21, 1992. See 31 Pa. Code § 89.806(a). A list of the Morbidity and Mortality Weekly Report (MMWR) publications containing ACIP recommendations issued under the ACIP standards in effect on May 21, 1992, appears in 31 Pa. Code Chapter 89, Appendix G.

The Department is required to update the list of these MMWR publications appearing in 31 Pa. Code Chapter 89, Appendix G. See 31 Pa. Code § 89.806(a). The additions to the list are as follows, the remainder of the list in 31 Pa. Code Chapter 89, Appendix G remains in full force and effect:

March 22, 2013, Vol. 62 / No. RR02

Prevention and Control of Meningococcal Disease: Recommendations of the Advisory Committee on Immunization Practices (ACIP)

This report compiles and summarizes all recommendations from the Center for Disease Control's Advisory Committee on Immunization Practices (ACIP) regarding prevention and control of meningococcal disease in the United States, specifically the changes in the recommendations published since 2005 and describes the process undertaken and the rationale used in support of these recommendations. This report is a comprehensive summary of previously published recommendations and does not contain any new recommendations; it is intended for use by clinicians as a resource. Guidelines for antimicrobial chemoprophylaxis and evaluation and management of suspected outbreaks of meningococcal disease also are provided.

Meningococcal disease describes the spectrum of infections caused by Neisseria meningitidis, including meningitis, bacteremia and bacteremic pneumonia. Meningococcal disease develops rapidly, typically among previously healthy children and adolescents, and results in high morbidity and mortality. For unknown reasons, incidence has declined since the peak of disease in the late 1990s and approximately 800-1,200 cases are reported annually in the United States. This decline began before implementation of routine use of meningococcal vaccines in adolescents and has occurred in all serogroups. Four vaccines are licensed in the United States and provide protection against four (A, C, W and Y) and two (C and Y) serogroups. Vaccines that protect against serogroup B meningococcal disease are not available in the United States

Meningococcal vaccination is recommended for groups at increased risk for disease. These groups include adolescents, persons with certain medical conditions and persons with increased risk for exposure. Among these risk groups, the number of vaccine doses (that is, 2- or 4-dose primary series or a single dose with or without a booster

dose) and vaccine product are determined by the indication for vaccination and age. In certain situations such as special dosing regimens (that is, booster doses or serial vaccination and 2-dose primary series for persons aged ≥ 2 years), off-label use of meningococcal vaccine has been recommended. Special dosing regimens have been recommended on the basis of data from studies of immunologic response to vaccination, post licensure observational data, and the need for long-term protection in certain risk groups.

ACIP recommendations for meningococcal vaccination have been summarized. Details regarding dosing (2- or 4-dose primary series or a single dose with or without a booster dose), contraindications, precautions, and special circumstances (e.g., adolescents infected with human immunodeficiency virus (HIV) and asplenic children) are described elsewhere in the report.

 $http://www.cdc.gov/search.do?ie=utf8\&filter=p\&subset=mmwr\&sort=date:D:S:d1\&as\_q=inmeta:date:daterange: 2013-01-01..2013-07-01\&oe=UTF-8\&ud=1\&start=130$ 

June 28, 2013, Vol. 62/No. 25

Use of 13-Valent Pneumococcal Conjugate Vaccine and 23-Valent Pneumococcal Polysaccharide Vaccine Among Children Aged 6—18 Years with Immunocompromising Conditions: Recommendations of the Advisory Committee on Immunization Practices (ACIP)

On February 20, 2013, the Advisory Committee on Immunization Practices (ACIP) recommended routine use of 13-valent pneumococcal conjugate vaccine (PCV13; Prevnar 13, Wyeth Pharmaceuticals, Inc., a subsidiary of Pfizer, Inc.) for children aged 6-18 years with immunocompromising conditions, functional or anatomic asplenia, cerebrospinal fluid (CSF) leaks or cochlear implants who have not previously received PCV13. PCV13 should be administered to these children regardless of whether they received the 7-valent pneumococcal conjugate vaccine (PCV7) or the 23-valent pneumococcal polysaccharide vaccine (PPSV23). Recommendations for PPSV23 use for children in this age group remain unchanged. The evidence for the benefits and risks associated with PCV13 vaccination of children with immunocompromising conditions was evaluated using the Grading of Recommendations, Assessment, Development, and Evaluation (GRADE) framework (1). This recommendation reflects a policy change from permissive and

off-label recommendation of PCV13 in the pediatric immunocompromised population to a category A recommendation. This report summarizes the evidence considered by ACIP to make this recommendation and reviews the recommendations for use of PCV13 and PPSV23 for children aged 6—18 years.

Persons with disability who require an alternative format of this notice (for example, large print, audiotape, Braille) should contact Wayne Fleming, Department of Health, Division of Immunizations, Room 1026, Health and Welfare Building, 625 Forster Street, Harrisburg, PA 17120-0701, (717) 787-5681, or for speech and/or hearing impaired persons V/TT the Pennsylvania AT&T Relay Service (800) 654-5984 (TT).

MICHAEL WOLF, Secretary

[Pa.B. Doc. No. 13-1929. Filed for public inspection October 11, 2013, 9:00 a.m.]

# DEPARTMENT OF LABOR AND INDUSTRY

## Uniform Construction Code Review and Advisory Council Meeting

The Uniform Construction Code Review and Advisory Council will hold a meeting on Thursday, November 7, 2013, at 10 a.m. at the Department of Labor and Industry, 651 Boas Street, Room E-100, Harrisburg, PA 17121.

Additional information concerning the meeting may be found on the Department of Labor and Industry web site at www.dli.state.pa.us. Scroll down and click on the link for "Uniform Construction Code" then "UCC Review and Advisory Council."

Questions concerning this meeting may be directed to Edward Leister at (717) 783-6304.

JULIA K. HEARTHWAY,

Secretary

[Pa.B. Doc. No. 13-1930. Filed for public inspection October 11, 2013, 9:00 a.m.]

## INDEPENDENT REGULATORY REVIEW COMMISSION

### Notice of Filing of Final Rulemakings

The Independent Regulatory Review Commission (Commission) received the following regulation. It is scheduled to be considered on the date noted. The Commission's public meetings are held at 333 Market Street, 14th Floor, Harrisburg, PA at 10 a.m. To obtain a copy of the regulation, interested parties should first contact the promulgating agency. If a copy cannot be obtained from the promulgating agency, the Commission will provide a copy or a copy can be obtained from www.irrc.state.pa.us.

Final-Omit
Reg. No. Agency/Title Received Received Meeting

12-97 Unemployment Compensation Board of Review 9/30/13 11/7/13
Telephone Hearings

SILVAN B. LUTKEWITTE, III, Chairperson

[Pa.B. Doc. No. 13-1931. Filed for public inspection October 11, 2013, 9:00 a.m.]

### INSURANCE DEPARTMENT

### AF&L Insurance Company; Rate Increase Filing for Several LTC Forms

AF&L Insurance Company is requesting approval to increase the premium an aggregate 55% on 1,447 policyholders with the following individual LTC policy form numbers: LTC-4, LTC-5, LTC-7, LTC-8, LTC-8(TQ), QLTC-97 and LTC-93.

Unless formal administrative action is taken prior to December 25, 2013, the subject filing may be deemed approved by operation of law.

A copy of the filing is available on the Insurance Department's (Department) web site at www.insurance. pa.gov. Under the tab "How to Find . . ." click on the link "PA Bulletin."

Copies of the filing are also available for public inspection, by appointment, during normal working hours at the Department's Harrisburg Office.

Interested parties are invited to submit written comments, suggestions or objections to James Laverty, Actuary, Insurance Department, Insurance Product Regulation and Market Enforcement, Room 1311, Strawberry Square, Harrisburg, PA 17120, jlaverty@pa.gov within 30 days after publication of this notice in the *Pennsylvania Bulletin*.

MICHAEL F. CONSEDINE, Insurance Commissioner

 $[Pa.B.\ Doc.\ No.\ 13\text{-}1932.\ Filed\ for\ public\ inspection\ October\ 11,\ 2013,\ 9:00\ a.m.]$ 

# Application for Approval to Acquire Control of the Pennsylvania Domiciled Insurance Subsidiaries of Eastern Insurance Holdings, Inc.

ProAssurance Corporation, a Delaware corporation, has filed an application to acquire control of the following Pennsylvania domiciled insurers: Eastern Alliance Insurance Company, Eastern Advantage Assurance Company and Allied Eastern Indemnity Company. The acquisition of control is proposed to be achieved through the merger of Eastern Insurance Holdings, Inc. with a newly formed subsidiary of ProAssurance Corporation. Eastern Alliance Insurance Company, Eastern Advantage Assurance Company and Allied Eastern Indemnity Company are all indirect wholly-owned subsidiaries of Eastern Insurance Holdings, Inc. The filing was received on September 25, 2013, and was made under Article XIV of The Insurance Company Law of 1921 (40 P. S. §§ 991.1401—991.1413).

Persons wishing to comment on this acquisition are invited to submit a written statement to the Insurance Department (Department) within 30 days from the date of publication of this notice in the *Pennsylvania Bulletin*. Each written statement must include the name, address and telephone number of the interested party; identification of the application to which the statement is addressed; and a concise statement with sufficient detail and relevant facts to inform the Department of the exact basis of the statement. Written statements should be directed to Cressinda Bybee, Company Licensing Division, Insurance Department, 1345 Strawberry Square, Harrisburg, PA 17120, fax (717) 787-8557, cbybee@pa.gov. Com-

ments received will be part of the public record regarding the filing and will be forwarded to the applicant for appropriate response.

> MICHAEL F. CONSEDINE, Insurance Commissioner

[Pa.B. Doc. No. 13-1933. Filed for public inspection October 11, 2013, 9:00 a.m.]

## Application for Designation as a Certified Reinsurer

ACE Tempest Reinsurance Ltd. and ACE INA Overseas Insurance Company Limited have applied for designation as certified reinsurers in this Commonwealth. The applications were received on August 14, 2013, and October 1, 2013, respectively, and were made under section 319.1(a) of The Insurance Company Law of 1921 (40 P.S. § 442.1(a)) and 31 Pa. Code § 161.3a (relating to requirements for certified reinsurers).

Persons who wish to comment on the applications are invited to submit a written statement to the Insurance Department (Department) within 30 days from the date of this issue of the *Pennsylvania Bulletin*. Each written statement must include name, address and telephone number of the interested party; identification of the application to which the statement is addressed; and a concise statement with sufficient detail and relevant facts to inform the Department of the exact basis of the statement. Written statements should be directed to Nicholas S. Murray, Financial Analysis Division, Insurance Department, 1345 Strawberry Square, Harrisburg, PA 17120, fax (717) 787-8557, nmurray@pa.gov. Comments received will be forwarded to the applicant for appropriate response.

MICHAEL F. CONSEDINE, Insurance Commissioner

[Pa.B. Doc. No. 13-1934. Filed for public inspection October 11, 2013, 9:00 a.m.]

## Review Procedure Hearings; Cancellation or Refusal of Insurance

The following insured has requested a hearing as authorized by the act of June 17, 1998 (P. L. 464, No. 68) (Act 68) in connection with the termination of the insured's automobile insurance policy. The hearing will be held in accordance with the requirements of Act 68; 1 Pa. Code Part II (relating to General Rules of Administrative Practice and Procedure); and 31 Pa. Code §§ 56.1—56.3 (relating to Special Rules of Administrative Practice and Procedure). The administrative hearing will be held in the Insurance Department's regional office in Philadelphia, PA. Failure by an appellant to appear at the scheduled hearing may result in dismissal with prejudice.

The following hearing will be held in Strawbridge and Clothier, Declaration Hearing Room 4111, 801 Market Street, Philadelphia, PA 19107.

Appeal of James Dill; file no. 13-114-146726; Erie Insurance Exchange; Doc. No. P13-09-013; November 1, 2013, 10 a.m.

Parties may appear with or without counsel and offer relevant testimony or other relevant evidence. Each party

must bring documents, photographs, drawings, claims files, witnesses, and the like, necessary to support the party's case. A party intending to offer documents or photographs into evidence shall bring enough copies for the record and for each opposing party.

In some cases, the Insurance Commissioner (Commissioner) may order that the company reimburse an insured for the higher cost of replacement insurance coverage obtained while the appeal is pending. Reimbursement is available only when the insured is successful on appeal, and may not be ordered in all instances. If an insured wishes to seek reimbursement for the higher cost of replacement insurance, the insured must produce documentation at the hearing which will allow comparison of coverages and costs between the original policy and the replacement policy.

Following the hearing and receipt of the stenographic transcript, the Commissioner will issue a written order resolving the factual issues presented at the hearing and stating what remedial action, if any, is required. The Commissioner's Order will be sent to those persons participating in the hearing or their designated representatives. The Order of the Commissioner may be subject to judicial review by the Commonwealth Court.

Persons with a disability who wish to attend the previously-referenced administrative hearing and require an auxiliary aid, service or other accommodation to participate in the hearing should contact Donna R. Fleischauer, Human Resources Director at (717) 705-4194.

MICHAEL F. CONSEDINE, Insurance Commissioner

 $[Pa.B.\ Doc.\ No.\ 13\text{-}1935.\ Filed\ for\ public\ inspection\ October\ 11,\ 2013,\ 9:00\ a.m.]$ 

# OFFICE OF OPEN RECORDS

## Right-to-Know Law and Sunshine Law Annual Training

The Office of Open Records (OOR) will conduct its annual training on the Right-to-Know Law (RTKL) (65 P. S. §§ 67.101—67.3104) and 65 Pa.C.S. §§ 701—716 (relating to Sunshine Act) on October 23, 2013, from 10 a.m. to 12 p.m. in the auditorium of The State Museum of Pennsylvania, 300 North Street, Harrisburg, PA 17120.

Representatives from the OOR will discuss OOR appeal procedures and time frames in the RTKL. The discussion will focus on various exemptions to the presumption that governmental records are public and what to expect during the appeal process before the OOR. The interplay between the Sunshine Act and RTKL will also be discussed. An overview of recent Commonwealth and Supreme Court rulings, current trends and OOR decisions impacting the RTKL and access to government records will be provided. Finally, ample opportunity will be provided for attendees to direct questions to a panel of experts regarding the RTKL.

The training is free and open to everyone, including citizens, public officials, agency open records officer, attorneys and members of the media. The program is pending approval for 1.5 CLE hours. Registration by October 18, 2013, is recommended as seating is limited. Registration forms are available at http://openrecords.state.pa.us or (717) 346-9903.

TERRY MUTCHLER, Executive Director

 $[Pa.B.\ Doc.\ No.\ 13\text{-}1936.\ Filed\ for\ public\ inspection\ October\ 11,\ 2013,\ 9\text{:}00\ a.m.]$ 

### Standard Right-to-Know Request Form

The Office of Open Records (OOR) has updated the Standard Right-to-Know Request Form. This form can be accessed from the OOR's web site at http://openrecords.state.pa.us. Any questions can be directed to the OOR at (717) 346-9903.

> TERRY MUTCHLER, Executive Director



STANDARD RIGHT-TO-KNOW REQUEST FORM					
DATE REQUESTED:					
REQUEST SUBMITTED BY:	E-MAIL	U.S. MAIL	FAX	IN-PERSON	
REQUEST SUBMITTED TO (Age	ncy name & ac	ddress):			
		·			
NAME OF REQUESTER :					
STREET ADDRESS:					
CITY/STATE/COUNTY/ZIP(Requ	ired):				
TELEPHONE (Optional):		EMAIL (option	nal):		
RECORDS REQUESTED: *Provide as much specific detail as possible so the agency can identify the information. Please use additional sheets if necessary					
DO YOU WANT COPIES? YES OF NO DO YOU WANT TO INSPECT THE RECORDS? YES OF NO DO YOU WANT CERTIFIED COPIES OF RECORDS? YES OF NO DO YOU WANT TO BE NOTIFIED IN ADVANCE IF THE COST EXCEEDS \$100? YES OF NO					
** PLEASE NOTE: <u>RETAIN A COPY</u> OF THIS REQUEST FOR YOUR FILES ** ** IT IS A REQUIRED DOCUMENT IF YOU WOULD NEED TO FILE AN APPEAL **					
	FOR A	GENCY USE ONLY			
OPEN-RECORDS OFFICER:					

 $\ \square$  I have provided notice to appropriate third parties and given them an opportunity to object to this request

### DATE RECEIVED BY THE AGENCY:

### AGENCY FIVE (5) BUSINESS DAY RESPONSE DUE:

\*\*Public bodies may fill anonymous verbal or written requests. If the requestor wishes to pursue the relief and remedies provided for in this Act, the request must be in writing. (Section 702.) Written requests need not include an explanation why information is sought or the intended use of the information unless otherwise required by law. (Section 703.)

[Pa.B. Doc. No. 13-1937. Filed for public inspection October 11, 2013, 9:00 a.m.]

# PATIENT SAFETY AUTHORITY

### **Public Meeting**

The Patient Safety Authority (Authority), established by section 303 of the Medical Care Availability and Reduction of Error (MCARE) Act (40 P.S. § 1303.303), announces a meeting of the Authority's Board to be held at the Conference Center, Central Penn College, 600 Valley Road, Summerdale, PA 17093 at 10 a.m. on Tuesday, October 22, 2013.

Individuals having questions regarding this meeting, which is open to the public, should contact the Authority at (717) 346-0469.

MICHAEL C. DOERING, Executive Director

[Pa.B. Doc. No. 13-1938. Filed for public inspection October 11, 2013, 9:00 a.m.]

# PENNSYLVANIA PUBLIC UTILITY COMMISSION

### **Pipeline Services**

**A-2013-2385584.** Otter Exploration Inc. Application of Otter Exploration, Inc. for approval to begin to offer, render, furnish or supply pipeline services to the public in this Commonwealth.

Formal protests and petitions to intervene must be filed in accordance with 52 Pa. Code (relating to public utilities). Filings must be made with the Secretary of the Pennsylvania Public Utility Commission, P. O. Box 3265, Harrisburg, PA 17105-3265, with a copy served on the applicant, on or before October 28, 2013. The documents filed in support of the application are available for inspection and copying at the Office of the Secretary between 8 a.m. and 4:30 p.m., Monday through Friday, on the Pennsylvania Public Utility Commission's web site at www.puc.state.pa.us, and at the applicant's business address.

Applicants: Otter Exploration, Inc.

Through and By Counsel: Mandi Scott, Esquire, Goehring, Rutter and Boehm, Frick Building, 437 Grant Street, 14th Floor, Pittsburgh, PA 15219-6107

ROSEMARY CHIAVETTA,

Secretary

 $[Pa.B.\ Doc.\ No.\ 13\text{-}1939.\ Filed\ for\ public\ inspection\ October\ 11,\ 2013,\ 9\text{:}00\ a.m.]$ 

### **Service of Notice of Motor Carrier Applications**

The following temporary authority and/or permanent authority applications for the right to render service as a common carrier or contract carrier in this Commonwealth have been filed with the Pennsylvania Public Utility Commission. Formal protests and petitions to intervene must be filed in accordance with 52 Pa. Code (relating to public utilities). A protest shall indicate whether it ap-

plies to the temporary authority application, the permanent authority application, or both. Filings must be made with the Secretary, Pennsylvania Public Utility Commission, P. O. Box 3265, Harrisburg, PA 17105-3265, with a copy served on the applicant by October 28, 2013. Documents filed in support of the applications are available for inspection and copying at the Office of the Secretary between 8 a.m. and 4:30 p.m., Monday through Friday, and at the business address of the respective applicant.

Applications of the following for approval to begin operating as common carriers for transportation of persons as described under each application.

A-2013-2375198. Uptown Limousine and Car Service, LLC (615 Park Avenue, Reading, Berks County, PA 19611) persons in limousine service, from points in Counties of Berks, Lehigh, Chester, Delaware and Bucks, to points in Pennsylvania, and return; excluding transportation under the jurisdiction of the Philadelphia Parking Authority. (Attorney: Russell E. Farbiarz, 64 North 4th Street, Hamburg, PA 19526)

A-2013-2380507. Totakh, LLC, t/a Smart Choice Transportation (148 East Street Road, Suite 109, Feasterville Trevose, PA 19053) for the right to begin to transport, as a common carrier, by motor vehicle, persons in paratransit service, from points in the Counties of Bucks, Delaware, Montgomery and the City and County of Philadelphia to medical facilities in Pennsylvania, and return. (Attorney: Jeanne Hainz, Esquire, 148 East Street Road, Suite 109, Feasterville Trevose, PA 19053)

**A-2013-2380813. Jason Cohen** (3210 Schuylkill Road, Spring City, PA 19475) to transport as a common carrier, by motor vehicle, persons in group and party service in vehicles seating 11-15 passengers, including the driver, between points within a 50 air statute-mile radius of 3210 Schuylkill Road, Spring City, PA 19475.

Application of the following for approval of the beginning of the exercise of the right and privilege of operating motor vehicles as common carriers for the transportation of household goods as described under the application.

A-2013-2380814. Matthew David Kubiak, t/a Skybox Movers, LLC (2220 Spruce Street, Apt 3C, Philadelphia, PA 19103) for the right to begin to transport, as a common carrier by motor vehicle, household goods in use, between points in Pennsylvania.

Applications of the following for the approval of the right and privilege to discontinue/abandon operating as common carriers by motor vehicle and for cancellation of the certificate of public convenience as described under each application.

A-2013-2361294. AAA East Penn (P. O. Box 1910, Allentown, PA 18105-9975) discontinuance of service and cancellation of its certificate—to operate as a broker to arrange for the transportation of persons and their baggage, between points in Pennsylvania. (Attorney: Jennifer L. Allison, 1500 One PPG Place, Pittsburgh, PA 15222)

A-2013-2377565. Maurice D. and Sophie M. Summers, tenants by entirety (137 Reservoir Road, Strasburg, PA 17579) discontinuance of service and cancellation of its certificate—persons, in paratransit service, limited to persons whose personal convictions prevent them from owning or operating motor vehicles, between

points in the County of Lancaster, and from points in said county, to points in Pennsylvania, and return.

ROSEMARY CHIAVETTA,

Secretary

[Pa.B. Doc. No. 13-1940. Filed for public inspection October 11, 2013, 9:00 a.m.]

## Service of Notice of Motor Carrier Formal Complaints

Formal Complaints have been issued by the Pennsylvania Public Utility Commission. Answers must be filed in accordance with 52 Pa. Code (relating to public utilities). Answers are due October 28, 2013, and must be made with the Secretary, Pennsylvania Public Utility Commission, P. O. Box 3265, Harrisburg, PA 17105-3265, with a copy to the First Deputy Chief Prosecutor, Pennsylvania Public Utility Commission.

Pennsylvania Public Utility Commission, Bureau of Investigation and Enforcement v. North Connections Logistics Inc; Doc. No. C-2013-2370505

### **COMPLAINT**

The Pennsylvania Public Utility Commission (Commission) is a duly constituted agency of the Commonwealth of Pennsylvania empowered to regulate public utilities within the Commonwealth. The Commission has delegated its authority to initiate proceedings which are prosecutory in nature to the Bureau of Investigation and Enforcement and other bureaus with enforcement responsibilities. Pursuant to that delegated authority and Section 701 of the Public Utility Code, the Bureau of Investigation and Enforcement hereby represents as follows:

- 1. That all authority issued to North Connections Logistics, Inc., (respondent) is under suspension effective May 14, 2013 for failure to maintain evidence of insurance on file with this Commission.
- 2. That respondent maintains a principal place of business at 4107-08 Sylon Boulevard, Hainesport, NJ 08036.
- 3. That respondent was issued a Certificate of Public Convenience by this Commission on July 09, 2009, at A-6210010.
- 4. That respondent has failed to maintain evidence of Liability insurance on file with this Commission. The Bureau of Investigation and Enforcement's proposed civil penalty for this violation is \$500 and cancellation of the Certificate of Public Convenience.
- 5. That respondent, by failing to maintain evidence of insurance on file with this Commission, violated 66 Pa.C.S. § 512, 52 Pa. Code § 32.2(c), and 52 Pa. Code § 32.11(a), § 32.12(a) or § 32.13(a).

Wherefore, unless respondent pays the penalty of \$500 and causes its insurer to file evidence of insurance with this Commission within twenty (20) days of the date of service of this Complaint, the Bureau of Investigation and Enforcement will request that the Commission issue an Order which (1) cancels the Certificate of Public Convenience held by respondent at A-6210010 for failure to maintain evidence of current insurance on file with the Commission, (2) fines Respondent the sum of five hun-

dred dollars (\$500.00) for the illegal activity described in this Complaint, (3) orders such other remedy as the Commission may deem to be appropriate, which may include the suspension of a vehicle registration and (4) imposes an additional fine on the respondent should cancellation occur.

Respectfully submitted,

David W. Loucks, Chief Motor Carrier Enforcement Bureau of Investigation and Enforcement P. O. Box 3265 Harrisburg, PA 17105-3265

### VERIFICATION

I, David W. Loucks, Chief, Motor Carrier Enforcement, Bureau of Investigation and Enforcement, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief and that I expect that the Bureau will be able to prove same at any hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa.C.S. § 4904 relating to unsworn falsification to authorities.

Date: 6/27/2013

David W. Loucks, Chief Motor Carrier Enforcement Bureau of Investigation and Enforcement

### NOTICE

A. You must file an Answer within twenty (20) days of the date of service of this Complaint. The date of service is the mailing date as indicated at the top of the Secretarial Cover Letter for this Complaint and Notice, 52 Pa. Code § 1.56(a). An Answer is a written explanation of circumstances wished to be considered in determining the outcome. The Answer shall raise all factual and legal arguments that you wish to claim in your defense and must include the reference number of this Complaint. Your Answer must be verified and the original shall be mailed to:

Rosemary Chiavetta, Secretary Pennsylvania Public Utility Commission P. O. Box 3265 Harrisburg, Pennsylvania 17105-3265

Or may be sent by overnight delivery to:

400 North Street, 2nd Floor Harrisburg, Pennsylvania 17120

Additionally, please serve a copy on:

Wayne T. Scott, Prosecutor Bureau of Investigation and Enforcement Pennsylvania Public Utility Commission P. O. Box 3265 Harrisburg, Pennsylvania 17105-3265

B. If you fail to answer this Complaint within twenty (20) days of the date of service, the Bureau of Investigation and Enforcement will request that the Commission issue a Secretarial Letter imposing the penalty proposed in this Complaint. Pursuant to 66 Pa.C.S. § 3301(a), the penalty could include a fine of up to \$1,000 for each violation, the revocation of your Certificate of Public Convenience, or any other remedy as may be appropriate.

Each day you continue to violate any regulation, direction, requirement, determination or Order of the Commission is a separate and distinct offense, subject to additional penalties.

C. You may elect not to contest this Complaint by causing your insurer to file proper evidence of current insurance in accordance with the Commission's regulations and by paying the fine proposed in this Complaint by certified check or money order within twenty (20) days of the date of service of this Complaint. Acord certificates of insurance and faxed form Es and Hs are unacceptable as evidence of insurance.

The proof of insurance must be filed with the:

Compliance Office, Bureau of Technical Utility Services Pennsylvania Public Utility Commission P. O. Box 3265 Harrisburg, PA 17105-3265

Payment of the fine must be made to the Commonwealth of Pennsylvania and should be forwarded to:

> Rosemary Chiavetta, Secretary Pennsylvania Public Utility Commission P. O. Box 3265 Harrisburg, PA 17105-3265

Your payment is an admission that you committed the alleged violation and an agreement to cease and desist from further violations. Upon receipt of the evidence of insurance from your insurer, and upon receipt of your payment, the Complaint proceeding shall be closed.

- D. If you file an Answer which admits or fails to deny the allegations of the Complaint, the Bureau of Investigation and Enforcement will request that the Commission issue a Secretarial Letter imposing the proposed penalty in this Complaint, which may include the cancellation of your Certificate of Public Convenience. Should the Commission cancel your Certificate of Public Convenience, it may also impose an additional fine of up to \$1,000.
- E. If you file an Answer which contests the Complaint, the matter will be assigned to an Administrative Law Judge for hearing and decision. The judge is not bound by the optional fine set forth in this Complaint.
- F. If you have questions regarding this Complaint or if you would like an alternative format of this Complaint (for persons with disabilities), please contact the Compliance Office at (717) 787-1227.

ROSEMARY CHIAVETTA, Secretary

[Pa.B. Doc. No. 13-1941. Filed for public inspection October 11, 2013, 9:00 a.m.]

### **Telecommunications**

A-2013-2384981. The United Telephone Company of Pennsylvania, LLC, d/b/a CenturyLink and NTELOS PCS North, Inc., d/b/a NTELOS. Joint petition of The United Telephone Company of Pennsylvania, LLC, d/b/a CenturyLink and NTELOS PCS North, Inc.,

d/b/a NTELOS for approval of amendment No. 1 to the commercial mobile radio services (CMRS) interconnection agreement under section 252(e) of the Telecommunications Act of 1996.

The United Telephone Company of Pennsylvania, LLC, d/b/a CenturyLink and NTELOS PCS North, Inc., d/b/a NTELOS, by its counsel, filed on September 25, 2013, at the Pennsylvania Public Utility Commission (Commission), a joint petition for approval of amendment No. 1 to the CMRS interconnection agreement under sections 251 and 252 of the Telecommunications Act of 1996.

Interested parties may file comments concerning the petition and agreement with the Secretary, Pennsylvania Public Utility Commission, P. O. Box 3265, Harrisburg, PA 17105-3265. Comments are due on or before 10 days after the date of publication of this notice. The documents filed in support of The United Telephone Company of Pennsylvania, LLC, d/b/a CenturyLink and NTELOS PCS North, Inc., d/b/a NTELOS joint petition are available for inspection and copying at the Office of the Secretary between 8 a.m. and 4:30 p.m., Monday through Friday, at the Commission's web site at www.puc.pa.gov, and at the applicant's business address.

The contact person is Cheryl Walker Davis, Director, Office of Special Assistants, (717) 787-1827.

ROSEMARY CHIAVETTA, Secretary

[Pa.B. Doc. No. 13-1942. Filed for public inspection October 11, 2013, 9:00 a.m.]

### **Water Service**

A-2013-2385589. Borough of Fairchance and the North Fayette County Municipal Authority. Application of the Borough of Fairchance and the North Fayette County Municipal Authority for approval of the abandonment or discontinuance of municipal water service to 42 customers located in both Georges Township and the Borough of Fairchance, Fayette County.

Formal protests and petitions to intervene must be filed in accordance with 52 Pa. Code (relating to public utilities). Filings must be made with the Secretary of the Pennsylvania Public Utility Commission, P. O. Box 3265, Harrisburg, PA 17105-3265, with a copy served on the applicant, on or before October 28, 2013. The documents filed in support of the application are available for inspection and copying at the Office of the Secretary between 8 a.m. and 4:30 p.m., Monday through Friday, on the Pennsylvania Public Utility Commission's web site at www.puc.state.pa.us, and at the applicant's business address.

Applicants: Borough of Fairchance, the North Fayette County Municipal Authority

Through and By Counsel: Simon B. John, Esquire, John and John, 96 East Main Street, Uniontown, PA 15401

ROSEMARY CHIAVETTA, Secretary

[Pa.B. Doc. No. 13-1943. Filed for public inspection October 11, 2013, 9:00 a.m.]

NOTICES 6087

# PHILADELPHIA PARKING AUTHORITY

# Motor Carrier Medallion Transfer Application for Service in the City of Philadelphia

Permanent authority to render services as a common carrier in the City of Philadelphia has been filed with the Philadelphia Parking Authority (PPA) Taxicab and Limousine Division (TLD) at Docket A-13-09-01 by the following named applicant. The company has applied to transfer the rights held by BRKH, Inc. (CPC No. 1000552-01, Medallion P-0326) to transport persons in taxicab service between points within the City of Philadelphia and from points in the City of Philadelphia to points in Pennsylvania, and return.

Taxi 326, LLC, 37 Stephan Court, Floor 1, Upper Darby, PA 19082 registered with the Department of State on March 22, 2013.

Formal protests must be filed in accordance with 52 Pa. Code Part II (relating to Philadelphia Parking Authority). Filings must be made at the offices of the TLD with the Clerk, 2415 South Swanson Street, Philadelphia, PA 19148, with a copy served on the applicant by October 28, 2013. Documents filed in support of the application are available for inspection at the TLD office (contact Charles Milstein) between 9 a.m. and 4:30 p.m. Monday to Friday or may be inspected at the business address of the applicant. The protest fee is \$2,500 (certified check or money order payable to PPA).

VINCENT J. FENERTY, Jr., Executive Director

 $[Pa.B.\ Doc.\ No.\ 13\text{-}1944.\ Filed\ for\ public\ inspection\ October\ 11,\ 2013,\ 9\text{:}00\ a.m.]$ 

# Motor Carrier Medallion Transfer Application for Service in the City of Philadelphia

Permanent authority to render services as a common carrier in the City of Philadelphia has been filed with the Philadelphia Parking Authority (PPA) Taxicab and Limousine Division (TLD) by the following named applicant. The company has applied to transfer the rights held by 529 Taxi, Inc. (CPC No. 1021460-01, Medallion P-0408 and P-1580) to transport persons in taxicab service between points within the City of Philadelphia and from points in the City of Philadelphia to points in Pennsylvania, and return.

**Old Timer's Cab Corp.**, 641 North Broad Street, Philadelphia, PA 19123, registered with the Commonwealth on July 23, 2013.

Formal protests must be filed in accordance with 52 Pa. Code Part II (relating to Philadelphia Parking Authority). Filings must be made at the offices of the TLD with the Clerk, 2415 South Swanson Street, Philadelphia, PA 19148 by October 28, 2013. Documents filed in support of the application are available for inspection at the TLD office (contact Charles Milstein) between 9 a.m. and 4:30 p.m. Monday to Friday or may be inspected at the

business address of the applicant. The protest fee is \$2,500 (certified check or money order payable to PPA).

VINCENT J. FENERTY, Jr., Executive Director

 $[Pa.B.\ Doc.\ No.\ 13-1945.\ Filed\ for\ public\ inspection\ October\ 11,\ 2013,\ 9:00\ a.m.]$ 

# Motor Carrier Medallion Transfer Application for Service in the City of Philadelphia

Permanent authority to render services as a common carrier in the City of Philadelphia has been filed with the Philadelphia Parking Authority (PPA) Taxicab and Limousine Division (TLD) by the following named applicant. The company has applied to transfer the rights held by Jarrett Cab Co. (CPC No. 1000738-01, Medallion P-1438) to transport persons in taxicab service between points within the City of Philadelphia and from points in the City of Philadelphia to points in Pennsylvania, and return.

**Toru, Inc.**, 1614 Porter Street, Philadelphia, PA 19145 registered with the Commonwealth on January 14, 2008.

Formal protests must be filed in accordance with 52 Pa. Code Part II (relating to Philadelphia Parking Authority). Filings must be made at the offices of the TLD with the Clerk, 2415 South Swanson Street, Philadelphia, PA 19148 by October 28, 2013. Documents filed in support of the application are available for inspection at the TLD office (contact Charles Milstein) between 9 a.m. and 4:30 p.m. Monday to Friday or may be inspected at the business address of the applicant. The protest fee is \$2,500 (certified check or money order payable to PPA).

VINCENT J. FENERTY, Jr., Executive Director

 $[Pa.B.\ Doc.\ No.\ 13\text{-}1946.\ Filed\ for\ public\ inspection\ October\ 11,\ 2013,\ 9:00\ a.m.]$ 

# Motor Carrier Medallion Transfer Application for Service in the City of Philadelphia

Permanent authority to render services as a common carrier in the City of Philadelphia has been filed with the Philadelphia Parking Authority (PPA) Taxicab and Limousine Division (TLD) by the following named applicant. The company has applied to transfer the rights held by Lucy 9909, Inc. (CPC No. 1027156-01, Medallion P-0537 and P-1023) to transport persons in taxicab service between points within the City of Philadelphia and from points in the City of Philadelphia to points in Pennsylvania, and return.

M.S. Cab Corp., 641 North Broad Street, Philadelphia, PA 19123, registered with the Commonwealth on July 23, 2013.

Formal protests must be filed in accordance with 52 Pa. Code Part II (relating to Philadelphia Parking Authority). Filings must be made at the offices of the TLD with the Clerk, 2415 South Swanson Street, Philadelphia, PA 19148 by October 28, 2013. Documents filed in support of the application are available for inspection at the TLD office (contact Charles Milstein) between 9 a.m. and 4:30 p.m. Monday to Friday or may be inspected at the

6088 NOTICES

business address of the applicant. The protest fee is \$2,500 (certified check or money order payable to PPA).

VINCENT J. FENERTY, Jr.,

Executive Director

[Pa.B. Doc. No. 13-1947. Filed for public inspection October 11, 2013, 9:00 a.m.]

p.m. Monday to Friday or may be inspected at the business address of the applicant. The protest fee is \$2,500 (certified check or money order payable to PPA).

VINCENT J. FENERTY, Jr.,

Executive Director

 $[Pa.B.\ Doc.\ No.\ 13\text{-}1949.\ Filed\ for\ public\ inspection\ October\ 11,\ 2013,\ 9:00\ a.m.]$ 

# Motor Carrier Medallion Transfer Application for Service in the City of Philadelphia

Permanent authority to render services as a common carrier in the City of Philadelphia has been filed with the Philadelphia Parking Authority (PPA) Taxicab and Limousine Division (TLD) by the following named applicant. The company has applied to transfer the rights held by Osu Cab Co. (CPC No. 1000134-01, Medallion P-1155) to transport persons in taxicab service between points within the City of Philadelphia and from points in the City of Philadelphia in Pennsylvania, and return.

**H Bhatti, Inc.**, 1725 Arnold Street, Philadelphia, PA 19152 registered with the Commonwealth on August 5, 2013.

Formal protests must be filed in accordance with 52 Pa. Code Part II (relating to Philadelphia Parking Authority). Filings must be made at the offices of the TLD with the Clerk, 2415 South Swanson Street, Philadelphia, PA 19148 by October 28, 2013. Documents filed in support of the application are available for inspection at the TLD office (contact Charles Milstein) between 9 a.m. and 4:30 p.m. Monday to Friday or may be inspected at the business address of the applicant. The protest fee is \$2,500 (certified check or money order payable to PPA).

VINCENT J. FENERTY, Jr.,

Executive Director

 $[Pa.B.\ Doc.\ No.\ 13\text{-}1948.\ Filed\ for\ public\ inspection\ October\ 11,\ 2013,\ 9:00\ a.m.]$ 

# Motor Carrier Transfer Application for Limousine Service in the City of Philadelphia

Permanent authority to render services as a common carrier in the City of Philadelphia has been filed with the Philadelphia Parking Authority (PPA) Taxicab and Limousine Division (TLD) by the following named applicant. The company has applied to transfer the rights held by Trinity Limousine, Inc. (CPC No. 1020958-07) to transport persons in luxury limousine service between points within the City of Philadelphia and from points in the City of Philadelphia to points in Pennsylvania, and return.

**Premier Luxury Rentals, Inc.**, 516 West DeKalb Pike, King of Prussia, PA 19406, registered with the Commonwealth on June 10, 2013.

Formal protests must be filed in accordance with 52 Pa. Code Part II (relating to Philadelphia Parking Authority). Filings must be made at the offices of the TLD with the Clerk, 2415 South Swanson Street, Philadelphia, PA 19148 by October 28, 2013. Documents filed in support of the application are available for inspection at the TLD office (contact Charles Milstein) between 9 a.m. and 4:30 p.m. Monday to Friday or may be inspected at the business address of the applicant. The protest fee is \$2,500 (certified check or money order payable to PPA).

VINCENT J. FENERTY, Jr., Executive Director

[Pa.B. Doc. No. 13-1950. Filed for public inspection October 11, 2013, 9:00 a.m.]

# Motor Carrier Medallion Transfer Application for Service in the City of Philadelphia

Permanent authority to render services as a common carrier in the City of Philadelphia has been filed with the Philadelphia Parking Authority (PPA) Taxicab and Limousine Division (TLD) by the following named applicant. The company has applied to transfer the rights held by Paonta, Inc. (CPC No. 1028208-01, Medallion P-0697) to transport persons in taxicab service between points within the City of Philadelphia and from points in the City of Philadelphia to points in Pennsylvania, and return.

**Osark Trans, Inc.**, 2530 Buffalo Avenue, Feasterville, PA 19052, registered with the Commonwealth on August 9, 2013.

Formal protests must be filed in accordance with 52 Pa. Code Part II (relating to Philadelphia Parking Authority). Filings must be made at the offices of the TLD with the Clerk, 2415 South Swanson Street, Philadelphia, PA 19148 by October 28, 2013. Documents filed in support of the application are available for inspection at the TLD office (contact Charles Milstein) between 9 a.m. and 4:30

# PHILADELPHIA REGIONAL PORT AUTHORITY

**Request for Bids** 

The Philadelphia Regional Port Authority will accept sealed bids for Project No. 13-080.1, Piers 38 and 40 Timber Pile and Hardware Repairs and Project No. 13-083.1, Pier 80 Masonry Repairs, until 2 p.m. on Thursday, November 7, 2013. Information (including mandatory prebid information) can be obtained from the web site www.philaport.com under Procurement or call (215) 426-2600.

JAMES T. McDERMOTT, Jr., Executive Director

 $[Pa.B.\ Doc.\ No.\ 13\text{-}1951.\ Filed\ for\ public\ inspection\ October\ 11,\ 2013,\ 9:00\ a.m.]$ 

NOTICES 6089

# STATE BOARD OF VEHICLE MANUFACTURERS, DEALERS AND SALESPERSONS

Bureau of Professional and Occupational Affairs v. Thomas J. Coury; Doc. No. 1227-60-2013

On August 21, 2013, the State Board of Vehicle Manufacturers, Dealers and Salespersons (Board) suspended the license of Thomas J. Coury, license no. MV194973 of New Kensington, Westmoreland County. The Board took this action under the Order of the Court of Common Pleas of Philadelphia County dated July 29, 2013, which the court issued under 23 Pa.C.S. § 4355 (relating to denial or suspension of licenses). The suspension is effective immediately.

Individuals may obtain a copy of the order by writing to Cynthia K. Montgomery, Senior Counsel in Charge, State Board of Vehicle Manufacturers, Dealers and Salespersons, P. O. Box 2649, Harrisburg, PA 17105-2649.

FRANK SNYDER, Chairperson

 $[Pa.B.\ Doc.\ No.\ 13\text{-}1952.\ Filed\ for\ public\ inspection\ October\ 11,\ 2013,\ 9:00\ a.m.]$ 

# **RULES AND REGULATIONS**

# Title 28—HEALTH AND SAFETY

#### **DEPARTMENT OF HEALTH**

[ 28 PA. CODE CHS. 1001, 1003, 1005, 1007, 1009, 1011, 1013, 1015, 1021, 1023, 1025, 1027, 1029, 1031 AND 1033 ]

# **Emergency Medical Services System**

The Department of Health (Department) rescinds Chapters 1001, 1003, 1005, 1007, 1009, 1011, 1013 and 1015 and adopts Chapters 1021, 1023, 1025, 1027, 1029, 1031 and 1033 to read as set forth in Annex A. The rescission of Chapters 1001, 1003, 1005, 1007, 1009, 1011, 1013 and 1015 conforms to the requirements in sections 5, 7 and 9 of the act of August 18, 2009 (P. L. 308, No. 37) (Act 37). Act 37 is codified in 35 Pa.C.S. §§ 8101—8157 (relating to Emergency Medical Services System Act) (EMS System Act).

# A. Purpose and Background

This final-form rulemaking rescinds the outdated regulations relating to emergency medical services and implements the new regulations under section 7 of Act 37. The EMS System Act repealed the Emergency Medical Services Act (prior EMS act) (35 P. S. §§ 6921—6938). The prior EMS Act was the initial statute providing for the licensing and regulation of ambulance services in this Commonwealth. With the enactment of the EMS System Act, the Commonwealth has taken a significant step in moving forward with a comprehensive Statewide emergency medical services system that is more responsive to the needs of the people of this Commonwealth. The EMS System Act is designed to achieve a higher quality, more flexible and better coordinated EMS system that which was fostered under the prior EMS Act.

A key feature of the EMS System Act is that it includes several provisions that will enable the EMS system, without the need for further statutory amendments, to quickly adapt and evolve to meet the changing needs of the people of this Commonwealth for emergency and urgent pre-hospital and inter-facility medical care. Some of the key elements of the EMS System Act not included in the prior EMS Act are as follows:

- The scope of practice of emergency medical services (EMS) personnel closely tracks the EMS Scope of Practice Model that the National Association of State EMS Officials has developed for the National Highway Traffic Safety Administration.
- The Department is empowered to establish through regulation new types of EMS providers to meet specialized EMS needs as they are identified.
- The Department is empowered to expand the scope of practice of EMS personnel as the EMS practice model changes.
- Licenses and certifications will be permanent, subject to removal for disciplinary reasons, and continued practice will be conditioned upon a biennial or triennial registration of the license or certification and continued practice of EMS providers also will be predicated on meeting continuing education requirements or passing written and practical skills tests.

- Ambulance services, which had to meet specified staffing and vehicle requirements under the prior EMS Act, will be replaced by EMS agencies, which may have a myriad of configurations and provide different types of EMS (such as ambulance service, quick response service (QRS), wilderness EMS service and tactical EMS service). EMS agencies will be required to meet only those standards pertinent to the services they are licensed to offer.
- EMS agencies will be required to have a medical director.
- Ambulance drivers, who were not regulated under the prior EMS Act, will need to become certified and regulated. Ambulance attendants, who also were not regulated under the prior EMS Act, will be certified and regulated as emergency medical responders (EMR).
- The Department is granted emergency suspension powers to deal with an EMS provider or EMS vehicle operator who presents a clear and immediate danger to the public health and safety.
- The Department's disciplinary options are expanded to include the issuance of civil money penalties. The Department is granted jurisdiction to fine unlicensed entities that function as EMS agencies and uncertified persons who practice as EMS providers.
- The Department is empowered to enter into reciprocity agreements with other states for the certification of EMS providers.
- The Department is given the authority to enter into agreements with other states which may include, as appropriate to effectuate the purposes of the EMS System Act, the acceptance of EMS resources in other states that do not fully satisfy the requirements of the EMS System Act.
- The Department is empowered to issue conditional temporary licenses indefinitely if the Department determines it is in the public interest to do so.
- Medical command physicians, medical command facilities and medical command facility medical directors will be certified and regulated by the Department. They were not certified by the Department under the prior EMS Act.
- Physician assistants are provided a pathway, as nurses were afforded under the prior EMS Act and continue to be afforded under the EMS System Act, to become EMS providers based upon their education and experience.
- Standards are set forth as to when EMS providers are to have access to persons in need of EMS in a police incident and how police and EMS providers are to handle persons who need to be transported to a hospital for emergency medical care, but to whom the police also need access or to take into custody.
- A peer review system is established for EMS providers and physicians who direct or supervise EMS providers.
- EMS agencies are empowered to provide community-based health promotion services that are integrated into the overall health care system.

In developing this final-form rulemaking, the Department engaged the EMS community, including major stakeholder organizations, as well as other government agencies. The Department, through its Bureau of Emergency Medical Services (Bureau), conducted approxi-

mately 47 stakeholder meetings throughout this Commonwealth. The proposed rulemaking was published at 41 Pa.B. 5865 (October 29, 2011).

# B. Discussion of Comments

The Department received comments from 12 different commentators, including the Independent Regulatory Review Commission (IRRC), submitting a total of 51 comments. Of the 12 commentators, 3 stated their support for the proposed rulemaking. The remaining commentators addressed various concerns with the regulations as proposed. Overall, the public response to the proposed rulemaking was positive.

In considering whether to make changes to the proposed rulemaking based upon comments received, the Department's main objectives were to ensure compliance with the EMS System Act, continue previous practices employed by the Department under the prior EMS Act and its regulation when applicable, ensure a seamless transition to the new regulations when possible and clarify the regulatory requirements for the regulated community as needed.

The Department has divided its discussion of comments received and the Department's response to comments into two parts. The first part addresses comments not specific to a particular section of the proposed rulemaking but more general in scope and application. Also included in this part are the comments the Department received that offered support for the regulations. The second part contains a discussion of comments directed at a particular section of the proposed rulemaking and a description of changes to final-form rulemaking based upon comments received and those initiated by the Department as a result of its ongoing review of the regulations.

# General Comments

# Comments in support of the proposed rulemaking

The Department received comments in support of the proposed rulemaking from three commentators: the Hospital Council of Western Pennsylvania; the American Heart Association, American Stroke Association (American Heart Association); and the Eastern PA EMS Council. The Hospital Council of Western Pennsylvania wanted to "affirm its support of these changes as published in the Pennsylvania Bulletin." The Hospital Council of Western Pennsylvania also commented that "we are pleased to see the relationship between pre-hospital care and in-hospital care rendered complete by these regulations." In a letter submitted in support of the proposed rulemaking, the American Heart Association commented that "Specifically, we are encouraged by the emphasis on data collection and reporting that will help inform decision-makers about gaps in services, quality and outcomes to guide the future of EMS in PA." The Eastern PA EMS Council commented that it was "pleased to report that we collectively support and endorse the implementation of the Emergency Medical Services System Act (Act 37) as published October 29, 2011 in the *Pennsylvania Bulletin*." The Eastern PA EMS Council also applauded the Department's efforts to engage both private and government stakeholders throughout the process, including hosting town meetings across this Commonwealth.

#### Comment

A commentator suggested that the Department should have an additional comment period to provide for more public input on changes that the Department makes to the rulemaking before the rulemaking becomes final. IRRC noted the commentator's suggestion in its comments.

Response

The Department considered this comment and has elected not to allow for additional public comment in response to changes to the rulemaking from proposed to final. In developing the proposed rulemaking, the Department engaged the entire EMS community, including major stakeholder organizations, which included the commentator and other government agencies. The Department, through the Bureau, conducted stakeholder meetings throughout this Commonwealth in the process of developing the regulations and has continued to engage the stakeholders as it has moved towards the adoption of this final-form rulemaking. The Bureau posted multiple drafts of the proposed rulemaking on its web site. The proposed rulemaking remained on the Bureau's web site even after the public comment period expired.

The Department utilized an open process for this rulemaking and welcomed the public's input from the very beginning. The changes that have been made to the final-form rulemaking are in response to public comments, comments from IRRC and as a result of the Department's ongoing review of the regulations. There has been considerable discussion both prior to and after publication of the proposed rulemaking. The need for implementation of the regulations, as urged by the regulated community, outweighs the need for further public discussion.

#### Comment

A commentator expressed the concern that the EMS System Act does not permit EMS providers who are sanctioned by individual EMS agency medical directors to request administrative review of the sanction imposed.

\*\*Response\*\*

The EMS System Act did not retain the appeal process in the prior EMS Act permitting a sanctioned EMS provider to request administrative review of the EMS agency medical director's decision. The Department supports the elimination of the appeal provision. Under the prior EMS Act, a paramedic or prehospital registered nurse (PHRN) could appeal the decision of an advanced life support (ALS) service medical director who withdrew or denied medical command authorization to the regional EMS medical director for the ALS service. See section 11(d)(2)(iv) and (e.1)(4) of the prior EMS Act  $(35\ P.\ S.$  $\$  6931(d)(2)(iv) and (e.1)(4)). If the paramedic or PHRN was unhappy with the decision of the regional EMS medical director, he could appeal to the Department and then to Commonwealth Court. See former § 1003.28. The appeal provision was not included in the EMS System Act. When the General Assembly deletes statutory language, it is presumed that the General Assembly intended to make that language inoperative. Therefore, medical command authorization decisions may not be appealed to the regional EMS medical director and the Department. Even though administrative review of medical command authorization decisions has been eliminated, an EMS provider whose medical command authorization is denied or withdrawn may file a civil action in a court of competent jurisdiction.

Under the prior EMS Act, from 2005 to 2012, the Department decided fewer than five medical command authorization appeals. Even with a limited number of appeals, however, there were increasing concerns surrounding the administrative appeal process. Primary among them was whether the Department could, or should, substitute its judgment for that of the ALS service medical director. Another concern was whether the Department should be inserted in a conflict between an

employer (the ambulance service) and an employee (the paramedic or PHRN) or dictate to the employer how the conflict should be resolved. For many reasons, it makes sense to remove the Department from this process.

#### IRRC comment—Intent of the General Assembly

IRRC noted that in the Regulatory Analysis Form (RAF) submitted with the rulemaking the Department identified sections of the EMS System Act that provide the Department with both general and specific statutory authority to promulgate the final-form rulemaking. IRRC noted, however, that those sections of the EMS System Act are not cross referenced to the specific sections of the regulations promulgated based upon that authority. IRRC requested that the Department identify the specific sections of the EMS System Act that correlate to specific sections of the regulations to assist IRRC in determining if the regulations are consistent with the intent of the General Assembly.

#### Response

Following is a brief explanation of the general and specific statutory authority granted to the Department to promulgate the final-form rulemaking and a chart that lists each regulatory section, in order, with the corresponding statutory section that provides the Department with authority to promulgate the particular section of the final-form rulemaking.

#### Section 7 of Act 37

Section 7 of Act 37 provides that the EMS System Act shall be liberally construed to authorize the Department to promulgate regulations to carry out the provisions of the EMS System Act and states that the absence of express authority to adopt regulations in a provision of the EMS System Act may not be construed to preclude the Department from adopting a regulation to carry out that provision.

# Section 8103 of the EMS System Act

Section 8103 of the EMS System Act (relating to definitions) defines several terms in a manner that expressly permit the Department to expand the definition by regulation. The term "emergency medical services agency" or "EMS agency" is defined as an entity that may provide EMS through the operation of certain types of services and the deployment of certain vehicles, which are listed in the definition. The definition also provides that the Department may expand the list of services and vehicles through regulation. Final-form § 1027.37 (relating to intermediate advanced life support squad service) is promulgated based upon this statutory authority.

The definition of "emergency medical services provider" or "EMS provider" identifies the different types of EMS providers certified by the Department. This definition also empowers the Department to establish by regulation other types of EMS providers to provide specialized EMS. This authority is also addressed in section 8113(a) of the EMS System Act (relating to emergency medical services providers). The Department established different types of rescue personnel under § 1023.52 (relating to rescue personnel) under section 8113(a)(8) of the EMS System Act.

# Section 8105 of the EMS System Act

Section 8105 of the EMS System Act (relating to duties of department) includes language permitting the Department to adopt regulations. Section 8105(b)(2) of the EMS System Act authorizes the Department to establish by regulation standards and criteria governing the awarding

and administration of contracts and grants by the Department for the initiation, maintenance and improvement of regional EMS systems through the Emergency Medical Services Operating Fund (EMSOF). Sections 1021.21—1021.28 (relating to award and administration of EMSOF funding) are promulgated based upon this statutory authority.

Section 8105(b)(4) of the EMS System Act empowers the Department to collect, as deemed necessary and appropriate, data and information regarding patients who utilize emergency departments without being admitted to the facility and patients admitted through emergency departments, trauma centers or directly to special care units in a manner that protects and maintains the confidential nature of patient records. The data and is to be collected under regulations issued by the Department. Sections 1021.8, 1021.64 and 1021.141 (relating to EMS data collection; cooperation; and research) are promulgated under this statutory authority.

Section 8105(b)(11) of the EMS System Act authorizes the Department to promulgate regulations to establish standards and criteria for EMS systems. Sections 1027.31, 1029.21, 1031.2 and 1033.1—1033.7 are promulgated under this statutory authority.

#### Section 8106 of the EMS System Act

Section 8106(d) of the EMS System Act (relating to emergency medical services patient care reports) provides that an EMS agency shall report to the Department or a regional EMS council, as directed by Department regulation, information from EMS patient care reports (PCR) solicited through the reporting process. In addition, section 8106(a) of the EMS System Act requires an EMS agency to ensure that its responding EMS providers complete an EMS PCR for each response made in which it encounters a patient or a person who has been identified as a patient to the EMS agency, unless the Department, by regulation, exempts certain types of patient contact from the reporting requirement. Sections 1021.41—1021.43 (relating to EMS patient care reports; dissemination of information; and vendors of EMS patient care reports) are promulgated under this statutory authority.

# Section 8113 of the EMS System Act

Section 8113 of the EMS System Act contains numerous provisions that grant the Department authority to promulgate regulations. Section 8113(a)(8) of the EMS System Act provides the Department authority to establish new types of EMS providers by regulation. Section 1023.52 is promulgated under this statutory authority.

Section 8113(c) of the EMS System Act provides the Department with authority to create an application process for EMS provider applicants. This section further provides that the Department may do so by creating paper application forms or by establishing an electronic application process. Section 1023.21 (relating to general rights and responsibilities) is promulgated under this statutory authority.

Section 8113(d)(1) and (2) of the EMS System Act requires the Department to develop standards through regulations for the accreditation and reaccreditation of EMS educational institutes and for the approval of continuing education courses and the accreditation of entities that provide continuing education courses. Sections 1023.31, 1023.32, 1025.1—1025.3, 1025.21—1025.23, 1031.12 and 1031.13 are promulgated under this statutory authority.

Section 8113(e) of the EMS System Act establishes standards for taking and passing EMS provider certification examinations. Section 8113(e)(7) of the EMS System Act permits the Department to change those standards through regulation. The Department has opted not to change those standards at this time.

#### Sections 8114—8120 of the EMS System Act

Sections 8114—8120 of the EMS System Act address scope of practice, certification and registration requirements for EMS providers. The provisions addressing scope of practice permit the EMS provider to function beyond the scope of practice as authorized by Department regulation. See sections 8114(a)(3), 8115(a)(3) and (4), 8116(a)(3) and (4), 8117(a)(3) and (4), 8118(a)(3) and (4), 8119(a)(3) and (4) and 8120(a)(3) and (4) of the EMS System Act. Sections 1023.24(a)(3), 1023.25(a)(3), 1023.26(a)(3), 1023.27(a)(3), 1023.28(a)(3), 1023.29(a)(3) and 1023.30(a)(3), permitting the EMS provider specified to operate as a member of a special operations EMS service, are promulgated under this statutory authority.

An EMS provider needs both a certification and a current registration of that certification to practice. Sections 8114—8120 of the EMS System Act provide that the application for registration of an EMS provider certification is to be submitted using a form or an electronic process prescribed by the Department by regulation. These sections also provide that when the registration has expired and the EMS provider subsequently seeks to register the certification, the EMS provider may secure a current registration of the provider's certification by qualifying for the registration under requirements established by the Department by regulation. Section 1023.21(e) is promulgated under this statutory authority.

To ensure that there is not an unintentional lapse in the registration of an EMS provider certification, sections 8114—8120 of the EMS System Act provide that the registration applications are to be submitted at least 30 days prior to when they are to expire or within a lesser time before their expiration if permitted by Department regulation. See sections 8114(c)(1)(i)(B) and (2); 8115(c)(1)(i)(B) and (2); 8116(c), (c)(1)(i)(B) and (2); 8117(d), (d)(1)(i)(B) and (2); 8118(c), (c)(1)(i)(B) and (2); 8119(c), (c)(1)(i)(B) and (2); and 8120(c)(1)(i) and (2) of the EMS System Act. The Department elected not to change the application time frame for EMS provider registration through regulation at this time.

Sections 8116 and 8117 of the EMS System Act (relating to advanced emergency medical technicians; and paramedics) also give the Department authority to promulgate regulations containing the requirements that an advanced emergency medical technician (AEMT) or paramedic shall meet to become certified. Specifically, section 8116 of the EMS System Act requires an AEMT to complete an AEMT training course that teaches ALS skills deemed appropriate by regulation of the Department. Section 8117(c)(2)(ii) of the EMS System Act requires that an emergency medical technician (EMT) or AEMT who wishes to become certified as a paramedic successfully complete a paramedic training course that teaches ALS skills deemed appropriate by regulation of the Department. Sections 1023.26(b)(1)(iii) 1023.27(b)(5) (relating to advanced emergency medical technician; and paramedic) are promulgated under this statutory authority.

# Section 8122 of the EMS System Act

Section 8122 of the EMS System Act (relating to emergency medical services vehicle operators) is similar to sections 8113—8120 of the EMS System Act in that it authorizes the use of regulations to prescribe the manner in which applications for an emergency medical services vehicle operator's (EMSVO) certification and registration of the certification are to be submitted and the requirements for registering a certification after the registration has expired. See section 8122(b)(1)(ii) and (4) of the EMS System Act. Section 1023.22(d) (relating to EMS vehicle operator) is promulgated under this statutory authority.

# Section 8124 of the EMS System Act

Section 8124 of the EMS System Act (relating to emergency medical services instructors) addresses requirements for individuals who wish to become certified as EMS instructors. Section 8124(a)(1) and (b)(1)(i) and (2) of the EMS System Act addresses the application and certification procedures for EMS instructors and gives the Department authority to promulgate regulations regarding the application and certification process for EMS instructors. In addition, section 8124(c) of the EMS System Act provides that the Department may adopt regulations to set standards for EMS instructors providing instruction in EMS educational institutes. Section 1023.51 (relating to certified EMS instructors) is promulgated under this statutory authority.

# Section 8125 of the EMS System Act

Section 8125(b) of the EMS System Act (relating to medical director of emergency medical services agency) prescribes the roles and responsibilities of an EMS agency medical director. Section 8125(b)(9) of the EMS System Act provides that the EMS agency medical director is to perform other functions the Department imposes by regulation. Section 1023.1(a)(1)(ii), (viii), (ix) and (4) (relating to EMS agency medical director) is promulgated under this statutory authority.

#### Section 8126 of the EMS System Act

Section 8126(c) and (g) of the EMS System Act (relating to medical command physicians and facility medical directors) requires registration of the certification for a medical command physician and a medical command facility medical director to be submitted through a form or an electronic process as prescribed by the Department by regulation. This section also permits the Department to impose other conditions regarding registration of certification by regulation. Sections 1023.2(c) and 1023.3(c) (relating to medical command physician; and medical command facility medical director) are promulgated under this statutory authority.

#### Section 8127 of the EMS System Act

Section 8127(d) of the EMS System Act (relating to medical command facilities) permits the Department to promulgate regulations to ensure a medical command facility operates in an effective and efficient manner to achieve the purposes for which it is certified. Moreover, section 8127(c) of the EMS System Act requires medical command facilities to: be a distinct medical unit operated by a hospital or consortium of hospitals; possess the necessary equipment and personnel for providing medical command to, and control over, EMS providers; employ a medical command facility medical director; take measures necessary to ensure that a medical command physician is available to provide medical command at all times; and meet the communication, recordkeeping and other requirements of the Department. Sections 1029.1—1029.6 (relating to medical command facilities) are promulgated under the statutory authority in section 8127(c) and (d) of the EMS System Act.

Section 8128 of the EMS System Act

Section 8128(b) of the EMS System Act (relating to receiving facilities) specifies requirements that a facility shall satisfy to qualify to receive patients transported by ambulance. It also includes a provision that empowers the Department, through regulation, to authorize other types of facilities to serve as receiving facilities for purposes of serving patients who have special medical needs. The Department elected not to authorize other types of facilities to serve as receiving facilities for purposes of serving patients who have special medical needs at this time.

#### Sections 8129—8137 of the EMS System Act

Section 8129 of the EMS System Act (relating to emergency medical services agencies) provides that an entity may not operate an EMS agency unless it holds an EMS agency license. It also specifies the various vehicles and services that an EMS agency may operate and authorizes the Department to establish by regulation other vehicles or services requiring licensure. Final-form § 1027.37 and § 1027.42 (relating to water ambulance service) are promulgated under this statutory authority.

Section 8129(c)(5) of the EMS System Act provides that the Department may establish by regulation criteria that an applicant for an EMS agency license shall demonstrate that its EMS agency medical director satisfies, depending upon the types of EMS vehicles the applicant is applying to operate and the types of EMS services it is applying to provide. Section 1027.1(b)(5) (relating to general provisions) is promulgated under this statutory authority.

Section 8129(f)(1) of the EMS System Act permits an EMS agency to enter into a contract with another entity to manage the EMS agency, but requires that an entity that provides management services for an EMS agency be approved by the Department. One of the requirements for approval is that the entity be in compliance with the Department's regulations. Section 1027.14 (relating to management companies) is promulgated under this statutory authority.

Section 8129(g) of the EMS System Act requires certain types of EMS vehicles to display a Department-issued inspection sticker as prescribed by the Department by regulation. Section 8129(g) of the EMS System Act further provides that the Department may require, by regulation, other types of EMS vehicles to display a Department-issued inspection sticker. Section 1027.7(a), (b), (d) and (e) (relating to EMS vehicle fleet) is promulgated under this statutory authority.

Section 8129(i)(2) of the EMS System Act provides that if an EMS agency operates a communications center that dispatches EMS resources, the center will be viewed as part of the EMS agency's licensed operation and subject to the Department's regulations. Final-form § 1027.4 (relating to EMS agency dispatch centers) is promulgated under this statutory authority.

Section 8129(l) of the EMS System Act permits the Department to revise by regulation the staffing standards for the various types of EMS vehicles that EMS agencies may operate under sections 8130—8135 of the EMS System Act. The Department changed the staffing standards for basic life support (BLS) ambulance services in § 1027.33 (relating to basic life support ambulance service) and ALS ambulance services in final-form § 1027.35 (relating to advanced life support ambulance service).

Section 8129(p) of the EMS System Act requires the Department to promulgate regulations imposing additional requirements on EMS agencies based upon the types of EMS vehicles they operate and the services they

provide. Sections 1027.1—1027.14 and 1027.31—1027.42 (relating to general requirements; and EMS agency services) are promulgated under this statutory authority.

Section 8136 of the EMS System Act

Section 8136 of the EMS System Act (relating to special operations emergency medical services) addresses special operations EMS services that possess specialized knowledge, equipment or vehicles to access a patient or address a patient's emergency medical needs.

Section 8136(a) of the EMS System Act requires the Department, by regulation, to provide for specific types of special operations EMS teams. Section 1027.41 (relating to special operations EMS services) is promulgated under this statutory authority.

Section 8136(b) of the EMS System Act permits the Department to prescribe by regulation additional training and expertise requirements for the EMS agency medical director and the EMS providers who staff a special operations EMS service. Section 1027.41(b) is promulgated under this statutory authority.

Section 8136(c) of the EMS System Act permits the Department to promulgate regulations to establish staffing, equipment, supplies and other requirements for a special operations EMS service. Section 1027.41 is promulgated under this statutory authority.

Section 8136(d) of the EMS System Act addresses entities that apply to operate special operations EMS services that the Department has not provided for in regulations. Section 8136(d) of the EMS System Act states that the Department will evaluate the merits of each application on an individual basis and may conditionally grant or deny an application based upon considerations of public health and safety. This section further states that the grant of an application will be subject to compliance with later-adopted regulations addressing that type of special operations EMS service. The Department will evaluate on an ongoing basis the need for additional regulations to ensure appropriate regulatory guidance for special operations EMS services operating in this Commonwealth.

# Section 8138 of the EMS System Act

Section 8138 of the EMS System Act (relating to other vehicles and services) authorizes the Department to promulgate regulations to establish EMS vehicle and service standards for EMS vehicles and services not specified in the EMS System Act. Final-form §§ 1027.34 and 1027.39 (relating to intermediate advanced life support ambulance service; and critical care transport ambulance service) and final-form § 1027.37 are promulgated in part under this statutory authority.

The authority to promulgate each section of the finalform rulemaking is provided in the following chart with the section of the regulation and the corresponding section or sections of the EMS System Act, or other act as applicable, giving the Department authority to promulgate the section:

Regulation	Statutory Authority (EMS System Act and other acts as indicated)
§ 1021.1	§§ 8102 and 8105(a)
§ 1021.2	§§ 8102, 8103 and 8105(a)
§ 1021.3	§§ 8101—8157
§ 1021.4	§ 7 of Act 37
§ 1021.5	§ 8105(b)(14) and (15)

	Statutory Authority		Statutory Authority
Regulation	(EMS System Act and other acts as indicated)	Regulation	(EMS System Act and other acts as indicated)
§ 1021.6	\$\$ 8105(b)(5), 8108(b) and 8111	§ 1023.31	\$\$ 8104(a)(2), 8105(b)(6), 8113(d),
§ 1021.7	\$\$ 8109 and 8111(a)(2)	§ 1020.01	8114(c)(1)(ii)(B), 8115(c)(1)(ii)(B),
§ 1021.8	\$\\$ 8104(a)(11), 8105(b)(3) and (4), 8106, 8109(c)(2) and 8111(c)		8116(c)(1)(ii)(B), 8117(d)(1)(ii), 8118(c)(1)(iii), 8119(c)(1)(iii), 8120(c)(1)(iii) and 8122(b)(1)(iv)
§ 1021.21	§§ 8105(b)(2), 8112 and 8153	§ 1023.32	\$\\$ 8104(a)(2), 8105(b)(6), 8113(d),
§ 1021.22	§§ 8105(b)(2), 8112 and 8153	3 1020102	8114(c)(1)(ii)(B), 8115(c)(1)(ii)(B),
§ 1021.23	§§ 8105(b)(2), 8112 and 8153		8116(c)(1)(ii)(B), 8117(d)(1)(ii), 8118(c)(1)(iii), 8119(c)(1)(iii), 8120(c)(1)(iii)
§ 1021.24	§§ 8105(b)(2), 8112 and 8153		and 8122(b)(1)(iv)
§ 1021.25	§§ 8015(b)(2), 8112 and 8153	§ 1023.33	§ 8113(f)
§ 1021.26	§§ 8105(b)(2), 8112 and 8153	§ 1023.34	§ 8113(f)
§ 1021.27	§§ 8105(b)(2), 8112 and 8153	§ 1023.51	§§ 8105(b)(6) and 8124
§ 1021.28	§§ 8105(b)(2) and 8108(g)	§ 1023.52	§ 8113(a)(8)
§ 1021.41	§ 8106	§ 1025.1	§§ 8105(b)(6) and 8113(d)(1)
§ 1021.42	§ 8106 and subsection (e)	§ 1025.2	§§ 8105(b)(6) and 8113(d)(1)
§ 1021.43	§ 8106 and subsection (f)	§ 1025.3	§ 8113(d)(1)
§ 1021.61	§§ 8102(2) and (10), 8104(a)(13), 8105,	§ 1025.21	§ 8113(d)(2)
3	8105(b)(10) and 8108	§ 1025.22	§ 8113(d)(2)
§ 1021.62	§ 8109(c)(11)	§ 1025.33	§ 8113(d)(2)
§ 1021.63	§§ 8103 and 8152	§ 1027.1	§ 8129 and subsections (p) and (q)
§ 1021.64	§§ 8102(2) and (10), 8104(a)(13) and	§ 1027.2	§ 8129 and subsection (p)
	8105(b)(4) and (10)	§ 1027.3	§ 8129 and subsections (i), (k) and (p)
§ 1021.81	§§ 8104(a)(6) and 8105(b)(12)	§ 1027.4	§ 8129(i) and (p)
§ 1021.82	§§ 8104(a)(6) and 8105(b)(12)	§ 1027.5	§ 8129(c)(2), (j) and (p)
§ 1021.83	§ 8105(b)(15)	§ 1027.6	§§ 8104, 8105, 8129(p) and 8140
§ 1021.101	§§ 8104(a)(9), (13) and (14), 8105(a) and 8109	§ 1027.7	§ 8129(c)(2), (g), (h) and (p)
§ 1021.102	§ 8109	§ 1027.8	§ 8129(h) and (p)
§ 1021.102 § 1021.103	§ 8109	§ 1027.9	§ 8129(h) and (p)
§ 1021.103 § 1021.104	· ·	§ 1027.10	§§ 8129(h) and (p) and 8141
§ 1021.104 § 1021.121	§ 8109	§ 1027.11	§§ 8129(p), 8141, 8142(a)(2) and (b)
§ 1021.121 § 1021.122	§ 8108 § 8108	§ 1027.12	§§ 8129(p) and 8140
-	v	§ 1027.13	§ 8129(o) and (p)
§ 1021.123	§ 8108	§ 1027.14	§ 8129(f) and (p)
§ 1021.141	§§ 8105(b)(4) and 8106(e)(2)	§ 1027.31	\$\ 8105(b)(11), 8129(p), 8130(b), 8131(b),
§ 1023.1	§ 8125	3 1021.01	8132(b); 8133(b), 8134(b), 8135(b),
§ 1023.2	§ 8126(a)—(d)		8136(c), 8137(b), 8138 and 8140
§ 1023.3	§ 8126(e)—(g)	§ 1027.32	§§ 8104, 8105, 8129(p) and 8135
§ 1023.4	§§ 8105 and 8109	§ 1027.33	§§ 8104, 8105, 8129(l) and (p) and 8133
§ 1023.5	§§ 8103—8105	§ 1027.34	§§ 8104, 8105, 8129(a) and (p) and 8138
§ 1023.21	§§ 8113—8120, 8122 and 8129(m)	§ 1027.35	§§ 8104, 8105, 8129(l) and (p) and 8130
§ 1023.22	§ 8122	§ 1027.36	§§ 8104, 8105, 8129(p) and 8134
§ 1023.23	§ 8114(d) and (e)	§ 1027.37	§§ 8103, 8104, 8105, 8129(a) and (p) and
§ 1023.24	§§ 8113 and 8114	\$ 1007.20	8138 \$\$ 0104 0105 0100(m) and 0120
§ 1023.25	§§ 8113 and 8115	§ 1027.38	\$\$ 8104, 8105, 8129(p) and 8132
§ 1023.26	§§ 8113 and 8116	§ 1027.39	\$\$ 8129(p) and 8138
§ 1023.27	§§ 8113 and 8117	§ 1027.40	\$\$ 8104, 8105, 8129(p) and 8131
§ 1023.28	§§ 8113 and 8118	§ 1027.41	\$\$ 8129(p) and 8136
§ 1023.29	§§ 8113 and 8119	§ 1027.42	\$\$ 8103—8105, 8129(a) and 8138
§ 1023.30	§§ 8113 and 8120	§ 1027.51	§§ 8104, 8105 and 8139

D 1.0	Statutory Authority (EMS System Act and
Regulation	other acts as indicated)
§ 1027.52	§§ 8105(b) and 8129(r)
§ 1029.1	§ 8127
§ 1029.2	§ 8127
§ 1029.3	§ 8127
§ 1029.4	§ 8127
§ 1029.5	§§ 8105 and 8127
§ 1029.6	§§ 8105 and 8127
§ 1029.21	§§ 8105(b)(11) and 8128
§ 1031.1	§ 8157; 2 Pa.C.S. §§ 102 and 702
§ 1031.2	§§ 8105(b)(11), (14) and (15) and 8109(c)(13); 65 P. S. § 67.708(b)(17)
§ 1031.3	§ 8121(a)—(c)
§ 1031.4	§ 8121(d)
§ 1031.5	§ 8122 and subsections (d)—(f)
§ 1031.6	§ 8123(a)
§ 1031.7	§ 8124(d) and (e)
§ 1031.8	§ 8126(h) and (i)
§ 1031.9	§ 8123(b)
§ 1031.10	§ 8142(a) and (b)
§ 1031.11	§ 8127(g) and (h)
§ 1031.12	§ 8104(a)(2), 8105(b)(6) and 8113(d)
§ 1031.13	§ 8104(a)(2), 8105(b)(6) and 8113(d)
§ 1031.14	§ 8156(c)
§ 1031.15	§ 8106(f)
§ 1031.16	§§ 8129(c)(1) and (f)
§ 1033.1	§ 8105(b)(11)
§ 1033.2	§ 8105(b)(11)
§ 1033.3	§ 8105(b)(11)
§ 1033.4	§ 8105(b)(11)
§ 1033.5	§ 8105(b)(11)
§ 1033.6	§ 8105(b)(11)
§ 1033.7	§ 8105(b)(11)

IRRC comment—Use of the Pennsylvania Bulletin

IRRC noted that the EMS System Act permits the Department to publish in the *Pennsylvania Bulletin* changes to EMS PCRs (section 8106(f) of the EMS System Act), skills within the scope of practice of each type of EMS provider (section 8113(g) of the EMS System Act) and vehicle construction and equipment and supply requirements for EMS agencies (section 8129(j) of the EMS System Act). IRRC listed the following sections that permit publication of information pertinent to the regulation in the *Pennsylvania Bulletin*. The Department grouped these sections into seven categories.

EMSOF	$EMS\ PCRs$
§ 1021.24(b) and (e)	§ 1021.41(a) and (c)
§ 1021.25(10)	§ 1021.43(c)
	§ 1027.41(b)(2)(i)

Reciprocity	EMS agencies
§ 1023.34(b)	§ 1027.1(b)(6)
	§ 1027.3(c)
	§ 1027.5(b)
	§ 1027.7(c)
	§ 1027.39(d)
	§ 1027.40(f)
	§ 1027.41(b)(1)
Scope of practice of EMS providers	
\$ 1000 04(J)(1) (9)	

EMS providers § 1023.24(d)(1)—(3) § 1023.25(d)(1)—(3) § 1023.26(d)(1)—(3) § 1023.27(d)(1)—(3)

Specialty receiving facilities § 1029.21(b)

Continuing education  $\$  1023.31(a), (b)(1), (c)(1), (d)(1), (e)(1), (f)(1), (g)(1) and (h)(1)

IRRC commented that it has three concerns regarding the use of the Pennsylvania Bulletin to publish information pertinent to these regulations. IRRC inquired how use of publication in the Pennsylvania Bulletin, as described in each of the sections previously listed, is consistent with the EMS System Act. IRRC also inquired how the regulated community can reasonably comply; that is, how the regulated community will know whether the requirements in these regulations are amended and how the regulated community will find those specific documents in the Pennsylvania Bulletin. IRRC noted that this task for the regulated community will become more complicated with subsequent publications in the Pennsylvania Bulletin. IRRC inquired how the Department will implement provisions that can be altered by publication in the Pennsylvania Bulletin. IRRC asked whether the Department will consider placing on its web site a compendium of changes that it publishes in the Pennsylvania Bulletin after the effective date of these regulations.

#### Response

The Department will provide the statutory authority for each of the sections previously listed that incorporate use of publication in the *Pennsylvania Bulletin* to inform the regulated community of information needed to comply with the regulation. First, however, the Department will address the basis, generally, for use of the *Pennsylvania Bulletin* in connection with this Commonwealth's EMS system. The General Assembly, in enacting the EMS System Act, recognized the need for an EMS system that is able to evolve to meet the needs of the residents of this Commonwealth. Section 8102 of the EMS System Act (relating to declaration of policy) states the following:

\* \* \* \* \*

(5) It serves the public interest if the emergency medical services system is able to quickly adapt and evolve to meet the needs of the residents of this

Commonwealth for emergency and urgent medical care and to reduce their illness and injury risks.

\* \* \* \* \*

(8) This chapter shall be liberally construed to establish and maintain an effective and efficient emergency medical services system which is accessible on a uniform basis to residents of this Commonwealth and to visitors to this Commonwealth.

\* \* \* \* \*

- (10) The Department of Health should continually assess and, as needed, revise the functions of emergency medical services agencies and providers and other components of the emergency medical services system that it regulates under this chapter to:
- (i) improve the quality of emergency medical services provided in this Commonwealth;
- (ii) have the emergency medical services system adapt to changing needs of the residents of this Commonwealth; and
- (iii) promote the recruitment and retention of persons willing and qualified to serve as emergency medical services providers in this Commonwealth.

\* \* \* \* \*

Although specifically provided for in the EMS System Act, use of publication in the Pennsylvania Bulletin is not new. The Department has been using the Pennsylvania Bulletin to make announcements and effectuate changes for a significant amount of time. For example, since the first EMS regulations were promulgated in 1989 under the prior EMS Act, the Department has published notices in the Pennsylvania Bulletin listing approved medications that may be used by paramedics and listing EMSOF funding priorities. See former §§ 1001.23(b) and 1003.24(a)(2)(v)) as published at 19 Pa.B. 2843 (July 1, 1989). Since the regulations were revised in 2000 under the prior EMS Act, the Department has published notices in the Pennsylvania Bulletin regarding information required to be included in EMS PCRs, changes in scope of practice for EMS providers, required ground and air equipment and supplies and QRS program recognition requirements. See former §§ 1001.41(a), 1003.23(f)(1), 1003.24(e)(1), 1005.10(c)(1), 1007.7(c) and 1015.1(a)(1) as published at 30 Pa.B. 5363 (October 14, 2000).

The regulated community is accustomed to announcements and notices published in the Pennsylvania Bulletin, a practice that has been ongoing for a majority of announcements for at least 10 years, and with regard to two types of announcements, for over 20 years. Publication of notices in the Pennsylvania Bulletin is the most effective way to address the ever-changing nature of EMS in this Commonwealth. If the Department were unable to utilize the Pennsylvania Bulletin to notify the regulated community regarding essential information, the Department could not quickly and efficiently address issues concerning this Commonwealth's EMS system. These notices are, and will be, available on the Bureau's web site, the Pennsylvania Bulletin's web site, and from regional EMS councils and the Board of Directors of the Pennsylvania Emergency Health Services Council (Advisory Board).

Use of the Pennsylvania Bulletin regarding EMSOF

Section 8153 of the EMS System Act (relating to support of emergency medical services) carries over a provision from the prior EMS Act that established an

EMSOF special fund to support EMS throughout this Commonwealth. EMSOF funds are to be used by a regional EMS council to plan, initiate, maintain, expand or improve a regional EMS system in a manner that is consistent with the Statewide and relevant regional EMS system plans. Under section 8112 of the EMS System Act (relating to contracts and grants), the Department is tasked with distributing EMSOF funding; therefore, the Department must make decisions each year as to funding priorities. The notice in the *Pennsylvania Bulletin*, listing funding priorities are not binding on regional EMS councils. Notice in the *Pennsylvania Bulletin* of funding priorities alerts regional EMS councils to areas of this Commonwealth's EMS system targeted for improvement through the process described as follows.

Each year, regional EMS councils are required to submit reports to the Department per the terms of their grant agreements with the Department. As part of these reports, regional EMS councils are required to inform the Department of any new or existing issues that may require the Department's attention. Through these reports, the Department gains an understanding of possible shortcomings in this Commonwealth's EMS system. The Department uses notices in the Pennsylvania Bulletin to inform the EMS community, and the public at large, of the Department's funding priorities for limited EMSOF funds. Notwithstanding notice of funding priorities, recipients of EMSOF funding are free to use the funds awarded to enhance local EMS needs, provided they use the EMSOF funds under the criteria in the EMS System Act, specifically sections 8112 and 8153 of the EMS System Act, and the Department's regulations.

Sections 1021.24(b) and (e) and 1021.25(10) (relating to use of EMSOF funding by a regional EMS council; and allocation of EMSOF funds to regional EMS councils) permit the Department to publish in the *Pennsylvania Bulletin* notices concerning EMSOF funding. Section 1021.24(b) permits the Department to use the Pennsylvania Bulletin to set forth additional priorities for funding on a yearly basis. EMSOF funding priorities change depending on the state of the Commonwealth's EMS system in a given year and the amount of funding available. Section 1021.24(e) permits the Department to use the *Pennsylvania Bulletin* to establish the percentage of matching funds, as compared to the total funds received, that entities applying for grants must provide as a condition of receiving EMSOF funding. Matching funds also are required for grants to regional EMS councils and are necessary due to the limited funding available. Section 1021.25(10) permits the Department to publish in the Pennsylvania Bulletin other factors the Department will consider in determining the amount of EMSOF funding a regional EMS council may receive to distribute to requesting entities.

Use of the Pennsylvania Bulletin in connection with EMS PCRs

Before an EMS agency departs from a receiving facility to which it has transported a patient, it is required to give certain essential information to the receiving facility so that the receiving facility is apprised of the patient's condition. Section 8106 of the EMS System Act gives the Department authority to create a form or other reporting process for use by EMS agencies for each EMS response. Section 8106(f) of the EMS System Act requires a vendor, proposing to modify the form or software marketed as appropriate for use by EMS agencies in making PCRs, to submit the modifications to the Department for review

and approval. The same section requires approved modifications to be published in the *Pennsylvania Bulletin* with an effective date no sooner than 60 days following publication. Section 1021.43(c) is promulgated under this authority.

Sections 1021.41(a) and (c), 1021.43(c) and 1027.41(b)(2)(i) permit the Department to publish notices in the Pennsylvania Bulletin regarding EMS PCRs. Section 1021.41(a) permits the Department to use the Pennsylvania Bulletin to publish a list of the data elements and the form specifications that must be a part of an EMS PCR form. Form specifications are required to ensure that patient care information is uniform across this Commonwealth. Using this mechanism, the Department will be able to make changes to ensure better guidance for EMS providers and more information for hospitals and other receiving facilities who receive patients. Better and more accurate forms will benefit the health care community at large and the patients that seek health care services. Health care providers, including EMS providers, will be better informed regarding the patient's condition, leading to better decision making for treatment. Section 1021.41(c) addresses the Department's use of the Pennsylvania Bulletin in publishing a notice that specifies the types of patient information that the Department deems essential for patient care.

Special operations EMS is a distinct type of EMS, as it operates in certain environments where specialized knowledge and skills are required. Therefore, the standard EMS PCR form is often not sufficient for these types of EMS services. Section 1027.41(b)(2)(i) permits the Department to use the *Pennsylvania Bulletin* to provide notice to special operations EMS services regarding the information they must gather for each patient they encounter.

Use of the Pennsylvania Bulletin regarding scope of practice of EMS providers

Section 8113(g) of the EMS System Act permits the Department to publish in the *Pennsylvania Bulletin* a list of skills within the scope of practice of each type of EMS provider. EMS providers, as listed in the EMS System Act, include EMRs, EMTs, AEMTs and paramedics. See the definition of "emergency medical services provider" in section 8103 of the EMS System Act.

Sections 1023.24(d)(1)—(3) and 1023.25(d)—(3) (relating to emergency medical responder; and emergency medical technician) and §§ 1023.26(d)(1)—(3) and 1023.27(d)(1)—(3) permit the Department to publish notices in the *Pennsylvania Bulletin* concerning the scope of practice of each EMS provider.

Sections 1023.24(d)(1), 1023.25(d)(1), 1023.26(d)(1) and 1023.27(d)(1) state that the Department will publish in the *Pennsylvania Bulletin* the skills included within the scope of practice for the EMS provider identified in the regulation.

Sections 1023.24(d)(2), 1023.25(d)(2), 1023.26(d)(2) and 1023.27(d)(2) state that the Department will publish a notice in the *Pennsylvania Bulletin* when the scope of practice of the EMS provider may be expanded, as permitted by section 8113(g) of the EMS System Act.

Sections 1023.24(d)(3), 1023.25(d)(3), 1023.26(d)(3) and 1023.27(d)(3) state that the Department will publish in the *Pennsylvania Bulletin* the frequency with which the Department will publish the list of skills within the scope of practice of the EMS provider as permitted by section 8113(g) of the EMS System Act.

Use of the Pennsylvania Bulletin regarding continuing education

Section 8122(b) of the EMS System Act gives the Department the authority to identify continuing education requirements for EMSVOs. Section 1023.31(a) (relating to continuing education requirements) states that the Department will use the *Pennsylvania Bulletin* to publish a notice regarding continuing education requirements for EMSVOs on a 3-year renewal cycle, who need to complete three continuing education credits, and EMSVOs on a 2-year renewal cycle, who need to complete two continuing education credits.

Sections 8105(b)(6) and 8113(d) of the EMS System Act give the Department authority, generally, to identify the educational requirements for EMS providers. Further, the EMS System Act mandates that each type of EMS provider shall complete continuing education credits as required by the Department in continuing education programs approved by the Department. See sections 8114(c)(1)(ii)(B), 8115(c)(1)(ii)(B), 8116(c)(1)(ii)(B), 8117(d)(1)(ii), 8118(c)(1)(iii), 8119(c)(1)(iii), 8120(c)(1)(iii) and 8122(b)(1)(iv) of the EMS System Act. These provisions of the EMS System Act give the Department the authority to set continuing education requirements, including the number of credits each EMS providers may take, to satisfy those credits.

Section 1023.31(a), (b)(1), (c)(1), (d)(1), (e)(1), (f)(1), (g)(1) and (h)(1) gives the Department authority to publish in the *Pennsylvania Bulletin* notices regarding continuing education for EMS providers.

This section states that the Department will publish in the *Pennsylvania Bulletin* a notice regarding the types of instruction that each EMS provider type shall receive to satisfy continuing education requirements. Each EMS provider will be required to complete a certain amount of continuing education credits in clinical patient care and other core continuing education courses.

The number of credits required for each type of EMS provider is set by regulation and the Department will not change that number through notices published in the *Pennsylvania Bulletin*. However, as an EMS provider's scope of practice changes, and this Commonwealth's EMS system evolves through advancements in technology, equipment and supplies, the Department will need the flexibility to specify the subject matter areas or courses meeting requirements for the continuing education credits for each type of EMS provider.

Use of the Pennsylvania Bulletin regarding reciprocity

Section 8113(f)(1) of the EMS System Act gives the Department authority to enter into reciprocity agreements with other states' EMS certifying agencies. These reciprocity agreements will enable the Department to recognize EMS certifications from other states that the Department considers having substantially similar requirements for EMS certification in this Commonwealth.

Section 1023.34(b) (relating to reciprocity) addresses publication of notices by the Department in the *Pennsylvania Bulletin* listing the states in which it has entered into a reciprocity agreement and, for each state, the type of EMS provider covered by the reciprocity agreement. The Department will use publication in the *Pennsylvania Bulletin* to provide notice to the regulated community and out-of-State EMS providers regarding the certifications the Department will recognize through reciprocity.

Use of the Pennsylvania Bulletin regarding EMS agencies

Section 8129(j) of the EMS System Act gives the Department authority to use the *Pennsylvania Bulletin* to publish vehicle construction and equipment and supply requirements for EMS agencies based upon the types of EMS vehicles the EMS agency operates and the services it provides. The section also gives the Department authority to update the notice in the *Pennsylvania Bulletin* as necessary.

Sections 1027.1(b)(6), 1027.3(c), 1027.5(b), 1027.7(c), 1027.39(d), 1027.40(f) and 1027.41(b)(1) address publication of notices by the Department in the *Pennsylvania Bulletin* regarding EMS agencies.

Section 1027.3(c) (relating to licensure and general operating standards) and §§ 1027.1(b)(6) and 1027.7(c) notify EMS agencies that they will be required to abide by notices in the *Pennsylvania Bulletin* concerning EMS vehicles, equipment and supplies. Section 1027.5(b) (relating to medication use, control and security) states that the Department will publish in the *Pennsylvania Bulletin* notices concerning medications that may be used by each type of EMS provider and the types of medication that each type of EMS agency shall have in stock. The notice will also list the medications that may be used by these EMS providers who are authorized to administer medications to patients. As advancements in medications occur, medications authorized for use by these EMS providers will change. Medications also may be removed from the list.

Section 1027.40(f) (relating to air ambulance service) and §§ 1027.39(d) and 1027.41(b)(1) address the skills practiced and equipment used by EMS providers when providing EMS through a critical care transport ambulance service, an air ambulance service or a special operations EMS service. As these types of EMS services require EMS providers with unique and specialized knowledge and training for specific types of patients and events, they will be expected to use skills beyond their standard scopes of practice. The EMS providers staffing these services will be required to complete training for an expanded scope of practice. The Department will publish in the *Pennsylvania Bulletin* notice of the additional equipment and skills that may be used by a properly trained EMS provider with an expanded scope of practice.

As emergency medical services evolve, new and better types of equipment and supplies will be introduced that will enable EMS providers to perform their jobs more effectively. As technology advances, vehicle construction specifications for ambulances and other types of EMS vehicles will be updated. Through publication in the *Pennsylvania Bulletin*, the Department will be able to put these advancements into place quickly. With better equipment, better supplies and updated vehicle specifications, EMS agencies and providers will be able to better serve the citizens of this Commonwealth.

Use of the Pennsylvania Bulletin regarding specialty receiving facilities

Proposed § 1029.21(b) (relating to receiving facilities) stated that the Department would publish in the *Pennsylvania Bulletin* a list of specialty receiving facilities in the areas of trauma, percutaneous coronary intervention, acute strokes, serious burns and other receiving facilities for other patients with special needs as described in the Statewide EMS protocols. This proposed subsection was based on section 8128(b) of the EMS System Act that authorizes the Department to designate facilities by regulation, in addition to those specifically listed in

section 8128(b) of the EMS System Act, to serve as receiving facilities for purposes of serving patients who have special medical needs.

After much consideration, the Department decided to revise § 1029.21(b) permitting designation of types of receiving facilities. The Department deleted language in § 1029.21(b) regarding notices it would publish in the Pennsylvania Bulletin designating specialty receiving facilities for trauma centers patients, percutaneous coronary intervention patients, acute stroke patients, serious burn patients and other receiving facilities appropriate for other patients with special needs as described in the Statewide EMS protocols. Language in § 1029.21(b) addressing the manner in which EMS providers transport patients to receiving facilities under Statewide EMS protocols remains in the final-form rulemaking. The Department will continue to discuss issues surrounding specialty receiving facilities and will, if need be, reintroduce this concept in a future rulemaking.

Use of the Pennsylvania Bulletin and compliance by the regulated community

IRRC requested that the Department explain how the regulated community will know: (1) whether the requirements in the regulations have been amended; (2) how to find those specific publications in the *Pennsylvania Bulletin*; and (3) how the Department will implement changes published in the *Pennsylvania Bulletin* and whether the Department has considered placing changes on its web site.

Response

Publication in the *Pennsylvania Bulletin* of information such as courses that will satisfy continuing education requirements, scope of practice, approved medications, equipment, supplies, EMS PCR changes and reciprocity does not equate to amendment or revision of a regulation. Information that the Department will publish in the *Pennsylvania Bulletin* will enable compliance with the regulatory requirement. EMS providers will have a resource available to them, in the form of Department notices, when reviewing continuing education courses and deciding whether the courses offered will satisfy their requirements for certification and registration.

Since the first EMS regulations were promulgated in 1989, the Department has published notices in the Pennsylvania Bulletin listing approved medications that may be used by paramedics. See former § 1003.24(a)(2)(v) as published at 19 Pa.B. 2858. In situations when there is a drug shortage, the Bureau has updated the drug list by publishing a notice in the Pennsylvania Bulletin which replaces the drug that is in short supply with another drug that is more readily available. By using the Pennsylvania Bulletin, the Bureau was able to react quickly to protect the lives of the patients that EMS providers encounter. The Department has been publishing notices in the Pennsylvania Bulletin concerning the scope of practice for each type of EMS provider since 2000. See 30 Pa.B. 5363. In 2011, the Department revised the scope of practice notice by removing medical anti-shock trousers as an approved treatment, adding transport ventilators for use by ALS providers and carbon monoxide cooximetry monitoring for EMS providers. See 41 Pa.B. 1976 (April 9, 2011). The Department has been publishing notices regarding required ground and air ambulance equipment and supplies since 2000. In 2011, the Department published a notice in the Pennsylvania Bulletin requiring ambulances to have a minimum of two 5-pound unit fire extinguishers to help combat fire-related issues. See 41 Pa.B. 2296 (April 30, 2011).

When the Department prepares to publish a notice in the Pennsylvania Bulletin, it first sends out a draft notice to regional EMS councils for their feedback and comments. After reviewing comments from regional EMS councils, the Bureau makes appropriate revisions. The Bureau then sends an advance copy of the notice to regional EMS councils and the Advisory Board prior to publication in the Pennsylvania Bulletin. When regional EMS councils receive the notice, they post the notice on their web sites and also send the notice to the EMS agencies under their jurisdiction. The Advisory Board also publishes the notice. The Department publishes the notice in the *Pennsylvania Bulletin* and also posts the notice on the Bureau's web site. The Department has used this approach for many years without complaints from the regulated community regarding a lack of advance notice. Since EMS agencies and providers receive advance notice from regional EMS councils prior to publication of the notice in the Pennsylvania Bulletin, EMS agencies and providers are aware of, and can prepare for, upcoming changes. The Bureau ensures that notices are readily available in one place on its web site should interested persons need to review them. Interested persons can access notices by clicking on the link on the Bureau's web site entitled "EMS regulations." That link will take the person to the Bureau's webpage that provides access to the EMS regulations and the notices that the Bureau publishes as they are updated.

In addition, in the notices published in the *Pennsylvania Bulletin*, the Bureau, when practical, will highlight changes to information included in the announcement as compared to information in the previous announcement. By way of example, when the Bureau published its notice at 42 Pa.B. 4229 (July 7, 2012) listing approved drugs for ALS ambulance services, the Bureau identified the changes to the list since the list was last published at 41 Pa.B. 2286 (April 30, 2011).

IRRC comment—Economic impact of the regulations

IRRC commented on the Department's responses to questions 17-20 of the RAF as part of the proposed rulemaking package. IRRC asked the Department to review these responses and, to the best of its ability, provide dollar estimates of the costs or savings, or both, associated with implementation of the regulations.

#### Response

For the final-form rulemaking package, the Department used an updated RAF form and the item numbers in the updated form that IRRC questioned are the following: (19) cost or savings to the regulated community; (20) costs or savings to local governments; (21) cost or savings to the State government; and (23) the fiscal table.

The EMS System Act and the regulations require that EMS agencies have a medical director. The Department anticipates that there will be little economic impact as a result of this requirement because a majority of EMS agencies in this Commonwealth already have a medical director. For approximately the past decade, since the introduction of automatic external defibrillators (AED) at the BLS level in prehospital care, EMS agencies that carried AEDs on their ambulances have been required to have a medical director and, in 2011, ambulances were required to have AEDs. The reason to require a medical director for AED service is to ensure the medical review of the emergency response when the AED unit is placed on a patient.

Therefore, ALS EMS agencies and about 80% of BLS EMS agencies currently have a medical director. Payment

for medical directors varies across this Commonwealth. Most medical directors serve as volunteers, although some medical directors are paid a salary or perform services by means of a contract. Since it is up to EMS agencies to determine what level of involvement they want their EMS agency medical directors to have, the time commitment for the medical director will vary as will the cost to employ the medical director.

The Department has not had, and will not have, a role in approving medical directors or their salaries. Based on information the Department has received from regional EMS councils, the majority of EMS agencies have volunteer agency medical directors and a majority of the EMS agencies that contract for medical directors have parttime medical directors that are paid \$50 to \$85 per hour for approximately 10 to 20 hours of work per month. While a top-salaried medical director earns approximately \$130,000 per year, that medical director has job duties in addition to those of a medical director. These figures have been added to the fiscal table in the RAF.

The Pennsylvania Chapter of the American College of Emergency Physicians offered assistance to regional EMS councils and the Department will assist any service experiencing difficulty retaining a physician. Also, beginning in Fiscal Year 2012-2013, the Department included the cost of employing a medical director, at a maximum of \$10,000 per year, as an allowable reimbursable cost under EMSOF.

There will be additional costs to the regulated community to comply with the requirement that an EMS agency be operational 24 hours a day, 7 days a week. However, this requirement is in the EMS System Act and not created by regulation. Again, the Department is unable to calculate those costs with certainty because they will vary greatly depending on the part of this Commonwealth in which the EMS agency operates. In more densely populated areas, an EMS agency will need a larger staff of EMS providers to be operational 24 hours a day, 7 days a week. In less densely populated areas, an EMS agency may not need a larger staff to meet this requirement, as it may not service as many patients as an EMS agency in a more densely populated area. However, the EMS System Act and final-form § 1027.6 (relating to Statewide EMS response plan) (proposed § 1027.5) provide for exceptions to the full-time operation requirement. The EMS agency also may partner with other EMS providers and enter into a county-level or broader-level EMS response plan under final-form § 1027.6. Either alternative would enable an EMS agency to operate and not incur costs connected with offering service 24 hours a day, 7 days a week.

On average, a basic EMS provider is paid about \$12 per hour and an advanced EMS provider is paid about \$18 per hour. However, the Department cannot calculate staffing costs for an EMS agency because the Department cannot know how many EMS providers an EMS agency will need to meet the requirement that the EMS agency is operational 24 hours a day, 7 days a week.

Dispatch centers, if operated by an EMS agency, will be subject to the Department's regulations that include training and certification requirements for the dispatch center staff. The Department consulted with the Pennsylvania Emergency Management Agency (PEMA) for guidance on training and recertification costs for call-takers and dispatchers. Section 8129(i)(1) of the EMS System Act requires an EMS agency that operates an EMS agency dispatch center to use call-takers and dispatchers who satisfy PEMA's requirements under 35 Pa.C.S.

§ 5303(a)(6) (relating to telecommunications management). The initial emergency medical dispatcher (EMD) certification requires the applicant to be able to read and write at a high school graduate or GED level and complete an approved EMD course in which the applicant completes a written certification exam and obtains a passing score. The EMD course is approximately 24 to 40 hours in length with a cost of approximately \$200, depending on which Nationally-recognized program the EMS agency uses. Once the call-taker or dispatcher passes an EMD course, PEMA will provide the applicant with an additional Commonwealth EMD test. There is not an additional cost to the EMS agency or the applicant for this test. The applicant must also be certified in CPR. To complete a CPR course will cost approximately \$35, depending on which program the EMS agency uses. The Department estimates, however, that fewer than ten EMS agencies will confront these costs as most EMS agencies are using the county dispatch centers for their emergency responses instead of their own EMS agency dispatch centers. These figures have been added to the fiscal table in the RAF.

As for costs or savings to local governments associated with compliance with these regulations, there would not be appreciable additional costs or savings to local government. Some regional EMS councils are a part of county government; however, they will be performing essentially the same work under the EMS System Act and regulations as they have been performing under the prior EMS Act

As for costs or savings to State government associated with implementation of these regulations, there is an increase in costs to the Department associated with its new statutory duty to license and certify EMS providers and other persons and entities involved in the EMS system. Under the EMS System Act and these regulations, the Department is required to issue new certifications and registrations for EMSVOs, EMRs, AEMTs, prehospital physician extenders (PHPE), medical command physicians and medical command facility medical directors. The Department is also required to develop additional patches and decals to recognize the new levels of certification. The estimated cost to the Department is \$1,500 per new type of EMS provider certification, approximately \$6,000 total. The Department will manage this cost within the Bureau's annual budget.

These regulations also will require enhancement to the EMS Registry System (EMSRS) software and Agency Application System (AAS) software. Enhancements to both systems will be combined with required improvements to the EMSRS and AAS to meet National standards for EMS credentialing. System enhancements will be accomplished using one staff person whose salary is funded from Federal grants through the Department's Bureau of Public Health Preparedness.

The Department's disciplinary authority has been expanded under the EMS System Act and it now has the ability to impose civil money penalties. Depending upon the type of entity upon which the civil money penalty is imposed, penalties can range from \$1,000 to \$5,000 per finable violation. Revenue generated based on civil money penalties is estimated to reach \$10,000 per year.

Savings will be realized in the contract or grant award process because the Department will not be required to devote staff time every 3 years to justify sole source contracting with regional EMS councils. Section 8112(l) of the EMS System Act permits the Department to renew a contract or grant with a regional EMS council without

engaging in competitive bidding if the regional EMS council, in performing its duties under the prior grant or contract, demonstrated to the Department's satisfaction its ability and commitment to meet its responsibilities under that grant or contract.

The Department will also save certain costs previously associated with recertification of an EMS provider. Various provisions of the EMS System Act require an applicant for EMS provider or EMSVO certification to report to the Department misdemeanors, felonies and other criminal convictions that are not summary or equivalent offenses, and disciplinary sanctions that have been imposed upon a license, certification or other authorization of the applicant to practice an occupation or profession. An applicant for an EMSVO certification is to report to the Department any other conviction of an offense involving reckless driving, driving under the influence of alcohol or drugs or a conviction that resulted in the suspension of the applicant's driver's license due to the use of drugs or alcohol, or a moving traffic violation. The regulations require the applicant also to arrange for the custodian of the criminal charging, judgment and sentencing document for each conviction and the custodian of an adjudication or other document imposing discipline against the applicant to provide the Department with a certified copy of those records. Requiring the applicant to provide these records will save the Department the cost and time to request and receive the required documents.

The Department will save costs associated with the process under the prior EMS Act permitting EMS providers to appeal to the Department a loss of medical command authorization. The EMS System Act and the regulations require a medical director of an EMS agency to conduct an initial and annual assessment of each EMS provider of the EMS agency at or above the AEMT level, and to determine whether to allow the EMS provider to perform skills at the level at which the provider is certified. Once this credentialing determination, called a medical command authorization decision, is completed, an appeal of the EMS agency medical director's decision to the Department was not authorized. Under the prior EMS Act, an adverse medical command authorization decision could be appealed to the regional EMS medical director. That decision could be appealed to the Department and then to Commonwealth Court. This appeal process imposed costs on the affected EMS provider, the medical director who made the decision, the regional EMS council and the Department. The EMS System Act eliminates that appeal process and the associated costs.

Requests for and Changes to Sections of the Final-Form Rulemaking

Following publication of the proposed rulemaking, the Department realized that sections of the regulations describing BLS squad services, intermediate ALS ambulance services and intermediate ALS squad services which should have been included in Chapter 1027, Subchapter B (relating to EMS agency services) were inadvertently omitted when the proposed rulemaking was submitted for publication. A section describing and listing staffing and other requirements applicable to an intermediate ALS ambulance service has been added in final-form § 1027.34. A section describing and listing staffing and other requirements applicable to a BLS squad service has been added in final-form § 1027.36 (relating to basic life support squad service). A section describing and listing staffing and other requirements applicable to an intermediate ALS squad service has been added in final-form § 1027.37. The other sections in Chapter 1027, Subchapter B were renumbered accordingly.

On its own initiative, the Department simplified § 1027.33(d), final-form § 1027.35(c) and final-form § 1027.38(c) (relating to advanced life support squad service) identifying procedures to be implemented when multiple EMS providers are present at the same scene. The changes were made to ensure consistency with similar language used in other sections in Chapter 1027, Subchapter B.

#### Subpart A. EMS system

This final-form rulemaking amends the heading of Subpart A from "EMS systems" to "EMS system." The Commonwealth's EMS system is one unified Statewide system as opposed to multiple systems.

#### § 1021.2. Definitions

#### Comment

IRRC noted that there were not definitions for "911 system" or "specialty services," as those terms are used in § 1021.24(a)(1)(i) and (ii), respectively. IRRC further noted it believes the addition of definitions for those terms would improve the clarity of the regulations and assist the regulated community with compliance.

# Response

The Department considered this comment and determined that "911 system" and "specialty services" should be deleted from final-form § 1021.24(a)(1)(i) and (ii). Therefore, definitions are not necessary. "Specialty services" was a general term and it was meant to serve as an example of public awareness programs for regional EMS councils for EMSOF funding. The Department also deleted the examples given in this section, "first aid" and "CPR," to make this section more general in scope.

If the Department were to add a definition for "911 system," the Department would likely look to the definition as provided by PEMA in 35 Pa.C.S. §§ 5301—5398 (relating to emergency telephone service). If that definition were incorporated in this regulation, the Department would need to define "enhanced 911 service" and "wireless E-911 system" because those words are included in the definition of "911 system." These terms are not needed as they are not used in the Department's regulations. Therefore, the Department replaced "911 system" in § 1021.24(a)(1)(i) with "call-taking" and "dispatching," terms that are defined in the Department's regulations and deal more closely with the Department's oversight of EMS agency dispatch centers.

#### Comment

IRRC noted that the regulatory definition of "ambulance" differed from the definition of "ambulance" in section 8103 of the EMS System Act. IRRC noted that unlike the statutory definition, the regulatory definition in proposed § 1021.2 (relating to definitions) did not include the term "water vehicle."

#### Response

The Department agrees that the regulatory definition should match the statutory definition. Therefore, the regulatory definition of "ambulance" has been revised to match the statutory definition.

#### Comment

A commentator questioned the definition of "facility," which is defined as "a physical location at which an entity operates a health care facility licensed under Federal or State law." The commentator thought the definition was vague and questioned the reference within the definition to licensure under Federal law, although the commentator

believed that hospitals within the Veteran's Administration might be licensed under Federal law. The commentator was also concerned that the definition could be interpreted to exclude a psychiatric hospital as a receiving facility in an emergency situation, even though a psychiatric hospital could well be the appropriate receiving facility, depending on the nature of the illness of the individual.

#### Response

The Department did not change the definition of "facility" in response to this comment. The definition of "facility" mirrors the definition of "facility" in section 8103 of the EMS System Act. The Department can expand upon a statutory definition in a regulation but cannot change it. The commentator is correct. A Veteran's Administration facility is licensed under Federal law and is, therefore, a "facility" under the EMS System Act. A psychiatric facility is licensed under State law and is, therefore, a "facility" under the EMS System Act. It is also a "receiving facility" because it is "a facility to which an ambulance may transport a patient who requires prompt medical care in addition to that provided by EMS providers who respond to an emergency."

#### Comment

A commentator suggested that "hospital" should be defined in a manner consistent with the Health Care Facilities Act (HCFA) (35 P.S. §§ 448.101—448.904b). The commentator was concerned that while the first sentence of the definition of "hospital" is consistent with the definition for "hospital" in the HCFA, the second sentence of the definition in § 1021.2 is unclear and does not appear to be consistent with the definition of "hospital" in statute or other regulations.

#### Response

The Department did not change the definition of "hospital." The Department compared the definition of "hospital" in the HCFA to the definition of "hospital" in the EMS System Act (as the definition of "hospital" in the EMS System Act forms the basis for the definition of "hospital" in § 1021.2), paying particularly close attention to the second sentence of the definition, per the suggestion of the commentator. In section 8103 of the EMS System Act, the second sentence of the definition for "hospital" states "The term includes a facility for the diagnosis and treatment of disorders within the scope of specific medical specialties. The term does not include a facility caring exclusively for the mentally ill." In section 802.1 of the HCFA (35 P.S. § 448.802a), the second sentence of the definition for "hospital" states "The term includes facilities for the diagnosis and treatment of disorders within the scope of specific medical specialties, but not facilities caring exclusively for the mentally ill." The definitions are virtually identical; therefore, a change to § 1021.2 is not required.

#### Comment

IRRC noted that "medical command" was not defined. As this term is used throughout the regulations, IRRC commented that a definition for this term would improve the clarity of the regulations and assist the regulated community with compliance.

# Response

The Department agrees and added the definition of "medical command" in the final-form rulemaking. The definition is modeled after the definition of "medical command" in former § 1001.2. In addition, the Department deleted the definition of "medical command order"

from the final-form rulemaking. With the addition of the more specific term "medical command," which includes orders given by a medical command physician, "medical command order" was superfluous. References to "medical command order" in the regulations have been replaced with "medical command."

#### Comment

IRRC commented on the Department's proposed definition of "PSAP—public safety answering point." IRRC was concerned that the Department's definition contained substantive requirements that are not appropriate for definitions.

#### Response

The Department agrees with IRRC's comment. The Department revised this definition to mirror the definition in 35 Pa.C.S. § 5302 (relating to definitions).

#### Comment

IRRC commented on the Department's definition of "specialty receiving facility." IRRC requested clarification on the process the Department will use to make this designation and whether this process is in a regulation. IRRC also requested clarification regarding whether a facility would have to request this designation from the Department and how the regulated community would know if a facility had been identified as a "specialty receiving facility."

#### Response

The Department deleted the definition of "specialty receiving facility" because the reference to specialty receiving facilities in § 1029.21(b) was deleted. With the deletion of "specialty receiving facilities" from § 1029.21(b), a definition is no longer required. The Department will continue to consider issues surrounding specialty receiving facilities and will, if necessary, reintroduce this concept and provide a definition in a future rulemaking.

# Department-initiated changes for § 1021.2

The Department added definitions of "ambulance crew" and "EMS vehicle crew" since those terms are used throughout Chapter 1027 (relating to EMS agencies). The only difference between the two terms is that an "ambulance crew" refers to staff of an ambulance only, while the term "EMS vehicle crew" refers to staff of any EMS vehicle.

The Department revised the definition of "EMS—emergency medical services" to mirror the definition in section 8103 of the EMS System Act.

The Department revised the definition of "EMS agency—emergency medical services agency" to include a BLS water ambulance, an intermediate ALS water ambulance, an ALS water ambulance and an EMS agency dispatch center. The Department also reordered the list to match the order of other lists in the regulations.

The Department revised the definition of "EMS vehicle—emergency medical services vehicle" to include a water ambulance.

The Department revised the definition of "medical command facility" to mirror the definition in section 8103 of the EMS System Act.

The Department revised subparagraph (iii) of the definition of "medical coordination" to clarify that medical coordination includes medical command physicians giving medical command to EMS providers. The Department

also revised this definition to ensure parallel construction of each of the numbered clauses.

The Department added a definition of "water ambulance"

§ 1021.41. EMS patient care reports

#### Comment

A commentator noted that § 1021.41 does not require a medication and intervention report to be submitted during the transfer of the patient to the care of the receiving facility and suggested that a report should be required.

# Response

The Department did not change § 1021.41 in response to this comment as the Department requires medication and intervention information to be submitted by the EMS provider to the receiving facility. Section 1021.41 states the Department will specify the types of patient information that are essential for immediate transmission for patient care by publishing a notice in the *Pennsylvania Bulletin*. The authority for publication of certain types of patient information is in section 8106(f) of the EMS System Act.

In the notice published in the Pennsylvania Bulletin currently, the Department requires an ambulance service to provide to the person at the hospital assuming responsibility for the patient the patient information designated in the PCR as essential for patient care, regardless whether the EMS provider is able to provide all of the information solicited by the EMS PCR. A separate medication and intervention report as requested by the commentator is not needed because the report that is already required under § 1021.41 elicits the necessary information. This information includes medication and intervention information such as: procedures; medications given; gender; age; condition code number; chief complaint; chief complaint organ system; primary symptom; provider's primary impression; cause of injury; vehicular injury indicators; first monitored rhythm of the patient; medication allergies; current medications; blood pressure; pulse rate; respiratory rate; medication given; and medication

# Department-initiated changes for § 1021.41

Proposed § 1021.41(a) required the EMS agency to submit an EMS PCR report to the regional EMS council that is assigned responsibility for the region in which the EMS agency initially encounters the patient. In reviewing this section, the Department realized that this requirement would cause problems for EMS agencies that encounter patients outside of the region in which they typically operate. EMS agencies are often asked to treat a patient outside of the region in which they operate because of the unavailability of EMS agencies within a particular region, inadequate staffing or an emergency situation in which multiple EMS agencies are needed to respond, for example. Based on the wording of the proposed subsection, depending on the emergency scenario, an EMS agency would have been required to submit EMS PCR reports to multiple regions, causing undue hardship and unnecessary confusion for EMS agencies. The Department revised this subsection to require EMS PCR reports to be filed with the regional EMS council in which the EMS agency is licensed to operate. This revision maintains the requirements for ambulance services under former § 1001.41(a). While this revision will ease the burden on EMS agencies, the Department recognizes that the regional EMS council

where the patient was initially encountered still has an interest in reviewing EMS PCR reports that were generated by patient care that occurred within that particular EMS region. Therefore, the Department added a second provision to this subsection that allows the regional EMS council where the patient was initially encountered to request a copy of the EMS PCR report from the EMS agency that treated the patient. Regional EMS councils may want to review these reports for quality assurance purposes, training purposes or pursuant to an investigation or complaint request. Requesting the report from the EMS agency is not a requirement and is purely voluntary for the regional EMS council where the patient was initially encountered. Regional EMS councils can decide which EMS PCR reports they need to review.

The Department also revised § 1021.41(d) to clarify that the EMS provider who has assumed primary responsibility for the patient is required to complete an EMS PCR for that patient. As proposed, this subsection required each EMS agency to have a policy for designating which member of the responder crew would be responsible for completing the EMS PCR form. The Department felt that it would be better to have a uniform policy concerning the person who is responsible for filling out the EMS PCR form, as this will eliminate any confusion that may arise as to who should complete the form.

# Department-initiated changes to § 1021.42

The Department revised § 1021.42(a)(6) by deleting the slash mark between "entry" and "retrieval" and by adding "data" before "retrieval."

§ 1021.61. Components of Statewide quality improvement program

# Comment

A commentator suggested that health care facilities, specifically hospitals, should have input in the Statewide EMS quality improvement program. The commentator believed that seeking input from EMS agencies and health care facilities, including hospitals that serve as receiving facilities, is an important part of the Statewide EMS quality improvement program. IRRC noted the commentator's comment in its comments to the Department.

# Response

The Department did not change § 1021.61 (relating to components of Statewide quality improvement program) in response to this comment as the EMS System Act already provides for input from organizations that represent hospital administrators and other health care providers concerned with EMS. Section 1021.61 provides for the Advisory Board to work in conjunction with the Department to identify the necessary components for a Statewide quality improvement program for the Statewide EMS system. The Advisory Board is authorized under section 8108(b)(2) of the EMS System Act (relating to State Advisory Board) to "advise the department concerning manpower and training, communications, EMS agencies, content of regulations, standards and policies promulgated by the department under this chapter and other subjects deemed appropriate by the department." In addition, under section 8108(b)(3) of the EMS System Act the Advisory Board is to "serve as the forum for discussion on the content of the Statewide EMS system plan, or any proposed revisions thereto, and advise the department as to the content of the plan.

The Advisory Board is required under section 8108(a) of the EMS System Act to be geographically representative of the provider organizations that represent EMS providers, firefighters, regional EMS councils, physicians, hospital administrators and other health care providers concerned with EMS. Therefore, hospital administrators and other health care providers have a voice through provider organizations that serve on the Advisory Board and one of the main functions of the Advisory Board is to discuss and propose revisions to the Statewide EMS system plan.

For Fiscal Year 2011-2012, the Board of Directors of the Advisory Board was comprised of several hospital and health care organizations, including representatives for the Hershey Medical Center, the Hospital & Healthcare Association of Pennsylvania, UPMC Presbyterian and York Hospital. See http://www.pehsc.org/board\_members.htm. Hospital and health care organizations play an active role in the development of the Statewide EMS system plan through their representation on the Advisory Board.

§ 1021.62. Regional quality improvement programs Comment

IRRC commented with regard to § 1021.62(1) (relating to regional quality improvement programs), requiring regional EMS councils to conduct quality improvement audits of regional EMS systems. IRRC requested that the Department specify how often these audits shall be conducted.

#### Response

The Department has not changed § 1021.62 in response to this comment. Regional EMS councils shall conduct an audit of the regional EMS systems per the terms of the grants that are entered into between the Department and the individual regional EMS councils. Currently, regional quality improvement committees must meet every 90 days and then have 30 days to submit a report to the Department.

The Department needs the flexibility to be able to negotiate changes to the terms of the grants as circumstances warrant to better protect the general public. This section merely puts entities on notice that quality improvement audits will be required of any regional EMS council. The grant specifies the time frames when the requirements must be met. If the Department were to codify audit requirements, the Department would not be able to act quickly to make changes to the audit process as the Department deems necessary.

#### Comment

IRRC also commented on § 1021.62(5). This paragraph states that regional EMS councils shall submit to the Department reports as prescribed by the Department. IRRC is concerned that this paragraph is vague and that the Department should enhance it or delete it.

#### Response

The Department agrees with this comment and deleted § 1021.62(5). Reporting responsibilities for regional EMS councils are in the grants entered into between the Department and each regional EMS council. Regional EMS councils are apprised by the grant agreement the reports that they are required to submit to the Department and the information that is required to be in those reports. Therefore, the paragraph is not necessary.

§ 1021.82. Requirements

#### Comment

A commentator questioned the requirement in this section requiring trauma centers to have a dedicated

telephone number. The commentator noted that this requirement is already in the trauma center regulations. Response

The commentator did not specify nor cite to the regulations referred to in the comment. Therefore, the Department can only surmise that the commentator is referring to the Pennsylvania Trauma Systems Foundation's (Trauma Foundation) standards for trauma center accreditation. These standards require a formal consultation process, identified by the institution, to ensure appropriate 24-hour telephone consultation for levels I and II adult and pediatric trauma centers. This process must provide access to the appropriate physician, subspecialty or allied health professional and assist with clinical triage or patient transfer, or both, when necessary. See 2012 Standards for Trauma Center Accreditation, Adult or Pediatric Levels I, II, and III at www.ptsf.org.

Section 1021.82(1) (relating to requirements) requires trauma centers to maintain a dedicated telephone number for communication between the trauma center and a transferring hospital. The Department believes that a dedicated telephone number is important to ensure that trauma centers are integrated into the Statewide EMS system. The requirement for a dedicated telephone number for trauma centers has been a part of the EMS regulations since the first set of regulations was promulgated under the prior EMS Act. See 19 Pa.B. 2859, 2860. That a Trauma Foundation standard contains a similar requirement is not a reason to revise the requirement in this regulation. The Department does not have authority to enforce Trauma Foundation standards. Moreover, the Trauma Foundation could revise or eliminate this particular standard. The EMS System Act did not grant the Department the authority to enforce third-party standards so the Department must codify its own standards to effectuate its responsibilities under the EMS System Act.

# § 1021.83. Complaints

#### Comment

Section 1021.83 (relating to complaints) states that the Department will investigate complaints regarding the delivery of services by trauma centers and the Department will forward the results of the investigation to the Trauma Foundation along with a recommendation for action. A commentator was concerned regarding the possibility of duplicative complaint investigations conducted by the Bureau and the Department's Division of Acute and Ambulatory Care that regulates hospitals. IRRC also commented on this section and requested that the Department explain how these investigations will be conducted and whether there is duplication. IRRC further noted that if there is duplication, the Department should explain why the duplication is needed and how the cost of the duplication may be justified.

### Response

Section 1021.83 is promulgated under section 8105(b)(15) of the EMS System Act. Section 8105(b)(15) of the EMS System Act requires the Department to investigate complaints concerning the delivery of services by trauma centers and report the investigation results to the Trauma Foundation. This requirement is not new as there was a similar requirement in former § 1001.83. This requirement was in place since the original EMS regulations were promulgated in 1989 under the prior EMS Act.

While the Bureau and the Division of Acute and Ambulatory Care may have overlapping areas of authority, the functions of the two offices differ. The Bureau's function is to regulate the Commonwealth's EMS system. Therefore, an investigation performed by the Bureau will focus on EMS services and not hospital services. During an investigation, the Bureau will interview EMS providers to determine whether violations of the EMS System Act or regulations occurred. To the extent that the Bureau interviews hospital personnel, the focus of those interviews will be on how EMS was provided by EMS providers. The investigation will not concern hospital personnel not licensed by the Bureau, nor will the Bureau interview hospital personnel to see if there were violations of regulations outside of the Bureau's jurisdiction. However, if in its investigation the Bureau uncovers possible issues outside of its jurisdiction, it will refer those issues to the entity with the appropriate oversight authority.

# § 1021.103. Governing body

#### Comment

IRRC commented on § 1021.103(d) (relating to governing body) that stated that a regional EMS council's governing body must make available to the public its annual report. IRRC sought clarification whether a governing body could satisfy this requirement by placing this annual report on the council's or governing body's web site and, if so, whether the section should be revised accordingly.

#### Response

The Department agrees with this comment and revised this section to provide that a regional EMS council's governing body may meet this requirement by posting the annual report on the regional EMS council's web site no later than 30 days after the end of the fiscal year, which is the same time frame imposed by the grant agreement for regional EMS councils to submit annual reports to the Department.

Department-initiated changes to § 1021.103.

The Department revised § 1021.103(d) to require the governing body to make the annual report available to the Department in hard copy or electronic format. The Department also added a requirement that the annual report shall be provided to the Department and to the public within 30 days after the end of the fiscal year.

# § 1021.104. Responsibilities of regional EMS councils Comment

A commentator questioned whether § 1021.104 (relating to responsibilities of regional EMS councils) requires hospitals to complete an EMS plan.

# Response

The Department agrees that § 1021.104(5), as proposed, was not clear. The Department revised this paragraph to clarify that regional EMS councils are to provide assistance to hospitals, as requested, when the hospital is developing its own emergency care plan under § 117.11 (relating to emergency services plan). Section 117.11 was promulgated by the Department under the HCFA and it requires a hospital to have a comprehensive written plan for emergency care based on community need and the capability of the hospital. In reviewing § 1021.104(5), the Department determined that the language used in the current regulation, with minor revisions, is clearer in scope. In addition, that provision has been in place since the first EMS regulations were promulgated in 1989. Therefore, the Department revised § 1021.104(5) to closely track former § 1001.123(5).

Chapter 1023. Personnel

Comment

IRRC commented on Chapter 1023 (relating to personnel) regarding the roles, responsibilities and minimum qualifications for personnel within the EMS system. Specifically, IRRC noted that some EMS personnel are required to complete an application for certification while others are not. In addition, some personnel are required to complete a triennial renewal of that certification while others are not required to do so. IRRC specifically referenced EMS agency medical directors (§ 1023.1), medical command physicians (§ 1023.2), medical command facility medical directors (§ 1023.3), regional EMS medical directors (§ 1023.4 (relating to regional EMS medical director)) and the Commonwealth EMS Medical Director (§ 1023.5 (relating to Commonwealth EMS Medical Director)). IRRC pointed out that while medical command physicians and medical command facility medical directors are required to complete an application for certification and are subject to triennial registration of their certification, EMS agency medical directors, regional EMS medical directors and the Commonwealth EMS Medical Director are not. IRRC questioned whether the health and safety of the citizens of this Commonwealth are adequately protected without similar requirements for each position.

#### Response

Section 8126 of the EMS System Act requires medical command physicians and medical command facility medical directors to complete an application for certification and be subject to triennial registration of their certification.

Section 8125 of the EMS System Act sets forth the minimum qualifications for an EMS agency medical director but does not require a separate application for the EMS agency medical director nor does it require Department certification. Even though an application process and certification are not required, an EMS agency must identify its EMS agency medical director on its EMS agency license application to ensure that the person meets the qualification in section 8125 of the EMS System Act and § 1023.1. Thus, the Department does have indirect oversight of EMS agency medical directors through the EMS agency licensure process. Finally, the Department can take disciplinary action against an EMS agency if the EMS agency is not staffed by responsible persons and the EMS agency refuses to remove those persons. See section 8142(a)(8) of the EMS System Act (relating to emergency medical services agency license

Section 8109(c)(11) of the EMS System Act (relating to regional emergency medical services councils) mandates that regional EMS councils are to designate a regional EMS medical director. The EMS System Act does not require a separate application for the regional EMS medical director nor does it require Department certification. However, the Department does have indirect control over the regional EMS medical director through the contract or grant that is entered into with the regional EMS council under section 8112 of the EMS System Act. If the regional EMS council employed a regional EMS medical director that does not abide by the requirements under the EMS System Act and the regulations, the Department can choose not to renew the contract or grant with that regional EMS council.

The Commonwealth EMS Medical Director is one person selected and employed by the Department to advise and formulate policy on matters pertaining to emergency medical services. See the definition of "Commonwealth EMS medical director" in section 8103 of the EMS System Act. As an at-will employee of the Department, the Commonwealth EMS Medical Director can be removed outside of the disciplinary process in the EMS System Act for certified EMS providers.

§ 1023.21. General rights and responsibilities Comment

A commentator questioned § 1023.21(b) that requires: (1) an applicant for EMS provider or EMSVO certification to report to the Department misdemeanor, felony and other criminal convictions and disciplinary sanctions that have been imposed on the license or other authorization of the applicant to practice an occupation or profession; and (2) an applicant for EMSVO certification to report a conviction of an offense involving reckless driving or driving under the influence of alcohol or drugs. Section 1023.21(b)(4) imposes an ongoing obligation on both the EMS provider and the EMSVO to report the same information "within 30 days after each conviction, discipline and exclusion." The commentator is concerned that the obligation to report a conviction for reckless driving or driving under the influence of alcohol or drugs applies only to EMS providers that operate EMS ambulance vehicles. The commentator believed the obligation to report should be imposed without regard to the type of vehicle the individual might operate, and that the Department should ensure that operators of QRS, rescue, squad, police and fire vehicles should be held to the same standard.

## Response

The obligation on an EMSVO to make a report regarding convictions for reckless driving, driving under the influence of alcohol or drugs or other conviction that results in the EMSVO having his driver's license suspended applies regardless the type of EMS vehicle the EMSVO operates. The obligation is triggered not by the type of vehicle that the individual operates but certification as an EMSVO. EMSVOs, regardless whether they operate an ambulance, QRS, rescue or squad vehicle or other vehicle, are subject to the requirement to report convictions for reckless driving or driving under the influence of alcohol or drugs or driver's license suspensions due to the use of drugs or alcohol or a moving traffic violation.

§ 1023.31. Continuing education requirements Comment

IRRC commented on § 1023.31(a) and noted that, unlike the continuing education sections pertaining to EMS providers, this subsection fails to specify subject areas appropriate for continuing education for EMSVOs. Response

The Department agrees with this comment and made revisions to § 1023.31(a) to reflect that an EMSVO shall complete continuing education requirements in subjects regarding effective driving of a ground EMS vehicle.

§ 1023.51. Certified EMS instructors

Commen

A commentator questioned § 1023.51(a)(3). Specifically, the commentator questioned why the educational requirements for certified EMS instructors in § 1023.51(a)(3) differ from the requirements in section 8124(a)(3) of the EMS System Act. Under proposed § 1023.51(a)(3), a certified EMS instructor was required to successfully

complete an EMS instructor's course approved by the Department or possess, at a minimum, a bachelor's degree in education or a teacher's certification in education. Under section 8124(a)(3) of the EMS System Act, however, a certified EMS instructor can also have a doctorate or master's degree. IRRC noted the commentator's comment in its comments to the Department. IRRC sought clarification for the Department's reason for deviating from the EMS System Act and whether an individual with a doctorate or master's degree would satisfy the requirements regarding certified EMS instructors. Response

The Department agrees with IRRC and the commentator. The Department revised § 1023.51(a)(3) to mirror the language in section 8124(a)(3) of the EMS System Act.

Department-initiated changes to Chapter 1023

In § 1023.1(a)(1)(vi), the reference in the second sentence to "this paragraph" has been changed to "this subparagraph."

The Department revised § 1023.2 to clarify that, in addition to documenting patient information and medical command orders when they receive calls from EMS providers for assistance, medical command physicians also are required to document patient information and medical command orders when the medical command physician is providing medical command while actually at the scene of patient care. The change made to this section does not change the requirements for EMS providers to complete EMS PCR reports as required under § 1021.41.

The Department revised § 1023.21(b) to include the requirement that EMSVOs shall report to the Department a driver's license suspension due to the use of drugs or alcohol or from a moving traffic violation. This addition mirrors section 8122(a)(7)(ii) of the EMS System Act.

The Department added \$ 1023.21(c)(6) to mirror section 8113(e)(6) of the EMS System Act.

The Department revised \$1023.21(e)(2) by replacing "later" with "more" to clarify the requirement. The Department revised \$1023.21(e)(3) by replacing "and" with "or" to clarify the requirement.

The Department revised § 1023.21(h) to clarify that EMSVOs operate "ground EMS vehicles" and not "EMS vehicles." The definition of EMSVOs specifies that the Department licenses individuals to operate ground EMS vehicles, which is further defined in section 8103 of the EMS System Act. An "EMS vehicle" is defined to include ground EMS vehicles, water ambulances; and air ambulances. While the Department licenses water ambulances and air ambulances, it does not license the drivers of those vehicles. For the same reason, the Department revised the reference in § 1023.22(d)(5) of "EMS vehicles" to "ground EMS vehicles."

The Department revised § 1023.22(d)(3) to clarify the requirements an EMSVO shall meet when his EMSVO registration expires. The Department added language to distinguish the requirements for securing a new registration of EMSVO certification within 2 years of the registration's expiration and those for securing a new registration when more than 2 years have passed since the registration expired. For new registrations sought more than 2 years after the registration expired, the Department deleted the requirement that the EMSVO complete continuing education requirements due to the fact that the emergency vehicle operator course that the EMSVO is required to take will provide the needed education for the

EMSVO. The statutory authority to promulgate this regulation is section 8122(b)(4) of the EMS System Act.

The Department revised the order in which EMS providers are addressed in the regulations to coincide with the order in which they are addressed in the EMS System Act. PHRNs are addressed under final-form § 1023.28 (relating to prehospital registered nurse) and PHPEs are addressed under final-form § 1023.29 (relating to prehospital physician extender). The Department also revised the order PHRNs and PHPEs are addressed for purposes of continuing education. PHRNs are addressed under final-form § 1023.31(f) and PHPEs are addressed under final-form § 1023.31(g).

The Department changed the heading of § 1023.31(a) from "EMS vehicles operators" to "EMSVOs" and the heading of § 1023.31(f) from "prehospital registered nurses" to "PHRNs." The Department made these changes to maintain continuity in the manner of referencing EMS provider types and EMS vehicle operators by using their abbreviated titles.

The Department added § 1023.31(j) regarding the proration of continuing education requirements for EMS providers on a 2-year registration cycle, including those that also have an EMSVO certification. The statutory authority to promulgate this regulation is in sections 8113(n)(2) and  $8122(\bar{b})(3)$  of the EMS System Act. Those sections require the Department to prorate the continuing education requirements of an EMS provider (on a 2-year registration cycle) for the period following the EMS provider's first registration of certification. The Department added the language applicable to all EMS providers on a 2-year registration cycle in § 1023.31(j) and deleted portions of § 1023.31(d)(1), (e)(1), (f)(1), (g)(1) and (h)(1) that dealt with the same subject. The Department also revised § 1023.31(f)(1), (g)(1) and (h)(1) regarding the 27 hours of continuing education that a PHRN, PHPE and PHP shall fulfill in clinical patient care and other core continuing education courses to ensure consistency among the regulations addressing core continuing education

The Department revised § 1023.32(a) (relating to credit for continuing education) to clarify that EMS providers and EMSVOs may not receive additional continuing education credit for repeating a course in the same registration cycle and that continuing education credits in excess of the amount required in a given registration cycle will not carry over from one registration cycle to the next. The statutory authority to add this language is in section 8113(d) of the EMS System Act, which gives the Department authority to coordinate the education of EMS providers.

The Department revised § 1023.52. After consulting with the State Fire Commissioner, the Department learned that the State Fire Commissioner no longer offers a course for a "basic rescue practices technician." Therefore, the Department deleted proposed subsection (a) and the definition of "basic rescue practices technician" in § 1021.2. A "basic vehicle rescue technician" is now called a "vehicle rescue technician." The Department made this change in §§ 1021.2 and 1023.52. The Department renumbered this section accordingly.

Chapter 1025. Education

Department-initiated changes to § 1025.1

Following publication of the proposed rulemaking, the Department noted an omission in § 1025.1(b)(1) (relating to accreditation and operational requirements of EMS educational institutes). The Department intended to per-

mit educational institutes that are accredited by the Department to offer BLS educational courses to offer educational courses for AEMTs. In the proposed rule-making, however, only ALS educational institutes were permitted to offer courses for AEMTs. The Department revised § 1025.1(b)(1) to permit BLS educational institutes to offer educational courses for EMRs, EMTs and AEMTs. In addition, the Department revised § 1025.1(b)(2) to permit ALS educational institutes to offer any educational course listed under § 1025.1(b)(1) in addition to education courses for paramedics.

Following publication of the proposed rulemaking, the Department noted that the operating procedures imposed on a EMS educational institute, including, among other things, the requirement that the institute adopt and implement a nondiscrimination policy and maintain a file on each enrolled student in § 1025.1(l) were not in logical order, thus making the section potentially confusing. Therefore, the Department reordered the operating procedures in subsection (l). The contents of each paragraph remain unchanged except for the addition of language to paragraph (10) to clarify that a student is required to complete an update to the form that is specified in paragraph (8).

§ 1025.22. Responsibilities of continuing education sponsors

#### Comment

IRRC commented that the provision in § 1025.22(f) (relating to responsibilities of continuing education sponsors) requiring continuing education sponsors to retain records for "at least" 4 years from the presentation of the course is vague.

# Response

The Department agrees with this comment and revised this section to replace "at least" with "a minimum of." The Department also revised this section to clarify that the 4 years run from the completion of the course, not the presentation of the course.

Chapter 1027. EMS agencies

Department-initiated changes to Chapter 1027

After adding "water ambulance" to the definition of "ambulance" in  $\S\S$  1021.2 and 1027.1, the Department determined that a section within Chapter 1027 was needed to address the regulation of water ambulances. The Department added § 1027.42 regarding water ambulance services. Similar to ground ambulance services, water ambulance services can be licensed as BLS, intermediate ALS or ALS water ambulance services. Therefore, as stated in subsection (c), final-form §§ 1027.33— 1027.35 apply to those types of water ambulances, except for the requirement regarding EMSVOs. The Department also noted in § 1027.42(b) that water ambulance services are subject to any regulation regarding ambulances, EMS agencies and EMS vehicles except when specifically exempted. The Department included § 1027.42(b) so the regulated community would understand that there may be other provisions within the regulations that apply to water ambulance services in addition to those referenced in § 1027.42(c).

# § 1027.1. General provisions

# Comment

A commentator requested clarification regarding the requirement for providing EMS service 24 hours a day, 7 days a week in § 1027.1(b)(3). The commentator suggested adding a definition with criteria that include

response time requirements that can be used to determine compliance. The commentator noted that without criteria that include a minimum response time, the requirement for EMS service 24 hours a day, 7 days a week has no impact on the system and compliance cannot be determined.

# Response

The Department did not change to § 1027.1 in response to this comment. Section 1027.1(b)(3) provides that an applicant for an EMS agency license must meet staffing standards for the vehicles that it seeks to operate and the services that it seeks to provide. The EMS agency shall also provide EMS services 24 hours a day, 7 days a week, unless the EMS agency participates in a county-level or broader-level EMS response plan approved by the Department or one of the exceptions in final-form § 1027.6 (proposed § 1027.5) applies. The requirements do not include a minimum response time.

The Department is not able to set minimum response times for EMS agencies. This Commonwealth as a whole is very diverse in geography. This Commonwealth has both urban and rural areas. It also has densely populated areas and sparsely populated areas. The Department could not adequately dictate to each municipality in this Commonwealth the mandatory response time for each city, town and borough. A response time adequate for one municipality may not be adequate for another. The Department believes that it is best left up to each municipality to determine the appropriate response times for EMS services within their boundaries.

Department-initiated changes to § 1027.1

The Department added BLS water ambulance service, intermediate ALS water ambulance service, ALS water ambulance service and EMS agency dispatch center to the types of EMS services requiring licensure under § 1027.1(a).

The Department revised the term "intermediate squad service" used in the proposed rulemaking. The term should have read "intermediate ALS squad service" as set forth in § 1021.2.

The Department revised § 1027.1(e)(2) to reflect that ambulance services and QRSs may continue to operate as an ambulance service or a QRS without an EMS agency medical director until April 10, 2014. In the proposed rulemaking, the Department stated that ambulance services and QRSs could continue to operate under the prior EMS Act. The prior EMS Act has been repealed, so the Department does not have authority to provide for this. However, the EMS System Act provides that the finalform regulations for EMS agencies do not take effect for 180 days after the publication date of this final-form rulemaking. Therefore, the current regulations pertaining to ambulance services and QRSs will remain in effect for 180 days after the publication date of this final-form rulemaking.

§ 1027.3. Licensure and general operating standards

Comment

A commentator suggested that municipalities, not public safety answering points (PSAP), should set response times for EMS providers to be en route to an EMS call.

#### Response

The Department did not change § 1027.3 in response to this comment. Section 1027.3(g)(2) does not give PSAPs the authority to set response times. It merely requires an EMS agency to communicate with the PSAP if it is going

to be delayed or otherwise cannot provide the requested level of service after receiving a dispatch call from the PSAP

The Department neither regulates nor empowers PSAPs. The authority to regulate PSAPs is vested in PEMA. However, the Department does recognize that PSAPs are the entities that dispatch EMS agency services either because the PSAP has the authority to make the dispatch decision or because it implements dispatch protocols that have been prescribed by some other entity. In general, a PSAP dispatches an EMS agency to an EMS call. If the PSAP does not get a response from the EMS agency in a certain amount of time, the PSAP may call the EMS agency again or it may dispatch another EMS agency. Municipalities are not precluded, however, from setting their own prescribed dispatch times and relaying this information to the appropriate PSAPs.

As stated, this section merely requires an EMS agency to inform the PSAP that it is unable to respond to a call or will be delayed. The PSAP needs to know if the EMS agency will be delayed, or that it does not have the equipment needed to respond, so that the PSAP is able to dispatch another EMS agency to the call.

# Comment

A commentator suggested that the Department should include language in  $\S 1027.3(g)(4)$  to permit municipal-based EMS agencies to reserve resources for response within its community unless otherwise agreed to by the municipality and approved by the Department. The commentator argued that as written this section requires a municipality to use municipal resources to serve a surrounding area even if there is not an agreement among municipalities specifying the terms and conditions for the provision of services.

### Response

The Department has not changed § 1027.3 in response to this comment. Section 1027.3(g) requires EMS agencies to communicate with PSAPs regarding unavailability, delayed responses and response to calls for emergency assistance as dispatched by a PSAP. Section 1027.3(g)(4) does not permit an EMS agency to refuse to respond to a dispatch based upon a desire to keep some portion of its resources in reserve. The requirements under § 1027.3(g) are similar in scope to former § 1005.10(e).

The Department does not mandate that a particular entity agree to cover set geographic areas. When an entity applies for a license to become an EMS agency, the entity shall list the municipalities it wishes to serve. See § 1027.2(a)(6) (relating to license and registration applications). Therefore, it is up to the EMS agency to determine the areas of this Commonwealth it will cover. PSAPs are made aware of the municipalities that each EMS agency has elected to cover. This enables the PSAP to know which EMS agencies are available when an emergency arises and allows the PSAPs to effectively dispatch EMS agencies from neighboring municipalities when coverage is needed.

An EMS agency has multiple options regarding the municipalities it will cover. If an EMS agency cannot cover a neighboring municipality on a given night, for example, subsection (g)(1) requires the EMS agency to communicate with the PSAP concerning its unavailability. However, if the EMS agency has not communicated its unavailability, the EMS agency shall respond to a PSAP dispatch provided the EMS agency is able.

An EMS agency has the option to revise the scope of its EMS agency license with the Department if it no longer wishes to serve a particular municipality. The EMS agency will be removed from the list of EMS agencies serving the area and PSAPs may not call the EMS agency to respond to calls in that municipality.

#### Comment

A commentator questioned proposed § 1027.3(h) setting forth requirements for an EMS agency that operates its own EMS agency dispatch center. The commentator is concerned that by having EMS agency dispatch centers, the 911 system could get fragmented and this would be contrary to efficient emergency medical service delivery. The commentator suggests that the 911 system should be used for emergency calls and that an alternative to 911 should only be encouraged for nonemergency services. Response

The Department has not made a change to § 1027.3(h) (final-form § 1027.4) in response to this comment. The Department's authority to promulgate regulations addressing EMS agency dispatch centers comes from section 8129(i) of the EMS System Act. Under section 8129(i) of the EMS System Act, an EMS agency can elect to operate an EMS agency dispatch center and calls for EMS may be made to the EMS agency dispatch center instead of dialing 911. For the first time, EMS agency dispatch centers will be regulated by the Department as part of an EMS agency's license.

Limiting the calls made to an EMS agency dispatch center to calls in nonemergency situations is not possible because there is not a clear distinction between what is, and what is not, an emergency. What is considered an emergency to one person may be considered a nonemergency to another person. The Department cannot make a distinction between the two terms and expect an individual to determine whether he should call a 911 center or an EMS agency dispatch center. Patient safety would be at risk if the Department were to attempt to make the distinction in the regulation.

# Comment

IRRC asked the Department to clarify its statutory authority to require an EMS agency to bear the costs associated with the training, certification and recertification of the EMS agency's dispatch center's call-takers and dispatchers. IRRC also questioned how the training, certification and recertification of an EMS agency dispatch center's call-takers and dispatchers will be implemented.

#### Response

It is not a requirement that an EMS agency operate its own dispatch center. A dispatch center operated by an EMS agency is voluntary, as there is already a 911 system in place that can be used. However, if an EMS agency chooses to operate its own dispatch center, the EMS agency or its employees shall pay the costs of training, certifying and recertifying the employees. If an EMS agency chooses to operate a dispatch center separate from the 911 system, it shall ensure compliance with applicable standards and sufficient resources to cover the costs of running this service.

An EMS agency's dispatch center will be a part of the EMS agency license, and thus subject to discipline under section 8142 of the EMS System Act. The Department has coordinated with PEMA for PEMA to certify call-takers and dispatchers under PEMA's authority in 35 Pa.C.S. § 5303(a)(6). The Department drafted final-form § 1027.4 to allow time for PEMA to fully develop the training requirements for EMS agencies and to give EMS agencies

the time to come into compliance with this section. Section 1027.4(a) provides that an EMS agency that chooses to operate its own dispatch center has until October 13, 2015, to use call-takers and dispatchers certified by PEMA. In addition, final-form § 1027.4(c)(2) provides that EMS agencies have until July 9, 2014, to use the EMD program employed by the emergency communications center of the county in which the EMS agency dispatch center is located. In sum, these effective dates give EMS agencies 9 months from the effective date of the final-form rulemaking in which to begin using the same dispatch program used by the county in which the EMS agency dispatch center is located and 2 years from the effective date of the final-form rulemaking in which to use call-takers and dispatchers that are certified by PEMA.

#### Comment

IRRC asked the Department to explain the anticipated cost to the entire EMS agency community for training, certification and recertification of an EMS agency dispatch center's call-takers and dispatchers.

# Response

In responding to this comment, the Department consulted with PEMA regarding certification pre-requisites and costs of training call-takers and dispatchers. The initial EMD certification requires the applicant to be able to read and write at a high school graduate or GED level and complete an approved EMD course in which the applicant must complete a written certification exam and obtain a passing score. Once the applicant passes an EMD course, PEMA will provide the applicant with an additional Commonwealth EMD test. This test is completed on the current learning management system at no additional cost to the EMS agency or the applicant. The applicant must also be certified in CPR.

The EMD course is approximately 24 to 40 hours in length with a cost of approximately \$200, depending on which Nationally-recognized program the EMS agency uses. The EMS agency or applicant would also bear the cost for the applicant to complete a CPR course with a cost of approximately \$35, depending on which program the EMS agency uses. The Department estimates that these requirements will affect fewer than ten EMS agencies currently, since most EMS agencies are using the county dispatch center for their emergency responses instead of their own EMS agency dispatch center.

#### Comment

IRRC commented on proposed § 1027.3(h)(5), which referenced and required compliance with PEMA's regulations in 4 Pa. Code §§ 120d.104 and 120d.105 (relating to time frames and procedures for quality assurance reviews; and quality assurance review standards) and stated that "PEMA," "911 communications centers" and "remote dispatch points" were replaced with "Department" and "EMS agency dispatch centers," as appropriate. Section 120d.104 of 4 Pa. Code sets forth the requirements for quality assurance reviews for 911 communication centers. Section 120d.105 of 4 Pa. Code sets forth the quality assurance standards for call-takers and dispatchers that work at the 911 communication centers. IRRC felt that the regulated community would be better served if the Department incorporated the provisions of 4 Pa. Code §§ 120d.104 and 120d.105 within the EMS regulations rather than incorporating them by reference.

# The Department agrees with this comment and revised § 1027.3 accordingly. Due to the breadth and scope of

PEMA's requirements, the Department decided to separate EMS agency dispatch centers from § 1027.3 and added final-form § 1027.4. Because of this decision, proposed §§ 1027.4—1027.13 have been renumbered as finalform §§ 1027.5—1027.14. In addition, the Department decided to not insert PEMA's requirements in the regulation word-for-word but instead use them as a guideline. The Department made this decision partly because EMS agency dispatch centers do not operate exactly like 911 communication centers. Under final-form § 1027.4, EMS agencies that wish to operate an EMS agency dispatch center will be required to meet certain standards for call-taking and dispatching. Section 1027.4(c)(3) and (4) provides minimum requirements that EMS agency dispatch centers shall meet when developing their calltaking and dispatching standards that are to be based on Nationally-accepted EMD standards. The Department will not dictate which Nationally-accepted EMS standards an EMS agency dispatch center shall use in developing standards, as there are several, including those from the National Highway Traffic Safety Administration, the Association of Public Safety Communications Officials, the National Academy of Emergency Medical Dispatch and PowerPhone, Inc., among others.

As with the proposed regulation, final-form § 1027.4(c)(2) requires EMS agency dispatch centers to use the EMD program used by the emergency communications center of the county in which the EMS agency dispatch center is located. This requirement will ensure coordination between the EMS agency dispatch center and the county communications center, particularly in the scenario when the EMS agency dispatch center refers a call for EMS to the 911 communications center because the EMS agency does not have the resources to adequately address the call. If they use the same EMD program, the EMS agency dispatch center and the county communications center will use the same computer program, verbiage and classification system for EMS calls, thus lessening the possibility of confusion between the two agencies.

Section 1027.4(c)(6) sets forth the qualifications and duties of an EMS agency dispatch center's quality assurance reviewer. The quality assurance reviewer shall ensure that an EMS agency dispatch center is adhering to its own standards and protocols as well as the Department's regulations. The quality assurance reviewer will do this in part by conducting quality assurance reviews of the dispatch center's call-takers and dispatchers.

As a result of these revisions, the Department was required to add several new definitions to § 1021.2. The Department added definitions of "call-taker," "call-taking," "dispatcher," "dispatching," "emergency medical dispatch," "emergency dispatch calls," "emergency medical dispatch protocols," "performance appraisal," "quality assurance action," "quality assurance review," "quality assurance reviewer," "radio activity" and "standard operating procedures." These definitions are based in part on the definitions used by PEMA in 4 Pa. Code § 120d.102 (relating to definitions) and revised as needed for EMS agency dispatch centers. The Department also revised the definition of "EMS agency dispatch center" to reiterate that finalform § 1027.4 does not apply to entities certified by PEMA under 35 Pa.C.S. §§ 5301—5398. PEMA licenses county and municipal 911 centers. The Department will be licensing EMS agency's that wish to operate EMS agency dispatch centers.

The Department also added a requirement under finalform § 1027.4(c)(9) that EMS agencies ensure that persons are not denied access to EMS because of their inability or limited ability to communicate in the English language, including hearing impaired and deaf persons. This provision is derived from Title VI of the Civil Rights Act of 1964 (42 U.S.C.A. §§ 2000d—2000d-7), which applies to access to Federally-assisted and Federallyconducted programs and activities. The Department is expanding this requirement to apply to all EMS agency dispatch centers, regardless whether they receive Federal funding or Federal payments through Medicare or Medicaid. Finally, after the addition of final-form § 1027.4 addressing EMS agency dispatch centers, the Department found it necessary to revise § 1027.3(l) regarding an EMS agency's quality improvement committee. Section 1027.3(1) requires that an EMS agency that operates an EMS agency dispatch center must require the quality improvement committee to be responsible for the quality improvement of the EMS agency dispatch center. This section also requires the quality improvement committee to participate in the county PSAP quality assurance process. These revisions were incorporated to ensure committee oversight of EMS agency dispatch centers and also ensure that the EMS agency quality improvement committees will work closely with the county PSAP quality assurance process to improve communications and interactions between the EMS agency and the county.

# Department-initiated changes to § 1027.3

The Department revised § 1027.3(d) to reflect legislation that was enacted by the General Assembly after publication of the proposed rulemaking. The Child Labor Act (43 P.S. §§ 40.1—40.14) repealed the Child Labor Law (43 P.S. §§ 41—66.1). The Department recognized this change in the regulation.

As proposed, § 1027.3(f) stated that "an EMS agency shall also provide the Department with advance notice, 30 days if possible, of any change in its management personnel to include as a new member of its management team a person who has reported to it information required under this subsection." This wording was taken from former § 1005.10(d)(3). In reviewing the language following the proposed rulemaking, the Department determined that use of "if possible" made the provision difficult to enforce and a potential longer-term issue for the Department and EMS agencies. Therefore, the Department revised this sentence to require EMS agencies to provide the Department with notice of any change in its management personnel at least 30 days in advance.

Final-form § 1027.3(i) (proposed § 1027.3(j)) addresses the use of lights and sirens by EMS vehicles. The Department determined that the subsection as proposed was difficult to understand and required EMS providers to decide whether the use lights and a siren would enable the provider to get a patient to a proper facility in less time. The Department substituted language similar to § 1005.10(g), which was in place since 2000. Since former § 1005.10 was in effect since 2000, EMS providers are already familiar with its requirements. Further, former § 1005.10 was more straightforward as it only required EMS providers to determine that a patient presents, or in good faith is perceived to present, a combination of circumstances resulting in a need for immediate medical intervention and that the need for immediate medical intervention is beyond the capabilities of the ambulance crew using available supplies and equipment and, if so, the EMS provider may use lights and a siren.

§ 1027.6. Statewide EMS response plan

Comment

A commentator suggested that the Department should revise proposed § 1027.5 (final-form § 1027.6), permitting an exception to the requirement that an EMS agency operate 24 hours a day, 7 days a week if the EMS agency operates in accordance with a county-level or broader-level EMS response plan approved by the Department. The commentator requested that municipalities also should approve county-level or broader-level response plans, particularly if a municipality will be expected to contribute resources because an EMS agency in the other municipality does not operate 24 hours a day, 7 days a week. In addition, the commentator believed that the regulation should specify that approved response plans must provide EMS 24 hours a day, 7 days a week in all areas covered by the plan.

#### Response

The Department has not made changes to the proposed section in response to this comment. The municipality is responsible for ensuring that EMS and fire services are provided within the municipality. Nonetheless, the Department will be reviewing the feasibility of any county-level or broader-level EMS response plan and welcomes input from the effected municipalities. The Department does have the authority to reject a county-level or broader-level response plan if it determines that it is not in the public interest to approve a plan.

As for the suggestion that the Department should specify that an approved plan must provide for EMS 24 hours a day, 7 days a week in all areas covered by the plan, a change is not required as this is already a requirement. Section 1027.1(b)(3) already requires coverage by each EMS agency 24 hours a day, 7 days a week unless the EMS agency is a part of a county-level or broader-level EMS response plan, unless it operates exclusively as an air ambulance service, or in certain situations when it is operating as a tactical EMS service. Under a county-level or broader-level EMS response plan, the EMS agencies that make up this plan will not all be required to provide EMS 24 hours a day, 7 days a week. However, the plan as a whole must provide for coverage around the clock under its response plan. If a response plan submitted for Department approval does not ensure EMS 24 hours a day, 7 days a week within the area that the response plan covers, the Department could not approve the plan because it does not meet statutory and regulatory requirements.

# Department-initiated changes to § 1027.6.

The Department revised final-form § 1027.6(1) to include water ambulances under the exception to the rule that an EMS agency operate 24 hours a day, 7 days a week. Water ambulances, particularly during winter, do not operate 24 hours a day, 7 days a week, yet the proposed regulation did not provide for this exception. The Department addressed this omission.

The Department added final-form § 1027.6(4) to provide that an EMS agency that operates an intermediate ALS ambulance service may operate it less than 24 hours a day, 7 days a week so long as the EMS agency operates a BLS ambulance service or an ALS ambulance service at the same location through which it is licensed to provide the intermediate ALS ambulance service. This exception was added because for purposes of dispatching, a BLS ambulance or an ALS ambulance will be dispatched first because those types of ambulance services will be able to handle most EMS situations. Thus, requiring an EMS

agency also to operate an intermediate ALS ambulance 24 hours a day, 7 days a week could present an unnecessary financial burden for EMS agencies. However, if the EMS agency only operates an intermediate ALS ambulance service, or if it maintains its BLS or ALS ambulances at a separate location from its intermediate ALS ambulance, then it will be required to operate the intermediate ALS ambulance 24 hours a day, 7 days a week.

#### Department-initiated changes to § 1027.11

Following publication of the proposed rulemaking, the Department noted that the first sentence of final-form § 1027.11(a) (relating to plan of correction) (proposed § 1027.10) should reference "the act or this subpart," not "the act or this chapter." The Department made the necessary revision.

# Department-initiated changes to § 1027.31

The Department revised § 1027.31(6) (relating to general standards for providing EMS) to provide that an EMS vehicle seeking assistance in attending to the needs of a patient may contact a PSAP or its own EMS agency dispatch center for additional assistance.

# Department-initiated changes to § 1027.32

The Department revised § 1027.32(c) (relating to quick response service) to include the term "intermediate ALS squad vehicle."

# Department-initiated changes to § 1027.33

The Department revised § 1027.33(a) and (b) and deleted § 1027.33(c)(2) regarding standards that a BLS ambulance service must meet when operating at the AEMT service level. To operate at the AEMT level of care, the EMS agency must meet the requirements for an intermediate ALS ambulance service under final-form § 1027.34. The statutory authority to make this revision is section 8129(l) of the EMS System Act, which authorizes the Department to change staffing standards for ambulances through regulation.

# § 1027.35. Advanced life support ambulance service Comment

A commentator questioned the minimum staffing requirements in final-form § 1027.35(b) (proposed § 1027.34). When an ALS crew is responding to a call to provide EMS to a patient who requires EMS above the skill level of an AEMT, the minimum staffing requirement is an EMSVO, a provider at or above the EMT level, and a provider above the AEMT level. The alternative is a two-person crew so long as the EMSVO is also one of the EMS providers and an EMS provider above the AEMT level is available to attend to the patient during patient transport. The commentator observed that a rural ambulance service cannot utilize an EMR to drive the ambulance for an ALS response and cannot use an EMSVO to drive the ambulance unless the EMSVO is at or above the EMT level. The commentator is concerned that the staffing requirements limit the use of EMRs and the use of an EMSVO who is not trained at or above the EMT level.

# Response

While the Department understands staffing issues may be a challenge to EMS agencies generally, the Department cannot promulgate a regulation contrary to the EMS System Act. The Department followed the parameters in the EMS System Act when it promulgated final-form § 1027.35(b). While the Department may change staffing standards under section 8129(l) of the EMS System Act, the Department has chosen not to do so at this time.

The Department notes that section 8140 of the EMS System Act (relating to conditional temporary licenses) authorizes the Department to issue a conditional temporary license to an EMS agency that is unable to provide service 24 hours a day, 7 days a week. An EMS agency can seek a conditional temporary license due to its inability to meet staffing standards at all times or its inability to participate in a county-level or broader-level emergency response plan approved by the Department. Upon approval of the conditional temporary license by the Department, the EMS agency can operate under a conditional temporary license for up to one year. The conditional temporary license may be renewed as many times as the Department determines that it is in the public interest to do so.

# Department-initiated changes to § 1027.35.

Final-form § 1027.35(b) (proposed § 1027.34) was meant to mirror section 8130(b)(1) of the EMS System Act (relating to advanced life support ambulances) and to set forth the minimum staffing requirements for an ALS ambulance crew when responding to a call to provide EMS to a patient who requires EMS "above the skill level of an AEMT." Following publication of the proposed rulemaking, the Department noted that the reference to "above the skill level of an EMT" in final-form § 1027.35(b) was incorrect and the reference should have been to "above the skill level of an AEMT" per section 8130(b)(1) of the EMS System Act. The Department made this change.

The Department revised final-form § 1027.35(d) (proposed § 1027.34) and renumbered it as final-form § 1027.35(e) to clarify that an ALS ambulance service needs to meet the standards in § 1027.33 when responding to a call for a patient who requires EMS below the AEMT level of care. While section 8130(b)(2) of the EMS System Act only requires an ALS ambulance service to meet the minimum staffing requirements for a BLS ambulance when responding to a call that requires EMS at or below the AEMT level of care, section 8129(l) of the EMS System Act authorizes the Department by regulation to revise minimum staffing standards for ALS ambulance services. While a BLS ambulance service can employ an AEMT if it wishes to respond to patients who require EMS at the skill level of an AEMT, it is only required to staff its BLS ambulance with EMS providers at the EMR and EMT levels. Due to this minimum staffing requirement, the Department did not want to have a gap in EMS service if an ALS ambulance service responded to a patient who needed EMS at the skill level of an AEMT with only an EMR and an EMT. This circumstance would then require the PSAP or EMS agency dispatch center to dispatch another unit to care for the patient, thus delaying proper care to the patient.

To provide for those instances in which an ALS ambulance service responds to a patient who requires EMS at the skill level of an AEMT, the Department added final-form § 1027.35(d), which requires an ALS ambulance service to meet the standards in final-form § 1027.34.

# Department-initiated changes to $\S$ 1027.38

The Department revised final-form § 1027.38(a) to incorporate language that mirrors section 8132(a) of the EMS System Act (relating to advanced life support squad vehicles) regarding the purpose of an ALS squad service.

Department-initiated changes to §§ 1027.39, 1027.40 and 1027.41

Following publication of the proposed rulemaking, the Department noted that the language in final-form §§ 1027.39(d), 1027.40(f) and 1027.41(b)(1) concerning expanded scopes of practice differed. This was not the intention of the Department. Therefore, the Department revised these sections to make the language, and the interpretation of requirements, consistent.

# § 1027.41. Special operations EMS services

#### Comment

Under § 1027.41, EMS agencies shall meet minimum staffing requirements and staff members shall complete an educational program approved by the Department. An EMS agency will have to show that its EMS providers have the requisite training for the EMS agency to be able to offer special operations EMS services. A commentator requested that the Department consider using the Nationally-recognized American Safety & Health Institute's wilderness medicine curriculum as one of the approved courses to certify wilderness EMS responders in this Commonwealth.

#### Response

As licensure of special operations EMS services is new to the EMS system in this Commonwealth, the Department is still considering various programs through which EMS agencies may offer special operations EMS services. The Department will consider the curriculum suggested by the commentator, as well as other curriculum, for special operations EMS services. The Department is willing to meet with interested stakeholders to implement educational requirements and standards for special operations EMS services. The Department will publicize approved courses for special operations EMS services in the Pennsylvania Bulletin and on the Bureau's web site.

#### Comment

A commentator questioned whether ski patrollers who provide EMS services in this Commonwealth are subject to the regulations, in particular § 1027.41.

# Response

The Department determined prior to publication of the proposed rulemaking not to impose regulatory requirements on ski patrol EMS services. Regulation of ski patrol services was not included in the final-form rulemaking submitted by the Department. Meanwhile, the Department will continue to monitor ski patrol services in this Commonwealth and work with interested stakeholders to establish a voluntary program in which ski patrol EMS services may participate.

# Department-initiated changes to § 1027.41

Following publication of the proposed rulemaking, the Department noted that it referenced an incorrect subsection of the regulation. The reference in § 1027.41 should be to § 1027.3(j), not § 1027.3(h).

Chapter 1029. Medical command facilities and receiving facilities

§ 1029.21. Receiving facilities

# Comment

Section 8128(b) of the EMS System Act authorizes the Department by regulation to recognize other types of facilities to serve as receiving facilities for purposes of serving patients who have special medical needs. Under this statutory authority, the Department proposed

§ 1029.21(b). Proposed § 1029.21(b) stated that the Department would publish a list in the *Pennsylvania Bulletin* of receiving facilities specializing in trauma, percutaneous coronary intervention, acute strokes and serious burns, and receiving facilities appropriate for other patients with special needs as described in the Statewide EMS protocols.

IRRC and another commentator commented on this section. The commentator was concerned that listing specialty receiving facilities through a notice in the *Pennsylvania Bulletin* would give the Department authority to determine where patients are transported without providing the criteria through regulation upon which the Department's decisions would be made. IRRC wanted clarification on the Department's specific statutory authority to establish, maintain and update a list of specialty receiving facilities by means of publication in the *Pennsylvania Bulletin*. IRRC also requested that the Department consider adding provisions to the regulation explaining the process that will be used to update the list and how the affected parties will have the opportunity to comment on any contemplated changes.

## Response

The Department decided to delete the text in § 1029.21(b) concerning the Department's establishment of a list of specialty receiving facilities. The Department will continue to discuss this matter with interested parties and may address this issue in a future rule-making.

Department-initiated changes to § 1029.21

Proposed § 1029.21 contained a provision mandating that a receiving facility had to be a fixed location. However, following publication of the proposed rule-making and a review of section 8128(b) of the EMS System Act, the Department noted that while section 8128(b) of the EMS System Act requires a receiving facility to have a fixed location, a receiving facility can have temporary locations so long as it has at least one fixed location. Therefore, the Department revised § 1029.21(a) to comply with section 8128(b) of the EMS System Act.

Chapter 1031. Complaints, disciplinary actions, adjudications and appeals

#### Comment

IRRC recognized the Department's authority to discipline EMS providers, EMSVOs and EMS agencies. However, IRRC questioned the Department's statutory authority for imposing discipline on the other entities in Chapter 1031 (relating to complaints, disciplinary actions, adjudications and appeals). In addition, IRRC questioned the Department's statutory authority under §§ 1031.6 and 1031.9 (relating to temporary suspension of EMS provider and EMS vehicle operator certifications; and automatic suspension for incapacity). IRRC's comment addressed §§ 1031.6—1031.9 and 1031.11—1031.16.

#### Response

The Department's statutory authority to promulgate \$\\$ 1031.6—1031.9 and 1031.11—1031.16 permitting disciplinary sanctions against certain EMS personnel derives from specific sections of the EMS System Act.

Section 8123(a) of the EMS System Act (relating to suspension of certification) authorizes the Department to temporarily suspend an EMS provider's or EMSVO's certification without a hearing if the Department deter-

mines that the person is a clear and immediate danger to the public health and safety. Section 1031.6 is promulgated under this statutory authority.

Section 8124(d) of the EMS System Act authorizes the Department to impose discipline against an EMS instructor under specified circumstances. If discipline is authorized, section 8124(e) of the EMS System Act empowers the Department to impose certain types of discipline against EMS instructors. Section 1031.7 (relating to discipline of EMS instructors) is promulgated under this statutory authority.

Section 8126(h) of the EMS System Act authorizes the Department to impose discipline against a medical command physician or a medical command facility medical director under specified circumstances. If discipline is authorized, section 8126(i) of the EMS System Act empowers the Department to impose certain types of discipline against medical command physicians and medical command facility medical directors. Section 1031.8 (relating to discipline of medical command physicians and medical command facility medical directors) is promulgated under this statutory authority.

Section 8123(b) of the EMS System Act authorizes the Department to automatically suspend a certification issued under the EMS System Act if the EMS provider has been adjudicated as incapacitated under 20 Pa.C.S. § 5511 (relating to petition and hearing; independent evaluation). Section 8123(b) of the EMS System Act also authorizes the Department to lift the suspension upon the person establishing to the Department that the person has been adjudicated to have regained capacity under 20 Pa.C.S. § 5517 (relating to adjudication of capacity and modification of existing orders). Section 1031.9 is promulgated under this statutory authority.

Section 8127(g) of the EMS System Act authorizes the Department to impose discipline against a medical command facility under specified circumstances. If discipline is authorized, section 8127(h) of the EMS System Act empowers the Department to impose certain types of discipline against medical command facilities. Section 1031.11 (relating to discipline of medical command facilities) is promulgated under this statutory authority.

Section 8113(d)(4) of the EMS System Act authorizes the Department to impose discipline against an EMS educational institute under specified circumstances. If discipline is authorized, that section also empowers the Department to impose certain types of discipline against EMS educational institutes. Section 1031.12 (relating to discipline of EMS educational institutes) is promulgated under this statutory authority.

Section 8113(d)(4) of the EMS System Act authorizes the Department to impose discipline against a provider of EMS continuing education under specified circumstances. If discipline is authorized, that section also empowers the Department to impose certain types of discipline against providers of EMS continuing education. Section 1031.13 (relating to discipline of providers of EMS continuing education) is promulgated under this statutory authority.

Section 8156(c) of the EMS System Act (relating to penalties) authorizes the Department to impose a civil money penalty against a person who owns or operates an EMS agency in this Commonwealth without having a license to operate that EMS agency. Further, that section also authorizes the Department to impose a civil money penalty against a person who provides EMS without an EMS provider's certification or other legal authority to provide EMS. Section 1031.14 (relating to civil money

penalty for practicing without a license or certification) is promulgated under this statutory authority.

Section 8106(f) of the EMS System Act authorizes the Department to impose a civil money penalty against a vendor of EMS PCR software under specified circumstances. Section 1031.15 (relating to discipline of vendors of EMS PCR software) is promulgated under this statutory authority.

Section 8129(f) of the EMS System Act authorizes the Department to deny, withdraw or condition approval to an entity to provide management services for an EMS agency under specified circumstances. Section 1031.16 (relating to discipline of management companies) is promulgated under this statutory authority.

# $\S$ 1031.1. Administrative and appellate procedure

#### Comment

IRRC commented on proposed § 1031.1(b) (relating to administrative and appellate procedure) regarding rules that supplement 1 Pa. Code Part II (relating to General Rules of Administrative Practice and Procedure) (GRAPP). To assist the regulated community with compliance, IRRC recommended that the Department include cross references to show which GRAPP provisions are being supplemented.

#### Response

After further consideration, the Department deleted proposed § 1031.1(b) from the final-form rulemaking. The Department will conduct formal proceedings under GRAPP, except when otherwise indicated in the regulations. To accommodate this revision, the Department has renumbered proposed subsection (c) as final-form subsection (b).

# § 1031.2. Complaints and investigations

#### Comment

A commentator was concerned about the language in § 1031.2 (relating to complaints and investigations), specifically, subsection (a). Section 1031.2(a) states that a person may file a complaint with the Department for a violation of the EMS System Act or the regulations by an individual or entity that is regulated by the Department under the act or this subpart or by an individual or entity believed to have provided EMS or other care under the EMS System Act or regulations without the proper license, certification or authorization to do so.

The commentator was concerned that this section could be broadly construed and could subject hospitals or other entities to multiple complaint investigations initiated by different bureaus within the Department. The commentator suggested that § 1031.2 should state that the Department's authority is to investigate complaints regarding EMS providers. The commentator suggested that the Department should clarify how complaints are investigated.

# Response

The Department did not change this section in response to this comment. The wording of this section, which is modeled after section 8105(a)(14) of the EMS System Act, was purposeful to make plain the Department's investigative authority not only in circumstances when the applicability of the EMS System Act and its regulations are clear but also in circumstances when an individual or entity may be providing EMS without authorization to do so. The broad language that the commentator has pointed out, which is also in the EMS System Act, is necessary to give the Department the authority to levy civil money

penalties against an individual or entities that are engaged in EMS without a proper license or certification. See section 8156 of the EMS System Act. In addition, section 8156 of the EMS System Act provides for criminal penalties against individuals or entities that provide EMS without the proper license or certification. Therefore, the regulation cannot be limited to just EMS providers, as the Department has the authority to investigate possible violations against unlicensed entities or uncertified persons providing EMS without the authority to do so.

The Department cannot specify investigation procedures in its regulations because doing so could hamper investigations. Each incident lends itself to a different type of investigation and different procedures. The Bureau works with other entities, both within the Department and outside of the Department, in situations when jurisdictions may overlap. The Bureau's focus will be on the EMS System Act and its regulations. If the Bureau were to find possible violations outside of the scope of its authority, it will refer those issues to the appropriate office or agency.

# Department-initiated changes to § 1031.2

The Department revised the first sentence in § 1031.2(a) to read "subpart" instead of "part." The Department revised the heading of § 1031.2(c) to read "status of complaint," not "status of complainant."

The Department revised § 1031.2(d) to clarify that it will not provide the name of a complainant to the person or entity being investigated, except under limited circumstances. The Department will withhold the complainant's name even if the Department determines that it will notify the subject of the complaint that a complaint was received. The Department added this section out of concern that complainants may not come forward or may fear for their personal safety if their names are not kept confidential. Withholding the name of the complainant also comports with section 708(b)(17) of the Right-to-Know Law (65 P. S. § 67.708(b)(17)), regarding exceptions to public records, which precludes access to records regarding an agency's noncriminal investigation, including complaints submitted to an agency.

The Department also revised § 1031.2(e) to clarify that it will not provide to the complainant communications between the Department and a person involved in the investigation, including the subject of the complaint. This includes the results of the investigation, except when disclosure of the results of the investigation is required by law. As with the revision to subsection (d), the revision to subsection (e) comports with section 708(b)(17)(vi)(A) of the Right-to-Know Law, which exempts access to a record that would reveal the "institution, progress or result of an agency investigation, except the imposition of a fine or civil penalty, the suspension, modification or revocation of a license, permit, registration, certification or similar authorization issued by an agency..."

# Department-initiated changes to § 1031.3

The Department revised § 1031.3(b) (relating to discipline of EMS providers) to clarify that it has the authority to impose one or more disciplinary sanctions against an EMS provider. The Department has this statutory authority under section 8121(d) of the EMS System Act (relating to certification sanctions).

# Department-initiated changes to § 1031.4

The Department revised § 1031.4 (relating to petition for certification after revocation) to mirror section 8121(d) of the EMS System Act. The Department wanted to

clarify that a person who applies for reinstatement is actually applying for a new certification as opposed to reinstatement of a certification that had been previously revoked. The Department also revised the heading to this section to clarify that a person is filing a petition for certification after their original certification has been revoked

#### Department-initiated changes to § 1031.6

The Department revised § 1031.6 to mirror the language used in section 8123(a) of the EMS System Act. Specifically, the Department replaced "emergency" with "temporary."

# Department-initiated changes to § 1031.7

The Department revised § 1031.7(b) to clarify that it has the authority to impose one or more disciplinary sanctions against an EMS instructor. The Department has statutory authority under section 8124(e) of the EMS System Act.

#### Department-initiated changes to § 1031.8

The Department revised § 1031.8(b) to clarify that it has the authority to impose one or more disciplinary sanctions against a medical command physician or a medical command facility medical director. The Department has statutory authority under section 8126(i) of the EMS System Act.

# Department-initiated changes to § 1031.10

The Department revised the heading of § 1031.10(a) (relating to discipline of EMS agencies) to "grounds for discipline" to mirror the headings of the other sections of Chapter 1031 addressing discipline. The Department revised § 1031.10(b) to clarify that it has the authority to impose one or more disciplinary sanctions against an EMS agency. The Department has statutory authority under section 8142(b) of the EMS System Act.

# Department-initiated changes to § 1031.11

The Department revised the heading of § 1031.11(a) to "grounds for discipline" to mirror the headings of the other sections of Chapter 1031 addressing discipline. The Department revised § 1031.11(b) to clarify that it has the authority to impose one or more disciplinary sanctions against a medical command facility. The Department has statutory authority under section 8127(h) of the EMS System Act.

### Department-initiated changes to § 1031.12

The Department revised this section to follow the wording of section 8113(d) of the EMS System Act regarding the grounds for discipline and the types of discipline the Department may seek against EMS educational institutes.

# Department-initiated changes to § 1031.13

The Department revised § 1031.13 to follow the wording in section 8113(d) of the EMS System Act regarding the grounds for discipline and the types of discipline the Department may seek against EMS continuing education sponsors.

# Chapter 1033. Special event EMS

#### Comment

IRRC requested clarification on how Chapter 1033 (relating to special event EMS) will be administered in conjunction with final-form § 1027.41(e) (proposed § 1027.38(e)), regarding mass-gathering EMS service.

Response

The focus of mass-gathering EMS services, which are a part of special operations EMS services under final-form § 1027.41, is the actual mass-gathering, such as a concert or a sporting event. By contrast, special event EMS deals with issues beyond the actual event. For example, special event EMS may include planning for the event and traffic flow issues for the event. Mass-gathering EMS and special event EMS are needed in combination to protect the health and safety of the citizens of this Commonwealth. At a music concert, for example, mass-gathering EMS would focus on the music concert and the people attending the concert and ensuring the availability of EMS to those persons who might require it. Special event EMS, on the other hand, would focus on the broader EMS issues, including the traffic flow patterns and other traffic safety issues should the need arise to evacuate the music concert because of an emergency situation.

Mass-gathering EMS services require a license to operate as a special operations EMS service under section 8136 of the EMS System Act. Special event EMS services under a special event EMS plan are optional under § 1033.1(a) (relating to special event EMS planning requirements) and those entities who opt to prepare a plan and submit it to the Department for approval do not need a specific special event EMS license. An entity that is responsible for the management and administration of a special event may submit a special event EMS plan to the Department if the entity needs assistance in developing the plan. An entity that opts to submit a plan for approval shall comply with the special event EMS regulations and follow the final plan that is approved by the Department.

# C. Fiscal Impact

# Regulated community

There could be additional costs to some EMS agencies because of the requirement that EMS agencies operate 24 hours a day, 7 days a week. However, the requirement that EMS agencies operate 24 hours a day, 7 days a week is imposed by the EMS System Act, not the regulations. Permission to operate less than 24 hours a day, 7 days a week requires a determination by the Department that operating less than 24 hours a day, 7 days a week is not contrary to the public interest. The costs incurred by those EMS agencies that are operating other than on a full-time basis will be less than those EMS agencies operating on a full-time basis.

EMS agencies are required to have a medical director. Currently, all ALS operations and about 80% of the BLS operations have a medical director. There may be additional costs to EMS agencies that do not currently have a medical director. Once again, this requirement is imposed by the EMS System Act, not the regulations, and costs will vary depending upon several factors. These factors include whether the medical director will be paid or serve on a voluntary basis and whether the medical director will be overseeing a densely populated urban area or a less populated rural area. EMS agencies in the more densely populated areas of this Commonwealth likely will have to pay more for their medical directors than EMS agencies in less densely populated areas.

Based on information the Department received from regional EMS councils, the majority of EMS agencies have volunteer agency medical directors and a majority of the EMS agencies that contract for a medical director have a part-time medical director that is paid \$50 to \$85 per hour for approximately 10 to 20 hours of work per

month. While the top-salaried medical director earns approximately \$130,000 per year, the medical director has job duties in addition to those as medical director.

Regulation of EMS agency dispatch centers, per the EMS System Act and the regulations, is new. The Department consulted with PEMA for guidance on training and recertification costs for call-takers and dispatchers for EMS agency dispatch centers, as PEMA oversees training of call-takers and dispatchers for 911 dispatch centers and will certify call-takers and dispatchers for EMS agency dispatch centers as required under section 8129(i)(1) of the EMS System Act. The initial EMD certification requires the applicant to be able to read and write at a high school graduate or GED level and enroll in an approved EMD course, complete a written certification exam and obtain a passing score. Once the applicant passes an EMD course, PEMA will provide the applicant with an additional Commonwealth EMD test. This test is completed at no additional cost to the EMS agency or the applicant. The EMD course is approximately 24 to 40 hours in length with a cost of approximately \$200, depending on which Nationally-recognized program the EMS agency uses. The applicant also must be certified in CPR. The EMS agency or applicant would also bear the cost for the applicant to complete a CPR course with a cost of approximately \$35, depending on which program the EMS agency uses. The Department estimates that these requirements will affect fewer than ten EMS agencies currently, since most EMS agencies are using the county dispatch center for their emergency responses instead of their own EMS agency dispatch center. Certification costs will be paid for by either the EMS agency or the applicant as part of his work requirements.

#### Local government

There will be neither significant additional costs nor savings to local governments because the changes as a result of the regulations do not affect local governments. Although some of the regional EMS councils are a unit of a county government, regional EMS councils will continue to operate as they have been under the current regulations. In addition, while some local governments have their own EMS providers, those providers will be performing essentially the same work under the EMS System Act and the regulations that they are currently performing. If a local government chooses to add more EMS providers or expand the scope of EMS that it provides, that decision will be made by the local government and will not be one imposed by the EMS System Act or the regulations.

# State government

There will be an increase in costs to the Department associated with its duty to license and certify EMS providers and other persons and entities involved in the EMS system. However, these additional costs are imposed by the EMS System Act, not the regulations. There are new costs associated with issuing certifications and registrations for EMSVOs, EMRs, AEMTs, PHPEs, medical command physicians and medical command facility medical directors. Issuance of certifications and registrations will require the development of additional patches and decals to recognize the new levels of certification at an estimated cost of \$1,500 per new type of EMS provider certification, approximately \$6,000 total. The Department will manage this cost within the Bureau's annual budget.

There will be a need for enhancement to the EMSRS software and AAS software. In the process of making enhancements required under the regulations, the De-

partment will make required improvements to the EMSRS and AAS to meet National standards for EMS credentialing. The work will be accomplished by one staff position funded from Federal grants through the Department's Bureau of Public Health Preparedness.

The Department's disciplinary authority has been expanded under the EMS System Act to include the ability to impose civil money penalties and this authority has been addressed in the final-form rulemaking. Depending upon the type of entity against which a civil money penalty is imposed, fines can be \$1,000 to \$5,000 per violation. When the provisions for civil money penalties take effect, civil money penalties could generate at least \$10,000 per year for EMSOF.

Additional State savings will be realized in the contract and grant award process because the Department will not be required to devote staff time to justify sole source contracting with regional EMS councils when those grants expire every 3 years. Section 8112(l) of the EMS System Act provides that the Department may renew a contract or grant with a regional EMS council without engaging in competitive bidding if the Department determines that the regional EMS council has met its responsibilities under the grant or contract.

Various provisions of the EMS System Act require an applicant for EMS provider or EMSVO certification to report to the Department misdemeanor, felony and other criminal convictions that are not summary or equivalent offenses and disciplinary sanctions that have been imposed upon a license, certification or other authorization of the applicant to practice an occupation or profession. An applicant for EMSVO certification is to report to the Department any other conviction of an offense involving reckless driving or driving under the influence of alcohol or drugs, or a conviction that results in a driver's license suspension due to drugs or alcohol or a moving traffic violation. The regulations require the applicant to arrange for the custodian of the criminal charging, judgment and sentencing documents for each conviction and the custodian of adjudications or other documents imposing discipline against the applicant to provide the Department with a certified copy of those records. Self-reporting will save the Department the cost and time in requesting and receiving the required documents to review in deciding whether to grant, deny or impose conditions on a certification.

The EMS System Act, as well as the regulations, requires the medical director of an EMS agency to conduct an initial and annual assessment of each EMS provider of the EMS agency at or above the AEMT level to determine whether to allow the EMS provider to provide EMS at the skill level at which the provider is certified. Once this assessment is completed and a decision rendered, there is no right of appeal to the Department as there was previously under the prior EMS Act. The elimination of the administrative appeal process to the Department provides a cost savings for the Department, but that savings is difficult to calculate.

# D. Paperwork Requirements

There are paperwork requirements under the regulations that were not imposed by the prior EMS Act. The regulations foster the use of electronic transmission of documents. In addition, there are changes regarding the submission of paper documents.

Section 1021.41(a) requires EMS agencies to prepare and submit an EMS PCR form for each call for assistance to which the agency responds. Under the prior EMS Act,

an EMS provider was required to complete and submit the EMS PCR to the receiving facility within 24 hours. Under the final-form rulemaking, the EMS provider is to submit the completed EMS PCR to the receiving facility within 72 hours after the EMS agency concluded patient care. EMS agencies that paid staff an overtime rate to complete the full EMS PCR within 24 hours will realize a cost savings.

Section 1021.41(c) requires an EMS agency transporting a patient to a receiving facility to verbally, and in writing or by other means, report to the individual at the receiving facility assuming responsibility for the patient the patient information that is essential for immediate transmission for patient care. Transfer of patient information, verbally and in writing, must occur prior to the ambulance departing from the receiving facility. The Department will publish a notice in the *Pennsylvania Bulletin* specifying the types of essential patient care information that shall be transmitted to the receiving facility at the time of patient delivery. This patient transfer document is not new, as it has been developed and employed in a pilot project to address the transfer of a patient to a higher level of care.

Paperwork responsibilities associated with special operations EMS services will be different from those for standard EMS services. Under § 1027.41, an EMS agency that offers special operations EMS will need to maintain a log of every patient encounter, but the documentation form for the patient may differ, depending on the level of EMS provided to the patient. The special operations EMS agency will only be required to complete a full EMS PCR for a patient transported by ambulance if the patient receives EMS at a level that exceeds the scope of practice of an EMT. Otherwise, the agency will complete the less extensive written transfer of care form referenced in § 1021.41(c). If the special operations EMS agency does not transport the patient, it will need to complete an EMS PCR only for a patient who refuses EMS or dies while under the care of the special operations EMS service.

#### E. Statutory Authority

The Department derives its authority to promulgate the final-form rulemaking from the EMS System Act. In addition, section 7 of Act 37 provides that the EMS System Act shall be liberally construed to authorize the Department to promulgate regulations to carry out the EMS System Act. Section 7 of Act 37 also states that the absence of express authority to adopt regulations in a provision of the EMS System Act may not be construed to preclude the Department from adopting a rulemaking to carry out that provision. Further, section 2102(g) of The Administrative Code of 1929 (71 P. S. § 532(g)) provides the Department with general authority to promulgate its regulations.

Specific sections of the EMS System Act also authorize the promulgation of regulations. Section 8103 the EMS System Act defines a few terms in a manner that expressly permits the Department to expand the definition by regulation. The terms "EMS agency—emergency medical services agency" and "EMS provider—emergency medical services provider" are defined in a manner to permit the Department to expand the scope of those definitions by regulation.

Section 8105 of the EMS System Act includes several provisions allowing the Department to carry out responsibilities by adopting regulations. Subsection (b)(2) authorizes the Department to establish by regulation standards

and criteria governing the awarding and administration of contracts and grants by the Department for the initiation, maintenance and improvement of regional EMS systems. Subsection (b)(4) empowers the Department to collect, under the Department's regulations, information about patients admitted to various facilities. Subsection (b)(11) authorizes the Department to promulgate regulations to establish standards and criteria for EMS systems.

Section 8106 of the EMS System Act authorizes the Department to promulgate regulations concerning EMS PCR information that an EMS agency must submit to the Department or a regional EMS council.

Sections 8113 of the EMS System Act authorizes the Department to develop standards through regulation for the accreditation and reaccreditation of EMS educational institutes, for the approval of continuing education courses, for the accreditation of entities that provide continuing education courses and for taking and passing EMS provider certification examinations. This section prescribes the form or process for EMS provider certification through regulation. This section also permits the Department to change those standards through regulation.

Sections 8114—8120 of the EMS System Act, pertaining to the certification and registration requirements for the various types of EMS providers and the scope of their practice, authorize the Department to expand the functions of each type of EMS provider through regulation. These sections also prescribe the application process for EMS provider certification and recertification through regulation.

Section 8122 of the EMS System Act contains provisions similar to those in sections 8113—8120 of the EMS System Act that authorize the Department through regulation to prescribe the manner in which applications for EMSVO certification and registration of the certification are to be submitted and requirements for registering a certification after the registration has expired through regulation.

Section 8124 of the EMS System Act authorizes the Department to adopt regulations to set standards for EMS instructors in providing instruction in EMS educational institutes.

Section 8125 of the EMS System Act authorizes the Department to prescribe the roles and responsibilities of an EMS agency medical director by regulation.

Section 8126 of the EMS System Act authorizes the Department to prescribe the process that applications for certification and registration of the certification for medical command physicians and facility medical directors are to be submitted.

Section 8127 of the EMS System Act authorizes the Department to prescribe the process that applications for certification and registration for certification for medical command facilities are to be submitted. This section also authorizes the Department to promulgate by regulation requirements, in addition to those in this section, for a medical command facility to ensure that it operates in an effective and efficient manner to achieve the purposes for which it is certified.

Section 8128 of the EMS System Act authorizes the Department, through regulations, to establish parameters for special facilities to receive patients transported by ambulance who have special medical needs.

Section 8129 of the EMS System Act authorizes the Department to prescribe the process that EMS agency certifications and registration of those certifications are to be submitted. This section also authorizes the Department to specify by regulation other vehicles and services the operation of which will require an EMS agency license. This section provides that the Department may, by regulation, establish other criteria an applicant for an EMS agency license shall demonstrate its EMS agency medical director satisfies based upon the types of EMS vehicles the applicant is applying to operate and the types of services it is applying to provide. This section also authorizes the Department to specify types of EMS vehicles that must display a Department-issued inspection sticker as prescribed by the Department by regulation and further provides that the Department, by regulation, may require other types of EMS vehicles to display a Department-issued inspection sticker. Section 8129 of the EMS System Act also authorizes the Department to promulgate regulations to revise the staffing standards for EMS agencies that are designated in sections 8130— 8135 of the EMS System Act. Finally, this section authorizes the Department to promulgate regulations setting forth requirements for EMS agencies in the Commonwealth based upon the types of EMS vehicles they operate and the services they provide.

Section 8136 of the EMS System Act authorizes the Department to promulgate regulations to provide for specific types of special operations teams. This section permits the Department, by regulation, to prescribe additional training and expertise requirements for the EMS agency medical director and the EMS providers who staff a special operations EMS service. This section authorizes the Department to employ regulations to establish staffing, equipment, supply and other requirements for special operations EMS services.

Section 8138 of the EMS System Act authorizes the Department to promulgate regulations to establish EMS vehicle and service standards for EMS vehicles and services not specified in the EMS System Act.

#### F. Effective Date/Sunset Date

Several of the final-form regulations will go into effect when published in the *Pennsylvania Bulletin*. Other regulatory sections, including the statutory provisions to which they relate, will not go into effect until 180 days after the final-form rulemaking is published. Under section 9(1) of Act 37, sections 8113(a), (c), (d) and (n), 8114—8120, 8122, 8129—8138 and 8140—8142 of the EMS System Act will be effective on April 10, 2014. Sections 1023.22—1023.32, 1023.52, 1025.1—1025.3, 1025.21—1025.23, 1027.1—1027.13, 1027.31—1027.42, 1027.52, 1031.5, 1031.10, 1031.12, 1031.13 and 1031.16 will be effective on April 10, 2014.

A sunset date will not be imposed. The Department will monitor the regulations to ensure that they meet EMS needs that are within the scope of the Department's authority to address through regulations.

#### G. Regulatory Review

Under section 5(a) of the Regulatory Review Act (71 P. S. § 745.5(a)), on October 11, 2011, the Department submitted a copy of the notice of proposed rulemaking, published at 41 Pa.B. 5865, to IRRC and the Chairpersons of the Senate Committee on Public Health and Welfare and the House Committee on Veterans Affairs and Emergency Preparedness for review and comment.

Under section 5(c) of the Regulatory Review Act, IRRC and the House and Senate Committees were provided

with copies of the comments received during the public comment period, as well as other documents when requested. In preparing the final-form rulemaking, the Department has considered all comments from IRRC, the House and Senate Committees and the public.

Under section 5.1(j.2) of the Regulatory Review Act (71 P. S. § 745.5a(j.2)), on August 21, 2013, the final-form rulemaking was deemed approved by the House and Senate Committees. Under section 5.1(e) of the Regulatory Review Act, IRRC met on August 22, 2013, and approved the final-form rulemaking.

#### H. Contact Person

Questions regarding this final-form rulemaking may be submitted to Richard L. Gibbons, Director, Bureau of Emergency Medical Services, Department of Health, Room 606, Health and Welfare Building, 625 Forster Street, Harrisburg, PA 17120-0701. Persons with disabilities who require an alternative format of this final-form rulemaking (for example, large print, Braille or audiotape) should contact Richard Gibbons at the previously listed address or (717) 787-8740 to make the necessary arrangements. Speech or hearing impaired persons may use VTT at (717) 783-6514 or the Pennsylvania AT&T Relay Service at (800) 654-5984 (TT).

#### I. Findings

The Department finds that:

- (1) Public notice of the intention to adopt the regulations adopted by this order has been given under sections 201 and 202 of the act of July 31, 1968 (P. L. 769, No. 240) (45 P. S. §§ 1201 and 1202), known as the Commonwealth Documents Law, and the regulations thereunder, 1 Pa. Code §§ 7.1 and 7.2.
- (2) A public comment period was provided as required by law and the comments were considered.
- (3) The adoption of the regulations in the manner provided by this order is necessary and appropriate for the administration of the authorizing statutes.

#### J. Order

The Department, acting under the authorizing statutes, orders that:

(a) The regulations of the Department, 28 Pa. Code, are amended by deleting  $\S$  1001.1—1001.7, 1001.21—1001.28, 1001.41, 1001.42, 1001.61, 1001.62, 1001.65, 1001.81—1001.84, 1001.101, 1001.121—1001.125, 1001.141—1001.143, 1001.161, 1003.1—1003.5, 1003.21—1003.23, 1003.23a, 1003.24, 1003.25a, 1003.25b, 1003.26—1003.34, 1005.1, 1005.2, 1005.2a, 1005.3—1005.7, 1005.7a, 1005.8—1005.15, 1007.1, 1007.2, 1007.7, 1007.8, 1009.1, 1009.2, 1009.4—1009.6, 1011.1, 1011.3, 1011.4, 1013.1—1013.8, 1015.1 and 1015.2 and by adding  $\S$  1021.1—1021.8, 1021.21—1021.28, 1021.41—1021.43, 1021.61—1021.64, 1021.81—1021.83, 1021.101—1021.104, 1021.121—1021.123, 1021.141, 1023.1—1023.5, 1023.21—1023.34, 1023.51, 1023.52, 1025.1—1025.3, 1025.21—1025.23, 1027.1—1027.14, 1027.31—1027.42, 1027.51, 1027.52, 1029.1—1029.6, 1029.21, 1031.1—1031.16 and 1033.1—1033.7 to read as set forth in Annex A.

(*Editor's Note*: Final-form §§ 1027.4, 1027.34, 1027.36, 1027.37 and 1027.42 were not included in the proposed rulemaking published at 41 Pa.B. 5865. Accordingly, final-form §§ 1027.5—1027.14, 1027.35 and 1027.38—1027.41 were renumbered from the proposed rulemaking.)

(b) The Secretary shall submit this order and Annex A to the Office of General Counsel and the Office of Attorney General for approval as required by law.

- (c) The Secretary shall submit this order and Annex A to IRRC, the Senate Committee on Public Health and Welfare and the House Committee on Veterans Affairs and Emergency Preparedness for their review and action as required by law.
- (d) The Secretary shall certify this order and Annex A and deposit them with the Legislative Reference Bureau as required by law.
  - (e) This final-form rulemaking takes effect as follows:

The rescission of §§ 1001.1—1001.7, 1001.21—1001.28, 1001.41, 1001.42, 1001.61, 1001.62, 1001.65, 1001.81—1001.84, 1001.101, 1001.121—1001.125, 1001.141—1001.143, 1001.161, 1003.1—1003.5, 1003.23a, 1003.27, 1003.28, 1009.1, 1009.2, 1009.4—1009.6 and 1013.1—1013.8 takes effect upon publication in the  $Pennsylvania\ Bulletin$ .

The rescission of \$\$ 1003.21—1003.23, 1003.24, 1003.25a, 1003.25b, 1003.26, 1003.29—1003.34, 1005.1, 1005.2, 1005.2a, 1005.3—1005.7, 1005.7a, 1005.8—1005.15, 1007.1, 1007.2, 1007.7, 1007.8, 1011.1, 1011.3, 1011.4, 1015.1 and 1015.2 takes effect on April 10, 2014.

Sections 1021.1-1021.8, 1021.21-1021.28, 1021.41-1021.43, 1021.61-1021.64, 1021.81-1021.83, 1021.101-1021.104, 1021.121-1021.123, 1021.141, 1023.1-1023.5, 1023.21, 1023.33, 1023.34, 1023.51, 1027.51, 1029.1-1029.6, 1029.21, 1031.1-1031.4, 1031.6-1031.9, 1031.11, 1031.14, 1031.15 and 1033.1-1033.7 take effect upon publication in the  $Pennsylvania\ Bulletin$ .

Sections 1023.22 - 1023.32, 1023.52, 1025.1 - 1025.3, 1025.21 - 1025.23, 1027.1 - 1027.13, 1027.31 - 1027.42, 1027.52, 1031.5, 1031.10, 1031.12, 1031.13 and 1031.16 take effective on April 10, 2014.

Section 1027.14 takes effect on October 7, 2014.

Sections 8113(a), (c), (d) and (n), 8114-8120, 8122, 8129-8138 and 8140-8142 of the EMS System Act take effective on April 10, 2014.

MICHAEL WOLF, Secretary

(*Editor's Note*: For the text of the order of the Independent Regulatory Review Commission relating to this document, see 43 Pa.B. 5435 (September 7, 2013).)

(*Editor's Note*: See 43 Pa.B. 6179 (October 12, 2013) for a notice relating to this final-form rulemaking.)

**Fiscal Note:** Fiscal Note 10-190 remains valid for the final adoption of the subject regulations.

#### Annex A

# TITLE 28. HEALTH AND SAFETY PART VII. EMERGENCY MEDICAL SERVICES Subpart A. EMS SYSTEM

CHAPTER 1001. (Reserved)

Sec.	
1001.1—1001.7.	(Reserved).
1001.21—1001.28.	(Reserved).
1001.41.	(Reserved).
1001.42.	(Reserved).
1001.61.	(Reserved).
1001.62.	(Reserved).
1001.65.	(Reserved).
1001.81—1001.84.	(Reserved).
1001.101.	(Reserved).
1001.121—1001.125.	(Reserved).
1001.141—1001.143.	(Reserved).
1001.161.	(Reserved).

# CHAPTER 1003. (Reserved)

Sec.	
1003.1—1003.5.	(Reserved).
1003.21—1003.23.	(Reserved).
1003.23a.	(Reserved).
1003.24.	(Reserved).
1003.25a.	(Reserved).
1003.25b.	(Reserved).
1003.26—1003.34.	(Reserved).

# CHAPTER 1005. (Reserved)

Sec.	
1005.1.	(Reserved).
1005.2.	(Reserved).
1005.2a.	(Reserved).
1005.3—1005.7.	(Reserved).
1005.7a.	(Reserved).
1005.8—1005.15.	(Reserved).

# CHAPTER 1007. (Reserved)

Sec.	
1007.1.	(Reserved).
1007.2.	(Reserved).
1007.7.	(Reserved).
1007.8.	(Reserved).

# CHAPTER 1009. (Reserved)

Sec.	
1009.1.	(Reserved).
1009.2.	(Reserved).
1009.4—1009.6.	(Reserved).

#### CHAPTER 1011. (Reserved)

Sec.	
1011.1.	(Reserved).
1011.3.	(Reserved).
1011.4.	(Reserved).

#### CHAPTER 1013. (Reserved)

Sec.

1013.1—1013.8. (Reserved).

#### CHAPTER 1015. (Reserved)

Sec. 1015.1. (Reserved). 1015.2. (Reserved).

#### CHAPTER 1021. ADMINISTRATION OF THE EMS SYSTEM

#### Subchap.

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В.	AWARD AND ADMINISTRATION OF EMSOF FUNDING
C.	COLLECTION OF DATA AND INFORMATION

C. COLLECTION OF DATA AND INFORMATION D. QUALITY IMPROVEMENT AND PEER REVIEW

E. TRAUMA CENTERS
F. REGIONAL EMS COUNCILS
G. ADVISORY BOARD

CENERAL PROVISIONS

G. ADVISORY BOAR H. EMS RESEARCH

#### Subchapter A. GENERAL PROVISIONS

Sec.	
1021.1.	Purpose.
1021.2.	Definitions.
1021.3.	Applicability.
1021.4.	Exceptions.
1021.5.	Investigations.
1021.6.	Comprehensive EMS system plan.
1021.7.	Comprehensive regional EMS system plan.
1021.8.	EMS data collection.

#### § 1021.1. Purpose.

The purpose of this subpart is to facilitate improvement of the Statewide EMS system into a comprehensive and flexible system through coordination of the regional EMS systems, to synchronize the Statewide and regional systems with EMS systems in neighboring states and to otherwise implement the Department's responsibilities under the act consistent with the Department's rule-making authority.

#### § 1021.2. Definitions.

The following words and terms, when used in this subpart, have the following meanings, unless the context clearly indicates otherwise:

ACLS course—Advanced cardiac life support course—A course in advanced cardiac life support sanctioned by the American Heart Association.

AEMT—Advanced emergency medical technician—An individual who is certified by the Department as an advanced EMT.

#### ALS—Advanced life support.

ALS ambulance—Advanced life support ambulance—An ambulance that is staffed and equipped to provide EMS above the AEMT level and used in the transport of patients.

ALS squad vehicle—Advanced life support squad vehicle—

- (i) A vehicle that is maintained or operated to transport EMS providers above the AEMT level, and equipment and supplies, to rendezvous with the crew of an ambulance for the purpose of providing advanced EMS to patients.
  - (ii) The vehicle is not used in the transport of patients.

APLS course—Advanced pediatric life support course—A course in advanced pediatric life support sanctioned by the American Academy of Pediatrics and the American College of Emergency Physicians.

ATLS course—Advanced trauma life support course—A course in advanced trauma life support sanctioned by the American College of Surgeons Committee on Trauma.

Act—35 Pa.C.S. §§ 8101—8157 (relating to Emergency Medical Services System Act).

Advanced EMS—Advanced emergency medical services—EMS exceeding the scope of practice of an EMT, as authorized by the Department.

Advisory Board—The State Advisory Board, which is the Board of Directors of the Pennsylvania Emergency Health Services Council.

Air ambulance—A rotorcraft specifically designed, constructed or modified and equipped, used or intended to be used and maintained or operated for the purpose of providing emergency medical care to and air transportation of patients.

Ambulance—A ground, water or air vehicle which is maintained or operated for the purpose of providing EMS to and transportation of patients.

Ambulance crew—EMS providers that staff an ambulance to provide emergency medical services.

# *BLS*—Basic life support.

BLS ambulance—Basic life support ambulance—An ambulance that is equipped to provide EMS at or below the AEMT level and used in the transport of patients.

BLS squad vehicle—Basic life support squad vehicle—

- (i) A vehicle that is maintained or operated to transport EMS providers, and equipment and supplies, to rendezvous with the crew of an ambulance for the purpose of providing to patients EMS at or below the AEMT level.
  - (ii) The vehicle is not used in the transport of patients.

Basic EMS—Basic emergency medical services—EMS included within, but not exceeding, the scope of practice of an EMT.

Bureau-

- (i) The Bureau of Emergency Medical Services of the Department.
- (ii) If the Department is reorganized, the office within the Department assigned primary responsibility for administering the act.

CPR—Cardiopulmonary resuscitation—Artificial circulation which is performed as a procedure when cardiac arrest occurs.

CPR course—Cardiopulmonary resuscitation course—A course of instruction in CPR meeting the Emergency Cardiac Care Committee National Conference on CPR and Emergency Cardiac Care standards. The course shall encompass one- and two-rescuer adult, infant and child CPR, and obstructed airway methods.

Call-taker—An EMS agency dispatch center employee who is responsible for taking calls from callers seeking EMS and gathering the essential information from callers to determine whether EMS is needed and, if required, the location to which EMS resources need to be sent.

Call-taking—The act of answering emergency calls from the public and obtaining the information necessary to dispatch EMS resources to the reported location of the emergency.

Commonwealth EMS Medical Director—Commonwealth Emergency Medical Services Medical Director—A physician who is approved by the Department to advise and formulate policy on matters pertaining to EMS.

Continuing education—Learning activities intended to build upon the education and experience of EMS providers and EMSVOs to enhance and strengthen the quality of services provided.

Continuing education course—A unit of continuing education for which the Department will grant an EMS provider or EMSVO continuing education credit.

Continuing education sponsor—An entity or institution that is accredited by the Department as a sponsor of continuing education courses.

Conviction—A judgment of guilt, a plea of guilty or a plea of nolo contendere.

Department—The Department of Health of the Commonwealth.

Dispatcher—An EMS agency dispatch center employee who is responsible for taking the information gathered by the call-taker and determining the appropriate EMS response and dispatching the EMS resources needed to respond to the EMS needs of the patient.

Dispatching—The act of alerting and directing the response of EMS resources to the desired locations.

*EMR*—*Emergency medical responder*—An individual who is certified by the Department as an emergency medical responder.

EMS—Emergency medical services—Either of the following:

(i) The medical care, including medical assessment, monitoring, treatment, transportation and observation, which may be provided to a person in responding to an actual or reported emergency to either of the following:

- (A) Prevent or protect against loss of life or a deterioration in physiological or psychological condition.
- (B) Address pain or morbidity associated with the person's condition.
- (ii) The transportation of an individual with medical assessment, monitoring, treatment or observation of the individual who, due to the individual's condition, requires medical assessment, monitoring, treatment or observation during the transport.

EMS agency—Emergency medical services agency—An entity that engages in the business or service of providing EMS to patients within this Commonwealth by operating one or more of the following:

- (i) An ambulance service.
- (ii) An air ambulance.
- (iii) An ALS ambulance.
- (iv) An ALS squad vehicle.
- (v) An intermediate ALS ambulance.
- (vi) An intermediate ALS squad vehicle.
- (vii) A BLS ambulance.
- (viii) A BLS squad vehicle.
- (ix) A QRS.
- (x) An ALS water ambulance.
- (xi) An intermediate ALS water ambulance.
- (xii) A BLS water ambulance.
- (xiii) An EMS agency dispatch center.
- (xiv) A special operations EMS service, which includes a tactical EMS service, a wilderness EMS service, an urban search and rescue service, and a mass-gathering EMS service.
- (xv) Another vehicle or service that provides EMS outside of a health care facility as prescribed by the Department by regulation.

 $\it EMS$  agency dispatch center—Emergency medical services agency dispatch center—

- (i) A communications center owned, operated or controlled by an EMS agency that dispatches EMS resources due to a PSAP routing emergency callers to it for that purpose or due to the EMS agency receiving calls through an EMS agency provided telephone number through which the EMS agency invites persons to request the EMS agency's response to an emergency.
- (ii) This term does not include a communications center licensed by the Pennsylvania Emergency Management Agency under 35 Pa.C.S. Chapter 53 (relating to emergency telephone service).

EMS agency medical director—Emergency medical services agency medical director—A physician who is employed by, contracts with or volunteers with an EMS agency either directly or through an intermediary to evaluate the quality of patient care provided by the EMS providers utilized by the EMS agency and to provide medical guidance and advice to the EMS agency.

EMS agency medical director course—Emergency medical services agency medical director course—A course adopted by the Department for EMS agency medical directors which provides education in EMS medical direction.

EMS educational institute—Emergency medical services educational institute—An institute accredited by the Department to provide education required for the certification of an EMS provider by the Department.

EMS PCR—Emergency medical services patient care report—A report that provides standardized data and information relating to patient assessment and care.

EMS provider—Emergency medical services provider—The term includes the following:

- (i) An EMR.
- (ii) An EMT.
- (iii) An AEMT.
- (iv) A paramedic.
- (v) A PHRN.
- (vi) A PHPE.
- (vii) A PHP.
- (viii) An individual prescribed by regulation of the Department to provide specialized EMS.

EMS provider educational course—An educational course approved by the Department, other than a CPR course, the successful completion of which is a requirement for securing an EMS provider certification.

EMS system—Emergency medical services system—The arrangement of personnel, facilities and equipment for the delivery of EMS in a geographic area to prevent and manage emergencies.

EMS vehicle—Emergency medical services vehicle—A ground EMS vehicle, a water ambulance or an air ambulance.

EMS vehicle crew—Emergency medical services vehicle crew—EMS providers that staff an EMS vehicle to provide emergency medical services.

EMSOF—Emergency Medical Services Operating Fund—Moneys appropriated to the Department under section 8153(a) of the act (relating to support of emergency medical services) and which are not assigned to the Catastrophic Medical and Rehabilitation Fund.

EMSVO—Emergency medical services vehicle operator—An individual who is certified by the Department to operate a ground EMS vehicle.

EMT—Emergency medical technician—An individual who is certified by the Department as an emergency medical technician.

*EVOC*—Emergency vehicle operator's course.

*Emergency*—A physiological or psychological illness or injury of an individual so that a prudent layperson who possesses an average knowledge of health and medicine could reasonably expect the absence of immediate EMS to result in one of the following:

- (i) Placing the health of the individual or, with respect to a pregnant woman, the health of the woman or her unborn child in serious jeopardy.
  - (ii) Serious impairment of a bodily function.
  - (iii) Serious dysfunction of a bodily organ or part.

*Emergency department*—An area of the hospital dedicated to offering emergency medical evaluation and initial treatment to individuals in need of emergency care.

Emergency dispatch calls—Emergency incidents to which an EMS agency dispatch center dispatches EMS resources.

Emergency medical dispatch—The dispatching of emergency medical services agencies.

*Emergency medical dispatch protocols*—A system or program that enables patients to be assessed and treated by telephone by utilizing currently accepted emergency medical dispatch standards.

Facility—A physical location at which an entity operates a health care facility licensed under Federal or State

First responder—An individual who is certified by the Department as a first responder.

Ground EMS vehicle—Ground emergency medical services vehicle—The term includes the following:

- (i) A BLS ambulance.
- (ii) A BLS squad vehicle.
- (iii) An intermediate ALS ambulance.
- (iv) An intermediate ALS squad vehicle.
- (v) An ALS ambulance.
- (vi) An ALS squad vehicle.

Hospital—An institution having an organized medical staff which is primarily engaged in providing to inpatients by or under the supervision of physicians, diagnostic and therapeutic services or rehabilitation services for the care or rehabilitation of injured, disabled, pregnant, diseased, sick or mentally ill persons. The term includes a facility for the diagnosis and treatment of disorders within the scope of specific medical specialties, but not a facility caring exclusively for the mentally ill.

Intermediate ALS ambulance—Intermediate advanced life support ambulance—An ambulance that is staffed and equipped to provide EMS at the AEMT level and used in the transport of patients.

 $Intermediate \ ALS \ squad \ vehicle-Intermediate \ advanced \ life \ support \ squad \ vehicle-$ 

- (i) A vehicle that is maintained or operated to transport EMS providers at the AEMT level, and equipment and supplies, to rendezvous with the crew of an ambulance for the purpose of providing advanced EMS to patients.
  - (ii) The vehicle is not used in the transport of patients.

Medical advisory committee—An advisory body formed to advise a regional EMS council or the Advisory Board on issues that have potential impact on the delivery of emergency medical care.

Medical audit—A mechanism to evaluate patient care.

Medical command—

- (i) Medical oversight, including orders, given by a medical command physician to an EMS provider to do either of the following:
- (A) Provide immediate medical care or transportation to prevent loss of life or aggravation of physiological or psychological illness or injury.
  - (B) Withdraw or withhold treatment.
- (ii) Medical command is given in a prehospital setting, interfacility transport setting or emergency care setting in a hospital.

Medical command course—The course adopted by the Department for medical command physicians which provides an overview of the EMS system and process of medical command.

Medical command facility—A distinct unit which contains the necessary equipment and personnel for providing medical command to and control over EMS providers.

Medical command facility medical director—A medical command physician who meets the criteria established by the Department to assume responsibility for the direction and control of the equipment and personnel at a medical command facility.

Medical command physician—A physician who is certified by the Department to give medical command to EMS providers.

*Medical coordination*—A system which involves the medical community in all phases of the regional EMS system and consists of the following elements:

- (i) Designation of a regional EMS medical director.
- (ii) Oversight to ensure implementation of all medical requirements, with special emphasis on patient triage and medical treatment protocol.
- (iii) Effective emergency medical planning and recommendation for Department recognition of online command facilities with medical command physicians who give medical command to EMS providers.
  - (iv) Transfer and medical treatment protocols.
- (v) Technologic innovations that support the training and operations of the physicians giving orders to EMS providers.
- (vi) Technologic innovations that support the training and operations of the EMS program and an effective process for accountability-for example, records, case review and audits.

Medical monitoring—Performing continuous or periodic observations of an individual's condition or continuation of an ordered treatment plan for an individual to prevent pain, suffering or the exacerbation of a preexisting condition

Medical observation—Performing continuous or periodic observations of an individual's stable condition to determine whether there is a change in that condition.

Medical record—Documentation of the course of a patient's condition and treatment, maintained to provide communication among health care providers for current and future patient care.

PALS course—Pediatric advanced life support course—A course in advanced pediatric life support sanctioned by the American Heart Association and the American Academy of Pediatrics.

PHP—Prehospital emergency medical services physician—A physician who is certified by the Department as a prehospital EMS physician.

*PHPE—Prehospital physician extender*—A physician assistant who is certified by the Department as a prehospital physician extender.

*PHRN—Prehospital registered nurse*—A registered nurse who is certified by the Department as a prehospital registered nurse.

PSAP—Public safety answering point—

- (i) The Pennsylvania Emergency Management Agency-approved first point at which calls for emergency assistance from individuals are answered.
  - (ii) A PSAP is operated 24 hours a day.

Paramedic—An individual who is certified by the Department as a paramedic.

Patient—An individual for whom an EMS provider is responsible for one of the following:

- (i) Providing EMS on behalf of an EMS agency.
- (ii) Required to provide EMS on behalf of an EMS agency because the individual's condition requires or may require medical observation, monitoring, assessment or treatment for an illness, disease, injury or other disability.

Peer review—The evaluation by health care providers of the quality and efficiency of services ordered or performed by EMS providers and physicians who direct or supervise EMS providers under the act and the regulations of the Department.

Peer review committee—A committee of health care providers who engage in peer review under the act.

Performance appraisal—A yearly written evaluation of a call-taker's or dispatcher's job performance measured against established EMS agency dispatch center expectations, policies and standards.

*Physician*—An individual who has a currently registered license to practice medicine or osteopathic medicine in this Commonwealth.

QRS—Quick response service—An operation in which EMS providers of an EMS agency:

- (i) Respond to an actual, reported or perceived emergency.
- (ii) Provide EMS to patients pending the arrival of other EMS providers and resources that have been dispatched to the scene.

Quality assurance action—An action taken by a quality assurance reviewer or EMS agency dispatch center supervisor after a quality assurance review to correct or improve call-taking or dispatching deficiencies identified by the quality assurance review.

Quality assurance review—A quality assurance process that is used to assess the job performance of a call-taker or dispatcher.

Quality assurance reviewer—An EMS agency employee who conducts quality assurance reviews of the EMS agency dispatch center's radio activity to determine adherence to the EMS agency dispatch center's standards.

Radio activity—Call-taking, dispatching and communicating on a public safety radio frequency.

Receiving facility—A facility to which an ambulance may transport a patient who requires prompt medical care in addition to that provided by EMS providers who respond to an emergency.

Regional EMS council—Regional emergency medical services council—A nonprofit incorporated entity or appropriate equivalent that is assigned by the Department to:

- (i) Plan, develop, maintain, expand and improve EMS systems within a specific geographical area of this Commonwealth.
- (ii) Coordinate those systems into a regional EMS system.

Regional EMS medical director—Regional emergency medical services medical director—The medical director of a regional EMS council.

Registered nurse—An individual who has a current original or renewed license to practice nursing in this Commonwealth as a registered nurse.

Residency program—Training approved or recognized by the State Board of Medicine or the State Board of Osteopathic Medicine as a program of graduate medical training for physicians.

Rural area—An area outside urbanized areas as defined by the United States Bureau of the Census.

Scope of practice—The EMS that an individual who is certified by the Department as an EMS provider is permitted to perform under the certification.

Service area—The geographic area in which an EMS agency routinely provides EMS.

Special event—A planned and organized activity or contest, which places participants or attendees, or both, in a defined geographic area in which the potential need for EMS exceeds local EMS capabilities or where access by emergency vehicles might be delayed due to crowd or traffic congestion at or near the event.

Special vehicle rescue technician—An individual who is certified by the Department as possessing the training and skills to perform special rescue operations as taught in the special vehicle rescue training program approved by the Department.

Standard operating procedures—A set of policies and procedures developed and adopted by an EMS agency dispatch center to aid in directing the daily operations of the telecommunications staff.

Statewide EMS protocols—Statewide emergency medical services protocols—Written EMS protocols adopted by the Department that have Statewide application to the delivery of EMS by EMS providers.

Trauma center—A facility accredited as a trauma center by the Trauma Foundation.

Trauma Foundation—The Pennsylvania Trauma Systems Foundation, a nonprofit Pennsylvania corporation whose function is to accredit trauma centers.

Vehicle rescue technician—An individual who is certified by the Department as possessing the training and skills to perform a rescue from a vehicle as taught in a vehicle rescue technician program approved by the Department.

Water ambulance—A watercraft specifically designed, constructed or modified and equipped, used or intended to be used and maintained or operated for the purpose of providing emergency medical care to, and water transportation of, patients.

### § 1021.3. Applicability.

This subpart affects persons and activities regulated by the Department under the act.

# § 1021.4. Exceptions.

- (a) The Department may grant exceptions to, and departures from, this subpart when the policy objectives and intentions of the Department as reflected in this subpart are otherwise met or when compliance would create an unreasonable hardship, but would not impair the health, safety or welfare of the public. Exceptions or departures from this subpart will not be granted if compliance with the standard is required by statute.
- (b) Requests for exceptions to this subpart shall be made in writing to the Department. The requests, whether approved or not approved, will be documented and retained on file by the Department in accordance with its document retention schedule. Approved requests shall be retained on file by the applicant during the period the exception remains in effect.

- (c) A granted request will specify, if relevant, the period during which the exception is operative. The duration of an exception may be extended if the reasons for the original exception continue. Requests for an exception extension shall be made in writing to the Department.
- (d) An exception granted may be revoked by the Department for just cause. Just cause includes, for example, failure to meet the conditions for the exception. Notice of the revocation will be in writing and include the reason for the action of the Department and a specific date upon which the exception will be terminated.
- (e) In revoking an exception, the Department will provide for a reasonable time between the date of the written notice or revocation and the date of termination of an exception for the holder of the exception to come into compliance with this subpart. Failure to comply after the specified date may result in enforcement or disciplinary proceedings.
- (f) The Department may, on its own initiative, grant an exception to this subpart if the requirements in subsection (a) are satisfied.

#### § 1021.5. Investigations.

The Department may investigate any person, entity or activity for compliance with the act and this subpart.

## § 1021.6. Comprehensive EMS system plan.

- (a) The Department, with the advice of the Advisory Board, will develop and annually update a Statewide EMS System Plan, which will include both short-range and long-range goals and objectives for the coordinated delivery of EMS in this Commonwealth.
  - (b) The plan will contain:
- (1) An inventory of EMS resources available in this Commonwealth.
- (2) An assessment of the effectiveness of the existing Statewide EMS system and a determination of the need for changes to the Statewide EMS system.
- (3) Performance measures for delivery of EMS to persons in this Commonwealth.
- (4) Methods to be used in achieving stated performance measures.
- (5) A schedule for achievement of the stated performance measures.
- (6) A method for monitoring and evaluating whether the stated Statewide performance measures are being achieved.
- (7) Estimated costs for achieving the stated performance measures.
- (c) The Department will incorporate regional EMS system plans into the Statewide EMS System Plan.
- (d) The Department will adopt a Statewide EMS System Plan, updates to the plan after public notice, an opportunity for comment and its consideration of comments received. The Department will make the plan available to the General Assembly and concerned agencies, entities and individuals who request a copy.

### § 1021.7. Comprehensive regional EMS system plan.

- (a) A regional EMS council shall develop and annually update a regional EMS system plan for coordinating and improving the delivery of EMS in the region for which it has been assigned responsibility.
  - (b) The plan must contain:

- (1) An inventory of EMS resources available in the region.
- (2) An assessment of the effectiveness of the existing regional EMS system and a determination of the need for enhancement of the regional EMS system.
- (3) A statement of goals and specific measurable objectives for delivery of EMS to persons in the region.
- (4) Identification of interregional problems and recommended measures to resolve those problems.
- (5) Methods to be used in achieving stated performance measures.
- (6) A schedule for achievement of the stated performance measures
- (7) A method for evaluating whether the stated performance measures have been achieved.
- (8) Estimated costs for achieving the stated performance measures.
  - (9) Other information as requested by the Department.
- (c) A regional EMS council shall, in the course of preparing a regional EMS system plan and updates to the plan, provide public notice and an opportunity for comment. It shall consider the comments before submitting a proposed plan to the Department.
- (d) A regional EMS system plan will become final after it is approved by the Department. The regional EMS council shall make the plan available to concerned agencies, entities and individuals who request a copy.

#### § 1021.8. EMS data collection.

- (a) Reasons for EMS data collection. The Department, either directly or through regional EMS councils or the Advisory Board, may collect EMS data for the purpose of evaluating the effectiveness of the Statewide and regional EMS system plans and the need to revise those plans and pursue future EMS system initiatives. This will include collecting EMS data to determine the status of the Statewide and regional EMS systems, the degree of compliance with the requirements in the act and this subpart, and the effectiveness of the Statewide and regional EMS systems in reducing morbidity and mortality when the EMS systems are involved.
- (b) Duty to provide EMS data and records. Persons regulated by the Department under the act, as well as PSAPs and others dispatchers of EMS resources, shall provide data and access to records, including audio records, without charge, as reasonably requested by the Department, the regional EMS councils or the Advisory Board when they are acting for and on behalf of the Department, to aid the Department, the regional EMS councils and the Advisory Board in conducting the activities referenced in subsection (a) and engaging in an investigation authorized under the act and this subpart.

# Subchapter B. AWARD AND ADMINISTRATION OF EMSOF FUNDING

Sec. 1021 1021

1021.21. Purpose.

1021.22. Entities eligible to receive EMSOF funds through contracts or grants.

1021.23. Award of contract or grant to a regional EMS council.

1021.24. Use of EMSOF funding by a regional EMS council.

1021.25. Allocation of EMSOF funds to regional EMS councils.

1021.26. Technical assistance.

1021.27. Subcontracting.

1021.28. Contracts and grants with the Advisory Board.

#### § 1021.21. Purpose.

This subchapter implements sections 8112 and 8153 of the act (relating to contracts and grants; and support of emergency medical services), which set forth the standards and criteria governing the award and administration of contracts and grants under the act that are funded by EMSOF funds.

# § 1021.22. Entities eligible to receive EMSOF funds through contracts or grants.

The following entities are eligible to directly receive EMSOF funds from the Department through contracts and grants:

- (1) Regional EMS councils.
- (2) The Advisory Board.
- (3) Other entities to assist the Department in complying with the act.

# § 1021.23. Award of contract or grant to a regional EMS council.

- (a) EMSOF funds shall be used by a regional EMS council to plan, initiate, maintain, expand or improve a regional EMS system in a manner that is consistent with the Statewide and relevant regional EMS system plans. To apply for a contract or grant for these purposes, a regional EMS council or entity that seeks to become a regional EMS council shall submit to the Department a contract or grant application on a form prescribed by the Department in which the applicant:
- (1) Provides information on the organizational structure of the regional EMS council and its provisions to ensure representation of appropriate entities.
- (2) Addresses planning, maintenance and improvement of the applicable regional EMS system.
- (3) Demonstrates the qualifications of the applicant to plan, maintain and improve a regional EMS system.
- (b) To be awarded a contract or grant to serve as a regional EMS council, the applicant shall demonstrate to the Department's satisfaction that it has:
  - (1) An appropriate organizational structure.
- (2) Made provision for the representation of appropriate entities to meet the requirements in §§ 1021.102 and 1021.103 (relating to structure of regional EMS councils; and governing body).
- (3) The qualifications and commitment to plan, maintain and improve a regional EMS system.
- (c) Upon expiration of a contract or grant with a regional EMS council, the Department, without undertaking a competitive bidding process, may enter into a new contract or grant with the same entity for that entity to continue to serve as a regional EMS council, if that entity in carrying out the prior contract or grant demonstrated its ability and commitment to the Department's satisfaction to plan, maintain and improve the regional EMS system consistent with the terms of the prior contract or grant.

# § 1021.24. Use of EMSOF funding by a regional EMS council.

- (a) A regional EMS council may receive EMSOF funding from the Department for the following purposes:
- (1) Providing public education, information, health promotion and prevention programs regarding EMS, including:

- (i) Public education programs, instruction regarding call-taking and dispatching and how to access EMS systems.
- (ii) Public information programs, including passenger and driver safety and EMS system awareness programs.
- (iii) Health promotion programs, including wellness of EMS workforce and EMS safety programs that promote a culture of safe practices among EMS providers.
- (iv) Prevention programs, including passenger restraint systems, prudent heart living and general health awareness, and safety practices to prevent errors in patient care and injuries to EMS providers.
- (2) Purchasing ambulances, other EMS vehicles, medical equipment and rescue equipment which enables or enhances the delivery of EMS.
- (i) Ambulances and other EMS vehicles will be considered for funding if the funds will be used for the initial acquisition of vehicles or parts, or the addition or replacement of existing vehicles or parts, by an EMS agency or an entity that qualifies for initial licensure as an EMS agency.
- (ii) Medical equipment will be considered for funding if the funds will be used to purchase medical equipment for EMS agencies.
- (iii) Rescue equipment will be considered for funding if the funds will be used to purchase rescue equipment for EMS agencies or rescue services recognized by the Department or the State Fire Commissioner.
- (3) Conducting and ensuring the reasonable availability of training and testing programs for EMS providers. Priority consideration with respect to training will be given to training programs leading to the certification of EMS providers and the continuing education of EMS providers.
- (4) Inspecting and investigating EMS agencies, educational institutes and medical facilities, and conducting other inspections and investigations to assist the Department in carrying out its regulatory responsibilities under the act.
- (5) Purchasing communications equipment and services, including medical command communications equipment, and alerting equipment for EMS purposes.
- (6) Purchasing equipment for emergency departments, if the equipment is used or intended to be used in equipment exchange programs with EMS agencies. The equipment purchased must be of a type used by EMS agencies in the EMS provided to patients in a prehospital or interhospital setting. It must be the type of equipment that can be easily or safely removed from the patient upon arrival or during treatment at a receiving facility.
- (7) Maintaining and operating a regional EMS council. Items eligible for funding include:
  - (i) Salaries, wages and benefits of staff.
  - (ii) Travel.
  - (iii) Equipment and supplies.
  - (iv) Leasing office space.
- (v) Other costs incidental to the conduct of the business of a regional EMS council which are found by the Department to be necessary and appropriate.
- (8) Collecting and analyzing data necessary to evaluate the effectiveness of EMS systems in providing EMS and

to administer quality improvement programs. These costs may include the processing of both prehospital and hospital data and include:

- (i) Data collection.
- (ii) Data entry.
- (iii) Data processing of information.
- (iv) Data analysis and evaluation.
- (v) Data interpretation and dissemination.
- (9) Facilitating the merger of EMS agencies or assisting an EMS agency to acquire another EMS agency when the Department determines circumstances exist to the extent that the transaction and financial assistance are needed to serve the public interest.
- (10) Recruitment and retention of EMS providers by EMS agencies.
- (11) Other costs determined by the Department to be appropriate and necessary for the implementation of a comprehensive regional EMS system.
- (b) The Department will set forth additional priorities for funding on a yearly basis in a notice published in the *Pennsylvania Bulletin*.
- (c) Funds appropriated to the Department from the EMSOF will not be made available for the following:
- (1) Acquisition, construction or rehabilitation of facilities or buildings, except renovation as may be necessary for the implementation or modification of 911 and EMS communication systems.
- (2) Purchase of hospital equipment, other than communications equipment for medical command and receiving facilities, unless the equipment is used or intended to be used in an equipment exchange program with EMS agencies.
- (3) Maintenance of ambulances, other EMS vehicles and equipment.
- (4) Costs deemed by the Department as inappropriate for carrying out the purposes of the act.
- (5) Costs which are normally borne by patients, except for extraordinary costs as determined by the Department.
- (d) As approved by the Department, a regional EMS council may make purchases and other expenditures of funds on behalf of EMS agencies, recognized rescue services, accredited educational institutes and medical command facilities for cost-savings purposes. The Department may distribute funds to these entities to make these purchases and other expenditures of funds.
- (e) The Department, by contract, grant or notice published in the *Pennsylvania Bulletin*, may require a regional EMS council or entity to which a regional EMS council distributes funds to provide matching funds in specified percentages as a condition for receiving EMSOF funds.

# § 1021.25. Allocation of EMSOF funds to regional EMS councils.

The Department will consider the following factors in determining the amount of EMSOF funding regional EMS councils receive:

- (1) The total amount of funds available.
- (2) Conformity of the application for funding to the Statewide EMS System Plan.
  - (3) Financial need of the regional EMS system.

- (4) Funds available to the regional EMS council for the purpose in the application for funding, including non-State contributions, Federal grants or Federal contracts pertaining to EMS. Non-State contributions include cash and in-kind services provided to the contractor or toward the operation of a regional EMS system by private, public or government entities, including the Federal government.
  - (5) Geographic area.
- (6) Population of the geographic area served by the applicant.
- (7) Special rural needs of the geographic area served by the applicant.
  - (8) Potential duplication of services.
  - (9) Priorities of the Department.
- (10) Other factors set forth by the Department in a notice published in the *Pennsylvania Bulletin*.

### § 1021.26. Technical assistance.

- (a) Regional EMS councils that obtain contracts or grants from the Department may request technical assistance from the Department, if necessary, for the purpose of carrying out their contracts or grants. Special consideration will be given to regional EMS councils that serve rural areas to assist with matters such as recruitment, retention of EMS providers, EMS agency management and the use of EMS agency equipment.
- (b) Technical assistance from the Department may also be available to subcontractors or other recipients of funds from the regional EMS council when technical assistance resources are not available from the regional EMS council.
  - (c) Examples of technical assistance resources include:
  - (1) Communications assistance.
  - (2) Public education resources.
  - (3) Information management sources.

### § 1021.27. Subcontracting.

- (a) A regional EMS council may receive the Department's written approval to subcontract certain of its duties to other entities as deemed necessary and appropriate for the proper execution of the contract or grant with the Department.
- (b) A regional EMS council may not execute a subcontract until the Department determines in writing that the subcontract is necessary and appropriate.

# § 1021.28. Contracts and grants with the Advisory Board.

Sections 1021.22—1021.27 do not apply to contracts or grants between the Department and the Advisory Board. The Department will enter into a contract or grant with the Advisory Board to perform the services the Advisory Board is required to perform under the act. The Department may contract with the Advisory Board for it to assist the Department in complying with other provisions of the act.

# Subchapter C. COLLECTION OF DATA AND INFORMATION

Sec.

1021.41. EMS patient care reports.

1021.42. Dissemination of information.

1021.43. Vendors of EMS patient care reports.

### § 1021.41. EMS patient care reports.

- (a) EMS agencies shall collect, maintain and electronically report complete, accurate and reliable patient data and other information as solicited on the EMS PCR form for calls for assistance in the format prescribed by the Department. An EMS agency shall file the report for calls to which it responds that result in EMS being provided. The report shall be made by completing an EMS PCR within the time prescribed by the EMS agency's written policies, no later than 72 hours after the EMS agency concludes patient care, and then submitting it, within 30 days, to the regional EMS council that is assigned responsibilities for the region in which the EMS agency is licensed. Upon request, the EMS agency shall provide a copy of the EMS PCR to the regional EMS council that is assigned responsibilities for the region in which the EMS agency encountered the patient. An entity located out-of-State, but licensed as an EMS agency by the Department, shall file its EMS PCRs with the regional EMS council with which it has been directed to file its EMS PCRs by the Department. The Department will publish a list of the data elements and the form specifications for the EMS PCR form in a notice in the Pennsylvania Bulletin and on the Department's web site. The reporting shall conform to the requirements in the notice published in the Pennsylvania Bulletin. The Department will maintain a list of software it has determined to satisfy the requirements for electronic reporting.
- (b) When an EMS provider relinquishes primary responsibility for the care of a patient to another EMS provider, the EMS provider relinquishing that responsibility shall provide the other EMS provider with the patient information that has been collected.
- (c) When an EMS agency transports a patient to a receiving facility, before its ambulance departs from the receiving facility, the EMS agency having primary responsibility for the patient shall verbally and in writing, or other means by which information is recorded, report to the individual at the receiving facility assuming responsibility for the patient, the patient information that is essential for immediate transmission for patient care. The Department will publish a notice in the Pennsylvania Bulletin specifying the types of patient information that are essential for patient care. The EMS agency shall provide the completed EMS PCR to the receiving facility to which the patient was transported within 72 hours after the EMS agency concluded patient care. Upon request of any other facility that subsequently provides health care services to the patient related to the reason the patient was transported to the original receiving facility, the EMS agency shall provide the completed EMS PCR to that facility within 24 hours of the request or within 72 hours after the EMS agency concluded patient care, whichever is later. The EMS agency shall submit the data to the facility in a mutually acceptable manner to the facility and the EMS agency which ensures the confidentiality of information in the EMS PCR.
- (d) The EMS provider who assumes primary responsibility for the patient shall complete an EMS PCR for the patient and ensure that the EMS PCR is accurate and complete and completed within the time prescribed by the EMS agency under subsection (a). When a patient is transported to a receiving facility, an EMS provider of the EMS agency having primary responsibility for the patient shall also ensure that before the ambulance departs from the receiving facility essential patient information is reported to the receiving facility as required under subsection (c).

(e) The EMS agency shall retain a copy of the EMS PCR for a minimum of 7 years.

#### § 1021.42. Dissemination of information.

- (a) A person who collects, has access to or knowledge of information collected under § 1021.41 (relating to EMS patient care reports), by virtue of that person's participation in the Statewide EMS system, may not provide the EMS PCR, or disclose the information contained in the report or a report or record thereof, except:
- (1) To another person who by virtue of that person's office as an employee of the Department or a regional EMS council is entitled to obtain the information.
- (2) For research or EMS planning purposes approved by the Department, subject to strict supervision by the Department to ensure that the use of the data is limited to the specific research or planning and that appropriate measures are taken to protect patient confidentiality.
- (3) To the patient who is the subject of the report or to a person who is authorized to exercise the rights of the patient with respect to securing the information, such as a person appointed as the patient's health care agent under a health care power of attorney.
- (4) Under an order of a court of competent jurisdiction, including a subpoena when it constitutes a court order, except when the information is of a nature that disclosure under a subpoena is not authorized by law.
- (5) For the purpose of quality improvement or peer review activities, with strict attention to patient confidentiality.
- (6) For the purpose of data entry, data retrieval and billing, with strict attention to patient confidentiality.
  - (7) As authorized under § 1021.41.
- (8) To a health care provider to whom a patient's medical record may be released under law.
- (b) The Department or a regional EMS council may disseminate nonconfidential, statistical data collected from EMS PCRs to EMS agencies and other participants in the Statewide EMS system for improvement of services.

# § 1021.43. Vendors of EMS patient care reports.

- (a) An EMS agency shall submit EMS PCRs as required under § 1021.41 (relating to EMS patient care reports) by using only a software program approved by the Department.
- (b) A vendor may not sell or otherwise provide or offer reporting forms or software marketed as appropriate for use in making EMS PCRs unless the vendor submits the product to the Department for review and receives the Department's approval. This also applies to a substantive modification the vendor makes to the reporting form or software. The vendor shall apprise the Department of the modification before marketing the modified form or software regardless of whether the vendor considers the modification to be substantive. EMS agencies may ascertain which vendor products have been approved by the Department under this subsection by contacting the Bureau.
- (c) If the Department makes changes to the minimum data elements of the EMS PCR, the Department will publish a notice of the changes in the *Pennsylvania Bulletin*. The effective date of the changes will not be less than 60 days after publication of the notice.

- (d) After publication of the changes, a vendor may not market as appropriate for making EMS PCRs a product that had been approved by the Department prior to the Department publishing the notice of changes, unless the vendor clearly discloses that the forms or software were approved prior to the publication of the changes and may only be used to make EMS PCRs until the changes go into effect.
- (e) A vendor may store EMS PCR data on its server for data entry or processing purposes arranged by an EMS agency or a regional EMS council to facilitate the transmission of EMS PCR information among the EMS agency, a receiving facility and the regional EMS council, but may not transmit or provide access to that data to any other entity, except the Department, and may not use the data for any other purpose.

# Subchapter D. QUALITY IMPROVEMENT AND PEER REVIEW

1021.61. Components of Statewide quality improvement program. 1021.62. Regional quality improvement programs.

1021.63. Peer review. 1021.64. Cooperation.

# § 1021.61. Components of Statewide quality improvement program.

- (a) The Department, in conjunction with the Advisory Board, will identify the necessary components for a Statewide EMS quality improvement program for the Statewide EMS system. The Statewide EMS quality improvement program shall be operated to monitor the delivery of EMS.
- (b) The Department will develop and update a Statewide EMS Quality Improvement Plan in which it will establish goals and reporting thresholds.

# § 1021.62. Regional quality improvement programs.

A regional EMS council, after considering input from participants in and persons served by the regional EMS system, shall develop, update and implement a regional EMS quality improvement program to monitor the delivery of EMS, which addresses, at a minimum, the quality improvement components identified by the Department. A regional EMS council quality improvement program shall:

- (1) Conduct quality improvement audits of the regional EMS system including reviewing the quality improvement activities conducted by the EMS agency medical directors and medical command facilities within the region.
- (2) Have a regional quality improvement committee that, in conjunction with the regional medical advisory committee, shall recommend to the regional EMS council ways to improve the delivery of EMS within the region based upon State and regional goals.
- (3) Develop and implement a regional EMS quality improvement plan to assess the EMS system in the region.
- (4) Investigate complaints concerning the quality of care rendered and forward recommendations and findings to the Department.

#### § 1021.63. Peer review.

- (a) Persons subject to peer review. Peer review under this section may be conducted of EMS providers, EMS agency medical directors and medical command physicians.
- (b) *Purpose*. The purpose of peer review conducted under this section is to evaluate the quality and efficiency of services performed under this part by EMS providers,

EMS agency medical directors and medical command physicians. This includes reviews to:

- (1) Evaluate and improve the quality of EMS rendered.
- (2) Determine whether the direction and supervision of EMS providers was in accordance with accepted standards.
- (3) Determine whether the EMS provided or not provided was in accordance with accepted standards of care.
- (c) Composition of peer review committee. A peer review committee established under this section may include health care providers such as EMS providers, EMS agency medical directors and other physicians, nurses, physician assistants, EMS agency managers and administrators, hospital personnel with expertise in quality assurance and PSAP dispatchers and administrators.
- (d) Proceedings and records of a peer review committee. The proceedings and records of a peer review committee conducted under this section have the same protections from discovery and introduction into evidence in civil proceedings as they would under the Peer Review Protection Act (63 P. S. §§ 425.1—425.4). A person who attends a meeting of a peer review committee has the same right as a person who attends a meeting of a review organization under the Peer Review Protection Act with respect to not testifying in a civil action as to evidence or other matters produced or presented during the peer review proceeding or as to findings, recommendations, evaluations, opinions or other actions of the peer review committee or other records thereof. These protections do not apply to records that are reviewed in peer review, but were not created for the sole purpose of being reviewed in a peer review proceeding. A person who testifies before a peer review committee or who is a member of a peer review committee is not protected from testifying as to matters within that person's knowledge, except as to that person's testimony before the peer review committee, matters learned by that person through that person's participation in the peer review committee's proceeding or opinions formed by that person as a result of the peer review proceeding.
- (e) Persons who provide information to a peer review committee. A person who provides information to a peer review committee conducting peer review under this section has the same protections from civil and criminal liability as a person who provides information to a review organization under the Peer Review Protection Act.
- (f) Members and employees of a peer review committee and persons who furnish professional services to a peer review committee. An individual who is a member or employee of a peer review committee or who provides professional services to a peer review committee conducting peer review under this section has the same protections from civil and criminal liability for the performance of any duty, function or activity required of the peer review committee as a person who performs the duty, function or activity under the Peer Review Protection Act.

## § 1021.64. Cooperation.

Each individual and entity licensed, certified, recognized, accredited or otherwise authorized by the Department to participate in the Statewide EMS system shall cooperate in the Statewide and regional EMS quality improvement programs and peer reviews conducted under the act and this subchapter and shall provide information, data, reports and access to records, including audio records, as reasonably requested by quality improvement and peer review committees to conduct reviews.

#### Subchapter E. TRAUMA CENTERS

Sec. 1021.81. Purpose. 1021.82. Requirements. 1021.83. Complaints.

### § 1021.81. Purpose.

The purpose of this subchapter is to integrate trauma centers into the Statewide EMS system by providing access to trauma centers and for the effective and appropriate utilization of resources.

#### § 1021.82. Requirements.

To ensure that trauma centers are integrated into the Statewide EMS system, trauma centers shall:

- (1) Maintain a dedicated telephone number to allow for access by referring hospitals to make arrangements for the most appropriate and expeditious mode of transportation to the trauma center, as well as allow for direct consultation between the two facilities prior to transfer and during the course of treatment of the patient.
- (2) Develop and implement outreach education programs to be offered to referring hospitals and emergency services dealing with management of major and multiple systems trauma patients and the capabilities of the trauma center.
- (3) Develop and institute a system to ensure the provision of patient outcome and treatment information to the transferring facility and the EMS agency involved in transporting the patient to the transferring facility, if the patient was transferred to the trauma center, or to the EMS agency involved in transporting the patient to the trauma center if the patient was not transferred to the trauma center by another facility, on each patient transported to the trauma center by ambulance.
- (4) Maintain a medical command facility to allow for communication between a transporting ground ambulance or air ambulance and the trauma center to ensure that patient information and condition updates are available to the trauma center and that medical consultation is available to the transporting ambulance crew. The capabilities shall be in accordance with regional and Statewide EMS telecommunications plans.

# § 1021.83. Complaints.

The Department will investigate complaints related to the delivery of services by trauma centers and forward the results of the investigation to the Trauma Foundation with a recommendation for action.

#### Subchapter F. REGIONAL EMS COUNCILS

Sec.

1021.101. Designation of regional EMS councils.

1021.102. Structure of regional EMS councils.

1021.103. Governing body.

1021.104. Responsibilities of regional EMS councils.

# § 1021.101. Designation of regional EMS councils.

- (a) The Department will designate a regional EMS council that satisfies the structural and representation requirements in § 1021.102 (relating to structure of regional EMS councils) for each geographic area of this Commonwealth that the Department designates as a regional EMS geographic area for regional EMS system purposes.
- (b) The designation of the geographical area will be based on the capability to:
- (1) Provide definitive care services to the majority of general, emergent and critical patients.

- (2) Establish community-wide and regional care programs.
- (3) Interact and liaison with hospitals, other health care facilities and important public health and public safety entities.
- (c) The Department will evaluate the performance and effectiveness of each regional EMS council on a periodic basis to ensure that each council is appropriately meeting the needs of the EMS region to which it is assigned in planning, developing, maintaining, expanding, improving and upgrading the regional EMS system.

## § 1021.102. Structure of regional EMS councils.

- (a) Regional EMS councils shall be organized by one of the following:
- (1) A unit of general local government with an advisory council.
- (2) A representative public entity administering a compact or other area wide arrangement or consortium.
  - (3) A public or private nonprofit entity.
- (b) If the regional EMS council is a unit of local government it shall have an advisory council which is determined by the Department to be representative of health care consumers, the health professions, and major private, public and volunteer agencies, organizations and institutions concerned with providing EMS.
  - (c) A regional EMS council shall have a governing body.
- (d) A regional EMS council shall have a director who is approved by the Department.
- (e) A regional EMS council shall have a medical director and establish committees which are necessary to carry out the responsibilities of the regional EMS council.

# § 1021.103. Governing body.

- (a) If the regional EMS council is a public or private nonprofit organization, its governing body shall satisfy the representation requirements in § 1021.102 (relating to structure of regional EMS councils).
- (b) If the governing body consists of a board, it shall adopt written policies which include:
  - (1) A method of selection for board membership.
  - (2) Qualifications for board membership.
  - (3) Criteria for continued board membership.
  - (4) Frequency of meetings.
  - (c) The duties of the governing body shall include:
- (1) Selecting a director who will be responsible for the daily operations of the regional EMS council.
  - (2) Selecting a regional EMS medical director.
  - (3) Describing the organizational structure.
- (4) Establishing appropriate committees, including a quality improvement committee and a medical advisory committee.
- (i) A majority of the members of the medical advisory committee shall be physicians.
- (ii) The regional medical advisory committee shall assist the regional EMS medical director in matters of medical coordination and ensure that EMS is provided within the region in a manner that considers patient safety and the quality of EMS.

- (5) Monitoring and ensuring the regional EMS council's compliance with contracts and grants from the Department.
- (d) The governing body shall make available to the public an annual report no later than 30 days after the end of the fiscal year. This requirement may be met by posting the annual report on the regional EMS council's web site. The governing body also shall provide the Department with an electronic or hard copy of the annual report within the same time frame. The annual report must include:
- (1) Activities and accomplishments of the preceding year.
- (2) A financial statement of income and expenses.
- (3) A statement disclosing the names of officers and directors.
- (e) A staff member of a regional EMS council may not serve as a voting member of the governing body.

# § 1021.104. Responsibilities of regional EMS councils.

In addition to other responsibilities imposed upon regional EMS councils by this subpart, regional EMS councils have responsibility for:

- (1) Organizing, maintaining, implementing, expanding and improving the EMS system within the geographic area for which the regional EMS council has been assigned responsibilities.
- (2) Developing and implementing comprehensive EMS plans, as approved by the Department.
- (3) Advising PSAPs and municipal and county governments as to EMS resources available for dispatching and recommending dispatching criteria that may be developed by the Department, or by the regional EMS council as approved by the Department.
- (4) Developing, maintaining, implementing, expanding and improving programs of medical coordination. The programs are subject to approval by the Department.
- (5) Assisting hospitals, upon their request, with issues regarding EMS when hospitals are developing their plans for emergency services as required under § 117.11 (relating to emergency services plan).
- (6) Assisting the Department in achieving a unified Statewide EMS system and regional EMS system components and goals as described in section 8105 of the act (relating to duties of department).
- (7) Assisting the Department in the collection and maintenance of standardized data and information provided through EMS PCRs.
- (8) Providing EMS agencies with data summary reports.
- (9) Ensuring the reasonable availability of training programs, including continuing education programs, for EMS providers. The programs must include those that lead to certification of EMS providers by the Department. Regional EMS councils may also develop and implement additional educational programs.
- (10) Monitoring EMS provider, EMS agency, EMS agency medical director, medical command physician, medical command facility medical director and medical command facility compliance with minimum standards established by the Department.

6134

- (11) Facilitating the integration of medical command facilities into the regional EMS system in accordance with policies and guidelines established by the Department.
- (12) Developing and implementing regional protocols for issues of regional importance that are not addressed by the Statewide EMS protocols. Protocols shall be developed in consultation with the regional EMS council's medical advisory committee and approved by the Department. Protocols must:
- (i) Be consistent with the Department's established protocol format.
- (ii) Address matters the Department directs regional EMS councils to address.
  - (iii) Be distributed to EMS agencies within the region.
- (iv) Be reviewed annually and revised as necessary in consultation with the regional EMS council's medical advisory committee.
- (v) Be consistent with Chapter 1023 (relating to personnel) which governs the scope of practice of EMS providers.
- (vi) Be based upon accepted standards of emergency medical care, with consideration given to maximizing patient safety.
- (13) Assisting Federal, State and local agencies, upon request, in the provision of onsite mitigation, technical assistance, situation assessment, coordination of functions or post-incident evaluations, in the event of a potential or actual disaster, mass casualty situation or other substantial threat to public health.
- (14) Maintaining an inventory of EMS resources, including EMS providers, available in the EMS region and promoting the recruitment, retention and recognition of EMS providers.
  - (15) Designating a regional EMS medical director.
- (16) Supervising the regional EMS medical director to ensure that the roles and responsibilities in § 1023.4 (relating to regional EMS medical director) are carried out.
- (17) Assisting EMS providers, other persons and EMS agencies operating in the regional EMS system to meet the licensure, certification, registration and continuing education requirements established under the act and this subpart, and assisting the Department in ensuring that those requirements are met.
- (18) Having a conflict of interest policy and requiring its employees and officials to agree to the policy in writing.
- (19) Assisting the Department in carrying out the act and this part and adhering to policy direction established by the Department.
- (20) Performing other duties deemed appropriate by the Department for the initiation, expansion, maintenance and improvement of the regional and Statewide EMS system which are in accordance with the Statewide EMS System Plan.

### Subchapter G. ADVISORY BOARD

Sec.

1021.121. Duties and purpose.

1021.122. Meetings and members.

1021.123. Disasters.

# § 1021.121. Duties and purpose.

(a) The Advisory Board shall advise the Department on EMS issues that relate to the following:

- (1) Manpower and training.
- (2) Communications.
- (3) EMS agencies.
- (4) The content of EMS PCRs.
- (5) The content of rules and regulations.
- (6) Standards and policies promulgated by the Department.
- (7) The permitted scope of continuing education courses.
- (8) Other subjects as required by the act or deemed appropriate by the Department or the Advisory Board.
- (9) The content of the Statewide EMS System Plan and proposed revisions to it.
- (b) The Advisory Board shall adopt written policies which include:
  - (1) A method of selection for board membership.
  - (2) Qualifications for Advisory Board membership.
  - (3) Criteria for continued Advisory Board membership.
  - (4) Frequency of meetings.
  - (c) The Advisory Board shall:
- (1) Select a director who is responsible for the daily operations of the Advisory Board and the Pennsylvania Emergency Health Services Council.
  - (2) Describe its organizational structure.
- (3) Establish appropriate committees, including an EMS for children advisory committee to advise on a program to address the emergency medical needs of the pediatric population, and a medical advisory committee with a majority of its members being physicians.
- (d) The Advisory Board shall make available to the public an annual report which must include:
- (1) A description of its activities and accomplishments of the preceding year.
  - (2) A financial statement of income and expenses.
- (3) A statement disclosing the names of officers and members of the Advisory Board.

#### § 1021.122. Meetings and members.

- (a) Meetings of the Advisory Board shall be held in accordance with 65 Pa.C.S. Chapter 7 (relating to Sunshine Act) or a successor act.
- (b) A voting member of the Advisory Board shall serve a 3-year term. A voting member may not serve more than two consecutive terms.
- (c) A simple majority of the voting members of the Advisory Board constitutes a quorum for the transaction of business.
- (d) A member of the Advisory Board shall serve without compensation, except for reimbursement of reasonable expenses incurred by members while performing official duties.
- (e) A staff member of the Pennsylvania Emergency Health Services Council may not serve as a voting member of the Advisory Board.

# § 1021.123. Disasters.

In the event of a potential or actual disaster, mass casualty situation or other substantial threat to public health, the Advisory Board shall, upon request, assist Federal, State and local agencies in the provision of

onsite mitigation, technical assistance, situation assessment, coordination of functions or post-incident evaluations. Recruitment of volunteer expertise available to the Advisory Board will be requested and utilized as conditions and circumstances necessitate.

### Subchapter H. EMS RESEARCH

Sec.

1021.141. Research.

#### § 1021.141. Research.

- (a) Prior to engaging in a clinical investigation or study that relates to the provision of EMS, the principal investigator shall file with the Department a report of the planned investigation or study on a form prescribed by the Department. The principal investigator shall also file with the Department a report at the conclusion of the investigation or study and status reports as requested by the Department.
- (b) A person who wants to secure from the Department or a regional EMS council and use, for research purposes, information collected by the Department or a regional EMS council through EMS PCRs, or information collected by the Department or a regional EMS council regarding patients who utilize emergency departments without being admitted to a hospital or who are admitted to a hospital through emergency departments, trauma centers or directly to special care units, shall submit the proposed research project to the Department. If the Department concludes that the proposed use of the information would serve the public interest, it may refer the proposal to the medical advisory committee of the Advisory Board or to one or more of the medical advisory committees of the regional EMS councils for review and recommendation.
- (c) If access to and use of the information requested under subsection (b) is approved by the Department, the Department will release or direct the release of the information for the research project under conditions specified by the Department.
- (d) A research proposal submitted under subsection (b) must include and address the following in a format specified by the Department:
- (1) A specific statement of the hypothesis to be investigated and the clinical significance of the hypothesis.
- (2) A specific description of the methodology to be used in the research.
  - (3) An estimated duration of the research.
- (4) An explanation of how patient confidentiality will be protected.
- (5) A letter from the principal investigator in which that person identifies himself as the principal investigator and assumes responsibility for compliance with the conditions imposed by the Department.
- (6) A plan for providing the Department with progress reports, annually at a minimum, and a final report on the research.
- (e) If institutional review board approval is required by law, the Department will not approve access to the requested information until it receives evidence of institutional review board approval.
- (f) The Department may direct that the use of the information be terminated if the Department determines that the use of the information fails to satisfy the conditions under which the Department approved use of the information.

- (g) An EMS agency or other person that intends to conduct research that would involve an EMS agency violating this part or an EMS protocol adopted or approved by the Department shall apply for an exception to the regulation or protocol under § 1021.4 (relating to exceptions).
- (h) This section does not empower the Department to approve research that involves any act otherwise prohibited by law.

#### **CHAPTER 1023. PERSONNEL**

#### Subchap.

- A. ADMINISTRATIVE AND SUPERVISORY EMS PERSONNEL
- B. EMS PROVIDERS AND VEHICLE OPERATORS
- C. OTHER PERSONS ASSOCIATED WITH THE STATEWIDE EMS SYSTEM

# Subchapter A. ADMINISTRATIVE AND SUPERVISORY EMS PERSONNEL

Sec.

- 1023.1. EMS agency medical director.
- 1023.2. Medical command physician.
- 1023.3. Medical command facility medical director.
- 1023.4. Regional EMS medical director.
- 1023.5. Commonwealth EMS Medical Director.

#### § 1023.1. EMS agency medical director.

- (a) Roles and responsibilities. An EMS agency medical director is responsible for:
- (1) Providing medical guidance and advice to the EMS agency, including:
- (i) Reviewing the Statewide EMS protocols and Department-approved regional EMS protocols that are applicable to the EMS agency and ensuring that its EMS providers and other relevant personnel are familiar with the protocols applicable to the EMS agency.
- (ii) Performing medical audits of EMS provided by the EMS agency's EMS providers.
- (iii) Participating in and reviewing quality improvement and peer reviews of EMS provided by the EMS agency.
- (iv) Reviewing regional mass casualty and disaster plans and providing guidance to the EMS agency regarding its provision of EMS under those plans.
- (v) Providing guidance to the EMS agency, when applicable, with respect to the ordering, stocking and replacement of medications, and compliance with laws and regulations impacting upon the EMS agency's acquisition, storage and use of those medications.
- (vi) Making an initial assessment of each EMS provider at or above the AEMT level to determine whether the EMS provider has the knowledge and skills to competently perform the skills within the EMS provider's scope of practice, and a commitment to adequately perform other functions relevant to the EMS provider providing EMS at that level. This subparagraph does not apply if the EMS provider was working for the EMS agency at the same level prior to the physician becoming the medical director for the EMS agency and the EMS provider was credentialed at that EMS agency within the last 12 calendar months as being able to perform at the EMS provider's certification level.
- (vii) Making an assessment, within 12 calendar months of the last assessment, of each EMS provider at or above the AEMT level to determine whether the EMS provider has demonstrated competency in the knowledge and skills to perform the skills within the EMS provider's scope of

practice, and a commitment to adequately perform other functions relevant to the EMS provider providing EMS at that level.

- (viii) Recommending to the EMS agency that an EMS provider not be permitted to provide EMS at the EMS provider's certification level if the EMS agency medical director determines that the EMS provider has not demonstrated competency in the knowledge and skills to perform the skills within the EMS provider's scope of practice, or a commitment to adequately perform other functions relevant to the EMS provider providing EMS at that level, and recommending restrictions on the EMS provider's practice for the EMS agency, if appropriate, to ensure patient safety.
- (ix) Providing medical direction for the EMS agency dispatch center if the EMS agency operates an EMS agency dispatch center.
- (2) Maintaining a liaison with the regional EMS medical director.
- (3) Participating in the regional and Statewide quality improvement programs.
- (4) Recommending to the relevant regional EMS council, when appropriate, EMS protocols for inclusion in the Statewide and regional EMS protocols.
- (5) Recommending to the Department the suspension, revocation or restriction of an EMS provider's certification.
- (b) Minimum qualifications. To qualify and continue to function as an EMS agency medical director, an individual shall:
  - (1) Be a physician.
  - (2) Satisfy one of the following:
- (i) Have successfully completed an emergency medicine residency program accredited by a residency program accrediting body recognized by the State Board of Medicine or the State Board of Osteopathic Medicine.
- (ii) Have successfully completed a residency program in surgery, internal medicine, family medicine, pediatrics or anesthesiology, accredited by a residency program accrediting body recognized by the State Board of Medicine or the State Board of Osteopathic Medicine. The physician shall also have successfully completed or taught the ACLS course within the preceding 2 years and have completed, at least once, the ATLS course and PALS course or APLS course, or other programs determined by the Department to meet or exceed the standards of these programs.
- (iii) Have served as an ALS medical director under the Emergency Medical Services Act (35 P. S. §§ 6921—6938) (repealed by the act of August 18, 2009 (P. L. 308, No. 37)) prior to February 16, 2010.
  - (3) Have a valid Drug Enforcement Agency number.
- (4) Have completed an EMS agency medical director course or an EMS fellowship or other EMS training program that is determined by the Department to be equivalent. This training shall ensure that the EMS agency medical director has knowledge of:
  - (i) The scope of practice of EMS providers.
- (ii) The provision of EMS under Statewide EMS protocols.
- (iii) The interface between EMS providers and medical command physicians.

- (iv) Quality improvement and peer review principles.
- (v) Emergency medical dispatch principles and EMS agency communication capabilities.
  - (vi) EMS system design and operation.
- (vii) Federal and State laws and regulations regarding
- (viii) Regional and State mass casualty and disaster plans.
  - (ix) Patient and EMS provider safety principles.

#### § 1023.2. Medical command physician.

- (a) Roles and responsibilities. A medical command physician functions under the direction of a medical command facility medical director and the auspices of a medical command facility. A medical command physician is responsible for:
- (1) Providing medical command to EMS providers whenever they seek direction.
- (2) Issuing medical command consistent with Statewide protocols and protocols that are in effect either in the region in which EMS originates or the region from which the EMS providers who are providing EMS begin receiving medical command direction. For good cause, a medical command physician may give medical command that is inconsistent with these protocols.
- (3) Documenting patient information received from EMS providers and medical command given to EMS providers, including when the medical command physician is providing medical command at the scene.
- (b) *Minimum qualifications*. To qualify and continue to function as a medical command physician, an individual shall be serving as a medical command physician immediately prior to February 16, 2010, or:
- (1) Complete an application for medical command physician certification on a form or through an electronic application process, as prescribed by the Department.
  - (2) Be a physician.
  - (3) Satisfy one of the following:
- (i) Have successfully completed a residency program in emergency medicine accredited by a residency program accrediting body recognized by the State Board of Medicine or the State Board of Osteopathic Medicine.
- (ii) Have had an emergency medicine practice in another jurisdiction and establish to the Department that the physician has a combination of training, education and emergency medicine practice that makes the physician qualified to serve as a medical command physician.
- (iii) Have successfully completed or taught the ACLS course within the preceding 2 years and have completed or taught the ATLS course and either an APLS or PALS course or other program determined by the Department to meet or exceed the standards of these programs.
- (4) Have an arrangement with a medical command facility to serve as a medical command physician for that facility after receiving certification as a medical command physician.
- (5) Be practicing as an emergency medicine physician, be participating as a resident in a second or subsequent year in an emergency medicine residency program accredited by an accrediting body recognized by the State Board of Medicine or the State Board of Osteopathic Medicine or have had at least 3 years of experience as a full-time emergency medicine physician.

- (6) Have a current Drug Enforcement Agency (DEA) number or be an emergency medicine resident in an emergency medicine residency program accredited by an accrediting body recognized by the State Board of Medicine or the State Board of Osteopathic Medicine who is authorized to use a hospital's DEA number for practice within the emergency medicine residency program.
- (7) Have successfully completed the medical command course.
- (c) Triennial registration. A medical command physician's certification is deemed registered for 3 years. Thereafter, a medical command physician shall triennially register the certification on a form or through an electronic process, as prescribed by the Department. The Department will issue a new registration within 30 days after the application for registration is filed if the application demonstrates that the medical command physician:
  - (1) Maintains licensure as a physician.
- (2) Has an arrangement with a medical command facility to serve as a medical command physician for that facility.
- (3) Is practicing as an emergency medicine physician or has had at least 3 years of experience as a full-time emergency medicine physician.
- (4) Has completed the most recent update or refresher course that the Department provided on Statewide and other applicable Department-approved EMS protocols.

#### § 1023.3. Medical command facility medical director.

- (a) Roles and responsibilities. A medical command facility medical director is responsible for the following for the medical command facility:
  - (1) Medical command.
  - (2) Quality improvement.
  - (3) Liaison with regional EMS medical director.
  - (4) Participation in prehospital training activities.
- (5) Clinical and continuing education training of EMS providers.
- (6) Verifying to the Department that an applicant for medical command physician certification has an arrangement to serve as a medical command physician for the medical command facility under the direction of the medical command facility medical director and meets all medical command physician certification requirements.
- (7) Monitoring the operation of the medical command facility and the performance of its medical command physicians to ensure that they are satisfying all statutory and regulatory requirements.
- (8) Reviewing a departure from the Statewide EMS protocols of one of the facility's medical command physicians when requested by the Department and apprising the Department whether the medical command facility medical director believes there is good cause for the departure.
- (b) *Minimum qualifications*. To qualify and continue to function as a medical command facility medical director, an individual shall be serving as a medical command facility medical director immediately prior to February 16, 2010, or:
- (1) Complete an application for medical command facility medical director certification on a form or through an electronic application process, as prescribed by the Department.

- (2) Currently serve as a medical command physician.
- (3) Satisfy one of the following:
- (i) Have completed a residency program in emergency medicine accredited by a residency program accrediting body recognized by the State Board of Medicine or the State Board of Osteopathic Medicine.
- (ii) Have completed a residency program in surgery, internal medicine, family medicine, pediatrics or anesthesiology accredited by a residency program accrediting body recognized by the State Board of Medicine or the State Board of Osteopathic Medicine and completed or taught the ACLS course within the preceding 2 years, the ATLS course and either an APLS or PALS course or other program determined by the Department to meet or exceed the standards of these programs.
- (4) Have experience in prehospital and emergency department care of the acutely ill or injured patient.
- (5) Have experience in providing medical command direction to EMS providers.
- (6) Have experience in the training of EMS providers above and below the AEMT level.
- (7) Have experience in the medical audit, review and critique of EMS providers above and below the AEMT level.
- (8) Have an arrangement with a medical command facility to serve as its medical director after receiving certification as a medical command facility medical director
- (c) Triennial registration. A medical command facility medical director's certification is deemed registered for 3 years. Thereafter, a medical command facility medical director shall triennially register the certification on a form or through an electronic process, as prescribed by the Department. The Department will issue a new registration within 30 days after the application for registration is filed if the application demonstrates that the medical command facility medical director shall be:
- (1) Serving as a medical command physician and a medical command facility medical director for a medical command facility.
- (2) Providing prehospital and emergency department care of acutely ill or injured patients.
- (3) Performing medical audit, review and critique of EMS providers above and below the AEMT level.

#### § 1023.4. Regional EMS medical director.

- (a) Roles and responsibilities. A regional EMS medical director shall carry out the following duties:
- (1) Maintain liaison with the Commonwealth EMS Medical Director.
- (2) Assist the regional EMS council, after consultation with the regional medical advisory committee, to establish and revise, subject to Department approval, regional EMS protocols.
- (3) Assist the regional EMS council to develop, subject to Department approval, criteria to recommend to PSAPs for emergency medical dispatch, including criteria for prearrival instructions, level of care to be dispatched to respond to various clinical conditions, types of EMS resources to be sent and mode of EMS resource response.
- (4) Serve as a member of the regional EMS council's quality improvement committee and as that committee's liaison to the regional EMS council's medical advisory committee.

- (5) Serve on the State EMS Quality Improvement Committee.
- (6) Serve as chairperson of the regional EMS council's medical advisory committee.
- (7) Assist, as appropriate, the regional EMS council in its investigations, analysis of investigation information and recommendations to make to the Department on actions the Department should pursue, if any, against certifications, licenses, accreditations and other authorizations issued by the Department under the act.
- (8) Review regional plans, procedures and processes for compliance with State standards of EMS.
- (b) Minimum qualifications. A regional EMS medical director shall have the following qualifications:
  - (1) Be a physician.
- (2) Experience in prehospital and emergency department care of the acutely ill or injured patient.
- (3) Experience as a medical command physician and as an EMS agency medical director or as an ALS service medical director under the Emergency Medical Services Act (35 P.S. §§ 6921-6938) (repealed by the act of August 18, 2009 (P. L. 308, No. 37)).
- (4) Completed a residency program in emergency medicine accredited by a residency program accrediting body recognized by the State Board of Medicine or the State Board of Osteopathic Medicine or have served as a medical command physician in this Commonwealth prior to October 14, 2000.
- (5) Experience in the training of EMS providers above and below the AEMT level.
- (6) Experience in the medical audit, review and critique of EMS providers above and below the AEMT level.
- (c) Disclosure. A regional EMS medical director shall disclose to a regional EMS council and the Department all financial or other interest in entities regulated by the Department under the act and in other matters which present a potential conflict of interest.

#### § 1023.5. Commonwealth EMS Medical Director.

- (a) Roles and responsibilities. The Commonwealth EMS Medical Director is responsible for:
- (1) Providing medical advice and recommendations to the Department regarding the EMS system.
- (2) Assisting in the development and implementation of a Statewide EMS quality improvement program.
- (3) Evaluating and making recommendations on regional EMS quality improvement programs and on programs to improve patient and provider safety and provider wellness.
- (4) Assisting the Department in revising or modifying the scope of practice of EMS providers.
- (5) Providing advice and guidance to the Department on investigations and the pursuit of disciplinary actions against EMS providers and other persons and entities regulated by the Department under the act.
- (6) Reviewing, evaluating and making recommendations for the Statewide EMS protocols.
- (7) Reviewing, evaluating and making recommendations regarding regional EMS protocols that supplement Statewide EMS protocols.

- (8) Providing direction and guidance to the regional EMS medical directors for training and quality improvement monitoring and assistance.
- (9) Meeting with representatives and committees of regional EMS councils and the Advisory Board as necessary and as directed by the Department to provide guidance and direction.
- (10) Reviewing, evaluating and making recommendations to the Department on requests, for research purposes, for data made confidential by the act.
- (11) Assisting the Department in the development of regulations under the act.
- (12) Providing other services relating to the Department's administration of the act as assigned by the Department.
- (b) Minimum qualifications. The Commonwealth EMS Medical Director shall possess the same qualifications as a regional EMS medical director under § 1023.4 (relating to regional EMS medical director).
- (c) Disclosure. The Commonwealth EMS Medical Director shall disclose to the Department all financial or other interest in EMS agencies and other entities regulated by the Department and other matters which present a potential conflict of interest.
- (d) Prohibition against dual service. A physician may not simultaneously serve as the Commonwealth EMS Medical Director and a regional EMS medical director.

#### Subchapter B. EMS PROVIDERS AND VEHICLE **OPERATORS**

Sec. 1023.21. General rights and responsibilities.

1023.22. EMS vehicle operator.

1023.23. Ambulance attendant and first responder.

1023.24. Emergency medical responder.

1023.25. Emergency medical technician. 1023.26. Advanced emergency medical technician.

1023.27. Paramedic.

1023.28. Prehospital registered nurse.

Prehospital physician extender. Prehospital EMS physician. 1023.29. 1023 30

1023.31. Continuing education requirements.

1023.32. Credit for continuing education.

Endorsement of course or examination.

1023.34. Reciprocity.

# § 1023.21. General rights and responsibilities.

- (a) Change of address. An EMS provider, an EMSVO and an applicant for EMS provider or EMSVO certification shall ensure that the Department has a current address at which the person can be reached by mail. This applies to an EMS provider and an EMSVO whether or not that person maintains current registration of the EMS provider or EMSVO certification.
- (b) Reports of criminal convictions, discipline and ex-
- (1) An applicant for EMS provider or EMSVO certification shall report to the Department, on a form or through an electronic process, as prescribed by the Department, misdemeanor, felony and other criminal convictions that are not summary or equivalent offenses and disciplinary sanctions that have been imposed upon a license, certification or other authorization of the applicant to practice an occupation or profession. An applicant for an EMSVO certification shall also report to the Department any other conviction of an offense involving reckless driving or driving under the influence of alcohol or drugs. An applicant for an EMSVO certification shall also report to the Department a driver's license suspension due to the use of drugs or alcohol or from a moving traffic violation.

The applicant shall also arrange for the custodian of the criminal charging, judgment and sentencing document for each conviction and the custodian of an adjudication or other document imposing discipline against the applicant to provide the Department with a certified copy of those records. If the applicant has not been sentenced on a criminal conviction at the time of making application for certification, the applicant shall inform the Department and then arrange, within 5 days after the applicant is sentenced, for the custodian of the sentencing document to provide the Department with a certified copy of that document. If, after making application for EMS provider certification, but before the Department acts upon an application, the applicant is convicted of a reportable offense or has discipline imposed upon a license, certification or other authorization to practice an occupation or profession, the applicant shall report that information to the Department immediately in the manner prescribed in the application form.

- (2) An applicant for EMS provider certification shall report to the Department, on a form or through an electronic process, as prescribed by the Department, an exclusion from a Federal or State health care program of the applicant, or of an entity in which the applicant had equity or capital, stock or profits equal to at least 5% of the value of the property or assets of the entity at the time of the exclusion. The applicant shall also provide the Department with a certified copy of the document by which the applicant is excluded from the health care program. A health care program is a program in which the State or Federal government serves as a payor for health care services, such as the Medicare and Medicaid programs. If, after making application for EMS provider certification, but before the Department acts upon an application, there is an exclusion from a Federal or State health care program that is reportable under this paragraph, the applicant shall report that information to the Department immediately in the manner prescribed in the application form.
- (3) The Department will not act upon an application for certification that reports information under paragraph (1) or (2) until it receives a certified copy of each document that is required to be provided under those paragraphs, unless the applicant establishes that the document from which a certified copy would be made does not exist.
- (4) An EMS provider and an EMSVO shall report the same type of information and arrange for the same documents to be provided to the Department, as required under paragraphs (1) and (2), within 30 days after each conviction, discipline and exclusion. This applies to an EMS provider and an EMSVO whether or not the person maintains current registration of the EMS provider's or EMSVO's certification.
  - (c) Certification examinations.
- (1) An applicant for EMS provider certification shall take the required certification examinations within 1 year after completing the education required for the EMS provider certification.
- (2) Except as otherwise provided in this section, a person who fails a written or practical skills certification examination may repeat the failed examination without retaking a passed certification examination.
- (3) A person who fails a written certification examination three times shall complete a refresher course approved by the Department or repeat the education required for the EMS provider certification before retaking a written certification examination.

- (4) A person who fails a practical skills certification examination three times shall complete a remedial course approved by the Department or repeat the education required for the EMS provider certification before retaking a practical skills certification examination.
- (5) A person who either fails an EMS provider certification examination six times or does not pass all required EMS provider certification examinations within 2 years after completing the EMS provider education required for the EMS provider certification may not receive credit for an examination previously passed. If that person elects to continue to pursue EMS provider certification, that person will be required to repeat the EMS provider education program and take the EMS provider certification examinations in accordance with paragraphs (1)—(4).
- (6) If the standards a person needs to satisfy to take a certification examination change after the person has failed the examination, the person may not retake the examination unless the person meets the new standards.
- (d) Exceptions to certification registration requirements for members of armed forces. An EMS provider or EMSVO who returns from active military service and who had a certification registration expire during a tour of duty or will have a certification registration expire within 12 months after returning from active military service may secure an exception to the certification registration requirements as follows:
- (1) An EMS provider who chooses to secure registration of the EMS provider's certification by satisfying continuing education requirements may apply for an exception to the period of time in which the EMS provider was required or would be required to satisfy the continuing education requirements, and the Department will grant the EMS provider an extended period of time to satisfy those requirements as the Department deems appropriate under the circumstances. If the EMS provider is certified at an AEMT level or higher, before the EMS provider may begin work for an EMS agency without a current registration, the EMS provider needs to be approved by the EMS agency's medical director, under § 1023.1(a)(1)(viii) (relating to EMS agency medical director) as having current competency in the knowledge and skills required to provide the level of EMS the EMS agency intends to assign to the EMS provider.
- (2) An EMS provider who chooses to secure registration of the EMS provider's certification by satisfying continuing education requirements may ask the Department to endorse the EMS provider's relevant military training as satisfying some or all of the continuing education requirements.
- (3) An EMSVO may apply for an exception to the period of time in which the EMSVO was required or would be required to satisfy the continuing education requirements, and the Department will grant the EMSVO an extended period of time to satisfy those requirements as the Department deems appropriate under the circumstances. An EMSVO may also ask the Department to endorse the EMSVO's relevant military training as satisfying some or all of the continuing education requirements.
  - (e) Lapse of registration.
- (1) An EMS provider who does not secure a new registration of an EMS provider certification before a registration expires may secure a new registration within 2 years after the registration expires by completing a registration form or through an electronic process, as prescribed by the Department, if the information provided

- establishes that the EMS provider has passed the written certification registration examination as well as the clinical patient care and other core continuing education requirements that would have been needed to timely secure the registration by satisfying the continuing education requirements for registering the certification.
- (2) An EMS provider who does not secure a new registration of an EMS provider certification before a registration expires may secure a new registration more than 2 years after the registration expires by completing a registration form or through an electronic process, as prescribed by the Department, if the information provided establishes that the EMS provider has passed both the written and practical skills certification registration examinations and the clinical patient care and other core continuing education requirements for each registration of a certification that was missed.
- (3) The paramedic certification registration examinations are the certification registration examinations for a PHPE, a PHRN or a PHP who seeks to register a certification after the registration of that certification lapses.
- (4) A registration secured under this subsection will expire when the registration would have expired if past registrations would have been secured on a timely basis.
- (f) Authority derived from protocols and medical command. An EMS provider shall provide EMS for an EMS agency within the EMS provider's scope of practice and, other than a PHP, under Statewide and regional EMS protocols and medical command.
- (g) Downgraded certification or practice. An EMS provider who is certified at or above the AEMT level who chooses not to practice at that level or who is not permitted to practice at that level for an EMS agency by its EMS agency medical director under § 1023.1(a)(1)(vi) or (vii) and § 1027.3(m) (relating to licensure and general operating standards) has the following options with respect to EMS provider certification and registration of that certification:
- (1) Upon expiration of the biennial registration period, the EMS provider may choose to maintain EMS provider certification at the EMS provider's current certification level, in which case the EMS provider would need to satisfy the requirements for the registration of that EMS provider certification to renew registration of that certification.
- (2) Prior to or upon expiration of the registration period, the EMS provider may choose to transition to a lower level EMS provider certification than the EMS provider's current certification level, in which case the EMS provider would need to satisfy the requirements for the registration of that EMS provider certification to secure registration of that lower level EMS provider certification. If the EMS provider satisfies the registration requirements for that lower level of EMS provider certification, the Department will issue the EMS provider an EMS provider certification at that level, which will be deemed registered for 3 years or 2 years, depending upon the level of certification.
- (3) When providing EMS, an EMS provider who transitions to a lower level EMS provider certification may not display a higher level insignia, patch, registration card or other indicia of the EMS provider's certification at the higher EMS provider level.
- (4) An EMS provider who, for any period of time, has been precluded from practicing for an EMS agency at the

- EMS provider's certification level under § 1027.3(m) shall report the action to other EMS agencies for which the EMS provider is providing or seeks to provide EMS and to all regional EMS councils having responsibility for the EMS regions in which those EMS agencies are headquartered
- (5) An EMS provider who transitions to a lower level EMS provider certification may later renew registration of the EMS provider's certification at the higher level by satisfying the requirements in subsection (e).
- (h) *Identification*. If an EMS provider is asked to provide proof of authority to practice as an EMS provider when the EMS provider is providing EMS, or an EMSVO is asked to provide proof of authority to operate a ground EMS vehicle when the EMSVO is operating a ground EMS vehicle, the EMS provider or EMSVO shall present a card or certificate issued by the Department that shows current registration of the EMS provider's or EMSVO's certification.
  - (i) Interaction with law enforcement officers.
- (1) If a law enforcement officer is at the scene of a police incident when an EMS provider arrives, the EMS provider may not enter the scene to provide EMS if the law enforcement officer so directs until the law enforcement officer advises that it is safe for the EMS provider to enter.
- (2) An EMS provider shall have access to a patient at a police incident scene before the patient is removed from the scene by or at the direction of a law enforcement officer.
- (3) If, under a medical treatment protocol or medical command, an EMS provider is required to transport to a receiving facility a patient whom a law enforcement officer has taken or wants to take into custody or whom the law enforcement officer believes needs to be spoken to immediately by the law enforcement officer, the EMS provider shall transport the patient to a receiving facility by ambulance. The EMS provider and EMSVO shall allow the law enforcement officer to accompany the patient in the ambulance if the law enforcement officer so chooses and may not interfere with the law enforcement officer employing security precautions deemed necessary by the law enforcement officer to ensure the safety of the officer and others. A law enforcement officer is not permitted to implement security precautions that unreasonably interfere with the provision of EMS to the patient.

### § 1023.22. EMS vehicle operator.

- (a) Roles and responsibilities. An EMSVO operates ground EMS vehicles for an EMS agency, as authorized by an EMS agency.
- (b) Certification. The Department will certify as an EMSVO an individual who meets the following qualifications:
- (1) Completes an application for EMSVO certification on a form or through an electronic process, as prescribed by the Department.
  - (2) Is 18 years of age or older.
  - (3) Has a current driver's license.
  - (4) Is not addicted to alcohol or drugs.
- (5) Is free from physical or mental defect or disease that may impair the person's ability to drive a ground EMS vehicle.

- (6) Has successfully completed an emergency vehicle operator's course of instruction approved by the Department.
  - (7) Has not:
- (i) Been convicted within the last 4 years prior to the date of application of driving under the influence of alcohol or drugs.
- (ii) Within the last 2 years prior to the date of application been convicted of reckless driving or had a driver's license suspended due to use of drugs or alcohol or a moving traffic violation.
- (8) Has successfully completed an EVOC following a disqualification from certification under paragraph (7), regardless of whether the person successfully completed the course previously.
- (c) Transition for operators of ground ambulances and squad vehicles. A person who drove an ambulance or squad vehicle prior to April 10, 2014, and who satisfies the certification requirements under subsection (b), may serve as an EMSVO until July 9, 2014, without having secured a certification as an EMSVO.
  - (d) Registration.
- (1) Except as otherwise provided in this subsection, an EMSVO's certification is deemed registered for 3 years. Thereafter, an EMSVO shall triennially register the certification by completing a form or through an electronic process, as prescribed by the Department. An EMSVO shall submit the form or complete the electronic process at least 30 days prior to the expiration of a current registration. Failure to do so in a timely manner may result in the EMSVO certification not being registered again before the prior registration expires. The Department will issue a new registration within 30 days after the EMSVO completes the form or the electronic process if the information provided establishes that the EMSVO has a current driver's license and has successfully completed the continuing education requirements for registration of an EMSVO certification in § 1023.31(a) (relating to continuing education requirements).
- (2) If an EMSVO also has an EMS provider's certification, the registration of the EMSVO's certification will expire at the same time as the registration of the EMS provider's certification. If the EMSVO does not maintain current registration of the EMS provider's certification, the registration of the EMSVO's certification will continue on the same renewal cycle. If an EMSVO who is an EMS provider becomes certified as a higher-level EMS provider, the registration of the EMSVO's certification will expire at the same time as the registration of the higher-level EMS provider's certification.
- (3) An EMSVO who attempts to secure a new registration of an EMSVO certification more than 2 years after the registration expires may secure a new registration by completing a registration form or through an electronic process, as prescribed by the Department, if the information provided establishes that the EMSVO has completed an EVOC within the preceding 2 years. An EMSVO who attempts to secure a new registration of an EMSVO certification within 2 years after the registration expires may secure a new registration by completing a registration form or through an electronic process, as prescribed by the Department, if the information provided establishes that the EMSVO has completed the continuing education requirements for the missed registration period.

- (4) An EMSVO who is a member of the armed forces who is returning from active military service and whose EMSVO registration has expired or will expire within 12 months after returning from active military service may secure an exception to the registration requirements under § 1023.21(d) (relating to general rights and responsibilities).
- (5) An EMSVO who operates a ground EMS vehicle exclusively for a QRS operated by an EMS agency does not have registration requirements.

# § 1023.23. Ambulance attendant and first responder.

An individual who is an ambulance attendant or who is certified as a first responder on April 10, 2014, will be deemed to be an EMR with a current registration and shall thereafter be subject to § 1023.24 (relating to emergency medical responder). The Department will issue an EMR certification to an individual who is certified as a first responder on April 10, 2014. The Department will issue an EMR certification to an individual who is qualified as an ambulance attendant on April 10, 2014, if that individual submits an application for EMR certification on a form or through an electronic process, as prescribed by the Department, which documents that the individual was qualified as an ambulance attendant under rescinded § 1003.21(b). An individual who qualifies for EMR certification by virtue of having been an ambulance attendant may serve as an EMR until April 11, 2016, without having obtained an EMR certification. The initial registration of an EMR certification of a person who qualified for that certification by having been a first responder will expire when that person's first responder certification would have expired. The initial registration of an EMR certification of a person who qualified for that certification by having been an ambulance attendant will expire when that person's qualifications as an ambulance attendant would have expired.

#### § 1023.24. Emergency medical responder.

- (a) Roles and responsibilities. An EMR performs for an EMS agency BLS skills involving basic interventions with minimum EMS equipment as follows:
- (1) As a member of a QRS to stabilize and improve a patient's condition until a higher level EMS provider arrives at the scene. The EMR may then assist the higher level EMS provider if requested to do so.
- (2) As a member of the crew of an ambulance or squad vehicle.
  - (3) As a member of a special operations EMS service.
  - (b) Certification.
- (1) The Department will certify as an EMR an individual who meets the following qualifications:
- (i) Completes an application for EMR certification on a form or through an electronic process, as prescribed by the Department.
  - (ii) Is 16 years of age or older.
- (iii) Has successfully completed an EMS provider educational course for EMRs or by October 12, 2013, a first responder educational course previously approved by the Department as an educational course leading to first responder certification.
- (iv) Has a current certificate evidencing successful completion of a CPR course acceptable to the Department.
- (v) Has passed a written examination for EMR certification prescribed by the Department or passed an exami-

nation which the Department has determined to be equivalent in both content and manner of administration to the written examination for EMR certification.

- (vi) Has passed a practical test of EMR skills for EMR certification prescribed by the Department or passed an examination which the Department has determined to be equivalent in both content and manner of administration to the practical test of EMR skills for EMR certification.
- (2) The Department will also certify as an EMR an individual who completes an application on a form or through an electronic process, as prescribed by the Department, and who applies for EMR certification under § 1023.21(g) (relating to general rights and responsibilities)
  - (c) Triennial registration.
- (1) An EMR's certification is deemed registered for 3 years. Thereafter, an EMR shall triennially register the certification by completing a form or through an electronic process, as prescribed by the Department. An EMR shall submit the form or complete the electronic process at least 30 days prior to the expiration of a current registration. Failure to do so in a timely manner may result in the EMR certification not being registered again before the prior registration expires. The Department will issue a new registration within 30 days after the EMR completes the form or the electronic process if the information provided establishes that the EMR has successfully completed one of the following:
- (i) The EMR practical skills and written knowledge triennial registration examinations prescribed by the Department.
- (ii) The continuing education requirements for triennial registration of an EMR certification in § 1023.31(b) (relating to continuing education requirements).
- (2) An EMR who is a member of the armed forces who is returning from active military service and whose EMR registration has expired or will expire within 12 months after returning from active military service may secure an exception to the triennial registration requirements under § 1023.21(d).
  - (d) Scope of practice.
- (1) An EMR's scope of practice includes skills in the following skill areas, as published in the *Pennsylvania Bulletin*, if the EMR has been educated to perform the following skills:
  - (i) Airway/ventilation/oxygenation.
  - (ii) Cardiovascular circulation.
  - (iii) Immobilization.
- (2) An EMR's scope of practice may be expanded to include BLS skills in other skill areas as the Department publishes in a notice in the *Pennsylvania Bulletin*. An EMR may not perform those additional skills unless the EMR has received education to perform those skills and is able to document having received the education in one of the following:
- (i) A course approved by the Department that covers the complete curriculum for certification as an EMR.
- (ii) A course which is determined by the Department to meet or exceed the standards of a course approved by the Department under subparagraph (i).
- (iii) A course for which the EMR may receive continuing education credit towards triennial registration of the EMR's certification or, if the EMR was previously certi-

- fied as a first responder, a course for which the EMR received continuing education credit towards first responder recertification prior to October 12, 2013.
- (3) The Department will publish in the *Pennsylvania Bulletin*, at least biennially, a list of the skills the Department has approved as being within the scope of practice of an EMR.

#### § 1023.25. Emergency medical technician.

- (a) Roles and responsibilities. An EMT performs basic EMS skills involving basic interventions and equipment found on an EMS vehicle or within an EMT's scope of practice as follows:
- (1) For an EMS agency as a member of the crew of an ambulance or squad vehicle.
- (2) For an EMS agency as a member of a QRS to stabilize and improve a patient's condition in an out-of-hospital setting until an ambulance arrives. The EMT may then assist the ambulance crew.
  - (3) As a member of a special operations EMS service.
- (4) As a first aid or safety officer, or in a similar capacity, for or independent of an EMS agency. When serving in this capacity independent of an EMS agency, the EMT does not function under the direction of an EMS agency medical director or a medical command physician. The EMT shall perform skills as prescribed by applicable Statewide and regional EMS protocols and may not perform any skill for which the EMT is required to secure medical command direction under those protocols.
  - (b) Certification.
- (1) The Department will certify as an EMT an individual who meets the following qualifications:
- (i) Completes an application for EMT certification on a form or through an electronic process, as prescribed by the Department.
  - (ii) Is 16 years of age or older.
- (iii) Has successfully completed an EMS provider educational course for EMTs.
- (iv) Has a current certificate evidencing successful completion of a CPR course acceptable to the Department.
- (v) Has passed a written examination for EMT certification prescribed by the Department.
- (vi) Has passed a practical test of EMT skills for EMT certification prescribed by the Department.
- (2) The Department will also certify as an EMT an individual who completes an application on a form or through an electronic process, as prescribed by the Department and who applies for EMT certification under § 1023.21(g) (relating to general rights and responsibilities)
  - (c) Triennial registration.
- (1) An EMT's certification is deemed registered for 3 years. Thereafter, an EMT shall triennially register the certification by completing a form or through an electronic process, as prescribed by the Department. An EMT shall submit the form or complete the electronic process at least 30 days prior to the expiration of a current registration. Failure to do so in a timely manner may result in the EMT certification not being registered again before the prior registration expires. The Department will issue a new registration within 30 days after the EMT completes the form or the electronic process if the infor-

mation provided establishes that the EMT has successfully completed one of the following:

- (i) The EMT practical skills and written knowledge triennial registration examinations prescribed by the Department.
- (ii) The continuing education requirements for triennial registration of an EMT certification in § 1023.31(c) (relating to continuing education requirements).
- (2) An EMT who is a member of the armed forces who is returning from active military service and whose EMT registration has expired or will expire within 12 months after returning from active military service may secure an exception to the triennial registration requirements under § 1023.21(d).
  - (d) Scope of practice.
- (1) An EMT's scope of practice incorporates the scope of practice of an EMR and additional skills in the following skill areas, as published in the *Pennsylvania Bulletin*, if the EMT has been educated to perform the following skills:
  - (i) Airway/ventilation/oxygenation.
  - (ii) Cardiovascular circulation.
  - (iii) Immobilization.
  - (iv) Medication administration—routes.
- (2) An EMT's scope of practice may be expanded to include basic EMS skills in other skill areas as the Department publishes in a notice in the *Pennsylvania Bulletin*. An EMT may not perform those additional skills unless the EMT has received education to perform those skills, and is able to document having received the education, in one of the following:
- (i) A course approved by the Department that covers the complete curriculum for certification as an EMT.
- (ii) A course which is determined by the Department to meet or exceed the standards of course approved by the Department under subparagraph (i).
- (iii) A course for which the EMT may receive continuing education credit towards recertification.
- (3) The Department will publish in the *Pennsylvania Bulletin*, at least biennially, a list of the skills the Department has approved as being within the scope of practice of an EMT.

#### § 1023.26. Advanced emergency medical technician.

- (a) Roles and responsibilities. An AEMT performs basic and advanced EMS skills which include interventions and administration of medications and vaccines with basic and advanced equipment found on an EMS vehicle or within an AEMT's scope of practice, as follows:
- (1) For an EMS agency as a member of the crew of an ambulance or squad vehicle.
- (2) For an EMS agency as a member of a QRS to stabilize and improve a patient's condition in an out-of-hospital setting until an ambulance arrives. The AEMT may then assist the ambulance crew.
  - (3) As a member of a special operations EMS service.
- (4) As a first aid or safety officer, or in a similar capacity, for or independent of an EMS agency. When serving in this capacity independent of an EMS agency, an AEMT does not function under the direction of an EMS agency medical director or a medical command physician. The AEMT shall perform skills as prescribed

- by applicable Statewide and regional EMS protocols and may not perform the following:
- (i) Skills other than those permitted at the EMT level of care.
- (ii) A skill for which the EMT is required to secure medical command direction under those protocols.
  - (b) Certification.
- (1) The Department will certify as an AEMT an individual who meets the following qualifications:
- (i) Completes an application for AEMT certification on a form or through an electronic process, as prescribed by the Department.
  - (ii) Is 18 years of age or older.
  - (iii) Has successfully completed one of the following:
  - (A) An EMS provider educational course for AEMTs.
- (B) An EMS provider educational course for EMTs and education, through continuing education courses, in skills required in the scope of practice of an AEMT for which the applicant did not receive education in the EMT course.
- (iv) Has a current certificate evidencing successful completion of a CPR course acceptable to the Department.
- (v) Has passed a written examination for AEMT certification prescribed by the Department.
- (vi) Has passed a practical test of AEMT skills for AEMT certification prescribed by the Department.
- (2) The Department will also certify as an AEMT an individual who completes an application on a form or through an electronic process, as prescribed by the Department and who applies for AEMT certification under § 1023.21(g) (relating to general rights and responsibilities).
  - (c) Biennial registration.
- (1) When an AEMT certification is issued it is deemed registered through December 31 of that year if it is issued in an odd-numbered year, or through December 31 of the next odd-numbered year if it is issued in an even-numbered year. Thereafter, an AEMT shall biennially register the certification by completing a form or through an electronic process, as prescribed by the Department. An AEMT shall submit the form or complete the electronic process at least 30 days prior to the expiration of a current registration. Failure to do so in a timely manner may result in the AEMT certification not being registered again before the prior registration expires. The Department will issue a new registration within 30 days after the AEMT completes the form or the electronic process if the information provided establishes that the AEMT has successfully completed one of the following:
- (i) The AEMT practical skills and written knowledge biennial registration examinations prescribed by the Department.
- (ii) The continuing education requirements for biennial registration of an AEMT certification in § 1023.31(d) (relating to continuing education requirements).
- (2) An AEMT who is a member of the armed forces who is returning from active military service and whose AEMT registration has expired or will expire within 12 months after returning from active military service may secure an exception to the biennial registration requirements under § 1023.21(d).

- (d) Scope of practice.
- (1) An AEMT's scope of practice incorporates the scope of practice of an EMT and additional skills in the following skill areas, as published in the *Pennsylvania Bulletin*, if the AEMT has been educated to perform the following skills:
  - (i) Airway/ventilation/oxygenation.
  - (ii) Cardiovascular circulation.
  - (iii) Immobilization.
  - (iv) Medication administration—routes.
  - (v) IV initiation/maintenance fluids.
- (2) An AEMT's scope of practice may be expanded to include ALS skills in other skill areas as the Department publishes in a notice in the *Pennsylvania Bulletin*. An AEMT may not perform those additional skills unless the AEMT has received education to perform those skills and is able to document having received the education in one of the following:
- (i) A course approved by the Department that covers the complete curriculum for an AEMT.
- (ii) A course which is determined by the Department to meet or exceed the standards of a course approved by the Department under subparagraph (i).
- (iii) A course for which an AEMT may receive continuing education credit towards biennial registration of the AEMT certification.
- (3) The Department will publish in the *Pennsylvania Bulletin*, at least biennially, a list of the skills the Department has approved as being within the scope of practice of an AEMT.

# § 1023.27. Paramedic.

- (a) Roles and responsibilities. A paramedic performs basic and advanced EMS skills which include interventions and administration of medications and vaccines with basic and advanced equipment found on an EMS vehicle found or within a paramedic's scope of practice, as follows:
- (1) For an EMS agency as a member of the crew of an ambulance or squad vehicle.
- (2) For an EMS agency as a member of a QRS to stabilize and improve a patient's condition in an out-of-hospital emergency until an ambulance arrives at the scene. The paramedic may then assist the ambulance crew.
  - (3) As a member of a special operations EMS service.
- (4) As a first aid or safety officer, or in a similar capacity, for or independent of an EMS agency. When serving in this capacity independent of an EMS agency, a paramedic does not function under the direction of an EMS agency medical director or a medical command physician. The paramedic shall perform skills as prescribed by applicable Statewide and regional EMS protocols, and may not perform the following:
- (i) Skills other than those permitted at the EMT level of care.
- (ii) A skill for which the EMT is required to secure medical command direction under those protocols.
- (b) Certification. The Department will certify as a paramedic an individual who meets the following qualifications:

- (1) Completes an application for paramedic certification on a form or through an electronic process, as prescribed by the Department.
- (2) Is certified as an EMT or an AEMT by the Department or possesses an equivalent certification issued by another state.
  - (3) Is 18 years of age or older.
  - (4) Has a high school diploma or its equivalent.
- (5) Has successfully completed an EMS provider educational course for paramedics.
- (6) Has a current certificate evidencing successful completion of a CPR course acceptable to the Department.
- (7) Has passed a practical test of paramedic skills for paramedic certification approved by the Department.
- (8) Has passed a written examination for paramedic certification approved by the Department.
  - (c) Biennial registration.
- (1) When a paramedic certification is issued it is deemed registered through December 31 of that year if it is issued in an odd-numbered year, or through December 31 of the next odd-numbered year if it is issued in an even-numbered year. Thereafter, a paramedic shall biennially register the certification by completing a form or through an electronic process, as prescribed by the Department. A paramedic shall submit the form or complete the electronic process at least 30 days prior to the expiration of a current registration. Failure to do so in a timely manner may result in the paramedic certification not being registered again before the prior registration expires. The Department will issue a new registration within 30 days after the paramedic completes the form or the electronic process if the information provided establishes that the paramedic has successfully completed one of the following:
- (i) The paramedic practical skills and written knowledge biennial registration examinations prescribed by the Department.
- (ii) The continuing education requirements for biennial registration of a paramedic certification in § 1023.31(e) (relating to continuing education requirements).
- (2) A paramedic who is a member of the armed forces who is returning from active military service and whose paramedic registration has expired or will expire within 12 months after returning from active military service may secure an exception to the triennial registration requirements under § 1023.21(d) (relating to general rights and responsibilities).
  - (d) Scope of practice.
- (1) A paramedic's scope of practice incorporates the scope of practice of an AEMT and additional skills in the following skill areas, as published in the *Pennsylvania Bulletin*, if the paramedic has been educated to perform the following skills:
  - (i) Airway/ventilation/oxygenation.
  - (ii) Cardiovascular circulation.
  - (iii) Immobilization.
  - (iv) Medication administration—routes.
  - (v) IV initiation/maintenance fluids.
- (2) A paramedic's scope of practice may be expanded to include advanced EMS skills in other skill areas as the Department publishes in a notice in the *Pennsylvania Bulletin*. A paramedic may not perform those additional

- skills unless the paramedic has received education to perform those skills, and is able to document having received the education, in one of the following:
- (i) A course approved by the Department that covers the complete curriculum for certification as a paramedic.
- (ii) A course which is determined by the Department to meet or exceed the standards of a course approved by the Department under subparagraph (i).
- (iii) A course for which the paramedic may receive continuing education credit towards biennial registration of the paramedic certification.
- (3) The Department will publish in the *Pennsylvania Bulletin*, at least biennially, a list of the skills the Department has approved as being within the scope of practice of a paramedic.

#### § 1023.28. Prehospital registered nurse.

- (a) Roles and responsibilities. A PHRN performs for an EMS agency basic and advanced EMS skills and additional skills within the scope of practice of a registered nurse under The Professional Nursing Law (63 P.S. §§ 211—225.5) as follows:
- (1) As a member of the crew of an ambulance or squad vehicle.
- (2) As a member of a QRS to stabilize and improve a patient's condition in an out-of-hospital emergency until an ambulance arrives at the scene. The PHRN may then assist the ambulance crew.
  - (3) As a member of a special operations EMS service.
- (4) As a first aid or safety officer, or in a similar capacity.
- (b) Certification. The Department will certify as a PHRN an individual who meets the following qualifications:
- (1) Completes an application for PHRN certification on a form or through an electronic process, as prescribed by the Department.
- (2) Has a current license as a registered nurse with the State Board of Nursing.
  - (3) Is 18 years of age or older.
- (4) Has a current certificate evidencing successful completion of a CPR course acceptable to the Department.
- (5) Has passed a practical test of PHRN skills for PHRN certification approved by the Department.
- (6) Has passed a written test of PHRN skills for PHRN certification approved by the Department.
  - (c) Biennial registration.
- (1) When a PHRN certification is issued it is deemed registered through December 31 of that year, if it is issued in an odd-numbered year, or through December 31 of the next odd-numbered year, if it is issued in an even-numbered year. Thereafter, a PHRN shall biennially register the certification by completing a form or through an electronic process, as prescribed by the Department. A PHRN shall submit the form or complete the electronic process at least 30 days prior to the expiration of a current registration. Failure to do so in a timely manner may result in the PHRN certification not being registered again before the prior registration expires. The Department will issue a new registration within 30 days after the PHRN completes the form or the electronic process if the information provided establishes that the PHRN has satisfied the following:

- (i) Has a current registered nurse license or current registration of that license.
- (ii) Has completed the continuing education requirements for biennial registration of a PHRN certification in § 1023.31(f) (relating to continuing education requirements).
- (2) A PHRN who is a member of the armed forces who is returning from active military service and whose PHRN registration has expired or will expire within 12 months after returning from active military service may secure an exception to the biennial registration requirements under § 1023.21(d) (relating to general rights and responsibilities).
- (d) Scope of practice. A PHRN may perform skills within a paramedic's scope of practice and other skills authorized by The Professional Nursing Law, when authorized by a medical command physician or the applicable Statewide or Department-approved EMS protocol. A PHRN who has not been educated in a skill within a paramedic's scope of practice may not perform that skill unless and until the PHRN has received education to perform the skill and is able to document having received the education as required under § 1023.27(d)(2) (relating to paramedic) or otherwise documents having received the education to competently perform the skill.

#### § 1023.29. Prehospital physician extender.

- (a) Roles and responsibilities. A PHPE performs for an EMS agency basic and advanced EMS skills, and additional skills within the scope of practice of a physician assistant under the Medical Practice Act of 1985 (63 P. S. §§ 422.1—422.51a) or the Osteopathic Medical Practice Act (63 P. S. §§ 271.1—271.18), or a successor act, as follows:
- (1) As a member of the crew of an ambulance or squad vehicle.
- (2) As a member of a QRS to stabilize and improve a patient's condition in an out-of-hospital emergency until an ambulance arrives at the scene. The PHPE may then assist the ambulance crew.
  - (3) As a member of a special operations EMS service.
- (4) As a first aid or safety officer, or in a similar capacity.
- (b) Certification. The Department will certify as a PHPE an individual who meets the following qualifications:
- (1) Completes an application for PHPE certification on a form or through an electronic process, as prescribed by the Department.
- (2) Has a currently registered license as a physician assistant with the State Board of Medicine or the State Board of Osteopathic Medicine.
  - (3) Is 18 years of age or older.
- (4) Has a current certificate evidencing successful completion of a CPR course acceptable to the Department.
- (5) Has passed a practical test of PHPE skills for PHPE certification approved by the Department.
- (6) Has passed a written test of PHPE skills for PHPE certification approved by the Department.
  - (c) Biennial registration.
- (1) When a PHPE certification is issued it is deemed registered through December 31 of that year, if it is issued in an odd-numbered year, or through December 31

of the next odd-numbered year, if it is issued in an even-numbered year. Thereafter, a PHPE shall biennially register the certification by completing a form or through an electronic process, as prescribed by the Department. A PHPE shall submit the form or complete the electronic process at least 30 days prior to the expiration of a current registration. Failure to do so in a timely manner may result in the PHPE certification not being registered again before the prior registration expires. The Department will issue a new registration within 30 days after the PHPE completes the form or the electronic process if the information provided establishes that the PHPE has satisfied the following:

- (i) Has a current physician assistant license or current registration of that license.
- (ii) Has completed the continuing education requirements for biennial registration of a PHPE certification in § 1023.31(g) (relating to continuing education requirements).
- (2) A PHPE who is a member of the armed forces who is returning from active military service and whose PHPE registration has expired or will expire within 12 months after returning from active military service may secure an exception to the biennial registration requirements under § 1023.21(d) (relating to general rights and responsibilities)
- (d) Scope of practice. A PHPE may perform skills within a paramedic's scope of practice and other skills a physician assistant is authorized to perform by the Medical Practice Act of 1985 or the Osteopathic Medical Practice Act, whichever applies to the physician assistant, when authorized by a medical command physician or an applicable Statewide or Department-approved EMS protocol. When a PHPE functions in this capacity, the physician supervision requirements under the Medical Practice Act of 1985 and the Osteopathic Medical Practice Act do not apply. A PHPE who has not been educated in a skill within a paramedic's scope of practice may not perform that skill unless and until the PHPE has received education to perform the skill and is able to document having received the education as required under § 1023.27(d)(2) (relating to paramedic) or otherwise documents having received the education to competently perform the skill.

# § 1023.30. Prehospital EMS physician.

- (a) Roles and responsibilities. A PHP performs for an EMS agency basic and advanced EMS skills within the scope of practice of a physician under the Medical Practice Act of 1985 (63 P.S. §§ 422.1—422.51a) or the Osteopathic Medical Practice Act (63 P.S. §§ 271.1—271.18) as follows:
- (1) As a member of the crew of an ambulance or squad vehicle.
- (2) As a member of a QRS to stabilize and improve a patient's condition in an out-of-hospital setting.
  - (3) As a member of a special operations EMS service.
- (4) As a first aid or safety officer, or in a similar capacity.
- (b) Certification. The Department will certify as PHP a physician who meets the following qualifications:
- (1) Completes an application for PHP certification on a form or through an electronic process, as prescribed by the Department.

- (2) Has successfully completed one of the following:
- (i) A residency program in emergency medicine accredited by a residency program accrediting body recognized by the State Board of Medicine or the State Board of Osteopathic Medicine.
- (ii) The first year of a residency program that satisfies the requirements in subparagraph (i) and the ACLS course, the ATLS course, the APLS or PALS course or, for each of these courses, a course that the Department determines meets or exceeds the requirements of the course.
- (iii) A residency program in anesthesia, general surgery, internal medicine or family medicine, by a residency program accrediting body recognized by the State Board of Medicine or the State Board of Osteopathic Medicine, and the ACLS course, the ATLS course, the APLS or PALS course or, for each of these courses, a course that the Department determines meets or exceeds the requirements of the course.
- (3) Has a current certificate evidencing successful completion of a CPR course acceptable to the Department.
- (4) Has passed a practical test of EMS skills prescribed by the Department for a PHP or served as a prehospital health professional physician prior to October 12, 2013.
- (c) Transition for prehospital health professional physicians. A physician who served as a prehospital health professional physician prior to April 10, 2014, and who satisfies the certification requirements under subsection (b)(2), may serve as a PHP until July 9, 2014, without having secured a certification as a PHP.
  - (d) Biennial registration.
- (1) When a PHP certification is issued it is deemed registered through December 31 of that year, if it is issued in an odd-numbered year, or through December 31 of the next odd-numbered year, if it is issued in an even-numbered year. Thereafter, a PHP shall biennially register the certification by completing a form or through an electronic process, as prescribed by the Department. A PHP shall submit the form or complete the electronic process at least 30 days prior to the expiration of a current registration. Failure to do so in a timely manner may result in the PHP certification not being registered again before the prior registration expires. The Department will issue a new registration within 30 days after the PHP completes the form or the electronic process if the information provided establishes that the PHP has satisfied the following:
- (i) Has a current physician license or current registration of that license.
- (ii) Has completed the continuing education requirements for biennial registration of a PHP certification in § 1023.31(h) (relating to continuing education requirements).
- (2) A PHP who is a member of the armed forces who is returning from active military service and whose PHP registration has expired or will expire within 12 months after returning from active military service may secure an exception to the biennial registration requirements under § 1023.21(d) (relating to general rights and responsibilities)
- (e) Scope of practice. A PHP may perform skills within a paramedic's scope of practice and other skills within the practice of medicine or osteopathic medicine. A PHP may not perform a skill that the PHP has not been educated to perform. A regional EMS medical director shall verify

that a PHP who is also an EMS agency medical director and who qualifies for PHP certification by satisfying the requirements in subsection (b)(2)(iii) has the competency to perform all skills within a paramedic's scope of practice

### § 1023.31. Continuing education requirements.

- (a) EMSVOs. Beginning with the first full registration period an EMSVO begins following October 12, 2013, an EMSVO whose certification is currently registered shall, prior to the expiration of the registration period, successfully complete three continuing education credits if the registration is on a 3-year renewal cycle and two continuing education credits if the registration is on a 2-year renewal cycle in subjects regarding the scope of practice of an EMSVO regarding effective driving of a ground EMS vehicle, as specified in a notice the Department publishes in the Pennsylvania Bulletin. The continuing education requirements imposed by this subsection for registration of an EMSVO certification are in addition to those imposed upon an EMS provider for registration of an EMS provider certification.
- (b) *EMRs*. Beginning with the first full registration period an EMR begins following October 12, 2013, an EMR whose certification is currently registered and who elects to qualify for triennial registration of the certification by fulfilling continuing education requirements shall, prior to the expiration of the 3-year registration period, successfully complete the following:
- (1) Sixteen credits in instruction in subjects related to the scope of practice of an EMR as set forth in § 1023.24(a) and (d) (relating to emergency medical responder) and which have been approved by the Department for continuing education credit. At least 12 of the credits shall be in clinical patient care and other core continuing education courses as specified in a notice the Department publishes in the *Pennsylvania Bulletin*. During an initial registration period that goes into effect on October 12, 2013, an EMR who has transitioned from a first responder certification to an EMR certification shall satisfy the continuing education requirements that had been imposed upon a first responder under rescinded § 1003.29(a) to renew a first responder certification.
  - (2) A CPR course completed or taught biennially.
- (c) *EMTs*. Beginning with the first full registration period an EMT begins following October 12, 2013, an EMT whose certification is currently registered and who elects to qualify for triennial registration of the certification by fulfilling continuing education requirements shall, prior to the expiration of the 3-year registration period, successfully complete the following:
- (1) Twenty-four credits in instruction in subjects related to the scope of practice of an EMT as set forth in § 1023.25(a) and (d) (relating to emergency medical technician) and which have been approved by the Department for continuing education credit. At least 18 of the credits shall be in clinical patient care and other core continuing education courses as specified in a notice the Department publishes in the *Pennsylvania Bulletin*. During an initial registration period that goes into effect on October 12, 2013, an EMT shall satisfy the continuing education requirements that had been imposed upon an EMT under rescinded § 1003.29(b) to renew an EMT certification.
  - (2) A CPR course completed or taught biennially.
- (d) AEMTs. An AEMT whose certification is currently registered and who elects to qualify for biennial registration of the certification by fulfilling continuing education

- requirements shall, prior to the expiration of the 2-year registration period, successfully complete the following:
- (1) Effective with the registration period beginning January 1, 2014, 36 credits in instruction in subjects related to the scope of practice of an AEMT as set forth in § 1023.26(a) and (d) (relating to advanced emergency medical technician) and which have been approved by the Department for continuing education credit. At least 27 of the credits shall be in clinical patient care and other core continuing education courses as specified in a notice the Department publishes in the *Pennsylvania Bulletin*, beginning with the first full registration period the AEMT begins following the initial registration period.
  - (2) A CPR course completed or taught biennially.
- (e) Paramedics. A paramedic whose certification is currently registered and who elects to qualify for biennial registration of the certification by fulfilling continuing education requirements shall, prior to the expiration of the 2-year registration period, successfully complete the following:
- (1) Effective with the registration period beginning January 1, 2014, 36 credits in instruction in subjects related to the scope of practice of a paramedic as set forth in § 1023.27(a) and (d) (relating to paramedic) and which have been approved by the Department for continuing education credit. At least 27 of the credits shall be in clinical patient care and other core continuing education courses as specified in a notice the Department publishes in the *Pennsylvania Bulletin*, beginning with the first full registration period the paramedic begins following the initial registration period.
  - (2) A CPR course completed or taught biennially.
- (3) Prior to January 1, 2014, a paramedic shall satisfy the continuing education requirements that had been imposed upon a paramedic under rescinded § 1003.29(c) to renew medical command authorization.
- (f) PHRNs. A PHRN whose certification is currently registered shall, prior to the expiration of the 2-year registration period, successfully complete the following:
- (1) Effective with the registration period beginning January 1, 2014, 36 credits in instruction in subjects related to the scope of practice of a PHRN as set forth in § 1023.28(a) and (d) (relating to prehospital registered nurse) and which have been approved by the Department for continuing education credit. At least 27 of those credits shall be in clinical patient care and other core continuing education courses as specified in a notice the Department publishes in the *Pennsylvania Bulletin*, beginning with the first full registration period the PHRN begins following the initial registration period.
  - (2) A CPR course completed or taught biennially.
- (3) Prior to January 1, 2014, a PHRN shall satisfy the continuing education requirements that had been imposed upon a PHRN under rescinded § 1003.29(d) to renew medical command authorization.
- (g) PHPEs. A PHPE whose certification is currently registered shall, prior to the expiration of the 2-year registration period, successfully complete the following:
- (1) Effective with the registration period beginning January 1, 2014, 36 credits in instruction in subjects related to the scope of practice of a PHPE as set forth in § 1023.29(a) and (d) (relating to prehospital physician extender) and which have been approved by the Department for continuing education credit. At least 27 of the credits shall be in clinical patient care and other core

continuing education courses as specified in a notice the Department publishes in the *Pennsylvania Bulletin*, beginning with the first full registration period the PHPE begins following the initial registration period.

- (2) A CPR course completed or taught biennially.
- (3) Prior to January 1, 2014, a PHPE shall satisfy the continuing education requirements that had been imposed upon a paramedic under rescinded § 1003.29(c) to renew medical command authorization.
- (h) *PHPs*. A PHP whose certification is currently registered shall, prior to the expiration of the 2-year registration period, successfully complete the following:
- (1) Effective with the registration period beginning January 1, 2014, 36 credits in instruction in subjects related to the scope of practice of a PHP as set forth in § 1023.30(a) and (e) (relating to prehospital EMS physician) and which have been approved by the Department for continuing education credit. At least 27 of the credits shall be in clinical patient care and other core continuing education courses as specified in a notice the Department publishes in the *Pennsylvania Bulletin*, beginning with the first full registration period the PHP begins following the initial registration period.
  - (2) A CPR course completed or taught biennially.
- (i) Conditional continuing education requirements. This section does not prohibit an EMS agency from requiring EMS providers or EMSVO to satisfy continuing education requirements it may choose to impose as a condition of employment, provided that the EMS agency may not excuse an EMS provider or EMSVO from meeting continuing education requirements imposed by this section.
- (j) Proration of continuing education requirements. The continuing education requirements for EMS providers on a 2-year registration cycle will be prorated for the first registration period based upon the month in which the EMS provider became certified, with a fractional requirement rounded down. Proration of continuing education requirements will apply for the first registration period of an EMSVO certification of an EMS provider on a 2-year registration cycle.

# § 1023.32. Credit for continuing education.

- (a) Credit. An EMS provider and an EMSVO shall receive one credit for each 60 minutes of instruction approved by the Department for continuing education credit presented in a classroom setting by a continuing education sponsor. Credit may not be received if attendance or other participation in the course is not adequate to meet the educational objectives of the course as determined by the course sponsor. Credit may not be received if the EMS provider or EMSVO misses more than 15% of the time assigned for the course. Credit may not be received for other than 30- or 60-minute units of instruction. The course must be at least 30 minutes. An EMS provider and an EMSVO will receive credit for a specific course only one time per registration cycle, even if the EMS provider or EMSVO has repeated the course in a different year of the same registration cycle. Continuing education credits may not be carried over from one certification period to another. For completing a continuing education course that is not presented in a classroom setting, or that is not presented by a continuing education sponsor, the EMS provider or EMSVO shall receive the number of credit hours assigned by the Department to the course.
- (b) Course completion. An EMS provider or EMSVO may not receive credit for a continuing education course

- not completed, as evidenced by satisfaction of the check-in/check-out process for a course presented in a classroom setting by a continuing education sponsor, which reflects that the EMS provider or EMSVO met the continuing education attendance requirement for receiving credit, and the continuing education sponsor's report to the Department verifying that the EMS provider or EMSVO has completed the course. The course will not be considered completed if the EMS provider or EMSVO does not satisfy other course completion requirements imposed by this chapter and the continuing education sponsor.
- (c) Continuing education credit for instruction. An EMS provider or EMSVO shall receive credit for serving as an instructor in a continuing education course offered by a continuing education sponsor, or in a course that satisfies requirements for EMS provider or EMSVO certification conducted by an EMS educational institute. An EMS provider or EMSVO shall receive credit for teaching a continuing education course equal to the amount of credit for which a continuing education course is approved by the Department, and shall receive credit for teaching a course that satisfies requirements for EMS provider or EMSVO certification equal to the number of hours served as an instructor in that course. An EMS provider or EMSVO shall receive credit for teaching the same course only once during a registration renewal cycle.
- (d) Continuing education credit through endorsement. An EMS provider or EMSVO who attends or teaches a course offered by an organization with National or State accreditation to provide education may apply to the Department to receive credit for the course. The EMS provider or EMSVO shall have the burden of demonstrating to the Department that the course meets standards substantially equivalent to the standards imposed in this chapter.
- (e) Continuing education credit assigned to courses not conducted by a continuing education sponsor. If a course is offered by an organization with National or State accreditation to provide education, which is not a continuing education sponsor, the Department will assign credit to the course, including the possibility of no credit or partial credit, based upon considerations of whether the course is based entirely upon appropriate subject matter and whether the method of presenting the course meets standards substantially equivalent to those prescribed in this chapter.
- (f) Continuing education credit assigned to self-study courses. Credit may be sought from the Department for a self-study continuing education course. The EMS provider or EMSVO shall submit an application to the Department to approve the self-study course for credit prior to beginning the course and supply the Department with the materials the Department requests to conduct the evaluation. The Department will assign credit to the course, including the possibility of no credit or partial credit, based upon considerations of whether the course addresses appropriate subject matter and whether the method of completing the course meets standards substantially equivalent to those prescribed in this chapter. The Department may require modifications to the proposed self-study as a precondition to approving it for credit.
- (g) Continuing education credit assigned to courses not presented in a classroom setting. An EMS provider or EMSVO shall be awarded credit for completing a course without the EMS provider or EMSVO physically attend-

ing the course in a classroom setting, provided the course has been approved by the Department for credit when presented in that manner.

- (h) Department record of continuing education credits. A record of the continuing education credits received by EMS providers and EMSVOs shall be maintained by the Department in a Statewide registry that may be accessed by an EMS provider or EMSVO through a secure access process provided by the Department.
- (i) Resolution of discrepancies. It is the responsibility of an EMS provider and an EMSVO to review the record of continuing education credits in the Statewide registry for that individual and to notify the appropriate regional EMS council of any discrepancy. The Department will resolve all discrepancies between the number of continuing education credits reported and the number of continuing education credits an EMS provider or EMSVO alleges to have earned, which are not resolved by the regional EMS council. An EMS provider and an EMSVO will not receive credit for completing the same continuing education course more than once during a registration renewal cycle.

#### § 1023.33. Endorsement of course or examination.

- (a) When acting upon an application for EMS provider certification, the Department may endorse as satisfying the education or examination requirement for the certification a National course or examination taken by the applicant, or a course or examination taken by the applicant in another state to meet that state's course or examination requirement for the same or equivalent certification, if the Department determines that the course or examination meets or exceeds the standards for the course or examination requirement for the EMS provider certification issued by the Department.
- (b) When acting upon an application for registration of an EMS provider certification, the Department may endorse as satisfying the continuing education or examination requirement for registration of the certification a National course or examination taken by the applicant, or a course or examination taken by the applicant in another state to meet that state's course or examination requirement for renewal or registration of the same or equivalent certification, if the Department determines that the course or examination meets or exceeds the standards for the course or examination requirement for registration of the EMS provider certification issued by the Department.

# § 1023.34. Reciprocity.

- (a) If the Department, upon review of the criteria for certification or equivalent authorization of a type of EMS provider in another state determines that the criteria is substantially equivalent to the criteria for a type of EMS provider certification it issues, the Department may enter into a reciprocity agreement with its counterpart agency in the other state to certify the same type of provider in this Commonwealth based solely upon the other state's certification of the EMS provider, provided:
- (1) The agreement provides that the counterpart authority in the other state will accord the equivalent EMS provider certified by the Department the same treatment in the other state.
- (2) The agreement does not deprive the Department of its authority to deny a certification based upon disciplinary considerations.
- (b) The Department will publish in the *Pennsylvania Bulletin*, and update as appropriate, a notice listing the

states with which it has entered into a reciprocity agreement and, for each state, the type of EMS provider covered by the reciprocity agreement.

# Subchapter C. OTHER PERSONS ASSOCIATED WITH THE STATEWIDE EMS SYSTEM

Sec.

1023.51. Certified EMS instructors.

1023.52. Rescue personnel.

#### § 1023.51. Certified EMS instructors.

- (a) *Certification*. The Department will certify as an EMS instructor an individual who meets the following qualifications:
- (1) Has completed an application for EMS instructor certification on a form or through an electronic process, as prescribed by the Department.
  - (2) Is 18 years of age or older.
- (3) Has successfully completed an EMS instructor's course approved by the Department or possesses a bachelor's degree in education, a teacher's certification in education, a doctorate or master's degree.
- (4) Has provided at least 20 hours of instruction time in an EMS provider educational course monitored by a certified EMS instructor designated by the EMS educational institute's administrative director.
- (5) Possesses current certification as an EMT or higher level EMS provider.
- (6) Possesses current certification in CPR or current certification as a CPR instructor.
- (7) Possesses at least 1 year of experience in providing EMS as an EMT or higher level EMS provider.
- (b) Triennial registration. An EMS instructor certification is deemed registered for 3 years. Thereafter, an EMS instructor shall triennially register the certification by completing a form or through an electronic process, as prescribed by the Department. An EMS instructor shall submit the form or complete the electronic process at least 30 days prior to the expiration of a current registration. Failure to do so in a timely manner may result in the EMS instructor certification not being registered again before the prior registration expires. The Department will issue a new registration within 30 days after the EMS instructor completes the form or the electronic process if the information provided establishes that the EMS instructor has met the following requirements:
- (1) Has provided documentation to the Department to establish that the individual conducted at least 60 hours of teaching EMS provider or rescue courses during the previous 3 years.
- (2) Possesses current registration of a certification as an EMT or higher level EMS provider.
- (3) Possesses current certification in CPR or a current certification as a CPR instructor.
- (c) Standards for providing instruction. An EMS instructor shall satisfy the following in providing instruction in an EMS educational institute:
- (1) Present EMS educational program course materials as required under § 1025.1(h)(6) (relating to accreditation and operational requirements of EMS educational institutes).
- (2) Utilize a variety of instructional strategies, adapting to students with diverse backgrounds and different learning styles.

- (3) Establish and clearly communicate to students the goals and objectives for the certification class being taught and administer periodic evaluations to assess whether those goals and objectives are being met.
- (4) Maintain class order and discipline, manage the classroom learning environment and monitor the effectiveness of instruction.
- (5) Ensure proper class time management with particular attention to completion of required class hours.

## § 1023.52. Rescue personnel.

- (a) Vehicle rescue technician.
- (1) Roles and responsibilities. A vehicle rescue technician is an individual certified by the Department as possessing the training and skills to perform rescue skills in accordance with the vehicle rescue course approved by the Department in consultation with the State Fire Commissioner. The program provides the student with the knowledge and skills necessary to achieve the rescue of persons involved in automobile accidents.
- (2) Minimum qualifications. To secure certification as a vehicle rescue technician, an applicant shall make application for vehicle rescue practices technician certification on a form or through an electronic process, as prescribed by the Department, and shall have successfully completed a training program for vehicle rescue approved by the Department and a written vehicle rescue practices test developed by the Department.
  - (b) Special vehicle rescue technician.
- (1) Roles and responsibilities. A special vehicle rescue technician is an individual certified by the Department as possessing the training and skills to perform rescues in accordance with the specialized rescue training course approved by the Department in consultation with the State Fire Commissioner.
- (2) Minimum qualifications. To secure certification as a special vehicle rescue technician, an applicant shall make application for special vehicle rescue practices technician certification on a form or through an electronic process, as prescribed by the Department, and shall have successfully completed a training program for specialized vehicle rescue approved by the Department and a written special vehicle rescue technician test developed by the Department
- (c) Rescue instructor. The Department will develop a program in consultation with the State Fire Commissioner providing for the certification of rescue instructors. Courses that seek Department approval as a rescue training course for a vehicle rescue technician or special vehicle rescue technician shall be taught by certified rescue instructors.
- (d) Certificates. The rescue technician certifications issued by the Department under this section do not constitute a legal prerequisite for the performance of rescues. The rescue instructor certifications issued by the Department under this section do not constitute a legal prerequisite for serving as a rescue instructor in programs other than rescue training courses approved by the Department. The Department approves the rescue programs and issues the certifications referenced within this section to promote the Statewide EMS system having personnel with sufficient education and skills to perform rescues.

#### **CHAPTER 1025. EDUCATION**

Subchap.

A. EMS EDUCATIONAL INSTITUTES
B. EMS CONTINUING EDUCATION COURSES

# Subchapter A. EMS EDUCATIONAL INSTITUTES

Sec.

1025.1. Accreditation and operational requirements of EMS educational institutes.

1025.2. Accreditation process.

1025.3. Advertising.

# § 1025.1. Accreditation and operational requirements of EMS educational institutes.

- (a) Eligible entity. An EMS educational institute shall be a secondary or postsecondary institution, hospital, regional EMS council, an educational institute in a branch of the armed forces or another entity which meets the criteria in this chapter.
  - (b) Educational programs.
- (1) An EMS educational institute that is accredited by the Department to offer BLS educational courses (BLS educational institute) shall evidence the ability to conduct one or more of the following EMS provider educational courses:
  - (i) Emergency medical responder course.
  - (ii) Emergency medical technician course.
  - (iii) Advanced emergency medical technician course.
- (2) An EMS educational institute that is accredited by the Department to offer ALS educational courses (ALS educational institute) shall evidence the ability to conduct one or more of the following EMS provider educational courses:
  - (i) A course listed under subsection (b)(1).
  - (ii) Paramedic course.
- (3) An EMS educational institute shall register with the Department the location and staffing plan of a course it offers towards satisfying an EMS provider certification educational requirement at least 30 business days before the first class is held.
  - (c) Advisory committee.
- (1) An EMS educational institute shall have an advisory committee that is comprised of representatives of the EMS communities that have an interest in the EMS provider educational courses the institute offers, and which also includes a representative of an appropriate regional EMS council and the institute's medical director.
- (2) The advisory committee shall meet at least annually and assist program personnel in formulating and periodically revising appropriate goals and objectives and in monitoring the EMS educational institute's performance
- (d) Disclosure to students and prospective students. An EMS educational institute shall disclose the following to students and prospective students:
  - (1) The institute's accreditation status.
- (2) The Department is the accrediting body, the contact information for the Department and the regional EMS councils where its courses are offered, as provided to the institute by the Department and those regional EMS councils.
- (3) The institute's admissions, discipline, and discharge policies and practices.
- (4) The functional job analysis of each EMS provider classification for which it is offering an EMS provider educational course.

- (5) The requirements for completing each EMS provider educational course it offers, including, to the extent known, advance notice of the books and materials required for each course.
- (6) The tuition fees and other costs involved in completing each EMS provider educational course.
- (7) The policy and process for withdrawal from a course and the refund of tuition and other fees.
- (8) Information as to how students may perform clinical work while enrolled in an EMS provider educational course.
- (9) The percentage of students for the previous 3 years who enrolled in and completed each EMS provider educational course offered by the institute.
- (10) The percentage of students for the previous 3 years, for each EMS provider educational course, who obtained EMS provider certification, and a percentage of the students who obtained certification after a first examination.
- (11) The regulatory requirements for testing leading to EMS provider certification.
- (12) The EMS educational institute's policies for the prevention of sexual harassment.
  - (e) Medical director.
- (1) An EMS educational institute shall have a medical director who is a physician. The medical director shall be experienced in emergency medical care and have demonstrated ability in education and administration.
  - (2) The responsibilities of the medical director include:
- (i) Reviewing course content to ensure compliance with this chapter.
- (ii) Reviewing and approving the EMS educational institute's criteria for the recruitment, selection and orientation of educational institute faculty.
- (iii) Providing technical advice and assistance to the EMS educational institute faculty and students.
- (iv) Reviewing the quality and medical content of the education and compliance with protocols.
- (v) Participating in the review of new technology for training and education.
- (3) Additional responsibilities for a medical director of an ALS educational institute include:
- (i) Approving the content of course written and practical skills examinations.
- (ii) Identifying and approving facilities where students are to fulfill clinical and field internship requirements.
- (iii) Identifying and approving individuals to serve as field and clinical preceptors to supervise and evaluate student performance when fulfilling clinical and field internship requirements.
- (iv) Signing skill verification forms for students who demonstrate the knowledge and skills required for successful completion of the EMS provider educational course and entry level competency for the EMS provider for which the EMS provider educational course is offered.
  - (f) Administrative director.
- (1) A BLS educational institute shall have an administrative director who has at least 2 years of experience in administration and 3 years of experience in prehospital

- (2) An ALS educational institute shall have an administrative director who has at least 2 years of experience in administration and 3 years of experience in ALS prehospital care, and who has a bachelor's degree from an accredited school of higher education and an EMS provider certification above the AEMT level.
- (3) Responsibilities of the administrative director include ensuring:
- (i) The adequacy of the system for processing student applications and the adequacy of the student selection process.
- (ii) The adequacy of the process for the screening and selection of instructors for the EMS educational institute.
- (iii) The EMS educational institute maintains an adequate inventory of necessary educational equipment and that the training equipment is properly prepared and maintained.
- (iv) The adequate administration of the course and written and practical skills examinations involved in the course.
- (v) There is an adequate system for the maintenance of student records and files.
- (vi) There is an appropriate mechanism to resolve disputes between students and faculty.
- (4) The administrative director shall serve as the contact person and liaison between the EMS educational institute and the Department and regional EMS councils. The administrative director may designate another person to perform those functions and monitor that person's performance to ensure that the contact and liaison responsibilities are being satisfied.
  - (g) Course coordinator.
- (1) The EMS educational institute shall designate a course coordinator for each EMS provider educational course conducted by the educational institute.
- (2) A course coordinator shall satisfy the following requirements:
- (i) Reading and language skills commensurate with the resource materials to be utilized in the course.
- (ii) Knowledge of the Statewide EMS protocols and of the regional EMS protocols for each EMS region where the course is offered.
- (iii) Three years of clinical experience providing prehospital care as an EMS provider at or above the EMT level.
  - (iv) Certification as an EMS instructor.
- (3) The 3 years of clinical experience providing prehospital care of a course coordinator for an ALS educational course shall be as an EMS provider above the AEMT level.
- (4) A course coordinator is responsible for the management and supervision of each EMS provider educational course offered by the educational institute for which that individual serves as a course coordinator.
- (5) Specific duties of a course coordinator shall be assigned by the EMS educational institute.
- (6) One person may serve both as the administrative director and a course coordinator.
  - (h) Instructors.
- (1) An EMS educational institute shall ensure the availability of qualified and responsible instructors for each EMS provider educational course.

- (2) The EMS educational institute shall make available faculty development for EMS instructors in the concepts of utilizing a variety of instructional strategies, adapting to students with diverse backgrounds and different learning styles and be responsible for ensuring that its instructors are competent in providing education employing those instructional strategies.
- (3) An instructor shall be 18 years of age or older and possess a high school diploma or GED equivalent.
- (4) At least 75% of the instruction provided in EMS provider educational courses shall be provided by instructors who are either of the following:
- (i) EMS instructors certified by the Department who have at least 3 years of experience as an EMS provider at or above the level they are teaching and at least 2 years of experience in teaching an EMS provider educational course at or above the level they are teaching.
- (ii) Determined by the course coordinator and the medical director of the EMS educational institute to meet or exceed these standards.
- (5) The EMS educational institute's medical director, in consultation with appropriate course coordinators, is responsible for verifying the special expertise of an instructor who does not satisfy the requirements in paragraph (4) and for specifying the portions of the curriculum that are appropriate for the instructor to teach.
- (6) Instructors are responsible for presenting course materials in accordance with the curriculum established or approved for the course by the Department for the EMS provider level of the course and the Statewide EMS protocols applicable to that EMS provider level.
  - (i) Clinical preceptors.
- (1) An EMS educational institute shall ensure the availability of clinical preceptors for each EMS provider educational course.
- (2) A clinical preceptor is responsible for the supervision and evaluation of students while fulfilling clinical requirements for an EMS provider educational course.
  - (j) Field preceptors.
- (1) An EMS educational institute shall ensure the availability of qualified field preceptors for each student enrolled in an EMS provider educational course at or above the AEMT level.
- (2) An EMS educational institute shall ensure the availability of a qualified field preceptor for each student enrolled in an EMS provider educational course below the AEMT level for which it provides a field internship.
- (3) An EMS educational institute shall use as a field preceptor for an EMS provider educational course an EMS provider who is certified and practicing at or above the level of the EMS provider certification for which the course is being taught.
- (4) A field preceptor is responsible for the supervision and evaluation of students while fulfilling a field internship for an EMS provider educational course. A field preceptor shall directly supervise a student's performance of any EMS skill for which the student does not have an EMS provider certification under which the student is authorized to perform the skill.
- (k) Facilities and equipment. An EMS educational institute shall:
- (1) Maintain educational facilities necessary for the provision of EMS provider educational courses, including

- satisfying applicable State and Federal standards to address the needs of persons with disabilities. The facilities shall include classrooms and space for equipment storage and be of sufficient size and quality to conduct didactic and practical skill performance sessions.
- (2) Provide, properly prepare and maintain the essential equipment, including simulators and task trainers, and the supplies to administer the course.
- (l) Operating procedures. An EMS educational institute shall:
- (1) Adopt and implement a nondiscrimination policy with respect to student selection and faculty recruitment.
- (2) Maintain a file on each enrolled student which includes class performance, practical and written examination results, and reports made concerning the progress of the student during the EMS provider educational course.
- (3) Provide a mechanism by which students may grieve decisions made by the institute regarding dismissal from an EMS provider educational course or other disciplinary action.
- (4) Provide students with preparation for testing leading to EMS provider certification.
- (5) Have a policy regarding the transfer of a student into or out of an EMS provider educational course from one EMS educational institute to another.
- (6) Have a continuing quality improvement process in place for students, instructors and clinical evaluation.
- (7) Require each student applicant to complete an application for enrollment provided by the Department.
- (8) Require each student to complete and submit the form or complete the electronic process, as prescribed by the Department, under § 1023.21(b) (relating to general rights and responsibilities) for reporting criminal convictions, discipline and exclusion from a State or Federal health care program. The EMS educational institute shall inform each student of the duty to update the report if there is a change in this information before the Department acts upon the student's application for EMS provider certification.
- (9) Forward a copy of the form completed under paragraph (8) to the regional EMS council having responsibility in the EMS region where the EMS educational institute operates, no later than 14 days after the first class session.
- (10) Prepare a course completion form, including an updated form under § 1023.21(b), for each student who successfully completes the EMS provider educational course and, no later than 14 days after the educational course has concluded, forward that form to the regional EMS council having responsibility in the EMS region where the EMS educational institute operates.
- (11) Participate in EMS educational institute system evaluation activities as requested by the Department.
- (m) Providing access to facility and records. An EMS educational institute and an applicant for EMS educational institute accreditation shall promptly make available to the Department or a regional EMS council, upon request, its educational facility for inspection and provide them with complete and accurate records relating to the institute's compliance with the requirements in this subchapter.
- (n) Transitional requirements. This section applies to an EMS educational institute that is accredited on April

10, 2014, beginning with its initial application for reaccreditation as an EMS educational institute on or after April 10, 2014, and to its operations as an EMS educational institute beginning with its accreditation under that application.

### § 1025.2. Accreditation process.

An EMS educational institute shall meet the following requirements to be accredited by the Department:

- (1) The applicant shall submit an application for accreditation on forms or through an electronic process, as prescribed by the Department, to the regional EMS council having responsibility in the EMS region where the EMS educational institute intends to conduct its primary operations. An applicant for reaccreditation shall submit the application at least 180 days, but not more than 1 year, prior to expiration of the current accreditation.
- (2) The regional EMS council shall review the application for completeness and accuracy.
- (3) The regional EMS council has 30 days in which to review the application, conduct an onsite assessment of the institute and determine whether the applicant has satisfied the requirements in § 1025.1 (relating to accreditation and operational requirements of EMS educational institutes).
- (4) The regional EMS council shall forward to the Department the application for accreditation either with an endorsement or an explanation as to why the application has not been endorsed, citing regulatory standards it believes have not been satisfied.
- (5) Within 150 days of receipt, the Department will review the application and make one of the following determinations:
- (i) *Full accreditation*. The EMS educational institute meets the criteria in § 1025.1, as applicable, and will be accredited to operate for 3 years.
- (ii) Conditional accreditation. The EMS educational institute does not meet criteria in § 1025.1, as applicable, but the deficiencies identified are deemed correctable by the Department. The EMS educational institute will be allowed to proceed or continue to provide accredited EMS education with close observation by the Department. Deficiencies which prevent full accreditation shall be enumerated and corrected within a time period specified by the Department. Conditional accreditation may not exceed 1 year and may not be renewed.
- (iii) *Nonaccreditation*. The institute does not meet criteria in § 1025.1 and the deficiencies identified are deemed to be serious enough to preclude any type of accreditation.
- (6) An EMS educational institute that has received full or conditional accreditation shall submit status reports to the Department as requested.
- (7) Prior to and during accreditation, an EMS educational institute is subject to review, including inspection of records, facilities and equipment by the Department. An authorized representative of the Department may enter, visit and inspect an accredited EMS educational institute or a facility operated by or in connection with the EMS educational institute with or without prior notification. The Department may accept the survey results of another accrediting body if the Department determines that the accreditation standards of the other accrediting body are equal to or exceed the standards in this chapter, and that the survey process employed by the

other accrediting body is adequate to gather the information necessary for the Department to make an accreditation decision.

- (8) An EMS educational institute shall advise the Department at least 90 days prior to an intended change of ownership or control of the institute. Accreditation is not transferable to new owners or controlling parties.
- (9) An EMS educational institute that intends to conduct an EMS educational course in an EMS region under the jurisdiction of a regional EMS council other than that through which it submitted its application for accreditation shall file a written application to amend its accreditation with the regional EMS council having responsibility for the region in which it intends to conduct these courses. That application shall be processed by that regional EMS council and acted upon by the Department within 90 days.

# § 1025.3. Advertising.

- (a) An entity may advertise an educational course in a manner that states or suggests that the successful completion of the course satisfies the EMS provider educational course requirement for an EMS provider certification issued by the Department only after the entity has been accredited by the Department as an EMS educational institute and the course has been approved by the Department for that purpose under § 1025.2 (relating to accreditation process).
- (b) When an EMS provider educational course has been approved under § 1025.2, the EMS education institute shall announce the following in its brochures or registration materials: This course has been approved by the Pennsylvania Department of Health as meeting the educational course requirement that an applicant for certification as a/an (the type of EMS provider or EMS vehicle operator to which the course applies) needs to satisfy to be certified by the Pennsylvania Department of Health as a/an (the type of EMS provider or EMS vehicle operator to which the course applies).

# Subchapter B. EMS CONTINUING EDUCATION COURSES

Sec.

1025.21. Accreditation of sponsors of continuing education.

1025.22. Responsibilities of continuing education sponsors.

1025.23. Advertising.

# § 1025.21. Accreditation of sponsors of continuing education.

- (a) Entities and institutions may apply for accreditation as a continuing education sponsor by submitting to the Department an application on a form or through an electronic process, as prescribed by the Department. The applicant shall supply the information requested in the application. The Department will grant accreditation to an applicant for accreditation as a continuing education sponsor if the applicant satisfies the Department that the courses the applicant offers meet the following minimum standards:
- (1) The courses must be of intellectual and practical content.
- (2) The courses must contribute directly to the professional competence, skills and education of EMS providers or EMSVOs.
- (3) The course instructors shall possess the necessary practical and academic skills to conduct the course effectively.

- (4) Course materials shall be well written, carefully prepared, readable and distributed to attendees at or before the time the course is offered whenever practical.
- (5) The courses shall be presented by a qualified responsible instructor in a suitable setting devoted to the educational purpose of the course.
- (b) Accreditation of the continuing education sponsor will be effective for 3 calendar years.
- (c) At least 90 days prior to expiration of the 3-year accreditation period, a continuing education sponsor shall apply to the Department for renewal of the sponsor's accreditation on a form or through an electronic process, as prescribed by the Department. The Department will renew the sponsor's accreditation if the sponsor meets the following requirements:
- (1) The sponsor has presented, within the preceding 3 years, a continuing education course or courses on at least five occasions which met the minimum standards in subsection (a).
- (2) The sponsor establishes to the Department's satisfaction that future courses to be offered by the sponsor will meet the minimum standards in subsection (a).
- (3) The sponsor has satisfied its responsibilities under § 1025.22 (relating to responsibilities of continuing education sponsors).

# § 1025.22. Responsibilities of continuing education sponsors.

- (a) Course approval. A continuing education sponsor shall submit, to the regional EMS council that exercises responsibility for the EMS region in which the continuing education sponsor intends to conduct a new continuing education course, an application for approval of that continuing education course. The continuing education sponsor shall submit that application at least 30 days prior to the date the continuing education sponsor expects to conduct the course.
- (b) Registration of course. A continuing education sponsor may not offer, for continuing education credit, a course for which it or another continuing education sponsor has received approval to offer as a continuing education course without registering with the Department the location of the class through which it intends to offer that course for continuing education credit at least 30 days before the class is held.
- (c) Record of attendance. A continuing education sponsor shall maintain a record of attendance for a course presented in a classroom setting by maintaining a check-in/check-out process approved by the Department and assign at least one person to ensure that the individuals attending the course check in when entering and check out when leaving. If an individual enters a course after the starting time, or leaves a course before the finishing time, the assigned person shall ensure that the time of arrival or departure is recorded for the individual.
- (d) Reporting attendance. A continuing education sponsor shall report to the Department, in the manner and format prescribed by the Department, attendance at each continuing education course presented in a classroom setting within 10 days after the course has been presented.
- (e) Course evaluation. A continuing education sponsor shall develop and implement methods to evaluate its course offerings to determine their effectiveness. The methods of evaluation shall include providing a course evaluation form to each person who attends a course. The

- continuing education sponsor shall provide a copy of the completed course evaluation forms to the regional EMS council within 10 days after the course has been presented.
- (f) Record retention. The continuing education sponsor shall retain the completed course evaluation forms for each course it presents and the check-in/check-out record for each course it presents in a classroom setting. These records shall be retained for a minimum of 4 years from the completion of the course.
- (g) Providing access to records. A continuing education sponsor and an applicant for accreditation as a continuing education sponsor shall promptly make available for inspection and provide the Department or a regional EMS council with complete and accurate records relating to its compliance with the requirements in this subchapter as requested by the Department or a regional EMS council.
- (h) Course not presented in a classroom setting. A continuing education sponsor shall be exempt from the requirements in subsections (a) and (b) for a course which is not presented in a classroom setting, if the course is approved by the Department for credit when presented in that manner. When presenting the course to the Department for approval for credit, the continuing education sponsor shall present a procedure for monitoring, confirming and reporting EMS provider or EMSVO participation in a manner that achieves the purposes of subsections (a) and (b).
- (i) Monitoring responsibilities. A continuing education sponsor shall ensure that a course was presented in a manner that met all of the educational objectives for the course and determine whether each EMS provider or EMSVO who enrolled in the course met the requirements in this chapter and the continuing education sponsor to receive credit for completing the course.
- (j) Course completion. A continuing education sponsor shall report to the Department, in a manner and format prescribed by the Department, completion of a course by an EMS provider or EMSVO and identify to the Department an EMS provider or EMSVO who seeks credit for a course but who did not meet the requirements of the continuing education sponsor or this chapter to receive continuing education credit. The continuing education sponsor shall also provide an EMS provider or EMSVO who completes a course with a document certifying completion of the course.

#### § 1025.23. Advertising.

- (a) A continuing education sponsor may advertise a course as a continuing education course in a manner that states or suggests that the course meets the requirements in this chapter only if the course has been approved by the Department to be offered by that continuing education sponsor.
- (b) When a course has been approved for continuing education credit, the continuing education sponsor shall announce the following in its brochures or registration materials: This course has been approved by the Pennsylvania Department of Health for (the approved number of hours) of continuing education credit for (the type of EMS provider(s) or EMS vehicle operator to which the course applies).
- (c) If a continuing education sponsor advertises that it has applied to the Department to secure continuing education credit for a course, prior to presenting the course it shall disclose to all enrollees whether the course has been approved or disapproved for credit.

#### CHAPTER 1027. EMS AGENCIES

## Subchap.

GENERAL REQUIREMENTS EMS AGENCY SERVICES MISCELLANEOUS

### Subchapter A. GENERAL REQUIREMENTS

- 1027.1. General provisions.
- 1027.2. License and registration applications.
- 1027.3. Licensure and general operating standards.
- 1027.4. EMS agency dispatch centers.
- Medication use, control and security. Statewide EMS response plan. 1027.5.
- 1027.6.
- EMS vehicle fleet. 1027.7.
- 1027.8. Removal of EMS vehicles from operation.
- Right to enter, inspect and obtain records.
- 1027.10. Notification of deficiencies to applicants.
- 1027.11. Plan of correction.
- 1027 12 Conditional temporary license.
- Discontinuation or movement of operations or reduction of 1027.13. service.
- 1027.14. Management companies.

#### § 1027.1. General provisions.

- (a) License required. A person, or other entity, as an owner, agent or otherwise, may not operate, conduct, maintain, advertise or otherwise engage in or profess to be engaged in operating an EMS agency in this Commonwealth unless that person holds a license as an EMS agency and a current registration of that license issued by the Department or is exempt from these requirements. By way of example, an entity is operating an EMS agency if it operates any of the following:
  - (1) An ambulance service.
  - (i) BLS ambulance service.
  - (ii) Intermediate ALS ambulance service.
- (iii) ALS ambulance service, including a critical care transport ambulance service.
  - (iv) Air ambulance service.
  - (v) BLS water ambulance service.
  - (vi) Intermediate ALS water ambulance service.
  - (vii) ALS water ambulance service.
  - (2) A squad service.
  - (i) BLS squad service.
  - (ii) Intermediate ALS squad service.
  - (iii) ALS squad service.
  - (3) A QRS.
  - (4) A special operations EMS service.
  - (i) Tactical EMS service.
  - (ii) Wilderness EMS service.
  - (iii) Mass-gathering EMS service.
  - (iv) Urban search and rescue service.
- (5) An EMS agency dispatch center operating as part of an EMS agency.
- (b) License requirements. The Department will license an applicant as an EMS agency if the Department is satisfied that the applicant has met the following require-
- (1) The applicant and persons having a substantial ownership interest in the applicant are responsible persons and the EMS agency shall be staffed by and conduct its activities through responsible persons. For purposes of this paragraph:

- (i) A responsible person is a person who has not engaged in an act contrary to justice, honesty or good morals which indicates that the person is likely to betray the public trust in carrying out the activities of the EMS agency, or is a person who has engaged in this type of conduct but has been rehabilitated and is not likely to again betray the public trust.
- (ii) A person has a substantial ownership in the applicant if the person has equity in the capital, stock or the profits of the applicant equal to 5% or more of the property or assets of the applicant.
- (iii) A person staffs an EMS agency if the person engages in activity integral to the operation of the EMS agency, including participating in the making or execution of management decisions, providing EMS, billing, calltaking and dispatching.
- (2) The applicant meets the supply and equipment requirements for each EMS vehicle and type of EMS service it makes application to offer, and demonstrates that it shall be maintained and operated to safely and efficiently operate those vehicles and render those ser-
- (3) The applicant shall meet staffing standards for the vehicles it seeks to operate and the services it seeks to provide. Subject to the exceptions in § 1027.6 (relating to Statewide EMS response plan), this includes providing EMS services 24-hours-a-day, 7-days-a-week or participating in a county-level or broader-level EMS response plan approved by the Department.
- (4) The applicant shall provide safe services that are adequate for the emergency medical care, treatment and comfort and, when applicable, the transportation of pa-
- (5) The applicant has an EMS agency medical director who satisfies requirements established by the Department based upon the types of services it seeks to provide and the EMS vehicles it seeks to operate.
- (6) The applicant satisfies the regulatory requirements relating to making its application for a license and has adopted policies and procedures adequate to ensure compliance with the requirements in the act, this part and notices the Department publishes in the Pennsylvania Bulletin that are applicable to its operations.
- (c) License certificate. The Department will issue a license certificate to an applicant that it licenses as an EMS agency. The license certificate will specify the name of the EMS agency, its license number, the address of its primary operational headquarters and the date the license was issued. The Department will also issue with the license certificate a document that specifies the type or types of EMS agency services the EMS agency is licensed to provide, the types of EMS vehicles the agency will operate, the locations out of which it is authorized to provide that service or services if more than one location is involved, the fictitious name, if any, under which it conducts its operations at each location involved, and the name of the regional EMS council through which the license application was processed. The Department will replace that document if there is a need to change the information on it due to a license amendment.
- (d) License registration. An EMS agency requires both an EMS agency license and current registration of that license to conduct its operations. When the Department registers an EMS agency's license it will issue a registration certificate to the EMS agency that specifies the name of the EMS agency, its license number, the address of its

primary operational headquarters and the dates the registration is effective and will expire.

- (e) Transition for ambulance services and QRSs.
- (1) An entity that is licensed as an ambulance service or recognized as a QRS by the Department, or a hospital that operates an ambulance service or QRS under its hospital license, immediately prior to April 10, 2014, will be licensed by the Department as an EMS agency, with a current registration of that license on April 10, 2014, if the records of the Department reflect that the ambulance service, QRS or hospital has an EMS agency medical director. The license and registration will authorize the EMS agency to operate the EMS vehicles and provide the services it was authorized to operate and provide when licensed as an ambulance service, recognized as a QRS, or operated under a hospital license.
- (2) An entity that is licensed as an ambulance service or recognized as a QRS by the Department, or operates an ambulance service under a hospital license, immediately prior to April 10, 2014, that does not have an EMS agency medical director may continue to operate as an ambulance service or QRS under the regulations promulgated under the Emergency Medical Services Act (35 P. S. §§ 6921—6938) (repealed by the act of August 18, 2009 (P. L. 308, No. 37)), until April 10, 2014, without securing an EMS agency license.

#### § 1027.2. License and registration applications.

- (a) License application. An application for an EMS agency license shall be submitted on a form or through an electronic process, as prescribed by the Department. The application must contain the following information as well as additional information and documents that may be solicited by the application form:
- (1) The name and mailing address of the applicant and a primary contact person and telephone number at which that person can be reached.
- (2) The name under which the applicant will be holding itself out to the public in conducting its EMS agency operations and the address of its primary location in this Commonwealth out of which it will be conducting its EMS agency operations. If the applicant seeks to conduct EMS agency operations out of more than one location, the address of its primary operational headquarters and each other location out of which it intends to operate. If the applicant will be holding itself out to the public under different fictitious names for the EMS agency operations it will conduct at different locations, the fictitious name under which it intends to operate at each location.
- (3) The manner in which the applicant is organized—corporation, partnership, limited liability company, sole proprietorship, and the like.
  - (4) The tax status of the applicant—profit or nonprofit.
- (5) The type of EMS service or services the applicant intends to provide.
- (6) The geographic area for which the applicant intends to provide the service for each type of service it intends to operate. If the service is a type of service that is dispatched by a PSAP, the geographic area, if any, in which it plans to routinely respond to emergency dispatches.
- (7) A personnel roster and staffing plan or personnel rosters and staffing plans, if applicable.
- (8) The number and types of EMS vehicles to be operated by the applicant and identifying information for each EMS vehicle.

- (9) The communication access and capabilities of the applicant.
- (10) A full description of the EMS agency services that it intends to provide out of each location and how it intends to respond to emergency calls if it will not conduct operations out of a fixed location or locations.
- (11) The names, titles and summary of responsibilities of persons who will be staffing the EMS agency as officers, directors or other EMS agency officials, and the same information pertaining to them that an EMS provider is required to report under § 1023.21(b)(1) and (2) (relating to general rights and responsibilities).
- (12) Information concerning any arrangement in which it has entered to manage an EMS agency or any contract with an entity for that entity to exercise operational or managerial control over the EMS agency, or to conduct the day-to-day operations of the EMS agency.
- (13) A statement attesting to the veracity of the application, which shall be signed by the principal official of the applicant.
- (b) Submission of license application. The applicant shall submit the application to the regional EMS council exercising responsibility for the EMS region in which the applicant will conduct its operations if licensed. If the applicant seeks a license to conduct EMS agency operations in more than one region, it shall choose a primary operational headquarters and submit its license application to the regional EMS council that exercises responsibility for the region in which that primary operational headquarters is located. If the applicant's primary operational headquarters is located outside this Commonwealth, the applicant shall contact the Department for direction as to the regional EMS council to which it is to submit its application.
  - (c) Processing the license application.
- (1) The regional EMS council that receives a license application shall review the application for completeness and accuracy. It shall also provide a copy of the application to each regional EMS council that exercises responsibility for an EMS region in which the applicant intends to conduct EMS agency operations. If more than one regional EMS council is involved in the review, they shall coordinate their review with the regional EMS council that exercises responsibility for the EMS region in which the applicant's primary operational headquarters is located, and that regional EMS council shall communicate with the applicant regarding any issues presented by the application.
- (2) The regional EMS council that has responsibility for communicating with the applicant under paragraph (1) shall return an incomplete application to the applicant within 14 days of receipt.
- (3) If the regional EMS council that has responsibility for communicating with the applicant under paragraph (1) determines that the application contains inaccurate information, and that the nature of the inaccurate information does not suggest fraud or deceit in attempting to obtain a license, the regional EMS council shall return the application to the applicant for correction.
- (4) Upon receipt of a complete application, and its verification of the accuracy of the information provided in the application which is verifiable without an onsite inspection, the regional EMS council shall schedule and conduct an onsite inspection of the applicant's vehicles, equipment and personnel qualifications, as well as other matters that bear upon whether the applicant satisfies

the statutory and regulatory criteria for licensure. The inspection shall be performed within 45 days after receipt by the regional EMS council of an application that is complete and, if requested by the regional EMS council, that has been corrected. If the applicant seeks to conduct EMS agency operations in more than one EMS region, the regional EMS council that has responsibility for communicating with the applicant under paragraph (1) may seek the assistance of other relevant regional EMS councils in conducting onsite surveys.

(5) Upon completion of its review, the regional EMS council that has responsibility for communicating with the applicant under paragraph (1) shall forward the application to the Department with the regional EMS council's assessment as to whether applicable statutory and regulatory requirements are satisfied. If the regional EMS council determines that the application contains inaccurate information that suggests fraud or deceit by the applicant in attempting to obtain a license, the regional EMS council may forward the application to the Department without having conducted an onsite inspection and await instructions from the Department as to whether an onsite inspection should be conducted.

### (d) Amendment of license.

- (1) An EMS agency shall apply for and secure an amendment of its license prior to changing the location of any of its operations, the days or hours of the services it provides or the types of services it provides, or prior to arranging for an entity to exercise operational or managerial control over the EMS agency or to conduct the day-to-day operations of the EMS agency.
- (2) An EMS agency shall submit its application for amendment of its license on a form or through an electronic process, as prescribed by the Department, to the regional EMS council responsible for the EMS region in which the EMS agency maintains its primary operational headquarters. That regional EMS council shall process the application for amendment as set forth in subsections (b) and (c).
- (e) Triennial registration. An EMS agency's license is deemed registered for 3 years after issuance, except for an EMS agency that transitions from an ambulance service, a QRS or an ambulance service that operated under a hospital license on October 12, 2013, under § 1027.1(e) (relating to general provisions), in which case the initial registration shall expire when its license or recognition would have expired under the Emergency Medical Services Act (35 P.S. §§ 6921—6938) (repealed by the act of August 18, 2009 (P. L. 308, No. 37)) or, in the case of a hospital, under the Health Care Facilities Act (35 P. S. §§ 448.101—448.904b). Thereafter, an EMS agency shall triennially register the license by completing a form or through an electronic process, as prescribed by the Department, and filing it with the regional EMS council responsible for the EMS region in which the EMS agency maintains its primary operational headquarters. An EMS agency shall submit the form or complete the electronic process at least 120 days prior to the expiration of a current registration. Failure to do so in a timely manner may result in the EMS agency license not being registered again before the prior registration expires. The Department will act on an application for registration within 90 days after a regional EMS council receives a complete and accurate application. The Department may also deny an application after it is received by a regional EMS council if it contains false information, subject to notice and an opportunity for a hearing before the denial would become effective, or it may grant the application

and then pursue disciplinary action against the EMS agency based upon the false information provided.

# § 1027.3. Licensure and general operating standards.

- (a) Documentation requirements for licensure. An applicant for an EMS agency license shall have the following documents available for inspection by the Department or a regional EMS council:
- (1) A roster of active personnel, including the EMS agency medical director, with certification and registration documentation including certification numbers and dates of registration expiration for each EMS provider and EMSVO.
- (2) A record of the age of each EMS provider and EMSVO and a copy of the driver's license for each EMSVO.
- (3) Documentation, if applicable, of the initial and most recent review of each EMS provider's competence by the EMS agency medical director and the EMS provider certification level at which each EMS provider is permitted to practice.
- (4) Its process for scheduling staff to ensure that the minimum staffing requirements as required by this chapter are met.
- (5) Identification of persons who are responsible for making operating and policy decisions for the EMS agency, such as officers, directors and other EMS agency officials.
- (6) Criminal, disciplinary and exclusion information for all persons who staff the EMS agency as required under subsection (f).
- (7) Copies of the Statewide and applicable regional EMS protocols.
- (8) Copies of the written policies required under this section.
- (9) Copies of documents by which it agrees to manage another EMS agency or to be managed by another entity.
- (b) Documentation requirements after licensure. An EMS agency shall have the following documents available for inspection by the Department or a regional EMS council when it applies for registration of its license and at all other times:
- (1) The documents that are required to be available for inspection under subsection (a).
  - (2) EMS PCRs.
- (3) Call volume records from the previous year's operations. These records must include a record of each call received requesting the EMS agency to respond to an emergency, as well as a notation of whether it responded to the call and the reason if it did not respond.
- (4) A record of the time periods for which the EMS agency notified the PSAP, under subsection (g)(1), that it would not be available to respond to a call.
- (c) EMS vehicles, equipment and supplies. The Department will publish in the Pennsylvania Bulletin, and update as necessary, vehicle construction, and equipment and supply requirements for EMS agencies based upon the types of services they provide and the EMS vehicles they operate. Required equipment and supplies shall be carried and readily available in working order.
- (d) Use of persons under 18 years of age. The EMS agency shall comply with the Child Labor Act (43 P.S.

- §§ 40.1—40.14), or a successor act, and regulations adopted under the Child Labor Act when it is using persons under 18 years of age to staff its operations. The EMS agency shall also ensure that an EMS provider under 18 years of age, when providing EMS on behalf of the EMS agency, is directly supervised by an EMS provider who is at least 21 years of age who has the same or higher level of EMS provider certification and at least 1 year of active practice as an EMS provider.
- (e) EMS agency medical director. An EMS agency shall have an EMS agency medical director.
- (f) Responsible staff. An EMS agency shall ensure that persons who staff the EMS agency, including its officers, directors and other members of its management team, EMS providers and EMSVOs, are responsible persons. In making that determination, it shall require each person who staffs the EMS agency to provide it with the information and documentation an EMS provider is required to provide to the Department under § 1023.21(b) (relating to general rights and responsibilities) and require each EMSVO to provide it with the information and documentation an EMSVO is required to provide to the Department under § 1023.21(b), and to update that information if and when additional convictions, disciplinary sanctions and exclusions occur. The EMS agency shall consider this information in determining whether the person is a responsible person. An EMS agency shall also provide the Department with notice, at least 30 days in advance, of any change in its management personnel to include as a new member of its management team a person who has reported to it information required under this subsection.
  - (g) Communicating with PSAPs.
- (1) Responsibility to communicate unavailability. An EMS agency shall apprise the PSAP in its area, in advance, as to when it will not be in operation due to inadequate staffing or for another reason and when its resources are committed in a manner that it will not be able to respond with an EMS vehicle, if applicable, and required staff, to a request to provide EMS.
- (2) Responsibility to communicate delayed response. An EMS agency shall apprise the PSAP, as soon as practical after receiving a dispatch call from the PSAP, if it is not able to have an appropriate EMS vehicle, if applicable, or otherwise provide the requested level of service, including having the required staff en route to an emergency within the time as may be prescribed by a PSAP for that type of dispatch.
- (3) Responsibility to communicate with PSAP generally. An EMS agency shall provide a PSAP with information, and otherwise communicate with a PSAP, as the PSAP requests to enhance the ability of the PSAP to make dispatch decisions.
- (4) Response to dispatch by PSAP. An EMS agency shall respond to a call for emergency assistance as communicated by the PSAP, provided it is able to respond as requested. An EMS agency is able to respond as requested if it has the staff and an operational EMS vehicle, if needed, capable of responding to the dispatch. An EMS agency may not refuse to respond to a dispatch based upon a desire to keep staff or an EMS vehicle in reserve to respond to other calls to which it has not already committed.
- (h) Patient management. All aspects of patient management are to be handled by an EMS provider with the level of certification necessary to care for the patient based upon the condition of the patient.

- (i) Use of lights and other warning devices. Ground EMS vehicles may not use emergency lights or audible warning devices unless they do so in accordance with the standards imposed under 75 Pa.C.S. (relating to Vehicle Code) and are transporting or responding to a call involving a patient who presents, or is in good faith perceived to present, a combination of circumstances resulting in a need for immediate medical intervention. Emergency lights and audible warning devices may be used on an ambulance when transporting a patient only when medical intervention is beyond the capabilities of the ambulance crew using available supplies and equipment
- (j) Weapons and explosives. Weapons and explosives may not be worn by EMS providers or EMSVOs or carried aboard an EMS vehicle. This subsection does not apply to law enforcement officers who are serving in an authorized law enforcement capacity.
- (k) Accident, injury and fatality reporting. An EMS agency shall report to the appropriate regional EMS council, in a form or electronically, as prescribed by the Department, an EMS vehicle accident that is reportable under 75 Pa.C.S. and an accident or injury to an individual that occurs in the line of duty of the EMS agency that results in a fatality or medical treatment by a licensed health care practitioner. The report shall be made within 24 hours after the accident or injury. The report of a fatality shall be made within 8 hours after the fatality.
- (l) Committees. An EMS agency shall have a safety committee and a quality improvement committee that meet at least quarterly. If an EMS agency operates an EMS agency dispatch center, the quality improvement committee shall also be responsible for the quality improvement of the EMS agency dispatch center and participate in the county PSAP quality assurance process.
- (m) EMS provider credentialing. The EMS agency shall maintain a record for 7 years of the EMS agency medical director's assessments and recommendations provided under § 1023.1(a)(1)(vi)—(viii) (relating to EMS agency medical director). An EMS agency may not permit an EMS provider at or above the AEMT level to provide EMS at the EMS provider's certification level if the EMS agency medical director determines that the EMS provider has not demonstrated the knowledge and skills to competently perform the skills within the scope of practice at that level or the commitment to adequately perform other functions relevant to an EMS provider providing EMS at that level. Under these circumstances. an EMS agency may continue to permit the EMS provider to provide EMS for the EMS agency only in accordance with the restrictions as the EMS agency medical director may prescribe. The EMS agency shall notify the Department within 10 days after it makes a decision to allow an EMS provider to practice at a lower level based upon the assessment of the EMS provider's skills and other qualifications by the EMS agency medical director, or a decision to terminate the EMS agency's use of the EMS provider based upon its consideration of the EMS agency medical director's assessment.
- (n) Display of license and registration certificates. The EMS agency shall display its license certificate and the certificate evidencing current registration of its license in a public and conspicuous place in the EMS agency's primary operational headquarters.
- (o) Monitoring compliance. An EMS agency shall monitor compliance with the requirements that the act and

this part impose upon the EMS agency and its staff. An EMS agency shall file a written report with the Department if it determines that an EMS provider or EMSVO who is on the staff of the EMS agency, or who has recently left the EMS agency, has engaged in conduct not previously reported to the Department, for which the Department may impose disciplinary sanctions under § 1031.3 or § 1031.5 (relating to discipline of EMS providers; and discipline of EMS vehicle operators). The duty to report pertains to conduct that occurs during a period of time in which the EMS provider or EMSVO is functioning for the EMS agency.

(p) Policies and procedures. An EMS agency shall maintain written policies and procedures ensuring that each of the requirements imposed under this section, as well as the requirements imposed under §§ 1021.8(b), 1021.41, 1021.42, 1021.64 and 1027.5 and Chapter 1051 (relating to out-of-hospital do-not-resuscitate orders), are satisfied by the EMS agency and its staff. It shall also maintain written policies and procedures addressing infection control, management of personnel safety and the safe operation of EMS vehicles, storage and environmental control of medications, substance abuse in the work-place and the placement and operation of its resources, and ensure that appropriate staff are familiar with these policies and procedures.

# § 1027.4. EMS agency dispatch centers.

- (a) Certification required. Effective October 13, 2015, an EMS agency that operates an EMS agency dispatch center shall use call-takers and dispatchers who are certified and maintain certification as call-takers and dispatchers by the Pennsylvania Emergency Management Agency under 35 Pa.C.S. § 5303(a)(6) (relating to telecommunications management).
- (b) Costs. The costs associated with the education, certification and recertification of an EMS agency dispatch center's call-takers and dispatchers are the responsibility of the EMS agency.
- (c) Requirements. An EMS agency that operates an EMS agency dispatch center shall:
- (1) Establish and maintain policies and procedures approved by the Department to aid in directing the daily operation of the EMS agency dispatch center.
- (2) Utilize emergency medical dispatch protocols approved by the Department. Effective July 9, 2014, an EMS agency dispatch center shall use the emergency medical dispatch program used by the emergency communications center of the county in which the EMS agency dispatch center is located.
- (3) Require its call-takers to satisfy performance standards that are based on Nationally-accepted emergency medical dispatch standards. An EMS agency dispatch center shall submit these performance standards, and changes, to the Department for approval. At a minimum, an EMS agency dispatch center's performance standards shall measure a call-taker's ability to:
- (i) Answer the telephone quickly and correctly and verify the location of the incident.
- (ii) Obtain a callback telephone number from the person making the call.
- (iii) Determine the nature of the incident and select and assign the appropriate EMS response to the incident.
- (iv) Obtain all pertinent information quickly and effectively, make updates accordingly and keep the caller on the line until the required information is obtained.

- (v) Control the conversation with the caller and exhibit a calm and professional demeanor.
- (vi) Demonstrate proper documentation of the information received on call-taker screens or cards.
- (4) Require its dispatchers to satisfy performance standards that are based on Nationally accepted emergency medical dispatch standards. An EMS agency dispatch center shall submit these performance standards, and changes, to the Department for approval. At a minimum, an EMS agency dispatch center's performance standards shall measure a dispatcher's ability to:
- (i) Dispatch the appropriate EMS resources within the prescribed timeframe established by the EMS agency dispatch center's standard operating procedures.
- (ii) Provide pertinent information to the responding units and relay updated information about the incident to the responding units.
- (iii) Answer radio transmissions promptly and exhibit a timely response to requests from the responding units as established by the EMS agency dispatch center's standard operating procedures.
- (iv) Speak clearly and maintain a professional demeanor.
- (5) Establish a quality assurance review process that is executed with consistency and objectivity in accordance with internal standards developed by the EMS agency.
- (i) The EMS agency shall use the quality assurance review process to identify additional or supplemental education needed to improve a call-taker's or dispatcher's job performance.
- (ii) The EMS agency shall use the quality assurance review process to determine whether any processes of the EMS agency dispatch center require modification or change.
  - (6) Designate a quality assurance reviewer who shall:
  - (i) Function at a supervisory level.
- (ii) Have a minimum of 3 years of experience in the field of emergency telecommunications.
- (iii) Be a member of the EMS agency's quality improvement committee under § 1027.3(l) (relating to licensure and general operating standards).
- (iv) Conduct a random sampling of emergency dispatch calls that will be reviewed every 2 weeks to ensure compliance with the performance standards in this section as well as the standards in the standard operating procedures of each EMS agency dispatch center.
- (v) Conduct a monthly quality assurance review of 5% of the total emergency dispatch calls the EMS agency dispatch center processes per month.
- (vi) Conduct a quality assurance review, every 6 months, of a segment of each call-taker's and dispatcher's radio activity to determine adherence to the standards in this section and the EMS agency dispatch center's performance standards.
- (A) Call-takers and dispatchers shall receive the results of their quality assurance reviews within 5 days of each review.
- (B) Actual transcripts or recordings of phone calls made to and from an EMS agency dispatch center are not public records to the extent they are protected under the Right-to-Know Law (65 P.S. §§ 67.101—67.3104) and may not be included in the text of a quality assurance

review. Actual transcripts or recordings of phone calls may be reviewed by the quality assurance reviewer as part of the quality assurance review.

- (vii) Complete a quality assurance review for each segment reviewed on a form approved by the Department. Copies of each quality assurance review shall be retained on file at the EMS agency dispatch center for 4 years.
- (7) Ensure that quality assurance actions that are initiated in response to the results of a quality assurance review are documented and placed in the EMS agency dispatch center's records. Copies of each quality assurance action shall be retained on file at the EMS agency dispatch center for 4 years.
- (8) Refer to the PSAP in its area a request for EMS for which it is unable to dispatch appropriate EMS resources within the time prescribed by the PSAP.
- (9) Ensure that persons are not denied access to EMS because of the inability or limited ability to communicate in the English language, including hearing impaired or deaf persons.
- (10) Ensure that the EMS agency dispatch center's call-takers and dispatchers are subject to this quality assurance review process.
- (11) Ensure that quality assurance reviews are used to support the development and assessment of goals and expectations on each call-taker's and dispatcher's yearly performance appraisal.

# § 1027.5. Medication use, control and security.

- (a) An EMS agency may stock medications as approved by the Department and shall store medications in a temperature-controlled environment, secured in conformance with the Statewide EMS protocols and the EMS agency's policy and procedures on the storage and environmental control of medications. Additional medications may be stocked by an EMS agency as approved by the EMS agency medical director and the Department if the EMS agency uses PHPEs, PHRNs or PHPs.
- (b) The Department will publish at least annually by notice in the *Pennsylvania Bulletin* a list of medications approved for use by EMS agencies, by EMS provider certification level and a list of medications that an EMS agency is required to stock based upon the type of EMS service it is licensed to provide.
- (c) An EMS agency may procure and replace medications from a hospital, pharmacy or from a medical supply company, if not otherwise prohibited by law.
- (d) EMS providers, other than a PHP, may administer to a patient, or assist the patient to administer, medications previously prescribed for that patient, as specified in the Statewide EMS protocols or as authorized by a medical command physician. A PHP may administer to a patient, or assist the patient to administer, medications that were previously prescribed for the patient.
- (1) An EMS provider, other than a PHPE, PHRN or PHP, is restricted to administering medications, not previously prescribed for a patient, as permitted by the Statewide EMS protocols.
- (2) A PHPE or PHRN may administer medications, not previously prescribed for a patient, in addition to those permitted by the Statewide EMS protocols, provided the PHPE or PHRN has received approval to do so by the EMS agency medical director, and has been ordered to administer the medication by the medical command physician. A PHP may administer any medication that the

- PHP has authority to administer by virtue of the PHP's license to practice medicine or osteopathic medicine.
- (e) The EMS agency shall adequately monitor and direct the use, control and security of medications provided to the EMS agency. This includes:
- (1) Ensuring proper labeling and preventing adulteration or misbranding of medications, and ensuring medications are not used beyond their expiration dates.
- (2) Storing medications as required under The Controlled Substance, Drug, Device and Cosmetic Act (35 P. S. §§ 780-101—780-144), and as otherwise required to maintain the efficacy of medications and prevent misappropriation.
- (3) Including in the EMS PCR information as to the administration of medications by patient name, medication identification, date and time of administration, manner of administration, dosage, name of the medical command physician who gave the order to administer the medication and name of person administering the medication.
- (4) Maintaining records of medications administered, lost or otherwise disposed of and records of medications received and replaced.
- (5) Providing the pharmacy, physician or hospital that is requested to replace a medication with a written record of the use and administration or loss or other disposition of the medication, which identifies the patient and includes any other information required by law.
- (6) Ensuring, in the event of an unexplained loss or theft of a controlled substance, that the dispensing pharmacy, physician or hospital has contacted local police or State Police and the Department's Drugs, Devices and Cosmetics Office and has filed a DEA Form 106 with the Federal Drug Enforcement Administration.
- (7) Disposing of medications as required under The Controlled Substance, Drug, Device and Cosmetic Act.
- (8) Arranging for the original dispensing pharmacy, physician or hospital, or its EMS agency medical director, to provide it consultation and other assistance necessary to ensure that it meets the requirements in this section.
- (9) Securing medications in a manner so that only those EMS providers authorized to administer the medications in providing EMS have access to those medications

#### § 1027.6. Statewide EMS response plan.

- An EMS agency may provide an EMS service at a location through which it is licensed to provide that service, less than 24 hours-a-day, 7 days-a-week, as follows:
- (1) Day or time requirements are not applicable to an EMS agency's operation of an air or water ambulance service.
- (2) A tactical EMS response service shall be available at all times that a law enforcement service with which it is affiliated requests its participation in a tactical law enforcement operation.
- (3) An EMS agency may operate any EMS service less than 24 hours-a-day, 7 days-a-week, out of any location through which it is licensed to provide the service, in accordance with a county-level or broader-level EMS response plan approved by the Department.
- (4) An EMS agency may operate an intermediate ALS ambulance service less than 24 hours-a-day, 7 days-a-

week if the EMS agency also operates a BLS ambulance service or an ALS ambulance service at the same location through which it is licensed to provide the intermediate ALS ambulance service.

#### § 1027.7. EMS vehicle fleet.

- (a) Inspection of EMS vehicles. When an applicant for an EMS agency license is inspected, a Department or regional EMS council inspector will inspect each vehicle the applicant intends to operate as an EMS vehicle. If the vehicle satisfies the requirements for the type of EMS vehicle designated by the applicant, and the applicant otherwise satisfies the requirements for licensure and to conduct a service for which the EMS vehicle will be used, the inspector will affix a date stripe, with an inspection and expiration date, to two decals appropriate to that type of EMS vehicle and provide the decals to the applicant. The applicant shall place a decal in a prominent location on each side of the vehicle. The applicant may not operate the vehicle as an EMS vehicle until the applicant is licensed as an EMS agency and it affixes the decals to the EMS vehicle.
- (b) Permanent change. Before operating an additional or permanent replacement EMS vehicle, an EMS agency shall submit an application for amendment of its license to the regional EMS council through which its license application was processed. The EMS agency may not operate that vehicle as an EMS vehicle unless, as described in subsection (a), it is authorized to do so following an inspection of the vehicle and it affixes decals to the vehicle.
- (c) Temporary change. An EMS agency may operate a temporary replacement EMS vehicle without securing prior approval from the Department. It shall submit a temporary change of vehicle form to the regional EMS council through which its license application was processed, by facsimile, e-mail or regular mail before putting the EMS vehicle in service. In the form the EMS agency shall attest to the fact that the EMS vehicle satisfies the requirements for that type of EMS vehicle that are imposed by regulation and notices published in the Pennsylvania Bulletin. Upon submitting a temporary change of vehicle form, the EMS agency may continue to operate the temporary replacement EMS vehicle unless its authority to do so is disapproved by the inspector following an inspection of the EMS vehicle. Upon receiving a temporary change in vehicle form, the regional EMS council shall issue a letter which acknowledges receipt of the temporary change of vehicle form and authorizes the EMS agency to operate the replacement EMS vehicle for 7 days based upon its attestation that the vehicle satisfies all requirements. That time period may be extended by the regional EMS council by letter.
- (d) Triennial inspections. A Department or regional EMS council inspector will inspect an EMS agency's EMS vehicles when the inspector conducts the inspection of the EMS agency for the triennial registration of the EMS agency's license. If an EMS vehicle satisfies all requirements the inspector will affix a new date stripe to each decal to reflect that the vehicle has satisfied EMS vehicle inspection requirements. If the vehicle does not satisfy the requirements, the inspector will not affix a new date stripe to each decal and the EMS agency may not operate the vehicle as an EMS vehicle unless and until the vehicle is reinspected, satisfies all requirements, and the inspector affixes a new date stripe on each decal.
- (e) Removal of decals. A Department or regional EMS council inspector will require the EMS agency to remove

- the decals from an EMS vehicle when directed by the Department under § 1027.8(b) (relating to removal of EMS vehicles from operation). An EMS agency shall remove the decals from an EMS vehicle when the EMS agency transfers the title or operation of the EMS vehicle to another entity, other than to enable another EMS agency to operate the EMS vehicle as a temporary replacement vehicle under subsection (c), or when it discontinues use of the vehicle as an EMS vehicle.
- (f) Ambulance requirements. An ambulance must meet the following minimum requirements:
- (1) It must have a patient care compartment that is designed to carry at least one patient on a stretcher that is securely mounted to the ambulance and that enables transportation in both the supine and seated upright positions.
- (2) It must have a patient care compartment that is designed to provide sufficient access to a patient's body to perform and maintain ALS skills, including adequate space for one caregiver to sit superior to the patient's head to perform required ALS airway skills, and other EMS required by the Statewide EMS protocols.
- (3) It must have a design that does not compromise patient safety during loading, unloading or patient transport. It must be equipped with a door that will allow loading and unloading of the patient without excessive maneuvering.
- (4) It must be equipped with permanently installed climate control equipment to provide an environment appropriate for the medical needs of a patient.
- (5) It must have interior lighting adequate to enable medical care to be provided and patient status monitored without interfering with the vehicle operator's vision.
- (6) It must be designed for patient safety so that the patient is isolated from the operator's compartment in a manner that minimizes distractions to the vehicle operator during patient transport and prevents interference with the operator's manipulation of vehicle controls.
- (7) It must be equipped with appropriate patient restraints and with restraints in every seating position within the patient compartment.
- (8) An ALS ambulance used for critical care transports and an air ambulance must be equipped with 110 V electrical output with a minimum of four appropriate outlets within the patient compartment with the ability to operate the vehicle while operating medical equipment using all outlets simultaneously.
- (9) It must have enough space to accommodate the loading, unloading and transport of an infant isolette and permit sufficient access to the infant's entire body to begin and maintain ALS and other treatment modalities within the isolette.
- (10) It must be equipped with two-way radios capable of communication with medical command facilities, receiving facility communications centers, PSAPs and ambulances for the purpose of communicating medical information and assuring the continuity of resources for patient care needs.
- (11) It must carry an oxygen supply that is capable of providing high flow oxygen at more than 25 liters per minute to a patient for the anticipated duration of patient transport.

### § 1027.8. Removal of EMS vehicles from operation.

(a) When an EMS vehicle manifests evidence of a mechanical or equipment deficiency which poses a signifi-

cant threat to the health or safety of patients or crew, the EMS agency shall immediately suspend the vehicle from operation. An EMS agency may not operate an EMS vehicle that it has suspended from operation until the deficiency has been corrected.

(b) When an EMS vehicle, upon examination by the Department or a regional EMS council, manifests evidence of a mechanical or equipment deficiency which poses a significant threat to the health or safety of patients or crew, the EMS agency shall immediately suspend it from operation as directed by the Department. An EMS agency may not operate as an EMS vehicle a vehicle which has been suspended from operation by the Department until the Department has confirmed to the EMS agency that the deficiency has been corrected.

## § 1027.9. Right to enter, inspect and obtain records.

- (a) Upon the request of an employee or agent of the Department during regular and usual business hours, or at other times when that person possesses a reasonable belief that violations of this subpart may exist, an EMS agency or applicant for an EMS agency license shall:
- (1) Produce for inspection records maintained under § 1021.41 (relating to EMS patient care reports).
- (2) Produce for inspection, permit copying and provide within a reasonable period of time as directed by the Department, records that pertain to personnel and their qualifications, staffing, equipment, supplies, and policies and procedures required under § 1027.3 (relating to licensure and general operating standards).
- (3) Permit the person to examine EMS vehicles, equipment and supplies, and security arrangements.
- (b) The Department's representative shall advise the licensee or applicant that the inspection is being conducted under section 8129(h) of the act (relating to emergency medical services agencies) and this subpart.
- (c) Failure of an EMS agency or an applicant for an EMS agency license to produce records or to permit an examination as required by this section is a ground for imposing disciplinary sanctions upon the EMS agency and denying an application for an EMS agency license.

## § 1027.10. Notification of deficiencies to applicants.

- (a) Upon completion of an inspection under an application for a license, registration of a license or an amendment of a license, the inspector shall provide the applicant with an inspection report specifying the results of the inspection.
- (b) If the inspection reveals deficiencies that can be corrected and the inspector determines that the deficiencies warrant a reinspection, the inspector shall give the applicant written notice of the matters to be reinspected and copy the Department on the notice.
- (c) If the type of deficiency requires a plan of correction, the applicant shall have 30 days in which to provide the inspector with a plan to correct the deficiency. If the plan is found to be acceptable by the regional EMS council, the inspector will conduct a reinspection in accordance with the time frame given in the plan of correction.
- (d) If the applicant disagrees with a deficiency cited by the inspector following the inspection or reinspection, or the regional EMS council's rejection of a plan of correction, the applicant shall apprise the Department of the matter in dispute in writing within 10 days of the inspection or rejection of the plan of correction and the

Department will resolve the dispute within 30 days of receipt of the written notification.

- (e) The Department will act upon the application within 30 days after the inspection process has been completed, unless the Department requires additional time to complete an investigation of those qualifications of the applicant which cannot, for just cause, be determined through the inspection process.
- (f) Nothing in this section shall be construed to preclude the Department from identifying to the EMS agency statutory or regulatory violations not identified by the inspector, or from requiring the EMS agency to file a plan of correction to correct those deficiencies or taking immediate action to correct those deficiencies, or from taking disciplinary action against an EMS agency for a statutory or regulatory violation that cannot be corrected or for which the Department determines that disciplinary action best serves the public interest.

#### § 1027.11. Plan of correction.

- (a) Notification of violation. Upon determining that an EMS agency has violated the act or this subpart, the Department may issue a written notice to the EMS agency specifying the violation. The notice will require the EMS agency to take immediate action to discontinue the violation or to submit a plan of correction, or both, to bring the EMS agency into compliance. If the EMS agency cannot remedy the problem immediately and a plan of correction is therefore required, the Department may direct that the violation be remedied within a specified period of time.
- (b) Response by EMS agency. After receiving the notice of violation, the EMS agency shall do one of the following:
- (1) Comply with the requirements specified in the notice of violation.
- (2) Refuse to comply with one or more of the requirements specified in the notice of violation and apprise the Department of its decision, with an explanation, within the time and manner specified in the notice of violation.
- (3) Comply with the requirements specified in the notice of violation and apprise the Department of its decision, within the time and manner specified in the notice of violation of any violation identified in the notice of violation with which it disagrees, supported by an explanation for its disagreement.
- (c) EMS agency disagreement or refusal to comply. If the EMS agency fails to comply with any of the directives in the notice of violation and responds as required under subsection (b)(2), or disagrees with any of the violations identified and responds as required under subsection (b)(3), the Department will evaluate the explanation provided by the EMS agency to determine whether the response was justified. If the Department determines that the response was justified in whole or part, it will inform the EMS agency and rescind any violation identified or directive given in the notice of violation that the Department determines should not have applied.
- (d) Consequence of failure to comply. An EMS agency's response to a notice of violation under subsection (b)(2) does not act to stay any of the directives in the notice of violation. An EMS agency's failure to comply with a directive in the notice of violation constitutes a ground for discipline if the violation to which the directive relates is found to be true following a hearing.

# § 1027.12. Conditional temporary license.

When an EMS agency or an applicant for an EMS agency license does not provide service 24 hours-a-day, 7

days-a-week, and does not participate in a county-level or broader level EMS response plan, the Department will issue the EMS agency a conditional temporary license, subject to terms the Department determines to be appropriate, if the Department deems it is in the public interest to do so. The conditional temporary license is valid for 1 year and may be renewed as many times as the Department deems it is in the public interest to do so. If the EMS agency does not agree to the terms under which the Department would grant the EMS agency a conditional temporary license, the Department will take disciplinary action against the EMS agency for failing to either provide service 24 hours-a-day, 7 days-a-week, or participate in a county-level or broader level EMS response plan.

### § 1027.13. Discontinuation or movement of operations or reduction of service.

An EMS agency shall give at least 90 days advance notice to each appropriate regional EMS council, PSAP and chief executive officer of a political subdivision within its service area, as well as the chief executive officer of each political subdivision outside of its service area that relies upon it for service even if not provided on a routine basis, before it discontinues its operations or providing an EMS service out of any location at which it is licensed to provide that service or reducing the days or hours it provides the service. The EMS agency shall also advertise notice of its intent to discontinue operations or a service, or reduce the days or hours it provides the service, in a newspaper of general circulation in its service area at least 90 days in advance of discontinuing its operations or a service, or reducing the days or hours it provides the service, and shall provide the Department with written notice that it has met these responsibilities at least 90 days in advance of taking action. This section does not apply if the Department revokes, suspends or restricts the EMS agency's license under terms that do not afford the EMS agency the opportunity to comply with this section.

### § 1027.14. Management companies.

- (a) Information required to secure approval. Subject to Department approval, an entity may offer management services to EMS agencies. Management services involve exercising operational or managerial control over an EMS agency or conducting the day-to-day operations of the EMS agency. To secure Department approval, the entity shall provide to the Department, on a form or through an electronic process, as prescribed by the Department, the following information and other information as the Department may require:
- (1) Its name, including a fictitious name it has registered, its mailing address, and a primary contact person and telephone number at which that person can be reached.
- (2) The manner in which the applicant is organized corporation, partnership, limited liability company, sole proprietorship, and the like.
  - (3) A description of the management services it offers.
- (4) The names, titles and summary of responsibilities of persons who will be staffing the entity as officers, directors or other officials, and the same information pertaining to the entity and to its officers, directors or other officials, that an applicant for an EMS provider is required to report under § 1023.21(b)(1) and (2) (relating to general rights and responsibilities).

- (5) A statement attesting to the veracity of the information provided, which shall be signed by the principal official of the entity.
- (b) Updating information. An entity approved by the Department to provide management services shall provide the Department on a form or through an electronic process, as prescribed by the Department, any change in the information provided under subsection (a) within 10 days after the change.
- (c) Approval. After receipt of the information required under subsection (a), the Department will approve an entity to offer management services to EMS agencies, subject to possible disapproval under § 1031.16 (relating to discipline of management companies).
- (d) Registry. The Department will maintain a registry of entities approved by the Department to provide management services to EMS agencies.
- (e) Disclosures to EMS agencies. An entity that has received approval from the Department to offer management services to EMS agencies shall provide the same information to an EMS agency that it provides to the Department under subsection (a)(1)—(4) before it contracts with the EMS agency to provide management services for the EMS agency. The entity shall provide the EMS agency with any change in that information within 10 days after the change, except it shall immediately inform the EMS agency of any suspension or revocation of its approval or condition imposed upon it by the Department under § 1031.16.
- (f) Effective date. The effective date of this section is October 7, 2014. By October 7, 2014, an entity that is under contract with an EMS agency to provide management services for the EMS agency on October 7, 2014, shall make the same disclosures to the EMS agency as required under subsection (e).

# Subchapter B. EMS AGENCY SERVICES

Sec. 1027.31. General standards for providing EMS.

1027.32. Quick response service.

1027.33. Basic life support ambulance service.

Intermediate advanced life support ambulance service. Advanced life support ambulance service. 1027.34. 1027.35.

1027.36. Basic life support squad service.

Intermediate advanced life support squad service. 1027.37.

1027.38. Advanced life support squad service.

1027.39. Critical care transport ambulance service.

1027.40. Air ambulance service.

Special operations EMS services. 1027 41

Water ambulance service. 1027.42.

# § 1027.31. General standards for providing EMS.

Regardless of the type of service through which an EMS agency is providing EMS, the following standards apply to the EMS agency and its EMS providers when functioning as an EMS provider on behalf of an EMS agency, except as otherwise provided in this subchapter:

- (1) An EMS provider who encounters a patient before the arrival of other EMS providers shall attend to the patient and begin providing EMS to the patient at that EMS provider's skill level.
- (2) An EMR may not be the EMS provider who primarily attends to a patient unless another higher level EMS provider is not present or all other EMS providers who are present are attending to other patients.
- (3) Except as set forth in paragraph (2), or unless there are multiple patients and the EMS needs of other patients require otherwise, among EMS providers who are present, an EMS provider who is certified at or above the

EMS skill level required by the patient shall be the EMS provider who primarily attends to the patient.

- (4) If a patient requires EMS at a higher skill level than the skill level of the EMS providers who are present, unless there are multiple patients and the EMS needs of other patients require otherwise, an EMS provider who is certified at the highest EMS skill level among the EMS providers who are present shall be the EMS provider who primarily attends to the patient.
- (5) A member of the EMS vehicle crew with the highest level of EMS provider certification shall be responsible for the overall management of the EMS provided to the patient or patients by the members of that EMS vehicle crew. If more than one member of the EMS vehicle crew is an EMS provider above the AEMT level, any of those EMS providers may assume responsibility for the overall management of the EMS provided to the patient or patients by the members of that EMS vehicle crew.
- (6) If an EMS vehicle crew needs additional assistance in attending to the needs of a patient or patients, it shall contact a PSAP or its EMS agency dispatch center to request that assistance.
- (7) Except as otherwise provided in this subpart, an EMS agency shall operate 24 hours-a-day, 7 days-a-week, each type of service it is licensed to provide at each location it is licensed to operate that service.
- (8) A member of an EMS vehicle crew who responds to a call in a personal vehicle may not transport in that vehicle medications, equipment or supplies that an EMT is not authorized to use.

### § 1027.32. Quick response service.

- (a) *Purpose*. An EMS agency that operates a QRS uses EMS providers to respond to calls for EMS and provide EMS to patients before an ambulance arrives.
- (b) Vehicles. A QRS is not required to use a vehicle when responding to a call. If a QRS responds to a call using a vehicle, it may use a vehicle other than an EMS vehicle, such as a bicycle, motorized cart or all-terrain vehicle.
- (c) Staffing. The minimum staffing for a QRS is one EMS provider. If the QRS responds to a call with a BLS squad vehicle, intermediate ALS squad vehicle or ALS squad vehicle, the minimum staff shall also include an EMSVO, except that only one person is required if the EMSVO is also the EMS provider.

## (d) Providing EMS.

- (1) When a member of an ambulance crew arrives at the scene who is certified at the level for which the patient requires EMS or is a higher-level EMS provider than the EMS provider of the QRS crew exercising primary responsibility for the patient, the member of the QRS crew exercising primary responsibility for the patient shall relinquish that responsibility to that member of the ambulance crew.
- (2) Members of a QRS crew who are present shall follow the direction of the member of the ambulance crew who has assumed responsibility for the overall management of the EMS that is provided to the patient or patients at the scene and leave the scene or continue to provide assistance, as requested by that member of the ambulance crew.

# § 1027.33. Basic life support ambulance service.

(a) Purpose. An EMS agency that operates a BLS ambulance service employs one or more BLS ambulances

- staffed by an ambulance crew capable of providing medical assessment, observation, triage, monitoring, treatment and transportation of patients who require EMS at or below the skill level of an EMT.
- (b) Operating at the AEMT level. An EMS agency that chooses to operate a BLS ambulance service that provides EMS at the AEMT level shall apply for Department approval to operate in that manner through its application for a license as an EMS agency or an application to amend its EMS agency license. It shall satisfy the requirements under § 1027.34 (relating to intermediate advanced life support ambulance service).

## (c) Staffing.

- (1) The minimum staffing for a BLS ambulance crew when responding to a call to provide EMS and transporting a patient is an EMS provider at or above the EMR level, a second EMS provider at or above the EMT level and an EMSVO, except that only a two-person ambulance crew is required if the EMSVO is also one of the EMS providers and an EMS provider above the EMR level is available to attend to the patient during patient transport. Until April 11, 2016, an ambulance attendant who has not yet secured certification as an EMR may substitute for an EMR.
- (2) Responding ambulance crew members may arrive at the scene separately, but the ambulance shall be fully staffed at or above the required minimum staffing level before transporting a patient.
- (d) Providing EMS when dispatched with a higher level EMS vehicle crew. If a BLS ambulance and a higher level EMS vehicle crew are dispatched to provide EMS for a patient, the following shall apply:
- (1) BLS ambulance crew members shall begin providing EMS to the patient at their skill levels, including transportation of the patient to a receiving facility if the ambulance crew determines transport is needed, until higher level EMS is afforded by the arrival of a higher level EMS provider.
- (2) Upon the arrival of a higher level EMS vehicle crew, the BLS ambulance shall continue transporting the patient or release the patient to be transported by the higher level EMS vehicle crew, consistent with the Statewide EMS protocols, as directed by the EMS provider exercising primary responsibility for the patient.
- (3) The BLS ambulance crew shall reassume primary responsibility for the patient if that responsibility is relinquished back to that ambulance crew by the EMS provider of the higher level EMS vehicle crew who had assumed primary responsibility for the patient.
- (4) A BLS ambulance and its ambulance crew may transport from a receiving facility a patient who requires EMS above the skill level at which the ambulance is operating, if the sending or a receiving facility provides a registered nurse, physician assistant or physician to supplement the ambulance crew, that person brings on board the ambulance equipment and supplies to provide the patient with EMS above the EMS level at which the BLS ambulance is operating to attend to the EMS needs of the patient during the transport, and that person attends to the patient during the patient transport.
- (e) Application. For purposes of this section, the term "higher level EMS vehicle crew" means the EMS vehicle crew of an intermediate ALS ambulance, intermediate ALS squad vehicle, ALS ambulance, ALS squad vehicle or air ambulance.

# § 1027.34. Intermediate advanced life support ambulance service.

- (a) *Purpose*. An EMS agency that operates an intermediate ALS ambulance service employs one or more intermediate ALS ambulances staffed by an ambulance crew capable of providing medical assessment, observation, triage, monitoring, treatment and transportation of patients who require EMS at the AEMT level.
- (b) Staffing. The minimum staffing for an intermediate ALS ambulance crew when responding to a call to provide EMS to a patient who requires EMS at the skill level of an AEMT is an EMS provider at or above the AEMT level, a second EMS provider at or above the EMR level and an EMSVO, except that only a two-person ambulance crew is required if the EMSVO is also one of the EMS providers and an EMS provider at or above the AEMT level is available to attend to the patient during patient transport. Responding ambulance crew members may arrive at the scene separately, but the ambulance shall be fully staffed at or above the minimum staffing level before transporting the patient.
- (c) Providing EMS when dispatched with a lower level EMS vehicle crew. If an intermediate ALS ambulance and a lower level EMS vehicle crew are dispatched to provide EMS for a patient, the following shall apply:
- (1) If the patient is assessed by the intermediate ALS ambulance crew to require EMS above the skill level at which the lower level EMS vehicle crew is operating, and requires transport to a receiving facility, the EMS provider who is responsible for the overall management of the EMS provided to the patient shall decide, consistent with the Statewide EMS protocols, who will transport the patient. An appropriately certified member of the intermediate ALS ambulance crew shall attend to the patient during the transport. If the lower level EMS vehicle is used to transport the patient, the EMS provider in charge shall use the equipment and supplies on the lower level EMS vehicle, supplemented with the additional equipment and supplies, including medications, from the intermediate ALS ambulance.
- (2) If at the scene or during transport by the lower level EMS vehicle crew, the EMS provider of the intermediate ALS ambulance crew who has assumed primary responsibility for the patient determines that the lower level EMS vehicle crew is operating at the skill level needed to attend to the patient's EMS needs, consistent with the Statewide EMS protocols, that EMS provider may relinquish responsibility for the patient to the lower level EMS vehicle crew.
- (d) Providing EMS when dispatched with a higher level EMS vehicle crew. If an intermediate ALS ambulance and a higher level EMS vehicle crew are dispatched to provide EMS for a patient, the following shall apply:
- (1) Intermediate ALS ambulance crew members shall begin providing EMS to the patient at their skill levels, including transportation of the patient to a receiving facility if the crew determines transport is needed, until higher level EMS is afforded by the arrival of a higher level EMS provider.
- (2) Upon the arrival of a higher level EMS vehicle crew, the intermediate ALS ambulance shall continue transporting the patient or release the patient to be transported by the higher level EMS vehicle crew, consistent with the Statewide EMS protocols, as directed by the EMS provider exercising primary responsibility for the patient.

- (3) The intermediate ALS ambulance crew shall reassume primary responsibility for the patient if that responsibility is relinquished back to that ambulance crew by the EMS provider of the higher level EMS vehicle crew who had assumed primary responsibility for the patient.
- (e) Responding to a call for a patient who requires EMS below the AEMT level. When an intermediate ALS ambulance is employed to respond to a call to provide EMS to a patient who requires EMS below the skill level of an AEMT, the staffing and the responsibilities of the ambulance crew are the same as set forth in § 1027.33 (relating to basic life support ambulance service).
- (f) Application. For purposes of this section, the term "lower level EMS vehicle crew" means the EMS vehicle crew of a BLS ambulance or BLS squad vehicle. The term "higher level EMS vehicle crew" means the EMS vehicle crew of an ALS ambulance, ALS squad vehicle or air ambulance.

# § 1027.35. Advanced life support ambulance service.

- (a) *Purpose*. An EMS agency that operates an ALS ambulance service employs one or more ALS ambulances staffed by an ambulance crew capable of providing medical assessment, observation, triage, monitoring, treatment and transportation of patients who require EMS above the skill level of an AEMT.
- (b) Staffing. The minimum staffing for an ALS ambulance crew when responding to a call to provide EMS to a patient who requires EMS above the skill level of an AEMT is an EMS provider at or above the EMT level, a second EMS provider above the AEMT level and an EMSVO, except that only a two-person ambulance crew is required if the EMSVO is also one of the EMS providers and an EMS provider above the AEMT level is available to attend to the patient during patient transport. Responding ambulance crew members may arrive at the scene separately, but the ambulance shall be fully staffed at or above the minimum staffing level before transporting a patient.
- (c) Providing EMS when dispatched with a lower level EMS vehicle crew. If an ALS ambulance and a lower level EMS vehicle crew are dispatched to provide EMS for a patient, the following shall apply:
- (1) Upon arrival of an EMS provider from the ALS ambulance crew who is a higher level EMS provider than the highest level EMS provider of the lower level EMS vehicle crew who is present, that EMS provider shall assume primary responsibility for the patient.
- (2) If the patient is assessed by the ALS ambulance crew to require EMS above the skill level at which the lower level EMS vehicle crew is operating, and requires transport to a receiving facility, the EMS provider who is responsible for the overall management of the EMS provided to the patient shall decide, consistent with the Statewide EMS protocols, who will transport the patient. An appropriately certified member of the ALS ambulance crew shall attend to the patient during the transport. If the lower level EMS vehicle is used to transport the patient, that EMS provider shall use the equipment and supplies on the lower level EMS vehicle, supplemented with the additional equipment and supplies, including medications, from the ALS ambulance.
- (3) If at the scene or during patient transport by the lower level EMS vehicle crew, the EMS provider of the ALS ambulance crew who has assumed primary responsibility for the patient determines that the lower level EMS

vehicle crew is operating at the skill level needed to attend to the patient's EMS needs, consistent with the Statewide EMS protocols, that EMS provider may relinquish responsibility for the patient to the lower level EMS vehicle crew.

- (d) Responding to a call for a patient who requires EMS at the AEMT level. When an ALS ambulance is employed to respond to a call to provide EMS to a patient who requires EMS at the skill level of an AEMT, the staffing and responsibilities of the ambulance crew are the same as set forth in § 1027.34 (relating to intermediate advanced life support ambulance service).
- (e) Responding to a call for a patient who requires EMS below the AEMT level. When an ALS ambulance is employed to respond to a call to provide EMS to a patient who requires EMS below the skill level of an AEMT, the staffing and the responsibilities of the ambulance crew are the same as set forth in § 1027.33 (relating to basic life support ambulance service).
- (f) Application. For purposes of this section, the term "lower level EMS vehicle crew" means the EMS vehicle crew of a BLS ambulance, BLS squad vehicle, intermediate ALS ambulance or intermediate ALS squad vehicle.

### § 1027.36. Basic life support squad service.

- (a) *Purpose.* A BLS squad vehicle transports EMS providers, along with basic EMS equipment and supplies, to rendezvous with an ambulance crew or to respond prior to the arrival of an ambulance, to provide EMS at or below the AEMT level of care. A BLS squad vehicle may not transport patients.
- (b) *Staffing*. The minimum staffing for a BLS squad vehicle crew when responding to a call to provide EMS is an EMS provider at or above the EMT level and an EMSVO, except that only one person is required if the EMSVO is also the EMS provider.
- (c) Providing EMS when dispatched with a higher level ambulance crew. If a BLS squad vehicle and a higher level ambulance crew are dispatched to provide EMS for a patient, the following shall apply:
- (1) BLS squad vehicle crew members shall begin providing EMS to the patient at their skill levels until higher level EMS is afforded by the arrival of a higher level EMS provider.
- (2) Upon the arrival of a higher level ambulance crew, the BLS squad vehicle shall release the patient to be transported by the higher level ambulance crew, consistent with the Statewide EMS protocols, as directed by the EMS provider exercising primary responsibility for the patient.
- (d) *Application*. For purposes of this section, the term "higher level ambulance crew" means the ambulance crew of an intermediate ALS ambulance, ALS ambulance or air ambulance.

# § 1027.37. Intermediate advanced life support squad service.

- (a) Purpose. An intermediate ALS squad vehicle transports EMS providers at the AEMT level, along with equipment and supplies, to rendezvous with an ambulance crew or to respond prior to the arrival of an ambulance, to provide medical assessment, monitoring, treatment and observation of a patient who requires advanced EMS. An intermediate ALS squad vehicle may not transport patients.
- (b) Staffing. The minimum staffing for an intermediate ALS squad vehicle crew when responding to a call to

- provide EMS is an EMS provider at or above the AEMT level and an EMSVO, except that only one person is required if the EMSVO is also the EMS provider.
- (c) Providing EMS when dispatched with a lower level ambulance crew. If an intermediate ALS squad vehicle and a lower level ambulance crew are dispatched to provide EMS for a patient, the following shall apply:
- (1) If the patient is assessed by the intermediate ALS squad vehicle crew to require EMS above the skill level at which the lower level ambulance crew is operating, and requires transport to a receiving facility, an appropriately certified member of the intermediate squad vehicle shall attend to the patient during the transport by the lower level ambulance crew. That EMS provider shall use the equipment and supplies on the lower level ambulance, supplemented with the additional equipment and supplies, including medications, from the intermediate ALS squad vehicle.
- (2) If at the scene or during patient transport by the lower level ambulance crew, the intermediate ALS squad vehicle crew determines that the lower level ambulance crew is operating at the skill level needed to attend to the patient's needs, consistent with Statewide EMS protocols, the EMS provider of the intermediate ALS squad vehicle who is responsible for the overall management of the EMS provided to the patient may relinquish responsibility for the patient to the lower level ambulance crew.
- (d) Providing EMS when dispatched with a higher level ambulance crew. If an intermediate ALS squad vehicle and a higher level ambulance crew are dispatched to provide EMS for a patient, the following shall apply:
- (1) Intermediate ALS squad vehicle crew members shall begin providing EMS to the patient at their skill levels until higher level EMS is afforded by the arrival of a higher level EMS provider.
- (2) Upon the arrival of a higher level ambulance crew, the intermediate ALS squad vehicle shall release the patient to be transported by the higher level ambulance crew, consistent with Statewide EMS protocols, as directed by the EMS provider exercising primary responsibility for the patient.
- (e) Application. For purposes of this section, the term "lower level ambulance crew" means the ambulance crew of a BLS ambulance. The term "higher level ambulance crew" means the ambulance crew of an ALS ambulance or air ambulance.

# § 1027.38. Advanced life support squad service.

- (a) *Purpose*. An ALS squad vehicle transports EMS providers above the AEMT level, along with equipment and supplies, to rendezvous with an ambulance crew or to respond prior to the arrival of an ambulance, to provide medical assessment, monitoring, treatment and observation of a patient who requires EMS at or above the skill level of an AEMT. An ALS squad vehicle may not transport patients.
- (b) *Staffing*. The minimum staffing for an ALS squad vehicle crew when responding to a call to provide EMS is an EMS provider above the AEMT level and an EMSVO, except that only one person is required if the EMSVO is also the EMS provider.
- (c) Providing EMS when dispatched with a lower level ambulance crew. If an ALS squad vehicle and a lower level ambulance crew are dispatched to provide EMS for a patient, the following shall apply:

- (1) Upon arrival of an EMS provider from the ALS squad vehicle who is a higher level EMS provider than the highest level EMS provider of the lower level ambulance crew who is present, that EMS provider shall assume primary responsibility for the patient.
- (2) If the patient is assessed by the ALS squad vehicle crew to require EMS above the skill level at which the lower level ambulance crew is operating, and requires transport to a receiving facility, an appropriately certified member of the ALS squad vehicle shall attend to the patient during the transport by the lower level ambulance crew. That EMS provider shall use the equipment and supplies on the lower level ambulance, supplemented with the additional equipment and supplies, including medications, from the ALS squad vehicle.
- (3) If at the scene or during patient transport by the lower level ambulance crew, the ALS squad vehicle crew determines that the lower level ambulance crew is operating at the skill level needed to attend to the patient's EMS needs, consistent with the Statewide EMS protocols, the EMS provider of the ALS squad vehicle who is responsible for the overall management of the EMS provided to the patient may relinquish responsibility for the patient to the lower level ambulance crew.
- (d) Application. For purposes of this section, the term "lower level ambulance crew" means the ambulance crew of a BLS ambulance or intermediate ALS ambulance.

# § 1027.39. Critical care transport ambulance service.

- (a) Purpose. An EMS agency that operates a critical care transport ambulance service employs one or more ALS ambulances staffed by a crew capable of providing medical assessment, observation, triage, monitoring, treatment and transportation of patients who require EMS at the skill level needed to attend to and transport critically ill or injured patients between receiving facilities.
- (b) Staffing. The minimum staffing for a critical care transport crew when responding to a call to provide critical care transport is an EMSVO and two EMS providers above the AEMT level with at least one of the EMS providers being a paramedic, PHPE, PHRN or a PHP who has successfully completed a critical care transport educational program approved by the Department. Provided that one of the EMS providers is a paramedic, PHPE, PHRN or a PHP who has successfully completed a critical care transport educational program approved by the Department, another health care provider or providers may substitute for a second EMS provider above the AEMT level to attend to a patient with special medical needs if the EMS agency has submitted to the Department, and received the Department's approval, a plan that provides for substitution to attend to the needs of those patients in accordance with the Department-approved protocol the EMS agency has established for its critical care transport service. Responding crew members may arrive at the scene separately, but the ambulance shall be fully staffed at or above the minimum staffing level before transporting a patient.
- (c) Transport of critical care patient. During patient transport, two EMS providers who satisfy the minimum EMS provider staffing requirement in subsection (b) shall accompany the patient in the patient compartment of the ambulance and be available to attend to the patient during the transport.
- (d) Expanded scope of practice. When providing EMS through a critical care transport ambulance service, the

scope of practice of an EMS provider above the AEMT level is expanded. This expansion will include EMS skills and the use of equipment in addition to those included in the EMS provider's general scope of practice if the EMS provider has received education to perform those skills and use that equipment by having successfully completed a critical care transport educational program approved by the Department. The EMS provider is required to be able to document having received that education and to demonstrate competency in the performance of those skills and use of that equipment to the EMS agency medical director. Performance of those skills and use of that equipment by that level of EMS provider will be authorized by the Department as published in a notice in the Pennsylvania Bulletin. An EMS provider shall perform these skills as directed by the Statewide EMS protocols applicable to a critical care transport ambulance service or as otherwise directed by a medical command physician.

### § 1027.40. Air ambulance service.

- (a) Purpose. An EMS agency that operates an air ambulance service employs one or more air ambulances staffed by a crew capable of providing medical assessment, observation, triage, monitoring, treatment and transportation of patients who require EMS. An air ambulance should be employed when time to administer definitive care to a patient is of the essence and transportation by air ambulance to a receiving facility able to provide the care is faster than transportation by ground ambulance, or when a patient requires EMS provided by specialized equipment or providers not available on a ground ambulance and the air ambulance can provide this faster than the patient would receive such care at a receiving facility if transported by ground ambulance.
- (b) Staffing. The minimum staffing for an air ambulance crew when responding to a call to transport a patient by air ambulance is a pilot and two EMS providers above the AEMT level, with at least one of the EMS providers being a paramedic, PHPE, PHRN or a PHP who has successfully completed an air ambulance transport educational program approved by the Department. Provided that one of the EMS providers is a paramedic, PHPE, PHRN or a PHP who has successfully completed an air ambulance transport educational program approved by the Department, another health care provider or providers may substitute for a second EMS provider above the AEMT level to attend to a patient with special medical needs if the EMS agency has submitted to the Department, and received the Department's approval of, a plan that provides for substitution to attend to the needs of those patients in accordance with the Department-approved protocol the EMS agency has established for its air ambulance service. Responding crew members may arrive at the scene separately, but the ambulance shall be fully staffed at or above the minimum staffing level before transporting a patient.
- (c) Transport of patient. During patient transport, two EMS providers who satisfy the minimum EMS provider staffing requirement in subsection (b) shall accompany the patient in the patient compartment of the ambulance and be available to attend to the patient during the transport.
  - (d) Flight requirements.
- (1) An EMS agency's determination regarding whether to accept a flight shall be based solely on availability, weather conditions and safety considerations.
- (2) The crew of an air ambulance shall apprise the dispatching ground PSAP as soon as practical after

receiving a dispatch call, its estimated time of arrival at the scene of the emergency. While the air ambulance is en route to the scene of an emergency, if the crew of the air ambulance believes that the air ambulance and required staff will not be able to arrive at the emergency scene within the estimated time of arrival previously given, the air ambulance crew shall contact the ground PSAP and provide a new estimated time of arrival.

- (e) EMS protocols. In addition to following the Statewide EMS protocols, an EMS agency that operates an air ambulance service may establish and follow EMS protocols that address EMS not covered by the Department's EMS protocols, provided those protocols are approved by the Department. To secure that approval, the EMS agency shall submit the proposed protocols to the medical advisory committee of the regional EMS council through which it submitted its application to be licensed as an EMS agency. That medical advisory committee shall assess the appropriateness of the proposed protocols and then forward the proposed protocols to the Department with its recommendations.
- (f) Expanded scope of practice. When providing EMS through an air ambulance service, the scope of practice of an EMS provider above the AEMT level is expanded. This expansion will include EMS skills and the use of equipment in addition to those included in the EMS provider's general scope of practice if the EMS provider has received education to perform those skills and use that equipment by having successfully completed an air ambulance transport educational program approved by the Department. The EMS provider is required to be able to document having received that education and to demonstrate competency in the performance of those skills and use of that equipment to the EMS agency medical director. Performance of those skills and use of that equipment by that level of EMS provider will be authorized by the Department as published in a notice in the Pennsylvania Bulletin. An EMS provider shall perform these skills as directed by the Statewide EMS protocols applicable to an air ambulance service or as otherwise directed by a medical command physician.

## § 1027.41. Special operations EMS services.

- (a) Generally. A special operations EMS service provides EMS in austere environments that require specialized knowledge, equipment or vehicles to access a patient or it addresses patient care situations that differ from the routine situations that can be handled by a QRS, ambulance service or squad service, or some combination thereof. Depending upon the type of special operations EMS service and the circumstances presented, a special operations EMS service may be able to meet the EMS needs of the patient by itself, or may need to work with other EMS services to meet the EMS needs of the patient.
- (b)  $Special\ provisions.$  The following apply to special operations EMS services:
- (1) When providing EMS through a special operations EMS service, an EMS provider's scope of practice is expanded to include EMS skills and the use of equipment in addition to those included in the EMS provider's general scope of practice if the EMS provider has received education to perform those skills and use that equipment by having successfully completed a course approved by the Department for that type of special operations EMS service. The EMS provider is required to be able to document having received that education and to demonstrate competency in the performance of those skills and use of that equipment to the EMS agency medical

- director. Performance of those skills and use of that equipment by that level of EMS provider will be authorized by the Department as published in a notice in the *Pennsylvania Bulletin*. An EMS provider shall perform these skills as directed by the Statewide EMS protocols applicable to that type of special operations EMS service or as otherwise directed by a medical command physician.
- (2) Notwithstanding § 1021.41(a) (relating to EMS patient care reports), when an EMS agency is providing EMS exclusively through a special operations EMS service it shall document patient encounters as follows:
- (i) It shall document every patient encounter on a log that includes the minimum information required by the Department as published in a notice in the *Pennsylvania Bulletin* pertaining to EMS PCR form elements, including documentation required by the Statewide EMS protocols for any patient refusing treatment.
- (ii) For any patient transported by ambulance from a special operations EMS incident, it shall complete a written transfer of care form that contains the patient information that is essential for immediate transmission for patient care required under § 1021.41(c), and provide it to the EMS provider on the ambulance who accepts responsibility for the patient.
- (iii) For any patient transported by ambulance from a special operations EMS incident who receives EMS from the special operations EMS service exceeding the scope of practice of an EMT, it shall complete an EMS PCR and otherwise comply with § 1021.41.
- (iv) For any patient not transported by ambulance who refuses EMS or dies while under the care of a special operations EMS service, the special operations EMS service shall complete an EMS PCR and otherwise comply with § 1021.41.
- (3) Notwithstanding § 1027.31(8) (relating to general standards for providing EMS), when an EMS provider at or above the AEMT level is responding as part of a special operations EMS service in a vehicle other than an EMS vehicle, the EMS provider may transport in that vehicle EMS equipment and supplies that an EMT is not authorized to use, provided the EMS agency has adopted policies approved by its EMS agency medical director to ensure the proper storage and security of the equipment and medications, and the EMS provider abides by those policies.
- (4) To facilitate the ability of EMS providers to access and move patients, a special operations EMS service may use modes of transportation at the special operations EMS incident site, such as a bike, golf cart or other motorized vehicle, to transport EMS providers and patients.
  - (c) Tactical EMS service.
- (1) *Purpose.* An EMS agency that provides a tactical EMS service provides EMS support to a law enforcement service to afford a rapid and safe EMS response if a person becomes ill or injured during a tactical law enforcement operation.
- (2) Affiliation. To secure and maintain an EMS agency license that authorizes the EMS agency to operate a tactical EMS service, an EMS agency shall demonstrate that it is affiliated with a law enforcement service operated by a government law enforcement agency or a consortium of government law enforcement agencies.
- (3) Staffing. An EMS agency that provides a tactical EMS service shall be staffed by at least six EMS provid-

ers who are above the AEMT level with a minimum of 2 years of experience as an EMS provider above the AEMT level, and who have completed an educational program approved by the Department on tactical EMS operations. The minimum staff when providing EMS support as a tactical EMS service is two EMS providers who meet these standards. All EMS providers who provide EMS for an EMS agency's tactical EMS service shall be 21 years of age or older.

- (4) Weapons. Notwithstanding § 1027.3(j) (relating to licensure and general operating standards), when an EMS provider is responding to a tactical law enforcement operation as part of a tactical EMS service, the EMS provider may carry weapons and other tactical items as otherwise permitted by law and approved by the affiliated law enforcement agency.
- (5) Reporting. The EMS agency shall provide a summary report of a tactical EMS operation response to the regional EMS council assigned to the region in which the tactical EMS service was provided, within 30 days of the tactical EMS operation, on a form or through an electronic process as prescribed by the Department.
  - (d) Wilderness EMS service.
- (1) *Purpose.* An EMS agency that provides a wilderness EMS service provides EMS in the wilderness, backcountry or other wild and uncultivated area to afford an EMS response should a person become ill or injured in that setting.
- (2) Coordination. To secure and maintain an EMS agency license that authorizes the EMS agency to operate a wilderness EMS service, an EMS agency shall demonstrate that it has coordinated with a local, county or State emergency service or services and responds at their request.
- (3) Staffing. An EMS agency that provides a wilderness EMS service shall be staffed by at least six EMS providers who have completed an educational program approved by the Department on wilderness EMS operations. The minimum staff when providing EMS as a wilderness EMS service is two EMS providers at or above the EMT level who meet these standards. EMS providers who provide EMS for a wilderness EMS service shall be 18 years of age or older.
- (4) Reporting. The EMS agency shall provide a summary report of a wilderness EMS operation response to the regional EMS council assigned to the region in which the wilderness EMS service was provided, within 30 days of the wilderness EMS operation, on a form or through an electronic process, as prescribed by the Department.
  - (e) Mass-gathering EMS service.
- (1) *Purpose*. An EMS agency that provides a mass-gathering EMS service provides EMS when there is a large gathering of persons under circumstances such as the following:
- (i) The number of anticipated participants or spectators would overwhelm normal EMS capabilities for the area or local hospital capabilities.
- (ii) The nature of the activity occurring at the massgathering site may result in increased risk of injury or illness to spectators or participants.
- (iii) Areas where access to normal EMS operations are limited due to factors such as physical/logistical restrictions in access routes, gathering areas and the number of spectators.

- (iv) Risk analysis has determined that the site of the mass-gathering could be considered a target of opportunity for terrorist activity.
- (2) Coordination. To secure and maintain an EMS agency license that authorizes the EMS agency to operate a mass-gathering EMS service, an EMS agency shall demonstrate that it has coordinated with an EMS agency that operates an ambulance service and other local, county or State emergency services.
- (3) Staffing. An EMS agency that provides massgathering EMS service shall be staffed by at least six EMS providers. The minimum staff when providing EMS support as a mass-gathering EMS service is two EMS providers with at least one EMS provider at or above the EMT level.
- (4) Reporting. The EMS agency shall provide a summary report of a mass-gathering event at which it provides EMS to the regional EMS council assigned to the region in which the mass-gathering EMS service was provided, within 30 days of the event, on a form or through an electronic process, as prescribed by the Department.
  - (f) Urban search and rescue EMS service.
- (1) *Purpose.* An EMS agency that provides an urban search and rescue (USAR) EMS service provides EMS at an incident in which patients are entrapped by a structural collapse or other entrapment for an extended period of time.
- (2) Coordination. To secure and maintain an EMS agency license that authorizes the EMS agency to operate a USAR EMS service, an EMS agency shall demonstrate that it has coordinated with a local, county or State emergency service or services and responds at their request.
- (3) Staffing. An EMS agency that provides a USAR EMS service shall be staffed by at least six EMS providers above the level of AEMT who have completed an educational program approved by the Department on USAR EMS operations. The minimum staff when providing EMS as a USAR EMS service is two EMS providers above the AEMT level who meet these standards. EMS providers who provide EMS for a USAR EMS service shall be 18 years of age or older.
- (4) Reporting. The EMS agency shall provide a summary report of a USAR EMS operation response to the regional EMS council assigned to the region in which the USAR EMS service was provided, within 30 days of the USAR EMS operation, on a form or through an electronic process, as prescribed by the Department.
- (g) Extraordinary applications. An EMS agency or an applicant for an EMS agency license may apply to operate under its license a type of special operations EMS service that is not addressed in this chapter. The Department will address each request on an individual basis. It will grant, conditionally grant or deny the request as it deems appropriate to protect the public interest. An EMS agency granted authorization to conduct a special operations EMS service under this subsection shall be subject to any later adopted regulations that apply to that type of special operations EMS service.
- (h) Construction. This section enables an EMS agency that has been licensed to provide a special operations EMS service to hold itself out as being licensed to provide that service and to provide that service in accordance with the requirements in this section. It does not require an EMS agency to be licensed to conduct a special

operations EMS service to respond to a call requesting EMS under circumstances in which a special operations EMS service would be appropriate.

### § 1027.42. Water ambulance service.

- (a) Generally. An EMS agency that operates a water ambulance service employs one or more water ambulances staffed by an ambulance crew capable of providing medical assessment, observation, triage, monitoring, treatment and transportation of patients who require
- (b) Application. The requirements for ambulances, EMS agencies and EMS vehicles under this subpart apply to water ambulance services except as otherwise provided in this subpart.
  - (c) Specific provisions.
- (1) A BLS water ambulance service shall meet the requirements of § 1027.33 (relating to basic life support ambulance service).
- (2) An intermediate ALS water ambulance service shall meet the requirements of § 1027.34 (relating to intermediate advanced life support ambulance service).
- (3) An ALS water ambulance service shall meet the requirements of § 1027.35 (relating to advanced life support ambulance service).
- (d) EMSVOs. Notwithstanding subsection (c), the minimum staffing standards for a water ambulance service do not include an EMSVO.

# Subchapter C. MISCELLANEOUS

Sec.

1027.51. Stretcher and wheelchair vehicles.

1027.52. Out-of-State providers.

## § 1027.51. Stretcher and wheelchair vehicles.

- (a) Stretcher vehicle. A stretcher vehicle is a ground vehicle, other than an ambulance, that is commercially used to transport by stretcher a person who does not receive and cannot reasonably be anticipated to require medical assessment, monitoring, treatment or observation by EMS providers during transport, but who, due to the person's condition, requires vehicle transportation on a stretcher or in a wheelchair.
- (b) Wheelchair vehicle. A wheelchair vehicle is a ground vehicle, other than an ambulance, that is commercially used to transport by wheelchair a person who does not receive and cannot reasonably be anticipated to require medical assessment, monitoring, treatment or observation by EMS providers during transport, but who, due to the person's condition, requires vehicle transportation on a stretcher or in a wheelchair.
- (c) Prohibition. An entity may not operate a stretcher or wheelchair vehicle to transport a person who the entity knows or should reasonably know requires medical assessment, monitoring, treatment or observation during transport.

### § 1027.52. Out-of-State providers.

(a) An entity located or headquartered outside of this Commonwealth, that is not licensed as an EMS agency by the Department, may not engage in the business of providing EMS to patients within this Commonwealth except when dispatched by a PSAP to provide EMS. This is to occur only when a PSAP determines that an EMS agency is unable to respond within a reasonable time or its response is not sufficient to deal with the emergency.

- (b) An entity located or headquartered outside of this Commonwealth that is not licensed as an EMS agency by the Department, may provide EMS to patients when transporting them from locations outside this Commonwealth to locations within this Commonwealth.
- (c) An entity located or headquartered outside this Commonwealth, which is not an agency of the Federal government, needs to be licensed as an EMS agency by the Department to provide EMS to patients within this Commonwealth other than as described in subsections (a)

## CHAPTER 1029. MEDICAL COMMAND FACILITIES AND RECEIVING FACILITIES

Subchap.

MEDICAL COMMAND FACILITIES

RECEIVING FACILITIES

## Subchapter A. MEDICAL COMMAND FACILITIES

Sec. 1029.1. General provisions.

Operational requirements. 1029.2.

Processing certification and registration applications. 1029.3.

Inspections and investigations. 1029.4. 1029.5. Plan of correction.

1029.6. Discontinuation of service.

## § 1029.1. General provisions.

- (a) Certification and registration required. To operate as a medical command facility, a medical unit shall be certified and currently registered as a medical command facility.
  - (b) Certification requirements.
- (1) The Department will certify as a medical command facility a facility that was recognized by the Department as a medical command facility immediately prior to October 12, 2013.
- (2) The Department will certify other applicants for certification as a medical command facility if the Department is satisfied that the applicant has met the following requirements:
- (i) It is a distinct medical unit operated by a hospital or consortium of hospitals.
- (ii) It has the equipment and personnel needed to provide medical command to and control over EMS providers.
- (iii) It employs a medical command facility medical director.
- (iv) It has adopted policies and procedures to ensure that a medical command physician is available to provide medical command at all times.
- (v) It satisfies the communications, recordkeeping and other requirements imposed under this chapter.
- (c) Certification application. An application for certification as a medical command facility shall be submitted on a form or through an electronic process, as prescribed by the Department, to the regional EMS council exercising responsibility for the EMS region in which the applicant is located. The application form shall solicit information to enable the Department to determine whether the applicant has satisfied the certification requirements under subsection (b).
- (d) Triennial registration. A medical command facility's certification is deemed registered when the certification is issued. Except for a medical command facility certified under subsection (b)(1), a medical command facility's registration of its certification is valid for 3 years. The initial registration of the certification of a medical com-

mand facility certified under subsection (b)(1) based upon its prior recognition as a medical command facility will expire when its recognition as a medical command facility would have expired under the Emergency Medical Services Act (35 P. S. §§ 6921—6938) (repealed by the act of August 18, 2009 (P. L. 308, No. 37)).

(e) Registration application. A medical command facility shall submit an application for registration of its certification on a form or through an electronic process, as prescribed by the Department, between 60 and 90 days before its current registration expires to the regional EMS council exercising responsibility for the EMS region in which the applicant is located. The application form shall solicit information to enable the Department to determine whether the applicant continues to satisfy the certification requirements under subsection (b)(2).

# § 1029.2. Operational requirements.

The operational requirements of a medical command facility are as follows:

- (1) It shall continue to satisfy all requirements under § 1029.1 (relating to general provisions).
- (2) It shall satisfy the following communication and recordkeeping requirements:
- (i) Compatibility with regional telecommunication systems plans, if in place.
- (ii) Communication by way of telecommunications equipment/radios with EMS providers providing EMS for an EMS agency within the area in which medical command is exercised.
- (iii) Audio recording of medical command communications or, when medical command is provided at the scene, otherwise documenting medical command sessions.
- (iv) Maintenance of the recording of a medical command session, or documentation of a medical command session when medical command is provided at the scene, for 7 years.
- (v) An appropriate program for training emergency department staff in the effective use of telecommunication equipment.
- (vi) Protocols to provide for prompt response to requests from EMS providers for both radio and telephone medical guidance, assistance or advice.
- (vii) Documentation that each medical command physician has been educated on all updates to Statewide EMS protocols.
- (3) It shall accurately and promptly relay information regarding patients to the appropriate receiving facility.
- (4) It shall adhere to EMS protocols approved by the Department except when a departure is required for good cause.
- (5) It shall establish a process whereby the medical command facility medical director or the director's designee identifies problems to EMS providers and instructs how to correct those problems.
- (6) It shall obtain a contingency agreement with at least one other medical command facility to ensure availability of medical command at all times, including during mass casualty situations, natural disasters and declared states of emergency.
- (7) It shall establish internal procedures that comply with the Statewide EMS protocols.

- (8) It shall notify PSAPs, through which it routinely receives requests for medical command, when it will not have a medical command physician available to provide medical command.
- (9) It shall participate in the regional EMS council's quality improvement program.
- (10) It shall employ sufficient administrative support staff to enable the institution to carry out its essential duties, including audits, equipment maintenance, and processing and responding to complaints.
- (11) It shall establish a program of training for medical command physicians, EMS providers and emergency department staff and establish a method to ensure that each medical command physician receives education about all updates and changes to the Statewide EMS protocols.
- (12) It shall provide medical command to EMS providers whenever they seek direction.

# § 1029.3. Processing certification and registration applications.

- (a) A regional EMS council that receives an application for medical command facility certification or an application to register that certification shall review the application for completeness. The regional EMS council shall apprise the applicant if the application is incomplete and obtain a completed application from the applicant.
- (b) The regional EMS council shall conduct an onsite inspection of the applying facility to verify information contained within the application and to complete a physical inspection of the medical command area.
- (c) After completing its review, the regional EMS council shall forward a copy of its recommendation to the Department and to the applying facility. If the applying facility disagrees with the recommendation of the regional EMS council, it may submit a written rebuttal to the Department within 10 days of its receipt of the recommendation.
- (d) The Department will review the application, information and recommendation submitted by the regional EMS council and the rebuttal statement, if any, submitted by the applying facility and make a decision within 30 days from the time of its receipt of the regional EMS council's recommendation to grant or deny the application.
- (e) The Department may inspect the facility and gather additional information to aid it in making a decision on the application.

### § 1029.4. Inspections and investigations.

- (a) The Department will conduct inspections of a medical command facility from time to time, as deemed appropriate and necessary, but at least once every 3 years, including when necessary to investigate a complaint or a reasonable belief that a violation of this subchapter may exist. The Department may have a regional EMS council conduct or assist the Department in conducting an inspection or investigation.
- (b) A medical command facility and an applicant for medical command facility certification shall fully respond to an inquiry of the Department or a regional EMS council regarding its compliance with this subchapter and provide them full and free access to examine the facility and its records relating to its operation as a medical command facility.

## § 1029.5. Plan of correction.

(a) Notification of violation. Upon determining that a medical command facility has violated the act or this

subchapter, the Department may issue a written notice to the medical command facility specifying the violation or violations. The notice will require the medical command facility to take immediate action to discontinue the violation or violations or to submit a plan of correction, or both, to bring the medical command facility into compliance. If the medical command facility cannot remedy the problem immediately and a plan of correction is therefore required, the Department may direct that the violation be remedied within a specified period of time.

- (b) Response by medical command facility. After receiving the notice of violation or violations, the medical command facility shall do one of the following:
- (1) Comply with the requirements specified in the notice.
- (2) Refuse to comply with one or more of the requirements specified in the notice and apprise the Department of its decision, with an explanation, within the time and manner specified in the notice.
- (3) Comply with the requirements specified in the notice and apprise the Department of its decision, within the time and manner specified in the notice of any violation identified in the notice with which it disagrees, supported by an explanation for its disagreement.
- (c) Medical command facility disagreement or refusal to comply. If the medical command facility fails to comply with any of the directives in the notice and responds as required under subsection (b)(2), or disagrees with any of the violations identified and responds as required under subsection (b)(3), the Department will evaluate the explanation provided by the medical command facility to determine whether the response was justified. If the Department determines that the response was justified in whole or part, it will inform the medical command facility and rescind any violation identified or directive given in the notice that the Department determines should not have applied.
- (d) Consequence of failure to comply. A medical command facility's response to a notice under subsection (b)(2) does not act to stay any of the directives in the notice. A medical command facility's failure to comply with a directive in the notice constitutes a ground for discipline if the violation to which the directive relates is found to be true following a hearing.

## § 1029.6. Discontinuation of service.

A medical command facility may not discontinue medical command operations without providing 90 days advance written notice to the Department, regional EMS councils responsible for regions in which the medical command facility routinely provides medical command and EMS agencies for which it routinely provides medical command. A medical command facility shall advertise notice of its intent to discontinue service as a medical command facility in a newspaper of general circulation in its service area at least 90 days in advance of discontinuing service as a medical command facility.

# Subchapter B. RECEIVING FACILITIES

Sec.

1029.21. Receiving facilities.

## § 1029.21. Receiving facilities.

(a) General requirements. A receiving facility shall include a fixed location, with an organized emergency department, including a physician educated to manage cardiac, trauma, pediatric, obstetrics, medical behavioral and all-hazards emergencies. A physician who satisfies

- these requirements shall be present in the facility and available to the emergency department 24 hours-a-day, 7 davs-a-week.
- (b) Patients with special needs. Patients with special needs, particularly those with time-sensitive illnesses, who need to be transported to a receiving facility shall be transported to a specialty receiving facility consistent with the Statewide EMS protocols.
- (c) Transports to receiving facilities. Unless directed otherwise by a medical command physician, if patient transport by ambulance is required for additional care that has not been prearranged, an ambulance must transport the patient to a receiving facility or other facility as the Department has designated in the Statewide EMS protocols.
- (d) Confirmation of receiving patient. When a patient has been transported to a receiving facility, the receiving facility shall acknowledge in writing that it has received the patient if the transporting ambulance crew requests that acknowledgement.

## CHAPTER 1031. COMPLAINTS, DISCIPLINARY ACTIONS, ADJUDICATIONS AND APPEALS

Sec. 1031.1. Administrative and appellate procedure.

1031 2 Complaints and investigations. Discipline of EMS providers.

1031.3.

1031.4. Petition for certification after revocation.

1031.5. Discipline of EMS vehicle operators.

1031.6. Temporary suspension of EMS provider and EMS vehicle operator certifications.

1031 7 Discipline of EMS instructors.

1031.8. Discipline of medical command physicians and medical command facility medical directors.

1031.9. Automatic suspension for incapacity.

Discipline of EMS agencies. 1031.10.

Discipline of medical command facilities.
Discipline of EMS educational institutes. 1031.11. 1031.12.

1031.13. Discipline of providers of EMS continuing education.

1031.14. Civil money penalty for practicing without a license or certifica-

1031.15. Discipline of vendors of EMS PCR software.

1031.16. Discipline of management companies.

### § 1031.1. Administrative and appellate procedure.

- (a) Administrative proceedings. Except as otherwise provided in this chapter, the Department will hold hearings and issue adjudications for proceedings conducted under the act and this subpart in accordance with 2 Pa.C.S. (relating to administrative law and procedure) and will conduct those proceedings under 1 Pa. Code Part II (relating to General Rules of Administrative Practice and Procedure).
- (b) Judicial appeals. Department adjudications issued under the act and this chapter may be appealed to the Commonwealth Court under 42 Pa.C.S. § 763 (relating to direct appeals from government agencies).

# § 1031.2. Complaints and investigations.

- (a) Filing a complaint. A person may file with the Department a complaint about a violation of the act or this subpart by an individual or entity regulated by the Department under the act or an individual or entity believed to have provided EMS or have engaged in any other activity for which some type of authorization under the act or this subpart is required, without that individual or entity having secured a certification, license or other authorization from the Department to engage in that activity as required by the act and this subpart.
- (b) Filing office. The complaint shall be filed with the regional EMS council that serves the EMS region where the conduct occurred. The regional EMS council shall provide the Bureau with a copy of the complaint. A

complaint concerning the conduct of a regional EMS council shall be filed directly with the Bureau.

- (c) Status of complaint. If a person files a complaint seeking to have the Department impose a disciplinary or corrective measure under this chapter, the Department's action in the handling of the complaint will be on behalf of the Commonwealth to determine whether there has been a violation of a statutory or regulatory requirement over which the Department has jurisdiction under the act.
- (d) *Processing a complaint*. Upon receipt of a complaint filed under this section, the Bureau will assess whether the Department has jurisdiction over the matter about which the complaint is filed. If the matter is within the Department's jurisdiction and an investigation is needed, the Bureau will investigate the complaint or assign the complaint to a regional EMS council or other appropriate entity to investigate. Unless the Bureau determines that disclosure to the individual or entity about whom the complaint has been filed will compromise the investigation or would be inappropriate for some other reason, the investigation will be initiated by providing that individual or entity with a copy of the complaint and requesting a response. The Department will redact and withhold identifying information of the complainant throughout the investigation and will not provide this information if the Department determines that release of this information may compromise the investigation or that release of this information may endanger the life or physical safety of the complainant. In the event the Department does not release identifying information of the complainant, the Department may disclose this information to those persons authorized by the Department to conduct the investigation or as otherwise required by law. If the matter is not within the Department's jurisdiction to address, the Bureau will advise the person who filed the complaint and refer the complainant to another agency if the Bureau believes that the matter about which the complaint has been filed may be within the other agency's jurisdiction.
- (e) Notification of results of investigation. When an investigation is completed, the Bureau will notify the complainant of the general results of the investigation of the matter about which the complaint was filed. This notification does not include providing the complainant with a copy of any document collected or prepared during the course of the investigation or communications with persons involved in the investigation, including the subject of the complaint. The Bureau will also provide the same information to the individual or entity about whom the complaint was filed if the individual or entity was officially apprised of the complaint or investigation. If the Department is considering taking disciplinary action against the individual or entity, notification may occur when a disciplinary decision is reached or when disciplinary charges are filed.

## § 1031.3. Discipline of EMS providers.

- (a) *Grounds for discipline*. The Department may discipline or impose corrective measures on an EMS provider or an applicant for EMS provider certification for one or more of the following reasons:
- (1) Having a lack of physical or mental ability to provide adequate EMS, with reasonable accommodations if the person has a disability.
- (2) Deceptively or fraudulently procuring or representing certification or registration credentials, or making misleading, deceptive or untrue representations to secure

- or aid or abet another person to secure a certification, license, registration or other authorization issued under this subpart.
- (3) Engaging in willful or negligent misconduct in providing EMS or engaging in practice beyond the scope of certification authorization without legal authority to do so.
  - (4) Abusing or abandoning a patient.
- (5) Rendering EMS while under the influence of alcohol, illegal drugs or the knowing abuse of legal drugs.
- (6) Operating an emergency vehicle in a reckless manner or while under the influence of alcohol, illegal drugs or the knowing abuse of legal drugs.
- (7) Disclosing medical or other information about a patient when prohibited by Federal or State law.
- (8) Willfully preparing or filing a false medical report or record or inducing another person to do so.
- (9) Destroying a medical report or record required to be maintained.
- (10) Refusing to render EMS because of a patient's race, sex, creed, national origin, sexual preference, age, handicap or medical problem or refusing to render emergency medical care because of a patient's financial inability to pay.
- (11) Failing to comply with Department-approved EMS protocols.
- (12) Failing to comply with reporting requirements imposed by the act or this subpart.
- (13) Practicing without the current registration of a certification.
- (14) Being convicted of a felony, a crime related to the practice of the EMS provider or a crime involving moral turpitude.
- (15) Willfully falsifying or failing to prepare an EMS PCR or complete details on an EMS PCR.
  - (16) Misappropriating drugs or EMS agency property.
- (17) Having a certification or other authorization to practice a profession or occupation revoked, suspended or subjected to other disciplinary sanction.
- (18) Violating, aiding or abetting another person to violate a duty imposed by the act, this subpart or an order of the Department previously entered in a disciplinary proceeding.
- (19) Based upon a finding of misconduct by the relevant Federal or State agency, having been excluded from a Federal or State health care program or having had equity or capital stock or profits of an entity equal to 5% or more of the value of the property or assets of the entity when it was excluded from a Federal or State health care program.
- (20) Any other reason as determined by the Department that poses a threat to the health and safety of the public.
- (b) *Types of discipline authorized.* If disciplinary action or corrective action is appropriate under subsection (a), the Department may do one or more of the following:
- (1) Deny an application for certification or registration of the certification.
  - (2) Issue a public reprimand.
- (3) Revoke, suspend, limit or otherwise restrict the certification.

- (4) Require the person to take refresher or other educational courses.
- (5) Impose a civil money penalty not exceeding \$1,000 for each incident in which the EMS provider engages in conduct that constitutes a basis for discipline.
- (6) Stay enforcement of a suspension, revocation or other discipline and place the individual on probation with the right to vacate the probationary order for noncompliance.
- (c) Denial of registration. The Bureau will not deny a registration of an EMS provider certification without giving the EMS provider prior notice of the reason for the denial and providing an opportunity for a hearing. If the reason for the denial is the failure of the EMS provider to present prima facie evidence that the continuing education or examination requirement for registration has been satisfied, the opportunity for a hearing may occur after the prior registration has expired.

### § 1031.4. Petition for certification after revocation.

- (a) Petition for certification after revocation. A person whose certification has been revoked may not apply for reinstatement of that certification. A person whose EMS provider certification has been revoked may petition the Department for allowance to apply for a new certification no earlier than 5 years after the effective date of the revocation. The petition must aver facts to establish that the petitioner has been rehabilitated to the extent that issuing that person a certification would not be detrimental to the public interest. In assessing the public interest, the Department will weigh the facts that tend to show that the petitioner has been rehabilitated against the Department's duty to maintain public confidence in its ability to regulate EMS providers, deter other EMS providers from engaging in conduct similar to that which resulted in the revocation and protect persons who may require EMS.
  - (b) Department action on the petition.
- (1) The Department will deny a petition for allowance to apply for a new certification, without conducting a hearing, if it accepts as true all facts averred and it concludes that those facts fail to establish that the petitioner has been rehabilitated to the extent that certification would not be detrimental to the public interest.
- (2) The Department may grant or hold a hearing on a petition for a new certification if it concludes that the facts averred in the petition, if true, establish a prima facie case that the petitioner has been rehabilitated to the extent that certification would not be detrimental to the public interest.
- (c) Grant of petition for a new certification. If the Department grants the petition, the petitioner shall repeat the educational program and the certification examinations that are required for the EMS provider certification the petitioner is seeking and shall satisfy all other requirements for that certification that exist at the time the petitioner files an application for certification after having successfully completed that education and the examinations.
- (d) Denial of petition for a new certification. If the Department denies the petition, the petitioner may not again petition the Department for allowance to apply for certification until 1 year has expired from the date of the denial.

# § 1031.5. Discipline of EMS vehicle operators.

- (a) Grounds for discipline. The Department may discipline or impose corrective measures on an EMSVO or an applicant for EMSVO certification for one or more of the following reasons:
- (1) Having a lack of physical or mental ability to operate an EMS vehicle, with reasonable accommodations if the person has a disability.
- (2) Deceptively or fraudulently procuring or representing certification or registration credentials, or making misleading, deceptive or untrue representations to secure a certification or registration.
- (3) Operating an emergency vehicle in a reckless manner or while under the influence of alcohol, illegal drugs or the knowing abuse of legal drugs.
- (4) Having a driver's license suspended in any jurisdiction due to the use of alcohol or drugs or a moving traffic violation.
- (5) Operating a ground EMS vehicle without a driver's license or while a driver's license is suspended.
- (6) Being convicted of a felony or a crime involving moral turpitude.
- (7) Failing to report a criminal conviction that the applicant or EMSVO is required to report or failing to report the suspension of a driver's license due to the use of alcohol or drugs or a moving traffic violation.
- (8) Any other reason as determined by the Department that poses a threat to the health and safety of the public.
- (b) Types of discipline authorized. If disciplinary or corrective action is appropriate under subsection (a), the Department may:
- (1) Deny an application for certification or registration of the certification.
  - (2) Issue a public reprimand.
  - (3) Revoke or suspend the certification.
  - (4) Impose conditions for lifting a suspension.
- (c) Automatic suspension. An EMSVO certification shall be automatically suspended for 4 years if an EMSVO is convicted of a criminal offense that involves driving under the influence of alcohol or drugs, and for 2 years if the EMSVO is convicted of a criminal offense that involves reckless driving or had a driver's license suspended due to the use of drugs or alcohol or a moving traffic violation.

# § 1031.6. Temporary suspension of EMS provider and EMS vehicle operator certifications.

- (a) Issuance of temporary suspension. The Department will issue an order temporarily suspending an EMS provider or EMS vehicle operator certification, without a hearing, if based upon evidence received that appears to be credible the Department determines that the person is a clear and immediate danger to the public health and safety.
- (b) Notice and preliminary hearing. Notice of the temporary suspension will include a written statement of the factual allegations upon which the determination is based. Unless an extension of time is requested by the EMS provider or EMS vehicle operator, within 30 days after an order under subsection (a) is issued, the Department shall conduct a preliminary hearing to determine whether there is a prima facie case supporting the temporary suspension. The EMS provider or EMS vehicle operator may be present at the preliminary hearing and

may be represented by counsel, cross-examine witnesses, inspect physical evidence, call witnesses and offer testimony and other evidence to rebut the prima facie case. If and when the Department determines that the evidence does not establish a prima facie case that the EMS provider or EMS vehicle operator is a clear and immediate danger to the public health and safety, the Department will immediately issue an order lifting the suspension.

- (c) Beginning of formal disciplinary proceedings. After issuing an order under subsection (a), the Department shall begin formal disciplinary action under § 1031.3 or § 1031.5 (relating to discipline of EMS providers; and discipline of EMS vehicle operators).
- (d) Duration of temporary suspension if prima facie case is established. If the Department determines that a prima facie case supporting the temporary suspension is established at the preliminary hearing, the temporary suspension shall remain in effect, but no longer than 180 days unless agreed upon by the parties.

## § 1031.7. Discipline of EMS instructors.

- (a) Grounds for discipline. The Department may discipline or impose corrective measures on a certified EMS instructor, or an applicant for certification as an EMS instructor, for one or more of the following reasons:
- (1) Any reason an EMS provider may be disciplined under § 1031.3 (relating to discipline of EMS providers).
- (2) Providing instruction while under the influence of alcohol or illegal drugs or the knowing abuse of legal drugs.
- (3) Failing to perform a duty imposed upon an EMS instructor under this subpart.
- (4) Any other reason as determined by the Department that poses a threat to the health and safety of students.
- (b) *Types of discipline authorized*. If disciplinary action or corrective action is appropriate under subsection (a), the Department may do one or more of the following:
  - (1) Deny an application for certification.
  - (2) Issue a public reprimand.
- (3) Revoke, suspend, limit or otherwise restrict the certification.
- (4) Impose a civil money penalty not exceeding \$1,000 for each incident in which the EMS instructor engages in conduct that constitutes a basis for discipline.
- (5) Stay enforcement of a suspension, revocation or other discipline and place the individual on probation with the right to vacate the probationary order for noncompliance.

# § 1031.8. Discipline of medical command physicians and medical command facility medical directors.

- (a) Grounds for discipline. The Department may discipline or impose corrective measures on a medical command physician or medical command facility medical director for the following reasons:
- (1) Violating a responsibility imposed on the physician by § 1023.2 or § 1023.3 (relating to medical command physician; and medical command facility medical director).
- (2) Without good cause, failing to comply with an EMS protocol established or approved by the Department.

- (b) *Types of discipline authorized.* If disciplinary action or corrective action is appropriate under subsection (a), the Department may do one or more of the following:
  - (1) Deny the application for certification.
  - (2) Issue a public reprimand.
- (3) Revoke, suspend, limit or otherwise restrict or condition the certification.
- (4) Impose a civil money penalty not exceeding \$1,000 for each incident in which the physician engages in conduct that constitutes a basis for discipline.
- (5) Stay enforcement of any suspension, revocation or other discipline and place the individual on probation with the right to vacate the probationary order for noncompliance.

## § 1031.9. Automatic suspension for incapacity.

The Department will automatically suspend a certification issued under this subpart upon receiving a certified copy of court records establishing that the person has been adjudicated as incapacitated under 20 Pa.C.S. § 5511 (relating to petition and hearing; independent evaluation) or an equivalent statutory provision, and will lift the suspension upon receiving a certified copy of court records establishing that the person has regained capacity under 20 Pa.C.S. § 5517 (relating to adjudication of capacity and modification of existing orders) or an equivalent statutory provision.

### § 1031.10. Discipline of EMS agencies.

- (a) *Grounds for discipline*. The Department may discipline an EMS agency or an applicant for an EMS agency license for one or more of the following reasons:
- (1) Violating a requirement of the act or a regulation adopted under the act.
- (2) Failing to submit a plan of correction acceptable to the Department to correct a violation cited by the Department or failing to comply with a plan of correction accepted by the Department.
- (3) Refusing to accept a conditional temporary license properly sought by the Department or to abide by its terms.
- (4) Engaging in fraud or deceit in obtaining or attempting to obtain a license.
- (5) Lending its license or, except as authorized by the Department in acting upon the license application or an application to amend the license, enabling another person to manage or operate the EMS agency or any service the EMS agency is licensed to provide.
- (6) Engaging in incompetence, negligence or misconduct in operating the EMS agency or in providing EMS to patients.
- (7) Using the license of another or in any way knowingly aiding or abetting the improper granting of a license, certification, accreditation or other authorization issued under the act.
- (8) Failing to meet or continue to meet applicable licensure standards.
- (9) The EMS agency is not a responsible person or is not staffed by responsible persons and refuses to remove from its staff the irresponsible person or persons when directed to do so by the Department.
- (10) Being convicted of a felony or a crime involving moral turpitude or related to the practice of the EMS agency.

- (11) Making misrepresentations in seeking funds made available through the Department.
- (12) Refusing to render EMS because of a patient's race, sex, creed, national origin, sexual preference, age, handicap, medical problem or refusing to respond to an emergency and render EMS because of a patient's financial inability to pay.
- (13) Violating an order previously issued by the Department in a disciplinary matter.
- (b) *Types of discipline authorized.* If disciplinary action is appropriate under subsection (a), the Department may do one or more of the following:
  - (1) Deny an application for a license.
  - (2) Issue a public reprimand.
- (3) Revoke, suspend, limit or otherwise restrict the license.
- (4) Impose a civil money penalty not exceeding \$5,000 for each incident in which the EMS agency engages in conduct that constitutes a basis for discipline.
- (5) Stay enforcement of a suspension, revocation or other discipline and place the EMS agency on probation with the right to vacate the probationary order for noncompliance.

### § 1031.11. Discipline of medical command facilities.

- (a) Grounds for discipline. The Department may discipline a medical command facility or an applicant for a medical command facility certification for one or more of the following reasons:
- (1) Submitting a fraudulent or deceptive application for certification or registration of the certification.
- (2) Violating a requirement in § 1029.1 or § 1029.2 (relating to general provisions; and operational requirements).
- (3) Refusing to permit an inspection or to respond to an inquiry as required under § 1029.4 (relating to inspections and investigations).
- (4) Failing to comply, without just cause, with an EMS protocol approved by the Department.
- (5) Failing to submit a plan of correction acceptable to the Department to correct a violation cited by the Department or failing to comply with a plan of correction accepted by the Department.
- (b) *Types of discipline authorized.* If disciplinary action is appropriate under subsection (a), the Department may do one or more of the following:
  - (1) Deny an application for certification.
  - (2) Issue a public reprimand.
- (3) Revoke, suspend, limit or otherwise restrict or condition the certification.
- (4) Impose a civil money penalty not exceeding \$5,000 for each act that constitutes a basis for discipline.
- (5) Stay enforcement of a suspension, revocation or other discipline and place the medical command facility on probation with the right to vacate the probationary order for noncompliance.

# § 1031.12. Discipline of EMS educational institutes.

(a) Grounds for discipline. The Department may discipline an EMS educational institute or an applicant for an EMS educational institute certification for one or more of the following reasons:

- (1) Failure to satisfy the responsibilities imposed upon it under §§ 1025.1—1025.3 (relating to accreditation and operational requirements of EMS educational institutes; accreditation process; and advertising).
- (2) An absence of students in the program for 2 consecutive years.
- (3) Submission of a fraudulent or deceptive application for accreditation.
- (b) *Types of discipline authorized.* If disciplinary action is appropriate under subsection (a), the Department may do one or more of the following:
- (1) Deny the application for accreditation or reaccreditation.
  - (2) Impose terms of probation.
- (3) Revoke, suspend, limit or otherwise restrict the accreditation.
- (4) Impose a civil money penalty not exceeding \$1,000 for each infraction.

# § 1031.13. Discipline of providers of EMS continuing education.

- (a) Grounds for discipline. The Department may discipline a continuing education sponsor or an applicant for accreditation or reaccreditation as a continuing education sponsor for one or both of the following reasons:
- (1) Failure to satisfy the requirements in Chapter 1025, Subchapter B (relating to EMS continuing education courses).
- (2) Submission of a fraudulent or deceptive application for accreditation or reaccreditation.
- (b) *Types of discipline authorized.* If disciplinary action is appropriate under subsection (a), the Department may do one or more of the following:
- (1) Deny or withdraw its accreditation or reaccreditation.
- (2) Downgrade its accreditation status to provisional accreditation, subject to withdrawal if deficiencies are not resolved within a time period prescribed by the Department.
- (3) Withdraw approval of a continuing education course applicable to any future presentation of the course.
  - (4) Impose terms of probation.
- (5) Revoke, suspend, limit or otherwise restrict the accreditation or reaccreditation.
- (6) Impose a civil money penalty not exceeding \$1,000 for each infraction.

# § 1031.14. Civil money penalty for practicing without a license or certification.

- (a) Operating an EMS agency without a license. The Department may impose a civil money penalty of up to \$5,000 per day upon a person who owns or operates an EMS agency in this Commonwealth without having a license to operate that EMS agency.
- (b) Practicing as an EMS provider without a certification. The Department may impose a civil money penalty of up to \$1,000 per day upon a person who provides EMS without an EMS provider's certification or other legal authority to provide EMS.

# § 1031.15. Discipline of vendors of EMS PCR software.

The Department may assess a vendor of EMS PCR software a civil money penalty of up to \$5,000 for each

day a vendor violates a duty imposed by § 1021.43(b) or (d) (relating to vendors of EMS patient care reports).

# § 1031.16. Discipline of management companies.

- (a) The Department may deny, withdraw or condition the approval of an entity to offer management services for one or more of the following reasons:
  - (1) The entity is not a responsible person.
- (2) Persons having a substantial ownership interest in the entity are not responsible persons.
- (3) The entity will not be staffed by or conduct its activities through responsible persons.
- (4) The entity refuses to provide the Department with records or information reasonably requested by the Department to make a determination regarding paragraphs (1)—(3).
- (5) The entity conducts the operation or managerial control of an EMS agency, or conducts the day-to-day operations of the EMS agency, in a manner that subjects the EMS agency to possible disciplinary action under § 1031.10 (relating to discipline of EMS agencies).
- (6) The entity violates a requirement of the act or a regulation adopted under the act that is applicable to the entity.
- (7) Engaging in fraud or deceit in obtaining or attempting to obtain or maintain Department approval.
  - (b) For purposes of subsection (a):
- (1) A responsible person is a person who has not engaged in an act contrary to justice, honesty or good morals which indicates that the person is likely to betray the public trust in managing the operation of the EMS agency, or is a person who has engaged in this conduct but has been rehabilitated and is not likely to again betray the public trust.
- (2) A person has a substantial ownership in the entity if the person has equity in the capital, stock or the profits of the applicant equal to 5% or more of the property or assets of the applicant.
- (3) A person staffs an entity that manages an EMS agency if the person manages activity integral to the operation of the EMS agency.

## CHAPTER 1033. SPECIAL EVENT EMS

Sec.

- 1033.1. Special event EMS planning requirements.
- 1033.2. Administration, management and medical direction requirements.
- 1033.3. Special event EMS personnel and capability requirements.
- 1033.4. Onsite facility requirements.
- 1033.5. Communications system requirements.
- 1033.6. Requirements for educating event attendees regarding access to EMS.
- 1033.7. Special event report.

# $\S$ 1033.1. Special event EMS planning requirements.

- (a) Procedure for obtaining required plan approval. The entity responsible for the management and administration of a special event may submit a special event EMS plan to the Department, through the regional EMS council assigned responsibility for the region in which the special event is to occur, to secure a determination from the Department as to whether the plan is adequate to address the EMS needs presented by a special event or a series of special events conducted at the same location.
- (1) The applicant shall submit its plan at least 90 days prior to the date of the first day of the event.

- (2) The Department will approve or disapprove a special event EMS plan within 60 days after a complete plan is filed with the regional EMS council.
- (3) The Department's approval of a special event EMS plan will be for the special event or series of special events in a calendar year, as identified in the plan. The entity shall submit a new special event EMS plan to secure Department approval of a plan for a special event or series of special events in a subsequent calendar year.
- (b) Plan content. The special event EMS plan must contain the following information:
- (1) The type and nature of event, location, length and anticipated attendance.
  - (2) Identification of sponsoring organization.
- (3) The name and qualifications of the special event EMS medical director and the special event EMS director.
- (4) A listing of all EMS agencies that will be involved, the type of EMS service each EMS agency will provide and the number and level of certification of EMS providers each EMS agency will provide, as well as the number and type of health care practitioners who are not participating on behalf of an EMS agency, including EMS providers who are not participating on behalf of an EMS agency, who will be involved.
- (5) The type and quantity of EMS vehicles and other vehicles, equipment and supplies to be utilized by each EMS agency that will be involved.
- (6) A written agreement with each EMS agency that has agreed to participate, in which the EMS agency identifies the type of EMS service, the number of EMS providers by certification level, the vehicles, the equipment and supplies it will provide.
- (7) A description of the onsite treatment facilities including maps of the special event site.
- (8) A description of the special event emergency medical communications capabilities.
- (9) A risk assessment for the event, and a plan for responding to a possible disaster or mass casualty incident at the event site, including a plan for emergency evacuation of the event site.
- (10) A plan for educating event attendees regarding EMS system access and specific hazards, such as severe weather.
- (11) Measures that have and will be taken to coordinate EMS for the special event or events with local emergency care services and public safety agencies—such as EMS, police, fire, rescue, and hospital agencies or organizations.
- (c) *Plan approval*. To secure Department approval of a special event EMS plan, the applicant shall satisfy the requirements in this chapter.

# § 1033.2. Administration, management and medical direction requirements.

- (a) Special event EMS director. EMS provided at a special event shall be supervised by a special event EMS director.
- (1) Responsibilities. The responsibilities of the special event EMS director include:
- (i) Preparing a plan under § 1033.1 (relating to special event EMS planning requirements).
  - (ii) Managing the delivery of special event EMS.

- (iii) Ensuring implementation of the EMS coordination measures contained in the special event EMS plan.
- (iv) Ensuring that a record is kept that lists the individuals that requested or received EMS and the disposition of each case, including identification of the transporting EMS agency and ambulance, and the receiving facility, if the individual was transported to a receiving facility.
- (2) Qualifications. A special event EMS director shall be experienced in the administration and management of EMS at the level of EMS provided for in the special event EMS plan.
  - (b) Special event EMS medical director.
- (1) Responsibilities. The responsibilities of a special event EMS medical director include:
- (i) Ensuring that each EMS provider provided by an EMS agency that is used under the special event EMS plan has been appropriately credentialed by the provider's EMS agency medical director to provide EMS at the level required in the plan.
- (ii) Ensuring that if onsite medical command is provided, that it be provided through a medical command facility and that medical command communications are documented.
- (iii) Ensuring that equipment and medications are appropriately stored and secured.
- (iv) Reviewing with the EMS agency medical directors for the EMS agencies involved, quality improvement issues related to the special event.
- (v) Ensuring that adequate EMS PCRs and records are maintained for patients who receive EMS during the special event.
- (2) Qualifications. A special event EMS medical director shall be an EMS agency medical director or satisfy the standards for being an EMS agency medical director in § 1023.1(b) (relating to EMS agency medical director) without serving as an EMS agency medical director.

# § 1033.3. Special event EMS personnel and capability requirements.

- (a) Special event EMS providers shall be certified at appropriate levels based on the level of EMS approved by the Department in the special event EMS plan.
- (b) One ambulance shall be stationed onsite at a special event if the event is expected to involve the presence of between 5,000 and 25,000 persons at any one time.
- (c) Two ambulances shall be stationed onsite at a special event if the event is expected to involve the presence of more than 25,000 but less than 55,000 persons at any one time.
- (d) Three ambulances shall be stationed onsite at a special event if the event is expected to involve the presence of more than 55,000 persons at any one time.
- (e) Sufficient EMS providers shall be available to ensure the availability of EMS to persons present at the special event.

## § 1033.4. Onsite facility requirements.

A special event expected to involve the presence of more than 25,000 persons at any one time shall require the use of onsite treatment facilities. The onsite treatment facilities shall provide:

- (1) Environmental control, providing protection from weather elements to ensure patient safety and comfort.
- (2) Sufficient beds, cots and equipment to provide for evaluation and treatment of at least four simultaneous patients.
- (3) Adequate lighting and ventilation to allow for patient evaluation and treatment.

### § 1033.5. Communications system requirements.

A special event EMS system shall have onsite communications capabilities to ensure:

- (1) Uniform access to care for patients in need of EMS.
- (2) Onsite coordination of the activities of EMS providers, including capability for interoperable communication with all EMS agencies involved in the plan and with EMS agencies local to the event site that are not involved in the special event EMS plan.
  - (3) Communication with existing community PSAPs.
- (4) Communication interface with other involved public safety agencies.
  - (5) Communication with receiving facilities.
- (6) Communication with ambulances providing emergency transportation.
  - (7) Communication with medical command physicians.

# § 1033.6. Requirements for educating event attendees regarding access to EMS.

- (a) The entity responsible for the management and administration of a special event shall develop and implement a plan to educate special event participants and spectators about the following:
- (1) The presence and location of EMS at the special event.
  - (2) The methods of obtaining EMS at the special event.
- (b) The entity responsible for the management and administration of a special event shall establish a procedure and means for alerting the participants and spectators of specific hazards or serious changing conditions, such as severe weather, and for providing event evacuation instructions.

## § 1033.7. Special event report.

An entity for which the Department has approved a special event EMS plan shall complete a special event report form prepared by the Department and provided to it by the relevant regional EMS council and file the completed report with that regional EMS council within 30 days following the last day of a special event. Among other matters, the report shall provide a summary of the patient information required to be kept under § 1033.2(a)(1)(iv) (relating to administration, management and medical direction requirements).

 $[Pa.B.\ Doc.\ No.\ 13\text{-}1953.\ Filed\ for\ public\ inspection\ September\ 27,\ 2013,\ 9\text{:}00\ a.m.]$ 

# **NOTICES**

# **DEPARTMENT OF HEALTH**

Effective Dates for the Emergency Medical Services System Act and Regulations; Rescission Dates for the Emergency Medical Services Act Regulations

Sections 8101—8157 of 35 Pa.C.S. (relating to Emergency Medical Services System Act) (EMS System Act) set forth the requirements for the Department of Health (Department) to promulgate new regulations for implementation of the Commonwealth's EMS system. The EMS System Act also provides that the regulations promulgated under the prior Emergency Medical Services Act (prior EMS Act) (35 P.S. §§ 6921—6938) (repealed) remain in full force and effect until revoked, vacated or modified under the EMS System Act. The prior EMS Act was repealed in its entirety upon enactment of the EMS System Act on August 18, 2009.

The EMS System Act regulations, 28 Pa. Code Chapters 1021, 1023, 1025, 1027, 1029, 1031 and 1033, were deemed approved by the Senate Committee on Public Health and Welfare and the House Committee on Veterans Affairs and Emergency Preparedness on August 21, 2013, and were approved by the Independent Regulatory Review Commission on August 22, 2013. With the approval of the regulations by the Office of Attorney General on September 17, 2013, and the subsequent publication of the regulations at 43 Pa.B. 6093 October 12, 2013), the Department is preparing to fully implement the new regulations. However, under the EMS System Act, certain sections of the EMS System Act and its corresponding regulations do not take effect until 180 days or more after publication of the final-form rulemaking in the Pennsylvania Bulletin. Due to the various effective dates, the Department prepared the following charts to aid the regulated community and the general public in determining the effective dates for various sections of the EMS System Act, the EMS System Act regulations and the rescission dates for the prior EMS Act regulations.

Statute Section	EMS System Act	Effective Date
	Subchapter A. Preliminary Provisions	_,,,
§ 8101	Short title of chapter	February 16, 2010
§ 8102	Declaration of policy	February 16, 2010
§ 8103	Definitions	February 16, 2010
§ 8104	Emergency medical services system programs	February 16, 2010
§ 8105	Duties of department	February 16, 2010
§ 8106	Emergency medical services patient care reports	February 16, 2010
§ 8107	Pennsylvania Trauma Systems Foundation	February 16, 2010
§ 8108	State Advisory Board	February 16, 2010
§ 8109	Regional emergency medical services councils	February 16, 2010
	Subchapter B. Program	
§ 8111	Comprehensive plan	February 16, 2010
§ 8112	Contracts and grants	February 16, 2010
§ 8113(a), (c), (d) and (n)	Emergency medical services providers	April 10, 2014
§ 8113(b), (e)—(m) and (o)	Emergency medical services providers	February 16, 2010
§ 8114	Emergency medical responders	April 10, 2014
§ 8115	Emergency medical technicians	April 10, 2014
§ 8116	Advanced emergency medical technicians	April 10, 2014
§ 8117	Paramedics	April 10, 2014
§ 8118	Prehospital registered nurses	April 10, 2014
§ 8119	Prehospital physician extenders	April 10, 2014
§ 8120	Prehospital emergency medical services physicians	April 10, 2014
§ 8121	Certification sanctions	February 16, 2010
§ 8122	Emergency medical services vehicle operators	April 10, 2014
§ 8123	Suspension of certification	February 16, 2010
§ 8124	Emergency medical services instructors	February 16, 2010
§ 8125	Medical director of emergency medical services agency	February 16, 2010
§ 8126	Medical command physicians and facility medical directors	February 16, 2010

6180 NOTICES

Statute		T.00 D
Section	EMS System Act	Effective Date
§ 8127	Medical command facilities	February 16, 2010
§ 8128	Receiving facilities	February 16, 2010
§ 8129	Emergency medical services agencies	April 10, 2014
§ 8130	Advanced life support ambulances	April 10, 2014
§ 8131	Air ambulances	April 10, 2014
§ 8132	Advanced life support squad vehicles	April 10, 2014
§ 8133	Basic life support ambulances	April 10, 2014
§ 8134	Basic life support squad vehicles	April 10, 2014
§ 8135	Quick response services	April 10, 2014
§ 8136	Special operations emergency medical services	April 10, 2014
§ 8137	First aid and other safety services	April 10, 2014
§ 8138	Other vehicles and services	April 10, 2014
§ 8139	Stretcher and wheelchair vehicles	February 16, 2010
§ 8140	Conditional temporary licenses	April 10, 2014
§ 8141	Plans of correction	April 10, 2014
§ 8142	Emergency medical services agency license sanctions Subchapter C. Miscellaneous Provisions	April 10, 2014
§ 8151	Limitations on liability	February 16, 2010
§ 8152	Peer review	February 16, 2010
§ 8153	Support of emergency medical services	February 16, 2010
§ 8154	Prohibited acts	February 16, 2010
§ 8155	Surrender of license, accreditation or certification	February 16, 2010
§ 8156	Penalties	February 16, 2010
§ 8157	Adjudications and judicial review	February 16, 2010
Regulatory Section	28 Pa. Code Chapters 1021—1033	Effective Date
Chapter 1021	Administration of the EMS system	October 12, 2013
Chapter 1023	Personnel	
§§ 1023.1—1023.5		October 12, 2013
§ 1023.21		October 12, 2013
§§ 1023.22—1023.32		April 10, 2014
§ 1023.33		October 12, 2013
§ 1023.34		October 12, 2013
§ 1023.51		October 12, 2013
§ 1023.52		April 10, 2014
Chapter 1025	Education	April 10, 2014
Chapter 1027	EMS agencies	
§§ 1027.1—1027.13		April 10, 2014
§ 1027.14		October 7, 2014
§§ 1027.31—1027.42		April 10, 2014
§ 1027.51		October 12, 2013
§ 1027.52		April 10, 2014
Chapter 1029	Medical command facilities and receiving facilities	October 12, 2013
Chapter 1031	Complaints, disciplinary actions, adjudications and appeals	
§§ 1031.1—1031.4		October 12, 2013
§ 1031.5		April 10, 2014
§§ 1031.6—1031.9		October 12, 2013

NOTICES 6181

Regulatory Section  § 1031.10  § 1031.11  § 1031.12  § 1031.13  § 1031.14  § 1031.15  § 1031.16  Chapter 1033	28 Pa. Code Chapters 1021—1033  Special event EMS	Effective Date April 10, 2014 October 12, 2013 April 10, 2014 April 10, 2014 October 12, 2013 October 12, 2013 April 10, 2014 October 12, 2013
Regulatory Section	28 Pa. Code Chapters 1001—1015	Rescission Date
Chapter 1001	Administration of the EMS System	October 12, 2013
Chapter 1003	Personnel	
§§ 1003.1—1003.5		October 12, 2013
§§ 1003.21—1003.23		April 10, 2014
§ 1003.23a		October 12, 2013
§ 1003.24		April 10, 2014
§ 1003.25a		April 10, 2014
§ 1003.25b		April 10, 2014
§ 1003.26		April 10, 2014
§ 1003.27		October 12, 2013
§ 1003.28		October 12, 2013
§§ 1003.29—1003.34		April 10, 2014
Chapter 1005	Licensing of BLS and ALS ground ambulance services	April 10, 2014
Chapter 1007	Licensing of air ambulance services—rotorcraft	April 10, 2014
Chapter 1009	Medical command facilities	October 12, 2013
Chapter 1011	Accreditation of EMS training institutes	April 10, 2014
Chapter 1013	Special event EMS	October 12, 2013
Chapter 1015	Quick response service recognition program	April 10, 2014

Questions regarding this notice should be directed to Richard L. Gibbons, Director, Bureau of Emergency Medical Services, Department of Health, Room 606, Health and Welfare Building, 625 Forster Street, Harrisburg, PA 17120-0701, (717) 787-8740.

Persons with a disability who require an alternative format of this notice (for example, large print, audiotape or Braille) should contact Richard Gibbons at the previously listed address or telephone numbers or for speech or hearing impaired persons may use VTT (717) 783-6514 or the Pennsylvania AT&T Relay Service (800) 654-5984.

 $\begin{array}{c} \text{MICHAEL WOLF,} \\ Secretary \end{array}$ 

(Editor's Note: See 43 Pa.B. 6093 (October 12, 2013) for a final-form rulemaking relating to this notice.)

[Pa.B. Doc. No. 13-1954. Filed for public inspection October 11, 2013, 9:00 a.m.]