

RULES AND REGULATIONS

Title 58—RECREATION

PENNSYLVANIA GAMING CONTROL BOARD

[58 PA. CODE CHS. 461a, 463a, 465a, 467a,
601a, 605a AND 607a]

Hybrid Gaming Tables and Electronic Wagering Terminals

The Pennsylvania Gaming Control Board (Board), under the general authority in 4 Pa.C.S. § 1202(b)(30) (relating to general and specific powers) and the specific authority in 4 Pa.C.S. §§ 13A02(1), (2), (2.1), (4) and (6), 13A25(c) and 13A62(a) (relating to regulatory authority; table game accounting controls and audit protocols; and table game taxes) amends Chapters 461a, 463a, 465a, 467a, 601a, 605a and 607a to read as set forth in Annex A.

Purpose of this Final-Form Rulemaking

This final-form rulemaking will add hybrid gaming tables, a new game technology, to the complement of table games available for play in this Commonwealth.

Explanation

Hybrid gaming tables, or those tables that combine live dealer action with fully automated electronic wagering, are a new technology to this Commonwealth. In essence, hybrid gaming table games are comprised of two very distinct components: 1) a physical table referred to as a "hybrid table" at which a dealer conducts a game on felt covered table; and 2) separate electronic wagering terminals located apart from the table at which players make wagers and monitor the game being dealt at the table. All wagering and recordation of wins and losses as well as printing of gaming vouchers occur at the electronic wagering terminal.

This final-form rulemaking incorporates this new technology into the existing Board regulations on device testing, internal controls, table game taxes and the provisions associated with electronic gaming tables.

Chapter 461a. Slot machine and table game device testing and control

Like all slot machines and fully automated electronic gaming tables, electronic wagering terminals (the devices patrons will utilize to wager at hybrid gaming tables) are required to meet a number of criteria to assure the security and proper operation of the gaming equipment as well as to assure fairness to patrons. Specifically, amendments to this chapter require each electronic wagering terminal to have an asset number for purposes of tracking the terminal, to be tested and approved, utilize bill validators for the acceptance of cash and gaming vouchers and issue gaming vouchers upon a patron cashing out, and to be connected to the Department of Revenue's central control computer system (CCCS). Connection to the CCCS is required because, just like slot machines and fully automated electronic gaming tables, all wagering on hybrid gaming tables is conducted electronically through the use of an electronic wagering terminal. Electronic wagering terminals are equipped with a bill validator, meters to determine amount of play and a drop box for the collection of cash and gaming vouchers.

The CCCS will act as the system of record for accounting purposes and the CCCS will calculate revenue for

table game tax purposes, just as it does for slot machines and fully automated electronic gaming tables.

In addition to testing of electronic wagering terminals to assure proper operation in accordance with the rules of play and crediting of player accounts in § 461a.4 (relating to submission for testing and approval), under § 461a.26 (relating to testing and software installation on the live gaming floor), a slot machine licensee shall provide advance notice of placement of hybrid gaming tables and electronic wagering terminals to the Board prior to placement on the gaming floor to assure that the gaming product has been tested and approved for placement into play to promote fairness to the patrons.

Chapter 463a. Possession of slot machines, electronic wagering terminals and fully automated electronic gaming tables

This chapter requires that an electronic wagering terminal be treated just like a slot machine or fully automated electronic gaming table as it relates to movement of the machines into, around and out of a licensed facility, and requires that operators account for each machine's location in the licensed facility or storage area on a master table game list submitted monthly. These requirements are consistent with the current requirements applicable to slot machines and fully automated electronic gaming tables.

Chapter 465a. Accounting and internal controls

This chapter requires that an operator that intends to install hybrid gaming tables and electronic wagering terminals update its internal controls to reflect the additional machines and tables. Surveillance requirements for electronic wagering terminals and hybrid gaming tables are in § 465a.9 (relating to surveillance system; surveillance department control; surveillance department restrictions) and supervision requirements are in § 465a.11 (relating to slot machine licensee's organization; jobs compendium). With respect to supervision requirements in § 465a.11, the supervision of electronic gaming terminals and hybrid gaming tables are separated to place supervision of the electronic wagering terminals under the supervision of the slot operations department due to the connectivity to the CCCS, and supervision of the hybrid gaming tables is placed under the table games operations department to assure consistency in game dealing and play among table game dealers.

Sections 465a.18 and 465a.25 (relating to transportation of slot cash storage boxes and table game drop boxes to and from the gaming floor; storage; and counting and recording of slot cash storage boxes and table game drop boxes) address the procedure for the collection and counting of drop boxes connected to electronic wagering terminals. Because revenue is based on a metered win and all electronic wagering terminals are required to be connected to the CCCS, the Board has allowed for the collection of drop boxes connected to electronic wagering terminals to be conducted at the same time as drop boxes connected to slot machines and fully automated electronic gaming tables. Additionally, unlike traditional table game drop boxes that are collected and counted daily, the Board will not require that boxes connected to electronic wagering terminals be collected or counted on a daily basis.

In § 465a.19 (relating to acceptance of tips or gratuities from patrons), a provision is added allowing for the

acceptance of tips placed electronically through the use of electronic wagering terminals provided the operator specifies how the tips will be pooled and distributed in conformance with 4 Pa.C.S. § 13A02(6).

As previously specified, because wagering at a hybrid gaming table is conducted electronically at an electronic wagering terminal and is not conducted through the use of value chips like a traditional table game, §§ 465a.36—465a.42, which are associated with table inventories, opening and closing tables, and the distribution and removal of chips, are not applicable. Therefore, electronic wagering terminals are excluded from §§ 465a.36—465a.42.

Chapter 601a. General table game provisions

Section 601a.9 (relating to table game taxes and gross table game revenue) addresses the calculation of table game taxes and gross table game revenue. As specified in subsection (e), net revenue from electronic wagering terminals is determined through the CCCS and will be taxed at the traditional table game rate, not at the fully automated table game rate. This provision is consistent with 4 Pa.C.S. § 13A62, which specifies that fully automated electronic gaming tables, which are operated without the assistance of a dealer, are taxed at a higher rate than those tables that operate with the assistance of a dealer, including hybrid gaming tables connected to electronic wagering terminals.

Chapter 605a. Electronic gaming tables

This chapter provides the definitions and requirements specific to hybrid gaming tables and electronic wagering terminals. As defined in § 605a.1 (relating to definitions), the hybrid gaming table is where the dealing of the table game takes place while electronic wagering terminals are the devices patrons utilize to buy in, cash out and place their wagers.

Because game play on an electronic wagering terminal is captured utilizing meters, just like fully automated electronic gaming tables, the sections addressing the meter requirements in § 605a.5 (relating to fully automated electronic gaming tables and electronic wagering terminals) are amended to include electronic wagering terminals.

Section 605a.9 (relating to hybrid gaming tables) is added to address requirements specific to hybrid gaming tables. Included in this section is the provision that addresses how hybrid gaming tables and electronic wagering terminals will be counted for purposes of the number of gaming tables each facility is authorized to operate.

The Board has specified that regardless of the number of hybrid gaming tables each facility operates, every five electronic wagering terminals will count as one gaming table. The Board has focused on the number of electronic wagering terminals instead of the number of hybrid gaming tables because operators can connect a significant number of electronic wagering terminals to each hybrid gaming table, in essence having one table connected to a high number of player positions, far more player positions than a traditional table game could accommodate.

The Board believes that if it were to ignore the number of electronic wagering terminals and count only the number of hybrid gaming tables a facility operates, the regulation would run afoul of the legislatively mandated cap on the number of authorized tables which is 250 tables for Category 1 and 2 licensees and 50 tables for

Category 3 licensees. See 4 Pa.C.S. § 13A11(b) (relating to authorization to conduct table games).

Chapter 607a. Possession of table games and table game devices

This chapter addresses transportation, table game master list and offsite storage of table games and table game devices, which by definition includes hybrid gaming tables. Section 607a.2 (relating to table game device master list) is amended to specify that for the purpose of submitting a table game device master list, electronic wagering systems that are required to be listed on the table game device master list do not include those required under § 463a.5 (relating to slot machine, electronic wagering terminal and fully automated electronic gaming table master lists), and that hybrid gaming tables are required to be listed on the table game device master list. Through this amendment, the Board assures that both components of a hybrid table game system comprised of the electronic wagering terminals and the hybrid gaming tables are accounted for.

Comment and Response Summary

Notice of proposed rulemaking was published at 45 Pa.B. 4170 (August 1, 2015). The Board did not receive comments from the regulated community. On September 30, 2015, the Board received the following comments from the Independent Regulatory Review Commission (IRRC).

IRRC indicated that the preamble of the proposed rulemaking was not sufficient to determine if the rulemaking is in the public interest, specifically where hybrid gaming tables are included in §§ 461a.4, 461a.26, 465a.11(b)(9) and 607a.2(a)(6). In addition, IRRC requested further explanation as to why the Board excluded hybrid tables from §§ 463a.1—463a.7, the provisions being applied to electronic wagering terminals to determine the public interest.

The issues identified in §§ 461a.4, 461a.26, 465a.11(b)(9) and 607a.2(a)(6) are addressed in this preamble.

In addition, “hybrid gaming tables” has been excluded in instances such as §§ 463a.1—463a.7 because of the inapplicability of those sections to hybrid gaming tables or because hybrid gaming tables are addressed in different sections. Hybrid gaming table games are comprised of two very distinct components: 1) a physical table referred to as a “hybrid gaming table” at which a dealer conducts a game on a table covered by felt having the traditional look of a table game but without spots for players; and 2) separate electronic wagering terminals located apart from the table at which players make wagers and monitor the game being dealt at the table. All wagering and recordation of wins and losses as well as printing of gaming vouchers occurs at the electronic wagering terminal.

Chapter 463a (relating to possession of slot machines, electronic wagering terminals and fully automated electronic gaming tables) addresses the possession of slot machines, electronic wagering terminals and fully automated electronic gaming tables. All three of these items are electronic, receive and record wagers, and are connected to the CCCS. Moreover, all three items can only be used for gambling purposes and have no legitimate purpose outside of gambling. As similar electronic gambling devices, they are similarly and strictly controlled in terms of possession (§ 463a.1 (relating to possession of slot machines, electronic wagering terminals and fully automated electronic gaming tables generally)), transportation (§ 463a.2 (relating to transportation of slot machines, electronic wagering terminals and fully automated

electronic gaming tables into, within and out of this Commonwealth), location on the floor (§ 463a.3 (relating to slot machine, electronic wagering terminal and fully automated electronic gaming table location on the gaming floor)), connection to the central control computer (§ 463a.4 (relating to notice and connection to the central control computer system)), recorded on a master list (§ 463a.5) and subject to strict offsite storage limitations (§ 463a.7 (relating to off premises storage of slot machines, electronic wagering terminals and fully automated electronic gaming tables)).

On the other hand, tables used for the conduct of gaming as hybrid gaming tables are categorized as “table game devices.” See 4 Pa.C.S. § 1103 (relating to definitions) and § 601a.1 (relating to definitions). Because of the differing nature of the actual gambling devices and the tables used in hybrid table gaming, issues including the possession, transportation, master list and off premises storage of table games and table game devices are addressed in Chapter 607a (relating to possession of table games and table game devices). The preparation of a table game devices master list and location on the gaming floor are addressed in § 607a.2. Hybrid gaming tables are not included in § 463a.4 because the table is not connected to that system, rather the electronic wagering terminal is connected.

IRRC sought clarity in relation to: 1) § 465a.9 as proposed to be amended to apply to electronic wagering terminals and hybrid gaming tables, but only electronic wagering systems were proposed to be added; 2) §§ 461a.15 and 461a.16 (relating to casino management systems; and player tracking systems) in which “table games and table game devices” was proposed to be added, but a slot machine licensee may or may not possess a table game operation certificate; 3) §§ 465a.11 and 465a.19 where “a slot machine licensee that has a certificate to operate table games” is used and then referred to a “certificate holder” without a definition for the latter term; and 4) the proposed headings of § 461a.14 (relating to slot machine, fully automated gaming tables and electronic wagering terminal monitoring systems) and § 465a.11 which did not reflect the addition of new gaming technology.

The preamble to the proposed rulemaking stated that surveillance requirements for electronic terminals and hybrid gaming tables are in § 465a.9, not that this section was amended to include both. Section 465a.9(c)(1)(i) is amended to provide for surveillance coverage over the electronic terminals where wagers are made and § 465a.9(c)(1)(ii) provides for surveillance over gaming conducted at each table that is not a fully automated electronic gaming table or an electronic wagering terminal. As a hybrid gaming table is neither a fully automated electronic gaming table nor an electronic wagering terminal, the hybrid table is subject to the surveillance requirement. Section 465a.9(c)(1)(ii) does not need to be amended to add hybrid tables as they already are included in the section as it existed.

With respect to §§ 461a.15 and 461a.16, while the Board recognizes that a slot machine licensee could opt not to obtain a certificate to operate table games and thereby not offer table games, only the holder of a slot machine license can obtain a certificate to operate table games and thereby the holder of that certificate is a slot machine licensee as titled at the highest level. Casino management systems and player tracking systems maintained by the slot machine licensee may be used but are not required to be used by the slot machine licensee for

slot machines, table games, table game devices and related systems as approved by the Board. The Board does not believe the use of “slot machine licensee” is inconsistent or not appropriate in these instances.

With respect to §§ 465a.11 and 465a.19, “certificate holder” is used according to its definition in § 401a.3 (relating to definitions) as a slot machine licensee that was awarded a certificate to operate table games. Section 465a.11(b)(7) has been revised to reflect this usage and to insure consistency.

The Board revised the heading of § 461a.14 to reflect the addition of the new technology. The Board does not believe revising the heading of § 465a.11 is warranted as the section places duties in relation to organization and jobs compendiums on slot machine licensees which covers all gaming offerings.

Additional Revisions

The Board made minor cross-reference corrections to §§ 461a.4(n) and 463a.1(b)(6) and § 467a.1(a)(2) (relating to gaming floor plan) in this final-form rulemaking.

Fiscal Impact

Commonwealth. The Board does not expect that this final-form rulemaking will have a fiscal impact on the Board or other Commonwealth agencies as testing and approval of the new hybrid gaming tables will be conducted by existing Board staff.

As it relates to internal controls, updates to internal control procedures will be reviewed by existing Board staff.

Political subdivisions. This final-form rulemaking will not have fiscal impact on political subdivisions of this Commonwealth.

Private sector. This final-form rulemaking will provide slot machine licensees that have a certificate to operate table games (a certificate holder) with additional table game options. If a certificate holder decides to offer hybrid gaming tables within the licensed facility, the certificate holder will be required to acquire equipment and train their dealers and support staff. While these hybrid gaming table systems require a substantial capital expenditure, the requirements in this final-form rulemaking should not increase costs operators would already have to incur to acquire the new technology. Costs incurred to train employees or purchase/lease equipment should be offset by the proceeds of gaming.

General public. This final-form rulemaking will not have fiscal impact on the general public.

Paperwork Requirements

If a certificate holder elects to offer table gaming on hybrid gaming tables, the certificate holder will be required to update internal controls reflecting the changes and submit them electronically to Board staff.

Effective Date

This final-form rulemaking will become effective upon publication in the *Pennsylvania Bulletin*.

Regulatory Review

Under section 5(a) of the Regulatory Review Act (71 P.S. § 745.5(a)), on July 15, 2015, the Board submitted a copy of the notice of proposed rulemaking, published at 45 Pa.B. 4170, to IRRC and the Chairpersons of the House Gaming Oversight Committee and the Senate Community, Economic and Recreational Development Committee for review and comment.

Under section 5(c) of the Regulatory Review Act, the Board shall submit to IRRC and the House and Senate Committees copies of comments received during the public comment period, as well as other documents when requested. In preparing the final-form rulemaking, the Board has considered all comments from IRRC.

Under section 5.1(j.2) of the Regulatory Review Act (71 P.S. § 745.5a(j.2)), on October 11, 2017, the final-form rulemaking was deemed approved by the House and Senate Committees. Under section 5.1(e) of the Regulatory Review Act, IRRC met on October 12, 2017, and approved the final-form rulemaking.

Findings

The Board finds that:

(1) Public notice of intention to adopt these amendments was given under sections 201 and 202 of the act of July 31, 1968 (P.L. 769, No. 240) (45 P.S. §§ 1201 and 1202) and the regulations thereunder, 1 Pa. Code §§ 7.1 and 7.2.

(2) The final-form rulemaking is necessary and appropriate for the administration and enforcement of 4 Pa.C.S. Part II (relating to gaming).

Order

The Board, acting under 4 Pa.C.S. Part II, orders that:

(1) The regulations of the Board, 58 Pa. Code Chapters 461a, 463a, 465a, 467a, 601a, 605a and 607a, are amended by adding § 605a.9 and amending §§ 461a.1, 461a.4, 461a.8, 461a.10, 461a.14, 461a.15, 461a.16, 461a.26, 461a.27, 463a.1—463a.5, 463a.7, 465a.2, 465a.6, 465a.9, 465a.11, 465a.17—465a.19, 465a.25, 465a.26, 465a.35—465a.42, 467a.1, 601a.9, 605a.1—605a.5, 605a.7 and 607a.2 to read as set forth in Annex A, with ellipses referring to the existing text of the regulations.

(*Editor's Note:* The amendment to § 467a.1 was not included in the proposed rulemaking.)

(2) The Chairperson of the Board shall certify this order and Annex A and deposit them with the Legislative Reference Bureau as required by law.

(3) This order shall take effect upon publication in the *Pennsylvania Bulletin*.

DAVID M. BARASCH,
Chairperson

(*Editor's Note:* See 47 Pa.B. 6790 (October 28, 2017) for IRRC's approval order.)

Fiscal Note: Fiscal Note 125-189 remains valid for the final adoption of the subject regulations.

Annex A

TITLE 58. RECREATION

PART VII. GAMING CONTROL BOARD

Subpart E. SLOT MACHINE, TABLE GAME AND ASSOCIATED EQUIPMENT TESTING AND CONTROL; ACCOUNTING AND INTERNAL CONTROLS

CHAPTER 461a. SLOT MACHINE AND TABLE GAME DEVICE TESTING AND CONTROL

§ 461a.1. Definitions.

The following words and terms, when used in this subpart, have the following meanings, unless the context clearly indicates otherwise:

Asset number—A unique number assigned to a slot machine, fully automated electronic gaming table, elec-

tronic wagering terminal or piece of associated equipment by a slot machine licensee for the purpose of tracking the slot machine, fully automated electronic gaming table, electronic wagering terminal or piece of associated equipment while owned by the slot machine licensee.

Automated jackpot payout machine—The collective hardware, software, communications technology and other ancillary equipment used to facilitate the payment of a jackpot that is not totally and automatically paid directly from a slot machine, fully automated electronic gaming table or electronic wagering terminal.

Bill validator—An electronic device designed to interface with a slot machine, fully automated electronic gaming table or electronic wagering terminal for the purpose of accepting and validating any combination of United States currency, gaming vouchers, coupons or other instruments authorized by the Board for incrementing credits on a slot machine, fully automated electronic gaming table or electronic wagering terminal.

Bonus award—An award of cash or credits to a randomly selected player that is not generated by the slot machine.

Cash equivalents—Instruments with a value equal to United States currency or coin including value chips and plaques, Counter Checks, personal checks, certified checks, cashier's checks, travelers' checks, money orders, gaming vouchers or other representations of value that the Board deems a cash equivalent.

Cashless funds transfer system—The collective hardware, software, communications technology and other ancillary equipment used to facilitate the electronic transfer of cashable or noncashable credits to a patron at a slot machine.

Casino management system—The collective hardware, software, communications technology and other ancillary equipment used to collect, monitor, interpret, analyze, report and audit data with regard to activity at slot machines, fully automated electronic gaming tables or electronic wagering terminals, inclusive of slot machine level accounting transactions, player tracking and productivity analysis.

Common carrier—An entity that transports persons or goods, and offers its services to the general public.

Conversion—A change or alteration to a slot machine that does not affect the manner or mode of play or operation of the slot machine.

Count team—The group of employees of a slot machine licensee who are responsible for counting the contents of slot cash storage boxes and table game drop boxes.

Coupon—An instrument issued by a slot machine licensee under which cashable or noncashable slot machine credits are provided directly or indirectly to a patron with or without regard to the identity of the patron or the patron's level of gaming activity.

Coupon system—The collective hardware, software, communications technology and other ancillary equipment used to facilitate the issuance of coupons, the acceptance of a coupon by a slot machine or its redemption at an automated coupon redemption machine, cashiers' cage or other locations.

Currency cassette—A container that holds banknotes that are available for dispensing.

Double-up—An optional wager on a slot machine in which the player has a mathematically equal probability of winning or losing the wager.

Drop team—The group of employees of a slot machine licensee who are responsible for collecting and transporting slot cash storage boxes and table game drop boxes.

Educational institution—A facility that teaches and certifies students in slot machine design, operation, repair or servicing.

External bonusing system—The collective hardware, software, communications technology and other ancillary equipment used in conjunction with slot machines to deliver randomly selected player incentives (bonus awards) to active slot machine players and to effect the accurate metering of the bonus award event on the slot machine.

Fill—The distribution of gaming chips, coins and plaques to a gaming table to replenish the table inventory.

Finance department—The department that is responsible for the management of the financial and accounting activities relating to slot machines and table games being utilized in a licensed facility.

Gaming day—A period of time not to exceed 24 hours corresponding to the beginning and ending times of gaming activities for the purpose of accounting reports and determination of gross terminal and gross table game revenue.

Gaming voucher—An instrument that upon insertion into a bill validator entitles the patron inserting the gaming voucher to cashable or noncashable credits on a slot machine and cashable credits on an electronic gaming table corresponding to the value printed on the gaming voucher. A gaming voucher that contains noncashable credits may be used only for the purpose of slot machine gaming.

Gaming voucher system—The collective hardware, software, communications technology and other ancillary equipment used to facilitate the issuance of gaming vouchers and the redemption of gaming vouchers by slot machines, fully automated electronic gaming tables, electronic wagering terminals, automated gaming voucher redemption machines, the cashiers' cage or in other locations.

Hand pay—The payment of credits that are not totally and automatically paid directly from a slot machine, fully automated electronic gaming table or electronic wagering terminal.

Machine displayed payout percentage—The selectable payout percentage that is set by the slot machine licensee during the initial configuration or a subsequent reconfiguration of a slot machine and is displayed in the slot machine's service menu during normal operation.

Manufacturer's par sheet—A document supplied by the manufacturer that shows payable information including, but not limited to, theoretical payout percentage, winning combinations, awards and reel strips.

Merchandise jackpot—A slot machine jackpot in the form of:

- (i) A cash payout and a payout of merchandise or a thing of value.
- (ii) An option to choose between a cash payout and a payout of merchandise or a thing of value.

Minimum payout percentage—The lowest aggregate awards expected to be paid out over one cycle of the game divided by the total number of combinations in the cycle of the game.

Modification—A change or alteration in a slot machine, fully automated electronic gaming table, electronic wagering terminal or associated equipment that affects the manner or mode of play or operation of the slot machine or associated equipment.

(i) The term includes a change to control or graphics programs and to the theoretical hold percentage.

(ii) In the case of slot machines, the term does not include:

- (A) A conversion.
- (B) Replacement of one approved component with an identical component.

(iii) In the case of a wide area progressive system, the term includes a change in:

- (A) A system name or theme.
- (B) The odds to win the progressive payout.
- (C) The reset amount.
- (D) The rate at which a progressive award increases.
- (E) The wager necessary to win the progressive payout.

Paytable—A selectable part of a slot machine program that contains slot machine characteristics including, but not limited to, the theoretical payout percentage, reel strips and awards.

Player rating—A score or ranking assigned to a player based on an evaluation of the amount and frequency of play by the person.

Player rating system—A computerized system by which a player is assigned a score or ranking based upon an evaluation of the amount and frequency of play by the person.

Player tracking system—The collective hardware, software, communications technology and other ancillary equipment used to collect, monitor, interpret, analyze, authorize, report and audit data with regard to player activity generally or on an individual basis at slot machines or table games.

Progressive awards—The award to be paid out when the event in the progressive game that triggered the award occurs.

Progressive controller—A program or computer system, other than an approved program that controls the operation of the slot machine, which controls, adjusts and displays the amount of the progressive jackpot.

Progressive payout—A slot machine payout that increases in a monetary amount based on the amounts wagered in a progressive system.

Progressive slot machine—A slot machine that offers a jackpot that may increase in value based upon the slot machine wagers placed.

Pseudo random number generator—Software or hardware, or both, that ensures the randomness of slot machine outcomes.

RAM—Random access memory.

RAM clear—A process initiated by a service technician that results in the zeroing out of any meter information, configuration information or data stored in the memory of a slot machine, fully automated electronic gaming table, electronic wagering terminal or associated equipment.

Randomness—The observed unpredictability and absence of pattern in a set of elements or events that have definite probabilities of occurrence.

Reel strips—Components of a slot machine which display symbols.

Related systems—Systems which interface with slot machines or slot monitoring systems.

Remote system access—Connectivity to casino systems from outside the slot machine licensee’s network.

Reset amount—The award value that a progressive award will revert to after the progressive award is paid out.

Server supported slot system—One or more slot machines connected to a slot machine server and an associated computer network.

Skill—The application of intelligence and specific knowledge to achieve the best result when a slot machine offers a choice of options during game play.

Slot machine bill validator—A component, made up of software and hardware that accepts and reads instruments such as bills, vouchers and coupons, into gaming devices such as slot machines and automated gaming voucher and coupon redemption machines.

Slot machine server—A computer configured to receive, store, authenticate and download to slot machines, Board-approved slot machine game themes and other approved software.

Slot monitoring system—The collective hardware, software, communications technology and other ancillary equipment used to collect, monitor, interpret, analyze, authorize, report and audit data with regard to activity at slot machines, inclusive of slot machine meter readings, error conditions, slot machine security, accounting, player tracking and productivity analysis.

Slot operations department—The department that is responsible for all operations in any area of the licensed facility where slot machines are kept.

Slot system operator—The persons designated in a slot system agreement as being responsible for the operation and administration of a wide area progressive system.

Strategy choice—A particular play option on a slot machine that requires the use of skill to consistently achieve the best result.

Theme—A concept, subject matter and methodology of design of a slot machine.

Theoretical payout percentage—The aggregate awards expected to be paid out over one cycle of the game divided by the total number of combinations in the cycle of the game.

Trolley—A wheeled apparatus used for the secured transport of slot cash storage boxes and drop boxes.

Unredeemed gaming voucher—A gaming voucher that has not been presented to a slot machine licensee for redemption or a gaming voucher that has been found and returned to a slot machine licensee.

Wager—Placing at risk in a slot machine, fully automated electronic gaming table or electronic wagering terminal a coin, bill, ticket, gaming voucher, coupon or similar object or, upon payment of any consideration, including the use of cashless funds transfer systems and external bonusing systems.

Wide area progressive system—Progressive slot machines located at a licensed facility that are linked with progressive slot machines at another licensed facility.

§ 461a.4. Submission for testing and approval.

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(c) For the purposes of this section, slot machines, table game devices and associated equipment that shall be submitted for testing and approval include:

* * * * *

(12) Table game devices including:

(i) Electronic gaming tables as described in § 605a.4 (relating to electronic gaming tables).

(ii) Fully automated electronic gaming tables as described in § 605a.5 (relating to fully automated electronic gaming tables and electronic wagering terminals).

(iii) Progressive table game systems as described in § 605a.7 (relating to progressive table games).

(iv) Automated card shuffling devices as described in § 603a.17 (relating to dealing shoes; automated card shuffling devices).

(v) Electronic dealing shoes as described in § 603a.17.

(vi) Electronic wagering systems as described in § 605a.2 (relating to electronic wagering systems).

(vii) Electronic wagering terminals as described in § 605a.5.

(viii) Hybrid gaming tables as described in § 605a.9 (relating to hybrid gaming tables).

(d) Slot machine prototypes, table game device prototypes and associated equipment prototypes, and modifications thereto, which are subject to testing and approval under this section will be evaluated by the Bureau of Gaming Laboratory Operations for overall operational integrity and compliance with the act, this subpart and technical standards adopted by the Board as published in the *Pennsylvania Bulletin* and posted on the Board’s web site. In addition, with regard to any slot machine, fully automated electronic gaming table, electronic wagering terminal or modification thereto, the Bureau of Gaming Laboratory Operations will test for compatibility and compliance with the central control computer and protocol specifications approved by the Department including the ability to communicate with the central control computer for the purpose of transmitting auditing program information, real time information retrieval and activation and disabling of slot machines, fully automated electronic gaming tables and electronic wagering terminals.

* * * * *

(n) Concurrent with the initial receipt of slot machines, a slot machine licensee shall file a slot machine master list as required by § 463a.5 (relating to slot machine, electronic wagering terminal and fully automated electronic gaming table master lists).

(o) The testing of equipment, devices or software under this subpart may require the dismantling of the product and testing that may result in damage to, or destruction of, one or more systems or components. Once submitted for testing, equipment, devices or software will not be returned to the manufacturer.

§ 461a.8. Gaming vouchers.

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(d) Prior to issuing a gaming voucher, a slot machine licensee shall establish a system of internal controls for the issuance and redemption of gaming vouchers. The internal controls shall be submitted and approved by the

Board under § 465a.2 (relating to internal control systems and audit protocols) and address:

(1) Procedures for assigning an asset number and identifying other redemption locations in the system, and enabling and disabling voucher capabilities for slot machines, fully automated electronic gaming tables, electronic wagering terminals and redemption locations.

* * * * *

(h) Upon presentation of a gaming voucher for redemption at a slot machine, fully automated electronic gaming table or electronic wagering terminal, the total value of which gaming voucher cannot be completely converted into an equivalent value of credits that match the denomination of the slot machine, fully automated electronic gaming table or electronic wagering terminal, the slot machine, fully automated electronic gaming table or electronic wagering terminal must perform one of the following procedures:

* * * * *

(l) Gaming vouchers redeemed at cashiering locations shall be transferred to the finance department on a daily basis. Gaming vouchers redeemed by slot machines, fully automated electronic gaming tables and electronic wagering terminals shall be counted in the count room and forwarded to the finance department upon the conclusion of the count process. Gaming vouchers redeemed at automated gaming voucher redemption machines shall be forwarded to finance upon the conclusion of the cashiers' cage reconciliation process. Finance department representatives with no incompatible functions shall perform, at a minimum, the following:

* * * * *

§ 461a.10. Automated gaming voucher and coupon redemption machines.

* * * * *

(k) A gaming voucher or coupon accepted by an automated gaming voucher and coupon redemption machine shall be cancelled immediately upon exchange in a manner that effectively prevents its subsequent redemption by the cashiers' cage, another automated gaming voucher and coupon redemption machine or its acceptance in a slot machine, fully automated electronic gaming table or electronic wagering terminal bill validator. The methods utilized to comply with this requirement must be in accordance with this subpart and technical standards adopted by the Board and published in the *Pennsylvania Bulletin* and posted on the Board's web site.

* * * * *

§ 461a.14. Slot machine, fully automated gaming tables and electronic wagering terminal monitoring systems.

(a) A slot machine licensee may utilize a slot monitoring system which has an interface between it and slot machines, fully automated electronic gaming tables, electronic wagering terminals and related systems that has been tested and approved by the Board under § 461a.4 (relating to submission for testing and approval).

(b) A slot monitoring system must comply with the act, this subpart and technical standards on slot monitoring systems adopted by the Board and published in the *Pennsylvania Bulletin* and posted on the Board's web site.

§ 461a.15. Casino management systems.

(a) A slot machine licensee may utilize a casino management system which has an interface between it and

slot machines, table games, table game devices and related systems tested and approved by the Board under § 461a.4 (relating to submission for testing and approval).

(b) A casino management system must comply with the act, this subpart and technical standards on casino management systems adopted by the Board and published in the *Pennsylvania Bulletin* and posted on the Board's web site.

§ 461a.16. Player tracking systems.

(a) A slot machine licensee may utilize a player tracking system which has an interface between it and slot machines, table games, table game devices and related systems tested and approved by the Board under § 461a.4 (relating to submission for testing and approval).

(b) A player tracking system may not include individuals who are under 21 years of age.

(c) Employees of a slot machine licensee who can view, print or copy any of the information in the slot machine licensee's player tracking system shall be licensed as a key employee or hold an occupation permit. This subsection does not apply to employees of the slot machine licensee that are members of a corporate reservations department whose duties and responsibilities do not require the employee to be located within this Commonwealth.

(d) A player tracking system must comply with the act, this subpart and technical standards on player tracking systems adopted by the Board and published in the *Pennsylvania Bulletin* and posted on the Board's web site.

§ 461a.26. Testing and software installation on the live gaming floor.

* * * * *

(b) A slot machine licensee shall notify the Bureau of Gaming Laboratory Operations and the Bureau of Casino Compliance at least 72 hours prior to the installation of any new software or the installation of any change in previously approved software and receive the required approvals prior to the installation of:

* * * * *

(18) Server based slot systems.

(19) Hybrid gaming tables.

(20) Electronic wagering terminals.

(c) The notification required under subsection (b) must include:

* * * * *

§ 461a.27. RAM clear.

(a) When a slot machine licensee becomes aware of a nonresponsive slot machine, fully automated electronic gaming table, hybrid gaming table or electronic wagering terminal and communication between the slot machine, fully automated electronic gaming table, hybrid gaming table or electronic wagering terminal and the central control computer cannot be reestablished, the slot machine licensee shall immediately notify the Department's operator of the central control computer and the casino compliance representatives at the licensed facility. The slot machine licensee may not do a RAM clear on the affected slot machine, fully automated electronic gaming table, electronic wagering terminal or associated equipment until a casino compliance representative has recorded the information on the financial meters.

(b) For planned RAM clears, the slot machine licensee shall provide notice to the Department's operator of the central control computer and the casino compliance representatives at the licensed facility at least 48 hours prior to the scheduled RAM clear. A second notice shall be provided to the Department's operator of the central control computer and the casino compliance representatives at the licensed facility immediately prior to actually conducting the RAM clear.

CHAPTER 463a. POSSESSION OF SLOT MACHINES, ELECTRONIC WAGERING TERMINALS AND FULLY AUTOMATED ELECTRONIC GAMING TABLES

§ 463a.1. Possession of slot machines, electronic wagering terminals and fully automated electronic gaming tables generally.

(a) Except as otherwise provided in this section and 18 Pa.C.S. § 5513 (relating to gambling devices, gambling, etc.), a person may not possess any slot machine, electronic wagering terminal or fully automated electronic gaming table within this Commonwealth that may be used for gambling activity.

(b) The following persons and any employee or agent acting on their behalf may possess slot machines, electronic wagering terminals or fully automated electronic gaming tables in this Commonwealth for the purposes described herein provided that slot machines, electronic wagering terminals or fully automated electronic gaming tables located outside of a licensed facility may not be used for gambling activity:

(1) A slot machine licensee, for the purpose of maintaining for use, training or operating slot machines in a licensed facility.

(2) The holder of a manufacturer license for the purpose of manufacturing, exhibiting, demonstrating, training or preparing for transfer to a manufacturer designee licensee, supplier licensee or slot machine licensee.

(3) The holder of a manufacturer designee license or supplier license for the purpose of distributing, repairing, servicing, exhibiting or demonstrating slot machines or fully automated electronic gaming tables and any training with regard thereto.

(4) An educational institution for the purpose of teaching slot machine design, operation, repair or servicing.

(5) A manufacturer, manufacturer designee or supplier of slot machines not licensed within this Commonwealth for the limited purpose of temporary exhibition or demonstration.

(6) A common carrier, for the purpose of transporting slot machines or fully automated electronic gaming tables in accordance with § 463a.2 (relating to transportation of slot machines, electronic wagering terminals and fully automated electronic gaming tables into, within and out of this Commonwealth).

(7) An employee or agent of the Board, the Department, the Pennsylvania State Police or any law enforcement agency of this Commonwealth for the purpose of fulfilling official duties or responsibilities.

(8) Other persons upon a finding that the possession of slot machines or fully automated electronic gaming tables by those persons in this Commonwealth is not contrary to the goals and objectives of the act.

(c) Persons seeking to possess slot machines, electronic wagering terminals or fully automated electronic gaming tables under subsection (b)(4), (5) and (8) shall submit a

petition to the Board as required under § 493a.4 (relating to petitions generally). The petition to the Board must contain:

(1) The purpose for having the slot machines, electronic wagering terminals or fully automated electronic gaming tables.

(2) The proposed location of the slot machines, electronic wagering terminals or fully automated electronic gaming tables.

(3) The time period for which the slot machines, electronic wagering terminal or fully automated electronic gaming tables will be kept.

(4) How the slot machines, electronic wagering terminal or fully automated electronic gaming tables will be secured.

(d) Requests approved by the Board may be subject to specific terms and conditions imposed by the Board.

(e) A person authorized to possess slot machines, electronic wagering terminals or fully automated electronic gaming tables under subsection (d) that desires to store the slot machines, electronic wagering terminals or fully automated electronic gaming tables at a location other than the location specified in subsection (c)(2) shall obtain approval from the Board's Executive Director prior to storing the slot machines, electronic wagering terminal or fully automated electronic gaming tables at the other location.

§ 463a.2. Transportation of slot machines, electronic wagering terminals and fully automated electronic gaming tables into, within and out of this Commonwealth.

(a) In furtherance of section 1511 of the act (relating to declaration of exemption from Federal laws prohibiting slot machines), prior to the transport or movement of a slot machine, electronic wagering terminal or fully automated electronic gaming table into, within or out of this Commonwealth, from one person authorized to possess slot machines, electronic wagering terminals or fully automated electronic gaming tables under § 463a.1 (relating to possession of slot machines, electronic wagering terminals and fully automated electronic gaming tables generally) to another person, the persons causing the slot machine, electronic wagering terminal or fully automated electronic gaming table to be transported or moved shall notify the Bureau of Gaming Laboratory Operations and the Bureau of Casino Compliance in writing or in an electronic format approved by the Bureau of Gaming Laboratory Operations. The notice shall be submitted no later than the day the slot machine, electronic wagering terminal or fully automated electronic gaming table is transported and include the following information:

(1) The name and address of the person shipping or moving the slot machine, electronic wagering terminal or fully automated electronic gaming table.

(2) The name and address of the person who owns the slot machine, electronic wagering terminal or fully automated electronic gaming table, if different from the person shipping or moving the machine.

(3) The name and address of a new owner if ownership is being changed in conjunction with the shipment or movement.

(4) The method of shipment or movement and the name and address of the common carrier or carriers, if applicable.

(5) The name and address of the person to whom the slot machine, electronic wagering terminal or fully automated electronic gaming table is being sent and the destination of the slot machine, electronic wagering terminal or fully automated electronic gaming table, if different from that address.

(6) The quantity of slot machines, electronic wagering terminals or fully automated electronic gaming tables being shipped or moved and the manufacturer's serial number of each machine.

(7) The expected date and time of delivery to, or removal from, any authorized location within this Commonwealth.

(8) The port of entry, or exit, if any, of the slot machine, electronic wagering terminal or fully automated electronic gaming table if the origin or destination of the slot machine, electronic wagering terminal or fully automated electronic gaming table is outside the continental United States.

(9) The reason for transporting or moving the slot machine, electronic wagering terminal or fully automated electronic gaming table.

(b) In addition to the requirements in subsection (a), if a slot machine licensee is shipping slot machines, electronic wagering terminals or fully automated electronic gaming tables to or from the slot machine licensee's approved, off-premises storage location, the slot machine licensee shall comply with the requirements in subsection (a) and record the movement in the licensee's movement log as required under § 463a.5(e) (relating to slot machine, electronic wagering terminal and fully automated electronic gaming table master lists). If a slot machine, electronic wagering terminal or fully automated electronic gaming table is being transported to the licensed facility from the licensee's approved, off-premises storage location, the licensee shall specify in the notice required under subsection (a) whether the slot machine, electronic wagering terminal or fully automated electronic gaming table will be placed directly onto the gaming floor or stored off the gaming floor in a restricted area within the licensed facility.

§ 463a.3. Slot machine, electronic wagering terminal and fully automated electronic gaming table location on the gaming floor.

(a) A gaming floor must consist of one or more areas within a licensed facility approved by the Board or Executive Director under § 467a.1 (relating to gaming floor plan) for the placement and operation of slot machines, electronic wagering terminals or fully automated electronic gaming tables.

(b) A slot machine, electronic wagering terminal or fully automated electronic gaming table on a gaming floor shall be placed at a location, which location may contain no more than one slot machine, electronic wagering terminal or fully automated electronic gaming table, identified by number on a gaming floor plan approved by the Board or Executive Director under section 1322 of the act (relating to slot machine accounting controls and audits) and § 467a.1 and shall also be identified by this slot machine, electronic wagering terminal or fully automated electronic gaming table location number and an asset number on the Gaming Floor Slot Machine, Electronic Wagering Terminal and Fully Automated Electronic Gaming Table Master List.

§ 463a.4. Notice and connection to the central control computer system.

(a) Prior to utilization for gambling activity, a slot machine, electronic wagering terminal or fully automated electronic gaming table on a gaming floor shall be connected or linked to a central control computer system having the capabilities and in compliance with the terms of section 1323 of the act (relating to central control computer system).

(b) To ensure activation or disabling, as appropriate, in the central control computer system and the retrieval of real time meter information from the slot machine, electronic wagering terminal or fully automated electronic gaming table in conjunction with the movement of a slot machine, electronic wagering terminal or fully automated electronic gaming table, the slot machine licensee shall provide the Department with written notice of the slot machine, electronic wagering terminal or fully automated electronic gaming table movement, prior to any of the following:

(1) Placement of a slot machine, electronic wagering terminal or fully automated electronic gaming table on the gaming floor.

(2) Movement of a slot machine, electronic wagering terminal or fully automated electronic gaming table between slot machine, electronic wagering terminal or fully automated electronic gaming table locations on the gaming floor.

(3) Removal of a slot machine, electronic wagering terminal or fully automated electronic gaming table from the gaming floor.

§ 463a.5. Slot machine, electronic wagering terminal and fully automated electronic gaming table master lists.

(a) Prior to the commencement of operations at a licensed facility, a slot machine licensee shall file the following with the Bureau of Gaming Laboratory Operations and the Bureau of Casino Compliance, in an electronic format approved by the Bureau of Gaming Laboratory Operations:

(1) Gaming Floor Slot Machine, Electronic Wagering Terminal and Fully Automated Electronic Gaming Table Master List.

(2) Restricted Area/Off Premises Slot Machine, Electronic Wagering Terminal and Fully Automated Electronic Gaming Table Master List.

(b) A Gaming Floor Slot Machine, Electronic Wagering Terminal and Fully Automated Electronic Gaming Table Master List must list all slot machines, electronic wagering terminals and fully automated electronic gaming tables located on the gaming floor in consecutive order by the device location number under § 463a.3 (relating to slot machine, electronic wagering terminal and fully automated electronic gaming table location on the gaming floor) and contain the following:

(1) The date the list was prepared.

(2) A description of each slot machine, electronic wagering terminal or fully automated electronic gaming table which includes:

(i) The zone/location number.

(ii) The asset number.

(iii) The manufacturer's serial number.

(iv) The base denomination, or if configured for multiple denominations, a list of the denominations.

(v) The game software/program ID.

(vi) The operating system/base ROM.

(vii) The manufacturer.

(viii) The slot machine, electronic wagering terminal or fully automated electronic gaming table model.

(ix) The model type (reel or video), if applicable.

(x) The game theme/description.

(xi) The minimum payout percentage, if applicable.

(xii) The machine displayed payout percentage, if applicable.

(xiii) The payable ID.

(xiv) Whether the slot machine, electronic wagering terminal or fully automated electronic gaming table is in a smoking area.

(xv) If the slot machine, electronic wagering terminal or fully automated electronic gaming table is a progressive, the type of progressive, the progressive controller type and the progressive software.

(xvi) The fund transfer/voucher system software.

(c) If a slot machine, electronic wagering terminal or fully automated electronic gaming table is configured to allow a patron to select from multiple games or game themes, each game or game theme, minimum and machine displayed payout percentages, if applicable, and payable ID must be listed in the Gaming Floor Slot Machine, Electronic Wagering Terminal and Fully Automated Electronic Gaming Table Master List. Instead of listing each game or game theme, minimum and machine displayed payout percentage and payable ID for a slot machine, electronic wagering terminal or fully automated electronic gaming table configured to offer multiple game themes with the slot machine, electronic wagering terminal or fully automated electronic gaming table, a slot machine licensee may use a unique generic code for the game theme and attach an appendix which lists the game themes, minimum and machine displayed payout percentages and payable IDs that correspond to each unique generic game theme code.

(d) A Restricted Area/Off Premises Slot Machine, Electronic Wagering Terminal and Fully Automated Electronic Gaming Table Master List must include all slot machines, electronic wagering terminals and fully automated electronic gaming tables located off the gaming floor in a restricted area within the licensed facility approved under § 465a.8(b) (relating to licensed facility), or in storage locations in this Commonwealth off the premises of the licensed facility approved under § 463a.7 (relating to off premises storage of slot machines, electronic wagering terminals and fully automated electronic gaming tables) grouped by the location where the slot machines, electronic wagering terminals or fully automated electronic gaming tables are located. A Restricted Area/Off Premises Slot Machine, Electronic Wagering Terminal and Fully Automated Electronic Gaming Table Master List must include the following information:

(1) The date the list was prepared.

(2) A description of each slot machine, electronic wagering terminal and fully automated electronic gaming table which includes:

(i) The location of the slot machine, electronic wagering terminal or fully automated electronic gaming table.

(ii) The asset number.

(iii) The manufacturer's serial number.

(iv) The game software/program ID.

(v) The operating system/base ROM.

(vi) The game theme/description.

(vii) The manufacturer.

(viii) The slot machine, electronic wagering terminal or fully automated electronic gaming table model.

(ix) The model type (reel or video), if applicable.

(e) Once a slot machine, electronic wagering terminal or fully automated electronic gaming table has been placed in an authorized location on the gaming floor, stored in a restricted area off the gaming floor but within the licensed facility approved under § 465a.8 or in a location in this Commonwealth off the premises of the licensed facility approved under § 463a.7, all subsequent movements of that slot machine, electronic wagering terminal or fully automated electronic gaming table shall be recorded by a slot department member in a slot machine movement log which includes the following:

(1) The asset number and model and manufacturer's serial number of the moved slot machine, electronic wagering terminal or fully automated electronic gaming table.

(2) The date and time of movement.

(3) The location from which the slot machine, electronic wagering terminal or fully automated electronic gaming table was moved.

(4) The location to which the slot machine, electronic wagering terminal or fully automated electronic gaming table was moved.

(5) The date and time of any required notice to the Department in connection with activation or disabling of the slot machine, electronic wagering terminal or fully automated electronic gaming table in the central control computer system.

(6) The signature of the slot shift manager and the lead technician verifying the movement of the slot machine, electronic wagering terminal or fully automated electronic gaming table in compliance with this section.

(f) Documentation summarizing slot machine, electronic wagering terminal or fully automated electronic gaming table movements, as described in subsection (e), shall be submitted to the Bureau of Gaming Laboratory Operations and the Bureau of Casino Compliance, in an electronic format approved by the Bureau of Gaming Laboratory Operations, on a daily basis.

(g) On the first Tuesday of each month a slot machine licensee shall file an updated Gaming Floor Slot Machine, Electronic Wagering Terminal and Fully Automated Electronic Gaming Table Master List and an updated Restricted Area/Off Premises Slot Machine, Electronic Wagering Terminal and Fully Automated Electronic Gaming Table Master List containing the information required under subsections (b)—(d). The Gaming Floor Slot Machine, Electronic Wagering Terminal and Fully Automated Electronic Gaming Table Master List and the Restricted Area/Off Premises Slot Machine, Electronic Wagering Terminal and Fully Automated Electronic Gaming Table Master List shall be filed in an electronic format with the Bureau of Gaming Laboratory Operations.

(h) Persons authorized by the Board to possess slot machines, electronic wagering terminals or fully auto-

mated electronic gaming tables under § 463a.1(c) (relating to possession of slot machines, electronic wagering terminals and fully automated electronic gaming tables generally) shall file with the Bureau of Gaming Laboratory Operations, in an electronic format approved by the Bureau of Gaming Laboratory Operations, a complete list of slot machines, electronic wagering terminals or fully automated electronic gaming table possessed by the person. The list shall:

(1) Be denoted as a Slot Machine, Electronic Wagering Terminal and Fully Automated Electronic Gaming Table Master List.

(2) Be filed within 3 business days of the initial receipt of slot machines, electronic wagering terminals or fully automated electronic gaming tables.

(3) Contain the following information:

(i) The date on which the list was prepared.

(ii) A description of each slot machine, electronic wagering terminal or fully automated electronic gaming table including:

(A) The manufacturer.

(B) The manufacturer's serial number.

(C) The slot machine, electronic wagering terminal or fully automated electronic gaming table model.

(D) The model type (reel or video), if applicable.

(E) Whether or not the slot machine, electronic wagering terminal or fully automated electronic gaming table is a progressive, and if it is, the type of progressive.

(i) On the first Tuesday of each month following the initial filing of a Slot Machine, Electronic Wagering Terminal and Fully Automated Electronic Gaming Table Master List, those persons enumerated in subsection (h) shall file with the Bureau of Gaming Laboratory Operations, in an electronic format approved by the Bureau of Gaming Laboratory Operations, an updated Slot Machine, Electronic Wagering Terminal and Fully Automated Electronic Gaming Table Master List containing the information required in subsection (h).

§ 463a.7. Off premises storage of slot machines, electronic wagering terminals and fully automated electronic gaming tables.

(a) A slot machine licensee may not store slot machines, electronic wagering terminals or fully automated electronic gaming tables off the premises of a licensed facility without prior approval from the Board's Executive Director.

(b) A slot machine licensee seeking to store slot machines, electronic wagering terminal or fully automated electronic gaming tables off the premises of a licensed facility shall submit a written request to the Bureau of Gaming Operations for off premise storage. The written request must include:

(1) The location and a physical description of the proposed storage facility.

(2) A description of the type of surveillance system that has been or will be installed at the proposed storage facility.

(3) The plan to provide 24 hour, 7 day a week security at the proposed storage facility.

(4) The anticipated number of slot machines, electronic wagering terminals or fully automated electronic gaming tables that may be stored at the proposed storage facility.

(c) Before the Board's Executive Director will act on a request for off premise storage of slot machines, the Director of Casino Compliance will inspect the proposed storage facility.

(d) The Board's Executive Director will approve or disapprove requests within 60 days. Requests approved by the Board's Executive Director may be subject to specific terms and conditions imposed by the Board's Executive Director.

CHAPTER 465a. ACCOUNTING AND INTERNAL CONTROLS

§ 465a.2. Internal control systems and audit protocols.

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(d) A submission by a slot machine licensee must include, at a minimum, the following:

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(3) Procedures and controls for ensuring, in accordance with section 1323 of the act (relating to central control computer system), that each slot machine, electronic wagering terminal and fully automated electronic gaming table directly provides and communicates all required activities and financial details to the central control computer system as set by the Board.

* * * * *

§ 465a.6. Retention, storage and destruction of books, records and documents.

* * * * *

(c) Original books, records and documents shall be retained by a slot machine licensee for a minimum of 5 years with the following exceptions:

(1) Documentation with regard to gaming vouchers reported to the Board as possibly counterfeit, altered or tampered with should be retained for a minimum of 2 years.

(2) Coupons entitling patrons to cash, match play at a table game or slot machine credits, whether unused, voided or redeemed shall be retained for a minimum of 6 months.

(3) Voided gaming vouchers and gaming vouchers redeemed at a location other than a slot machine, electronic wagering terminal or fully automated electronic gaming table shall be retained for a minimum of 30 days.

(4) Gaming vouchers redeemed at a slot machine, electronic wagering terminal or fully automated electronic gaming table shall be retained for a minimum of 7 days.

* * * * *

§ 465a.9. Surveillance system; surveillance department control; surveillance department restrictions.

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(c) The surveillance system required in this section must include:

(1) Light sensitive cameras with lenses of sufficient magnification and 360° pan, tilt and zoom capabilities, without camera stops, to allow the operator to clandestinely monitor in detail and from various vantage points the following:

(i) The gaming conducted at the slot machines, electronic wagering terminals and fully automated electronic gaming tables in the licensed facility with sufficient

clarity to read information on a reel strip or electronic table layout and the credit meter.

(ii) The gaming conducted at each table game that is not a fully automated electronic gaming table or an electronic wagering terminal in the licensed facility with sufficient clarity to identify patrons and dealers and sufficient coverage to simultaneously view the table and determine the configuration of wagers, card, dice and tile values and game outcomes.

(iii) The operations conducted at and in the main cage and any satellite cage.

(iv) The operations conducted at automated bill breaker machines, automated gaming voucher and coupon redemption machines, automated jackpot payout machines and automated teller machines.

(v) The count processes conducted in the count room.

(vi) The movement of cash, gaming chips and plaques, tip boxes, table game drop boxes, Bad Beat or High Hand Jackpot payout boxes and slot cash storage boxes within the licensed facility.

(vii) The entrances and exits to the licensed facility, the gaming floor and the count room.

(viii) Any other activity or areas designated by the Bureau of Casino Compliance.

(2) The following number of cameras dedicated to table games that are not electronic wagering terminals or fully automated electronic gaming tables:

* * * * *

§ 465a.11. Slot machine licensee’s organization; jobs compendium.

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(b) A slot machine licensee’s system of internal controls must also include, at a minimum, the following departments and supervisory positions, each of which must be categorized as mandatory and must cooperate with, yet perform independently of, other mandatory departments and supervisory positions of the slot machine licensee. Notwithstanding the foregoing, a department or supervisor that is not required or authorized by this section may operate under or in conjunction with a mandatory department or supervisor provided the organizational structure is consistent with the standards contained within the act and subsection (a). Mandatory departments and supervisory positions are:

* * * * *

(3) An information technology department supervised by an individual located at the licensed facility who functions, for regulatory purposes, as the information technology director. The information technology director shall be licensed as a key employee and be responsible for the quality, reliability and accuracy of all slot computer systems used by the slot machine licensee regardless of whether data, software or systems are located within or outside the licensed facility. The information technology director shall further be responsible for the security and physical integrity of, and the accountability and maintenance of, the following:

(i) Access codes and other security controls used to insure limited access to computer software and the system wide reliability of data.

(ii) Computer tapes, disks or other electronic storage media containing data relevant to the slot machine licensee’s operations.

(iii) Computer hardware, communications equipment and software used in the conduct of the slot machine licensee’s operations.

(iv) The computerized slot monitoring system utilized by the slot machine licensee. The information technology director shall ensure that:

(A) Slot machines, electronic wagering terminals and fully automated electronic gaming tables located on the gaming floor are connected electronically to the slot machine licensee’s computerized slot monitoring system and to the Commonwealth’s central control computer in accordance with section 1323 of the act (relating to central control computer system).

(B) The security features of the computerized slot monitoring system prohibit, at a minimum, the deletion, creation or modification of any data unless a permanent record is created that sets forth:

- (I) The original information.
- (II) Modifications to the original information.
- (III) The identity of the employee making the modification.
- (IV) The identity of each employee authorizing the modification, if applicable.

(C) Computerized jackpot payout systems utilized by the slot machine licensee are configured to require that any modification of \$100 or more to the original amount recorded on a computerized jackpot payout or system override is authorized by two slot operations department employees, one of whom is in a position of greater authority than the individual preparing the jackpot payout.

(D) Procedures and controls are in place that define and limit interaction between both the slot operations department and finance department and the computerized slot monitoring system including access to system menus, the establishment of slot machine, electronic wagering terminal and fully automated electronic gaming table profile parameters, and the ability of each department to access, delete, create or modify information contained in the slot monitoring system.

(4) Except as provided in paragraphs (8) and (9), a slot operations department supervised by an individual located at the licensed facility who functions, for regulatory purposes, as the director of slot operations. The director of slot operations shall be licensed as a key employee and be responsible for the operation of, and conduct of gaming at, slot machines and fully automated electronic gaming tables within the licensed facility.

(5) A security department supervised by an individual located at the licensed facility who functions, for regulatory purposes, as the director of security. The director of the security department shall be licensed as a key employee and be responsible for the overall security of the licensed facility including the following:

- (i) The physical safety of individuals.
- (ii) The physical safeguarding of assets.
- (iii) The protection of the property of both the patron and the slot machine licensee from illegal activity.

(iv) The design, implementation and enforcement of a system for the issuance of temporary access credentials.

(v) The recording of any unusual incidents within the licensed facility in which the security department is involved. Each incident shall be recorded by security

department personnel in a book with bound numbered pages that cannot be readily removed or be maintained in an electronic format which has an audit function that prevents modification of information after the information has been entered into the system. The log shall be stored and retained in accordance with § 465a.6 (relating to retention, storage and destruction of books, records and documents). The following information shall be recorded:

- (A) The assignment number of the incident.
- (B) The date and time.
- (C) The nature of the incident.
- (D) The individuals involved in the incident.
- (E) The security department employees assigned to cover the incident.

(vi) The identification and removal of any individual who is required to be excluded or ejected from the licensed facility under section 1514 of the act, who may be excluded or ejected from the licensed facility under section 1515 of the act or is self excluded from the gaming floor and gaming activities at all licensed facilities under section 1516 of the act.

(vii) The performance of the duties and responsibilities required under the system of internal controls submitted and approved under § 465a.2.

(viii) The provision of immediate notice to the Pennsylvania State Police upon detecting the presence in the licensed facility of an individual possessing a weapon in violation of § 465a.13 (relating to possession of weapons within a licensed facility).

(ix) The provision of immediate notice to supervisors designated in the internal controls and the casino compliance representatives and the Pennsylvania State Police at the licensed facility upon detecting any individual who is engaging in or attempting to engage in, or who is suspected of cheating, theft, embezzlement, a violation of this part or other illegal activities.

(x) The provision of immediate notice to supervisors designated in the internal controls and the casino compliance representatives and the Pennsylvania State Police at the licensed facility upon detecting any individual who is required to be excluded or ejected from the licensed facility under section 1514 or 1515 of the act and Chapter 511a or 513a or is self-excluded from the gaming floor and gaming activities at all licensed facilities under section 1516 of the act and Chapter 503a.

(6) A finance department supervised by an individual located at the licensed facility who functions, for regulatory purposes, as the director of finance. The director of finance shall be licensed as a key employee and responsible for all finance functions including the preparation and control of records and data, the control of stored data, the control of unused forms, the accounting for and comparison of operational data and forms, and the control and supervision of the inventory of gaming chips, the issuance of credit, the main cage, Poker room cage, satellite cages and the count room. The employees responsible for the issuance of credit shall be in a reporting line to the director of finance. The supervisor of the cage shall, on all shifts, be permitted as a gaming employee.

(7) Except as provided in paragraphs (8) and (9), a certificate holder shall have a table games department supervised by an individual located at the licensed facility who functions, for regulatory purposes, as the director of table games. The director of table games shall be licensed

as a key employee and responsible for all table game functions including the inventory of table game equipment.

(8) In lieu of separate slot and table games departments, a slot machine licensee may elect to have a gaming department supervised by an individual located at the licensed facility who functions, for regulatory purposes, as the director of gaming. The director of gaming shall be licensed as a key employee and responsible for the overall operation and conduct of gaming at slot machines and table games within the licensed facility. A slot machine licensee may also elect to have a director of table games and a director of slot operations who report to the director of gaming.

(9) Unless otherwise specified by the certificate holder, for the conduct of gaming on electronic wagering terminals and hybrid gaming tables, the slot operations department shall supervise the electronic wagering terminals and the table games department shall supervise the conduct of gaming at hybrid gaming tables as provided in paragraphs (4) and (7).

(c) The supervisors of the surveillance and internal audit departments required under subsection (b) shall report directly to one of the following persons or entities regarding matters of policy, purpose, responsibility and authority, which persons or entities shall also control the hiring, termination and salary of each supervisor:

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§ 465a.17. Bill validators, slot cash storage boxes and table game drop boxes.

(a) Slot machines, electronic wagering terminals and fully automated electronic gaming tables must be equipped with a bill validator configured to accept any combination of currency, gaming vouchers, coupons and other instruments authorized by the Board for incrementing credits on a slot machine, electronic wagering terminal or fully automated electronic gaming table.

(b) Access to the bill validator must be controlled by at least one lock, the key to which shall be controlled by the slot operations department.

(c) The bill validator in a slot machine, electronic wagering terminal or fully automated electronic gaming table must contain a secure tamper resistant container known as a slot cash storage box or table game drop box. Currency, gaming vouchers, coupons and Board-approved instruments inserted into the bill validator shall be deposited into the slot cash storage box or table game drop box.

(d) The slot cash storage box or table game drop box on a fully automated electronic gaming table or an electronic wagering terminal must be secured to the bill validator by two separate locks, the keys to which shall be different from each other, one of which may be the lock to the belly door or main door of the slot machine, electronic wagering terminal or fully automated electronic gaming table and a second of which is the lock on the release mechanism on the slot cash storage box or table game drop box. If there is not a full door on the bill validator, the lock on the release mechanism on the slot cash storage box or table game drop box must detect and display whether it is locked or unlocked and communicate whether it is locked or unlocked to a slot monitoring system. The keys shall be maintained and controlled as follows:

(1) The key to the main door and belly door, if applicable, of the slot machine, electronic wagering terminal or fully automated electronic gaming table shall be main-

tained and controlled by the slot operations department. The slot operations department may, immediately prior to the commencement of the drop, issue its main door and belly door key, if applicable, to the finance department. A key transferred from the slot operations department to the finance department shall be returned immediately following the conclusion of the drop. The slot operations department shall establish sign in and sign out procedures in its internal controls documenting this transfer.

(2) The key to the lock securing the release mechanism on the slot cash storage box or table game drop box shall be maintained and controlled by the security department. The security department shall establish a sign out and sign in procedure with regard to this key which includes documentation of this transfer.

(e) A slot cash storage box or table game drop box from a fully automated electronic gaming table or an electronic wagering terminal must:

(1) Have at least one lock securing the contents of the slot cash storage box or table game drop box, the key to which shall be maintained and controlled by the finance department.

(2) Have a slot opening through which currency, gaming vouchers and coupons can be inserted into the slot cash storage box or table game drop box.

(3) Have a mechanical arrangement or device that prohibits removal of currency, gaming vouchers and coupons from the slot opening whenever the slot cash storage box or table game drop box is removed from the bill validator.

(4) Be fully enclosed, except for openings that may be required for the operation of the bill validator or the slot cash storage box or table game drop box. However, the location and size of the openings may not affect the security of the slot cash storage box, the table game drop box, its contents or the bill validator.

(5) Have an asset number that is permanently imprinted, affixed or impressed on the outside of the slot cash storage box or table game drop box which corresponds to the asset number of the slot machine, electronic wagering terminal or fully automated electronic gaming table to which the bill validator has been attached. In lieu of the asset number, a slot machine licensee may develop and maintain, with prior Board approval, a system for assigning a unique identification number to its slot cash storage boxes or table game drop boxes. The system must ensure that each slot cash storage box or table game drop box can readily be identified, either manually or by computer, when in use with, attached to and removed from a particular bill validator. Each unique identification number must be permanently imprinted, affixed or impressed on the outside of each slot cash storage box or table game drop box that does not otherwise bear an asset number. The asset number or unique identification number must be conspicuous and clearly visible to persons involved in removing or replacing the slot cash storage box or table game drop box in the bill validator and through the slot machine licensee's surveillance system. Notwithstanding the foregoing, emergency slot cash storage boxes and emergency table game drop boxes for fully automated electronic gaming tables or electronic wagering terminals may be maintained without an asset number or a unique identification number, provided the word "emergency" is permanently imprinted, affixed or impressed thereon, and when put into use, are temporarily marked with the asset number of the slot machine, electronic wagering terminal or fully automated electronic gaming table to which the bill validator is attached.

(6) Be designed and installed in a manner that renders the slot machine, electronic wagering terminal or fully automated electronic gaming table inoperable in the event of the removal or absence of the slot cash storage box or table game drop box.

(f) A table game in a licensed facility that is not a fully automated electronic gaming table or an electronic wagering terminal must have a secure tamper-resistant table game drop box attached to it in which the following shall be deposited:

(1) All cash exchanged at the gaming table for gaming chips and plaques.

(2) Issuance copies of Counter Checks exchanged at the gaming table for gaming chips and plaques.

(3) Copies of Fill Request Slips, Fill Slips, Credit Request Slips, Credit Slips and Table Inventory Slips.

(4) Other table game wagering instruments as approved by the Board.

(g) A table game drop box from a table game that is not a fully automated electronic gaming table or electronic wagering terminal must have:

(1) Two separate locks securing the contents placed into the table game drop box, the keys to which must be different from each other.

(2) A separate lock securing the table game drop box to the gaming table, the key to which must be different from each of the keys to the locks securing the contents of the table game drop box.

(3) A slot opening through which currency, value chips or Poker rake chips for nonbanking games, other table game wagering instruments as approved by the Board, and required forms and documents can be inserted into the table game drop box.

(4) A mechanical device that must automatically close and lock the slot opening upon removal of the table game drop box from the gaming table.

(5) Permanently imprinted or impressed thereon, and clearly visible to surveillance, either:

(i) A number corresponding to a unique permanent number on the gaming table to which the table game drop box is attached and a letter or letters which indicate the type of game.

(ii) The word "emergency."

(6) In addition to the information required under paragraph (5)(i), a table game drop box may also be identified by a bar code label that is securely affixed to the table game drop box. Each bar code label affixed to a table game drop box must be:

(i) Encoded, at a minimum, with the information required under paragraph (5)(i).

(ii) Prepared in accordance with the slot machine licensee's approved internal controls.

(h) The key utilized to release the table game drop boxes from table games that are not fully automated electronic gaming tables or electronic wagering terminals shall be maintained and controlled by the security department. The security department may, immediately prior to the commencement of the table game count process, issue its release key to the count room supervisor for the purpose of resetting the release mechanism on empty table game drop boxes. A key transferred from the security department shall be returned immediately following the conclusion of the count of the table game drop

boxes. The security department shall establish sign in and sign out procedures in its internal controls documenting this transfer and procedures governing the control of the key during any breaks taken by the count room personnel.

(i) The key to one of the locks securing the contents of a table game drop box from a table game that is not a fully automated electronic gaming table or an electronic wagering terminal shall be maintained and controlled by the finance department. The key to the second lock securing the contents of the table game drop box from a table game that is not a fully automated electronic gaming table or an electronic wagering terminal shall be maintained and controlled by the casino compliance representatives.

(j) Prior to using a table game drop box labeled "Emergency" for a table game that is not a fully automated electronic gaming table or an electronic wagering terminal, the certificate holder shall:

(1) Notify and obtain the verbal approval of the casino compliance representatives.

(2) Temporarily mark the emergency table game drop box with the number of the gaming table and a letter or letters that indicate the type of game.

§ 465a.18. Transportation of slot cash storage boxes and table game drop boxes to and from the gaming floor; storage.

(a) Slot machine licensees shall submit and obtain the approval of the Bureau of Casino Compliance, in accordance with § 601a.10(g) (relating to approval of table game layouts, signage and equipment), the plan for the distribution and collection of slot cash storage boxes and table game drop boxes. The plan must:

(1) Provide for the separate distribution and collection of all of the following:

(i) Slot cash storage boxes and table game drop boxes from fully automated electronic gaming tables and electronic wagering terminals.

(ii) Table game drop boxes not otherwise specified in subparagraph (i).

(2) Include the time the distribution and collection of:

(i) Slot cash storage boxes and table game drop boxes from fully automated electronic gaming tables and electronic wagering terminals will begin.

(ii) Table game drop boxes not otherwise specified in subparagraph (i) will begin.

(3) Specify which slot cash storage boxes and table game drop boxes from fully automated electronic gaming tables and electronic wagering terminals will be picked up on each pick-up day.

(4) Specify the order in which the slot cash storage boxes and table game drop boxes will be distributed and collected.

(5) Specify the route that the drop team will utilize from the gaming floor to the count room.

(b) Slot machine licensees shall maintain and make available to the Bureau of Casino Compliance and the Pennsylvania State Police a current list, with Board credential numbers, of all employees participating in the transportation of slot cash storage boxes and table game drop boxes to and from the gaming floor. The slot machine licensee shall file notice with the Bureau of

Casino Compliance and obtain verbal approval from the casino compliance supervisor at the licensed facility prior to:

(1) Deviating from the schedule setting forth the specific times at which slot cash storage boxes or table game drop boxes are brought to or removed from the gaming floor.

(2) Changing which slot cash storage boxes or table game drop boxes from fully automated electronic gaming tables or electronic wagering terminals will be picked up on each pick-up day.

(3) Altering the route to the count room.

(c) Table game drop boxes from table games that are not fully automated electronic gaming tables or electronic wagering terminals shall be removed from all gaming tables once each gaming day regardless of whether or not the gaming table was open or closed during that gaming day. Table game drop boxes from table games that are not fully automated electronic gaming tables or electronic wagering terminals shall be collected separately from slot cash storage boxes and table game drop boxes from electronic wagering terminals and fully automated electronic gaming tables.

(d) Slot cash storage boxes and table game drop boxes removed from bill validators or gaming tables shall be transported directly to, and secured in, the count room or a trolley storage area located immediately adjacent thereto, configured and secured by a minimum of three employees, at least one of which is a member of the security department and at least one of which is a member of the finance department.

(1) Upon its removal from a bill validator or gaming table, a slot cash storage box or table game drop box shall immediately be placed in an enclosed trolley which is secured by two separately keyed locks. The key to one lock shall be maintained and controlled by the security department. The key to the other lock shall be maintained and controlled by the finance department. Access to the keys shall be controlled, at a minimum, by a sign out and sign in procedure contained in the slot machine licensee's internal controls. The security department key and the key controlled by finance shall be returned to its secure location after completion of the slot and table game count.

(2) Prior to the movement of any trolley, which contains slot cash storage boxes or table game drop boxes, from the gaming floor into the count room, the drop team supervisor shall verify that the number of slot cash storage boxes and table game drop boxes removed from the gaming floor equals the number of slot cash storage boxes and table game drop boxes scheduled to be collected that day and that the locks controlled by security and the finance department have been locked. For table game drop boxes removed from table games that are not fully automated electronic gaming tables or electronic wagering terminals, a floorperson or above may verify the number of table game drop boxes removed from the gaming floor instead of the drop team supervisor.

(3) A slot cash storage box or table game drop box being replaced by an emergency slot cash storage box or table game drop box shall be transported, using a trolley, directly to and secured in the count room by at least one member of the finance department and one member of the security department.

(e) Slot cash storage boxes and table game drop boxes that are not secured to a bill validator or a gaming table,

including emergency slot cash storage boxes and table game drop boxes that are not actively in use, shall be stored in the count room or other secure area specified in the slot machine licensee's internal controls. These slot cash storage boxes and table game drop boxes shall be stored in an enclosed cabinet or trolley and secured in the cabinet or trolley by a separately keyed, double locking system. The key to one lock shall be maintained and controlled by the security department and the key to the other lock shall be maintained and controlled by the finance department. Access to the keys shall be controlled, at a minimum, by a sign out and sign in procedure contained in the slot machine licensee's internal controls.

(f) Notwithstanding subsection (e), the security department may, immediately prior to the commencement of the count process, issue its key to the storage cabinet or trolley to a count room supervisor for the purpose of gaining access to the slot cash storage boxes and table game drop boxes in the storage cabinet or trolley. A key transferred from the custody of the security department to the count room supervisor shall be returned immediately following the conclusion of the count of the slot cash storage boxes and table game drop boxes and the return of the empty emergency drop boxes and slot cash storage boxes or table game drop boxes to their respective storage cabinet or trolley by the count room supervisor. The security department shall establish in its internal controls a sign out and sign in procedure documenting this transfer and a procedure governing the control of the key during any breaks taken by count room personnel.

(g) When a gaming table on the gaming floor is not in use, the table game drop box for that table must remain attached to the gaming table.

(h) Prior to changing the type of table game offered or removing a slot machine or table game from the gaming floor, at least one security department employee and one finance department employee shall conduct an emergency drop.

§ 465a.19. Acceptance of tips or gratuities from patrons.

* * * * *

(g) Notwithstanding the requirements in subsection (c), a certificate holder that offers:

- (1) Nonbanking Poker may either:
 - (i) Establish a separate common pool for tips and gratuities received by its Poker dealers.
 - (ii) Permit a Poker dealer to retain his own tips and gratuities, in which case the tips and gratuities received by a Poker dealer shall be deposited, in accordance with procedures in subsection (d), in a transparent locked box assigned to the particular dealer. The box shall be moved from table to table with the dealer.

(2) Gaming on electronic wagering terminals may allow players to tip from the electronic wagering terminal provided that the certificate holder specifies how the tips placed electronically will be recorded, pooled and distributed in accordance with this section.

(h) When a slot machine licensee elects to use the option in subsection (g)(1)(ii), at the end of the Poker dealer's shift, the dealer shall take the transparent locked box assigned to the dealer to a cage cashier. The cage cashier shall open the container and count the tips and gratuities in the presence of the Poker dealer and record the total amount of the tips and gratuities received by the dealer and either:

- (1) Return the tips and gratuities to the dealer.
- (2) Retain all or a portion of the tips and gratuities for inclusion in the dealer's paycheck.
 - (i) A certificate holder shall specify how dealer tips and gratuities will be reported to the Internal Revenue Service.

§ 465a.25. Counting and recording of slot cash storage boxes and table game drop boxes.

(a) Prior to commencing gaming operations, a slot machine licensee shall establish a comprehensive system of internal controls addressing the opening, counting and recording of the contents of slot cash storage boxes and table game drop boxes. The internal controls shall be submitted to and approved by the Board under § 465a.2 (relating to internal control systems and audit protocols) and must include:

* * * * *

(3) The procedures for conducting each required count, which must include, at a minimum, the following:

* * * * *

(ix) A gaming voucher or coupon deposited in a slot cash storage box or table game drop box from a fully automated electronic gaming table or an electronic wagering terminal shall be counted and included in the calculation of revenue without regard to the validity of the gaming voucher or coupon.

(4) Procedures for scheduled breaks to be taken by the count team members during the count. This submission must also address the use of restroom facilities that are located in the count room.

(5) Procedures governing the proper wearing and immediate inspection of jumpsuits worn by the count team members to ensure that items are not taken from the count room without proper authority and that the jumpsuits have not been altered in any way. The count team is prohibited from removing the jumpsuits from the licensed facility.

(b) A slot machine licensee shall file with the Bureau of Casino Compliance, in accordance with § 601a.10(g) (relating to approval of table game layouts, signage and equipment), a schedule setting forth the times during which the contents of slot cash storage boxes, table game drop boxes from table games that are not fully automated electronic gaming tables or electronic wagering terminals and table game drop boxes from table games that are fully automated electronic gaming tables or electronic wagering terminals are to be counted and recorded. The slot machine licensee shall file notice with the Bureau of Casino Compliance and obtain verbal approval from the casino compliance supervisor at the licensed facility prior to deviating from the count schedule.

(c) Immediately prior to the commencement of the count, a count room employee shall notify the surveillance department that the count is about to begin so that surveillance can record the entire count process as required under § 465a.9(e)(8) (relating to surveillance system; surveillance department control; surveillance department restrictions).

(d) Except as otherwise provided in this section, access to the count room during the counting process shall be limited to the count team and those individuals whose presence is necessary to complete the count. The count team shall consist of at least three employees. Employees in the count room who are conducting the count may not, during the counting process, enter a storage area for slot

cash storage boxes, table game drop boxes or other items that are part of the count room to perform any function that is not directly related to the counting process.

(e) The opening, counting and recording of the contents of table game drop boxes from table games that are not fully automated electronic gaming tables or electronic wagering terminals may not commence until a casino compliance representative is present in the count room. If the casino compliance representative has to leave the count room during the table game count, the count shall be suspended and all personnel in the count room shall vacate the count room until a casino compliance representative is available to observe the resumption of the count.

* * * * *

(m) All table game drop boxes from table games that are not fully automated electronic gaming tables or electronic wagering terminals shall be counted and recorded at least once each gaming day. The slot machine licensee shall designate which slot cash storage boxes and table game drop boxes from fully automated electronic gaming tables and electronic wagering terminals will be counted and recorded each gaming day. The following shall be counted and recorded separately:

(1) Slot cash storage boxes and table game drop boxes from fully automated electronic gaming tables and electronic wagering terminals.

(2) Table game drop boxes from banking games that are not fully automated electronic gaming tables or electronic wagering terminals.

(3) Table game drop boxes from nonbanking games.

(n) After the contents of slot cash storage boxes and table game drop boxes from fully automated electronic gaming tables and electronic wagering terminals have been removed and counted, a count team member shall present the currency to a main bank cashier or cage supervisor in the count room who shall recount, either manually or mechanically, the currency prior to having access to the information recorded by the count team.

(o) Table game drop boxes from table games that are not fully automated electronic gaming tables or electronic wagering terminals shall be counted and recorded as follows:

(1) As the contents of each table game drop box are counted, a count team member shall manually record the results of the count on the Daily Banking Table Game Count Report and the Daily Nonbanking Table Game Count Report or a computer system. The Daily Banking Table Game Count Report and the Daily Nonbanking Table Game Count Report must be a three-part form consisting of an original and two duplicates. The distribution of the Daily Banking Table Game Count Report and the Daily Nonbanking Table Game Count Report shall be as follows:

(i) The original shall be delivered to revenue audit by the count room supervisor immediately after leaving the count room at the conclusion of the count.

(ii) The second copy shall be retained by the casino compliance representative observing the count.

(iii) The third copy shall be retained by the cage supervisor or main bank cashier.

(2) After the contents of each table game drop box from a banking table game are counted, a member of the count team shall record, manually on the Daily Banking Table

Game Count Report or electronically on a computer system, the following information for each banking table game drop box:

(i) The value of each denomination of currency counted.

(ii) The total value of all denominations of currency counted.

(iii) The gaming date of the items being recorded, the total number of banking table game drop boxes opened and counted and the date that the Daily Banking Table Game Count Report is being prepared or generated.

(3) After the contents of each table game drop box from a nonbanking table game are counted, a member of the count team shall record, manually on the Daily Nonbanking Table Game Count Report or electronically on a computer system, the following information for each nonbanking table game drop box:

(i) The value of Poker rake chips counted.

(ii) The value of value chips counted.

(iii) The total value of Poker rake chips and value chips counted.

(iv) The gaming date of the items being recorded, the total number of nonbanking table game drop boxes opened and counted and the date that the Daily Nonbanking Table Game Count Report is being prepared or generated.

(4) After preparation of the Daily Banking Table Game Count Report and the Daily Nonbanking Table Game Count Report or the electronic equivalents prepared on a computer system, the count team members and the count room supervisor shall sign the reports attesting to the accuracy of information recorded thereon. The count room supervisor shall verify that all of the table game drop boxes from table games that are not fully automated electronic gaming tables or electronic wagering terminals that were collected and opened by count team members have been recorded on the reports.

(5) Once all currency has been counted and the final count totals have been obtained, employees may not be permitted to leave the count room, except in an emergency, until the recount and presentation procedures in paragraph (6) have been completed.

(6) After the contents of all table game drop boxes from table games that are not fully automated electronic gaming tables or electronic wagering terminals have been removed and counted, all cash, value chips and Poker rake chips shall be presented in the count room by a count team member to a main bank cashier or cage supervisor who, prior to having access to the information recorded on the Daily Banking Table Game Count Report and the Daily Nonbanking Table Game Count Report or electronic equivalents and in the presence of the count team members and the casino compliance representative, shall recount, either manually or mechanically, the currency, value chips and Poker rake chips presented in accordance with the following requirements:

* * * * *

§ 465a.26. Jackpot and credit meter payouts.

(a) Prior to commencing gaming operations, a slot machine licensee shall establish a comprehensive system of internal controls addressing jackpot and credit meter payouts that are not paid directly from a slot machine, electronic wagering terminal or fully automated electronic gaming table. The internal controls shall be submitted to

and approved by the Board under § 465a.2 (relating to internal control systems and audit protocols).

(b) The internal control procedures must, at a minimum, include:

(1) The use of a two-part electronically generated jackpot/credit meter payout slip created by a slot attendant or slot supervisor or higher slot operations department employee, verifying the winning wager or winning combination of characters or a code corresponding to the winning combination of characters on the slot machine, electronic wagering terminal or fully automated electronic gaming table and the amount of the jackpot or credit meter payout based on the observed winning wager or winning combinations.

(2) A requirement that if the jackpot or credit meter payout on a slot machine is equal to or between \$1,200 and \$9,999.99, a security department member or a slot operations department member other than the preparer shall sign the jackpot/credit meter payout slip verifying the winning combination of characters or a code corresponding to the winning combination of characters on the slot machine, the amount of the jackpot or credit meter payout and the payment of the jackpot or credit meter payout to the patron. Notwithstanding the forgoing, if the licensee's slot or casino management system can independently verify a jackpot or credit meter payout, only the preparer is required to sign the jackpot/credit meter payout slip for payouts less than or equal to \$4,999.99 provided that the slot machine licensee's internal control reflect the following:

(i) If the slot machine licensee's slot or casino management system or the central control computer system are not fully operational, or when overrides or adjustments are required, two individuals shall verify a jackpot or credit meter payout that is equal to or between \$1,200 and \$9,999.99 as specified in this paragraph.

(ii) Jackpot payouts that are equal to or greater than \$1,200 shall be accompanied by the issuance of a W-2G Form.

(3) A requirement that if the jackpot or credit meter payout is equal to or between \$10,000 and \$24,999.99 on a slot machine, or between \$5,000 and \$24,999.99 on a fully automated electronic gaming table or electronic wagering terminal, a security department member, a slot supervisor or other employee holding the same or greater level of authority than a slot supervisor shall sign the jackpot/credit meter payout slip verifying the winning wager or winning combination of characters or a code corresponding to the winning combination of characters on the slot machine, electronic wagering terminal or fully automated electronic gaming table, the amount of the jackpot or credit meter payout, and the payment of the jackpot or credit meter payout to the patron. If the two-part electronically generated jackpot/credit meter payout slip required under paragraph (1) is created by a slot supervisor or higher slot operations department employee, the verification required by this paragraph may be completed by a slot attendant, security department member, a slot supervisor or other employee holding the same or greater level of authority as a slot supervisor.

(4) A requirement that if the jackpot or credit meter payout on a slot machine, electronic wagering terminal or fully automated electronic gaming table is \$25,000 or more, a slot supervisor or other employee holding the same or greater level of authority as a slot supervisor shall sign the jackpot/credit meter payout slip verifying the winning wager or winning combination of characters

or a code corresponding to the winning combination of characters on the slot machine, electronic wagering terminal or fully automated electronic gaming table, the amount of the jackpot or credit meter payout, and the payment of the jackpot or credit meter payout to the patron. If the two-part electronically generated jackpot/credit meter payout slip required under paragraph (1) is created by a slot supervisor or higher slot operations department employee, the verification required by this paragraph may be completed by a slot attendant, security department member, a slot supervisor or other employee holding the same or greater level of authority as a slot supervisor.

(5) A requirement that the following information be on all two-part electronically generated jackpot/credit meter payout slips:

(i) The date and time of the jackpot or credit meter payout.

(ii) The asset number of the slot machine, electronic wagering terminal or fully automated electronic gaming table on which the jackpot or credit meter payout was registered.

(iii) The winning wager or winning combination of characters constituting the jackpot or a code corresponding to the winning combination of characters constituting the jackpot.

(iv) The type of win (that is, progressive, jackpot or credit meter payout).

(v) The amount that is to be paid to the winning patron. This amount may, at the slot machine licensee's discretion, be rounded up to the nearest whole dollar.

(vi) A unique number generated by the slot monitoring system.

(vii) The signature or, if the slot accounting system has approved controls for access to the system, the electronic authorization of the preparer.

(viii) The signature or, if the slot accounting system has appropriate controls for access, the electronic authorization of the witness when the amount is equal to or greater than \$1,200, except as provided in paragraph (2).

(ix) The signature or identification code of the cashier providing the funds to the preparer, if applicable.

(6) A requirement that the two-part electronically generated jackpot/credit meter payout slip not be susceptible to any changes or deletion from the slot monitoring system by any personnel after preparation.

(7) A requirement that whenever a winning patron is paid directly by a slot attendant's imprest fund, a two-part manual jackpot/credit meter payout slip is completed that contains the following information:

(i) The date and time of the jackpot or credit meter payout.

(ii) The asset number of the slot machine, electronic wagering terminal or fully automated electronic gaming table on which the jackpot or credit meter payout was registered.

(iii) The winning wager or winning combination of characters constituting the jackpot or a code corresponding to the winning combination of characters constituting the jackpot.

(iv) The type of win (that is, progressive, jackpot or credit meter payout).

(v) The amount paid to the winning patron. This amount may, at the slot machine licensee's discretion, be rounded up to the nearest whole dollar.

(vi) The signature and Board-issued credential number of the preparer.

(vii) The signature and Board-issued credential number of the witness when the amount is equal to or greater than \$1,200, except as provided in paragraph (2).

(8) When jackpot or credit meter payouts are made from slot attendants' imprest funds, procedures for the replenishment of the imprest funds and the reconciliation process to be used by the slot attendants.

(9) A requirement that the slot machine licensee's accounting department perform, at the conclusion of each gaming day, effective audit procedures over the issuance of jackpot and credit meter payouts including adequate comparisons to the slot monitoring system.

(10) Detailed procedures on the processing of all system overrides or adjustments to jackpot or credit meter payouts. All jackpot or credit meter payouts that do not match the payout amount electronically sent from the slot machine, electronic wagering terminal or fully automated electronic gaming table to the slot monitoring system require an override.

(11) Detailed procedures for the processing of all voided jackpot/credit meter payout slips.

(12) Detailed procedures for the processing of unclaimed taxable jackpot payouts. The procedures must include notice to the casino compliance representatives at the licensed facility when an unclaimed taxable jackpot payout or credit meter payout occurs.

(13) Back-up procedures that will be used when the slot monitoring system is offline or an electronic jackpot payout slip cannot be created, including the use of a three-part manual jackpot or credit meter payout book or equivalent. The three-part manual jackpot payout book or equivalent must contain preprinted, serial numbered three-part manual jackpot/credit meter payout slips that include the following information:

- (i) Preprinted serial numbers.
- (ii) The date and time of the jackpot or credit meter payout.
- (iii) The asset number of the slot machine, electronic wagering terminal or fully automated electronic gaming table on which the jackpot or credit meter payout was registered.
- (iv) The amount of the jackpot or credit meter payout.
- (v) The method of payment requested by the patron.
- (vi) The signature and Board-issued credential number of the preparer.
- (vii) The signature and Board-issued credential number of the witness when the amount is equal to or greater than \$1,200.

(14) A requirement that the unused manual jackpot payout books or equivalent be maintained in a secured locked cabinet, that the key to the cabinet be controlled by the security department or the finance department and that the manual jackpot payout books or equivalent can only be signed out by a slot supervisor or other employee holding a greater level of authority when the slot monitoring system is offline.

(15) A requirement that the surveillance department be verbally notified of all jackpot or credit meter payouts

when the amount of the jackpot or credit meter payout is \$5,000 or more. The surveillance department shall log all calls regarding jackpot or credit meter payouts in the surveillance log.

§ 465a.35. Personnel assigned to the operation and conduct of table games.

(a) The following personnel shall be used to operate table games that are not fully automated electronic gaming tables or electronic wagering terminals in a licensed facility:

* * * * *

(d) If the gaming tables being supervised by a floorperson are electronic gaming tables, other than fully automated electronic gaming tables or electronic wagering terminals, the maximum number of gaming tables that the floorperson may supervise may be increased by 50%. For example, under subsection (c)(1) the floorperson would be allowed to supervise six banking gaming tables instead of four.

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§ 465a.36. Table inventories.

(a) When a table game that is not a fully automated electronic gaming table or electronic wagering terminal is opened for gaming, operations shall commence with an amount of gaming chips, coins and plaques to be known as the table inventory.

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§ 465a.37. Procedures for opening table games.

(a) When a table game that is not a fully automated electronic gaming table or electronic wagering terminal is to be opened for gaming activity, the locked container with the table inventory and the duplicate copy of the Table Inventory Slip, if not already attached to the gaming table, shall be transported directly from the cage to the gaming table by a security department employee.

* * * * *

§ 465a.38. Procedures for distributing value chips, coins and plaques to gaming tables.

(a) A request for a fill to add value chips, coins and plaques to table games that are not fully automated electronic gaming tables or electronic wagering terminals shall be prepared by a pit clerk or floorperson or above using a Fill Request Slip. Access to the blank Fill Request Slips shall be restricted to pit clerks and floorpersons or above.

* * * * *

§ 465a.39. Procedures for removing value chips, coins and plaques from gaming tables.

(a) A request for a credit to remove value chips, coins and plaques from table games that are not fully automated electronic gaming tables or electronic wagering terminals shall be prepared by a pit clerk or floorperson or above using a Credit Request Slip. Access to the blank Credit Request Slips shall be restricted to pit clerks and floorpersons or above.

* * * * *

§ 465a.40. Procedures for accepting cash for gaming chips, plaques or electronic wagering credits at table games.

When cash is presented by a patron at a table game that is not a fully automated electronic gaming table or

electronic wagering terminal for exchange for gaming chips, plaques or electronic wagering credits:

(1) The cash shall be spread on the top of the gaming table by the dealer or boxperson accepting it in full view of the patron who presented it, the floorperson assigned to the gaming table and the slot machine licensee's surveillance system.

(2) The amount of cash shall be verbalized by the dealer or boxperson accepting it in a tone of voice to be heard by the patron who presented it and the floorperson assigned to the gaming table.

(3) Immediately after an equivalent amount of gaming chips, plaques or electronic wagering credits have been given to the patron, the cash shall be taken from the top of the gaming table and placed by the dealer or boxperson into the drop box attached to the gaming table.

§ 465a.41. Procedures for drops at open table games.

(a) When a table game that is not a fully automated electronic gaming table or electronic wagering terminal is being dropped is to remain open for gaming activity, the value chips, coins and plaques remaining in the table inventory at the time of the drop shall be counted by the dealer or boxperson assigned to the gaming table and recorded on a Table Inventory Slip.

(b) The count required under subsection (a) shall be observed by the floorperson who is responsible for supervising the table game at the time of the drop.

(c) Signatures attesting to the accuracy of the information recorded on the Table Inventory Slip shall be placed on both copies of the Table Inventory Slip by the dealer or boxperson assigned to the table and the floorperson that observed the dealer or boxperson count the contents of the table inventory.

(d) After the Table Inventory Slip is signed as required under subsection (c), the original copy of the Table Inventory Slip shall be deposited in the drop box that is attached to the gaming table immediately before the drop box is removed from the gaming table as part of the drop. The duplicate copy of the Table Inventory Slip shall be deposited in the drop box that is attached to the gaming table immediately following the removal of the drop box that is removed from the gaming table as part of the drop.

§ 465a.42. Procedures for closing table games.

(a) When gaming activity at a table game that is not a fully automated electronic gaming table or electronic wagering terminal is concluded, the value chips, coins and plaques remaining at the gaming table shall be counted by the dealer or boxperson assigned to the gaming table in the presence of the floorperson assigned to the gaming table.

* * * * *

CHAPTER 467a. COMMENCEMENT OF SLOT AND TABLE GAME OPERATIONS

§ 467a.1. Gaming floor plan.

(a) Prior to the commencement of gaming operations, the holder of a slot machine license shall submit to the Board an initial floor plan of its gaming floor and the restricted areas servicing slot and table game operations. A licensee shall submit with its renewal application an up to date floor plan. Initial floor plans and floor plans submitted with a licensee's renewal application shall be drawn to 1/8 inch scale, unless another scale is approved by the Board, and certified by an architect licensed to practice in this Commonwealth. Revised gaming floor

plans, which are submitted in accordance with subsection (d), may be submitted electronically, unless otherwise requested by Board staff, provided there is sufficient detail when enlarged to read the information in the electronic submission. A floor plan must depict all of the following:

- (1) The gaming floor with notations as to:
 - (i) Proposed total square footage.
 - (ii) The perimeter of the gaming floor.
 - (iii) A clearly delineated route for underage individuals to access areas adjacent to the gaming floor.
 - (iv) Designated smoking and nonsmoking areas.

(2) Each slot machine area and table game pit on the gaming floor and each slot machine and table game located within each slot machine area and table game pit. Slot machine and table game locations shall be identified by number in accordance with § 463a.3 (relating to slot machine, electronic wagering terminals and fully automated electronic gaming table location on the gaming floor).

* * * * *

Subpart K. TABLE GAMES

CHAPTER 601a. GENERAL TABLE GAME PROVISIONS

§ 601a.9. Table game taxes and gross table game revenue.

(a) The tax on table game revenue shall be payable to the Department on a weekly basis and must be based upon the gross table game revenue derived during the previous week reported on forms and in the manner prescribed by the Department.

(b) Gross table game revenue includes the following:

- (1) The net revenue from all banking table games including electronic gaming tables which are not fully automated electronic gaming tables or electronic wagering terminals.
- (2) The net revenue from nonbanking table games.
- (3) The net revenue from fully automated electronic gaming tables and electronic wagering terminals.
- (4) The net revenue from contests or tournaments.

(c) Net revenue from banking table games, including electronic gaming tables which are not fully automated electronic gaming tables or electronic wagering terminals, must be the sum of the net revenue determined for each banking table game, which is not a fully automated electronic gaming table or electronic wagering terminals, individually. The net revenue for an individual banking table game which is not a fully automated electronic gaming table or electronic wagering terminals must be equal to the total of paragraphs (1) through (3) minus the total of paragraphs (4) and (5):

* * * * *

(e) Net revenue from fully automated electronic gaming tables and electronic wagering terminals shall be determined through the Department's central control computer system. Fully automated electronic gaming tables shall be taxed in accordance with section 13A62(a)(2) of the act (relating to table game taxes) and electronic wagering terminals operated by a dealer shall be taxed in accordance with section 13A62(a)(1) of the act.

* * * * *

CHAPTER 605a. ELECTRONIC GAMING TABLES

§ 605a.1. Definitions.

The following words and terms, when used in this chapter, have the following meanings, unless the context clearly indicates otherwise:

Electronic wagering system—A computer or server and any related hardware, software or other device that permits wagering to be conducted at a gaming table or an electronic wagering terminal.

Electronic wagering terminal—A mechanical, electrical or computerized device utilizing an electronic wagering system which, upon insertion of cash or cash equivalents or the placement of a wager, is available for operation by a player wagering at a hybrid gaming table.

Game account—The funds that are available to a player for use at an electronic gaming table or electronic wagering terminal.

Hybrid gaming table—A banked table game operable with the assistance of a dealer that is connected to one or more electronic wagering terminals.

Local area progressive controller—The hardware and software used to configure, maintain and operate a shared link between progressive table games within a licensed facility.

§ 605a.2. Electronic wagering systems.

(a) A certificate holder may conduct electronic wagering at a gaming table or electronic wagering terminal in accordance with this chapter. Electronic wagering at a gaming table shall be conducted through the use of an electronic wagering system. If an electronic wagering system is in use, wagers placed at that gaming table or at each player's electronic wagering terminal, or only those wagers that are specified in the rules of the game, shall be made using the electronic wagering system at the table or at each player's electronic wagering terminal.

(b) An electronic wagering system must be a dedicated computer system. The computer or server controlling the system shall be under dual key control, with one key controlled by the finance department and the other key controlled by the table games department or the slot operations department if the computer or server is controlling a fully automated electronic gaming table or an electronic wagering terminal.

(c) All aspects of an electronic wagering system or electronic wagering terminal, including the computer or server and any related hardware, software or related devices shall be tested by the Bureau of Gaming Laboratory Operations and approved in accordance with § 461a.4 (relating to submission for testing and approval) prior to use at any licensed facility in this Commonwealth.

(d) An electronic wagering system must:

(1) Credit funds to the game account of a player when a player buys in to a game at a particular gaming table or on an electronic wagering terminal and debit any remaining funds from the game account when a player cashes out of the game.

(2) Permit a player to wager from a game account, collect losing wagers from the game account and pay winning wagers by crediting the amount of the winnings and corresponding wager to the game account.

(3) In the game of Poker:

(i) Debit game accounts and increment pots for wagers placed, and distribute winning pots by crediting the game accounts of the winning players in the appropriate amounts.

(ii) Extract the rake from players or pots according to the rake procedures established in accordance with § 637a.17 (relating to Poker revenue) and debit the game accounts of players in the appropriate amounts.

(iii) Make each player's balance or table stakes visible to all players in the game.

(4) Depict the transactions described in paragraphs (1)—(3) through one or more electronic fund displays that are visible to each player and the dealer or boxperson.

(5) Disclose to each player at all times the current balance in the player's game account.

(6) Accurately report and audit the table game or electronic wagering terminal win or loss or Poker revenue in accordance with § 637a.17.

(7) Be capable of generating reports setting forth, by gaming day, for each gaming table or electronic wagering terminal using the electronic wagering system:

(i) The total amount deposited into game accounts by all players.

(ii) The total amount credited to the game accounts of all players in payment of winnings.

(iii) The total amount collected from all players as losing wagers.

(iv) For Poker, if applicable:

(A) The total amount collected from the accounts of all players for collection of Poker rake time charges in accordance with § 637a.17.

(B) The total amount collected from Poker pots for collection of Poker rake in accordance with § 637a.17.

(v) The total amount withdrawn from game accounts by all players.

(vi) The table game or electronic wagering terminal gross table game revenue.

(e) After installation, electronic wagering systems, hybrid gaming tables and electronic wagering terminals shall be inspected by the Bureau of Gaming Laboratory Operations in accordance with § 461a.4 prior to use at any licensed facility in this Commonwealth.

§ 605a.3. Procedures for buying in to and cashing out of a table game using an electronic wagering system.

(a) A player shall buy in to a table game using an electronic wagering system as follows:

(1) If the gaming table or electronic wagering terminal is equipped with a bill validator, a player shall buy in to the game by either:

(i) Inserting currency or, if the table game is a fully automated electronic gaming table or an electronic wagering terminal, a gaming voucher, into the bill validator. The electronic wagering system must credit an equivalent amount of funds into the game account of the player, which must be displayed on the electronic fund display.

(ii) Presenting currency or value chips to the dealer or boxperson if the table game is not a fully automated electronic gaming table or an electronic wagering system.

(2) If the gaming table is not equipped with a bill validator, a player shall buy in to the game by presenting currency or value chips to the dealer or boxperson.

(3) When a player presents currency or value chips to a dealer or boxperson, the dealer or boxperson shall credit an equivalent amount of funds to the game account of the player, which shall be registered on the electronic fund display and acknowledged by the player.

(b) A player shall cash out of a table game using an electronic wagering system as follows:

(1) If the gaming table is a fully automated electronic gaming table or an electronic wagering terminal, by receiving a gaming voucher equal in value to the balance in the game account of the player.

(2) If the gaming table is not a fully automated electronic gaming table or an electronic wagering terminal, by receiving value chips from the dealer or boxperson from the table inventory container equal in value to the balance in the game account of the player.

(3) If the gaming table is not a fully automated electronic gaming table or an electronic wagering terminal, after cashing out the player, the dealer or boxperson shall zero out the amount on the electronic fund display of the player.

§ 605a.4. Electronic gaming tables.

(a) An electronic gaming table must comply with the requirements in § 605a.2 (relating to electronic wagering systems).

(b) An electronic gaming table system must contain a dedicated computer system. The computer or server controlling the system shall be under dual key control, with one key controlled by the finance department and the other key controlled by the table games department or the slot operations department if the computer or server is controlling a fully automated electronic gaming table or electronic wagering terminal. All aspects of an electronic gaming table system, including the computer or server and related hardware, software or related devices shall be tested by the Bureau of Gaming Laboratory Operations and approved in accordance with § 461a.4 (relating to submission for testing and approval), for compliance with the requirements of this section, prior to use at any licensed facility in this Commonwealth.

(c) An electronic gaming table must have the capacity to allow the Bureau of Gaming Laboratory Operations to verify all relevant control software for authenticity.

(d) A certificate holder using an electronic gaming table system shall include in its internal controls, at a minimum:

(1) Procedures to ensure the physical security of the computer or server and related hardware, software and other devices.

(2) Procedures to ensure the integrity and security of all sensitive data and software.

(3) Procedures to ensure that access to sensitive data and software is limited to appropriate personnel only.

(4) Procedures to ensure the logging of the events and the availability of records to permit an effective audit of the conduct of the system and the reporting of revenue.

(e) An electronic gaming table must have the ability to authenticate the transmission of data between the various components of the electronic gaming table system.

(f) An electronic gaming table that is not a fully automated electronic gaming table or an electronic wagering terminal must be equipped with the following meters, when applicable:

(1) *Coin in*. A meter that accumulates the total value of all wagers.

(2) *Coin out*. A meter that accumulates the total value of all amounts directly paid by the electronic gaming table as a result of winning wagers. This meter may not record amounts awarded as the result of a progressive payout.

(3) *Attendant paid jackpots*. A meter that accumulates the total value of credits paid by an attendant resulting from a single winning outcome, the amount of which is not capable of being paid by the electronic gaming table. This meter may not record amounts awarded as the result of a progressive payout.

(4) *Attendant paid cancelled credits*. A meter that accumulates the total value of all amounts paid by an attendant resulting from a player initiated cash-out that exceeds the physical or configured capability of the electronic gaming table.

(5) *Bill in*. A meter that accumulates the total value of currency accepted. The electronic gaming table must also have a specific meter for each denomination.

(6) *Electronic gaming table paid progressive payout*. For electronic gaming tables offering a progressive payout, a meter that accumulates the total value of credits paid as a result of progressive awards paid directly by the electronic gaming table.

(7) *Attendant paid progressive payout*. For electronic gaming tables offering a progressive payout, a meter that accumulates the total value of credits paid by an attendant as a result of progressive awards that are not capable of being paid by the electronic gaming table.

(8) *Additional meters*. Other meters required by technical standards adopted by the Board as published in the *Pennsylvania Bulletin* and posted on the Board's web site.

§ 605a.5. Fully automated electronic gaming tables and electronic wagering terminals.

(a) Fully automated electronic gaming tables and electronic wagering terminals must comply with the comprehensive protocol specifications required under section 1324 of the act (relating to protocol information) that are necessary to enable the fully automated electronic gaming table or electronic wagering terminal to communicate with the Department's central control computer system, for the purpose of transmitting auditing program information, real time information retrieval and game activation and disabling.

(b) A fully automated electronic gaming table must have installed software or hardware that distinguishes the fully automated electronic gaming table from a slot machine as defined by the act.

(c) A fully automated electronic gaming table and an electronic wagering terminal must have the capability to accept currency or gaming vouchers and to issue a gaming voucher to a player for any winnings.

(d) A fully automated electronic gaming table and an electronic wagering terminal must be equipped with the following meters, where applicable:

(1) *Coin in.* A meter that accumulates the total value of all wagers.

(2) *Coin out.* A meter that accumulates the total value of all amounts directly paid by the fully automated electronic gaming table or electronic wagering terminal as a result of winning wagers. This meter may not record amounts awarded as the result of a progressive payout.

(3) *Attendant paid jackpots.* A meter that accumulates the total value of credits paid by an attendant resulting from a single winning outcome, the amount of which is not capable of being paid by the fully automated electronic gaming table or electronic gaming terminal. This meter may not record amounts awarded as the result of a progressive payout.

(4) *Attendant paid cancelled credits.* A meter that accumulates the total value of all amounts paid by an attendant resulting from a player initiated cash-out that exceeds the physical or configured capability of the fully automated electronic gaming table or electronic wagering terminal.

(5) *Bill in.* A meter that accumulates the total value of currency accepted. The fully automated electronic gaming table or electronic wagering terminal must also have a specific meter for each denomination.

(6) *Voucher in—cashable/value.* A meter that accumulates the total value of cashable gaming vouchers accepted by the fully automated electronic gaming table or electronic wagering terminal.

(7) *Voucher in—cashable/count.* A meter that accumulates the total number of cashable gaming vouchers accepted by the fully automated electronic gaming table or electronic wagering terminal.

(8) *Voucher out—cashable/value.* A meter that accumulates the total value of cashable gaming vouchers issued by the fully automated electronic gaming table or electronic wagering terminal.

(9) *Voucher out—cashable/count.* A meter that accumulates the total number of cashable gaming vouchers accepted by the fully automated electronic gaming table or electronic wagering terminal.

(10) *Fully automated electronic gaming table paid progressive payout or electronic wagering terminal paid progressive payout.* For fully automated electronic gaming tables or electronic wagering terminals offering a progressive payout, a meter that accumulates the total value of credits paid as a result of progressive awards paid directly by the fully automated electronic gaming table or electronic wagering terminal.

(11) *Attendant paid progressive payout.* For fully automated electronic gaming tables or electronic wagering terminal offering a progressive payout, a meter that accumulates the total value of credits paid by an attendant as a result of progressive awards that are not capable of being paid by the fully automated electronic gaming table or electronic wagering terminal.

(12) *Additional meters.* Other meters required by technical standards adopted by the Board as published in the *Pennsylvania Bulletin* and posted on the Board's web site.

§ 605a.7. Progressive table games.

(a) The requirements in this section apply to progressives offered on a fully automated electronic gaming table, electronic gaming tables, hybrid gaming tables and live table games. Each progressive on a fully automated electronic gaming table, electronic gaming table, hybrid gaming table or live table game must have:

(1) A progressive meter visible from the front of the gaming table, which must increase in value based upon wagers, that advises the players of the amount which can be won if the player receives the corresponding outcome.

(2) A meter that accumulates the total value of credits paid as a result of progressive awards paid directly by a fully automated electronic gaming table, electronic wagering terminal or electronic gaming table.

(3) A meter that accumulates the total value of credits paid as a result of progressive awards paid directly by an attendant as a result of progressive awards that are not capable of being paid by a fully automated electronic gaming table, electronic wagering terminal or electronic gaming table.

* * * * *

§ 605a.9. Hybrid gaming tables.

(a) In addition to the requirement in this chapter, hybrid gaming tables and electronic wagering terminals shall comply with the requirements in Chapter 461a (relating to slot machine and table game device testing and control).

(b) Regardless of the number of hybrid gaming tables, every five electronic wagering terminals count as one gaming table for purposes of the number of gaming tables authorized under section 13A11(b) of the act (relating to authorization to conduct table games). Electronic wagering terminals in excess of multiples of five count as an additional gaming table. For example, 26 electronic wagering terminals that are connected to 1 hybrid gaming table count as 6 gaming tables for purposes of the overall table game count.

(c) Wagering on a hybrid gaming table shall be conducted on an electronic wagering terminal. Each electronic wagering terminal must display the live table game play conducted on a hybrid gaming table.

CHAPTER 607a. POSSESSION OF TABLE GAMES AND TABLE GAME DEVICES

§ 607a.2. Table game device master list.

(a) Prior to commencement of table game operations at a licensed facility, a table games certificate holder shall file with the Bureau of Gaming Laboratory Operations and the Bureau of Casino Compliance, in an electronic format approved by the Bureau of Gaming Laboratory Operations, a Gaming Floor Table Game Device Master List and a Restricted Area/Off Premises Table Game Device Master List for the following table game devices:

(1) Electronic gaming tables as described in § 605a.4 (relating to electronic gaming tables).

(2) Progressive table game systems as described in § 605a.7 (relating to progressive table games).

(3) Automated card shuffling devices as described in § 603a.17 (relating to dealing shoes; automated card shuffling devices).

(4) Electronic dealing shoes as described in § 603a.17.

(5) Electronic wagering systems as described in § 605a.2 (relating to electronic wagering systems). For purposes of this section, electronic wagering systems do not include those associated with fully automated electronic gaming tables or electronic wagering terminals which are otherwise included on the Slot Machine, Electronic Wagering Terminal and Fully Automated Electronic Gaming Table Master List required under § 463a.5 (relating to slot machine, electronic wagering terminal and fully automated electronic gaming table master lists).

(6) Hybrid gaming tables as described in § 605a.9 (relating to hybrid gaming tables).

(7) Any other mechanical, electrical or computerized contrivance, terminal or machine required to be submitted to the Bureau of Gaming Laboratory Operators for testing and approval in accordance with Chapter 461a (relating to slot machine and table game device testing and control) that is not otherwise on the slot machine, electronic wagering terminal and fully automated electronic gaming table master list required under § 463a.5.

(b) The Gaming Floor Table Game Device Master List and the Restricted Area/Off Premises Table Game Device Master List must contain the following information:

- (1) The date the list was prepared.
- (2) A description of each table game device listed in subsection (a) which includes:
 - (i) The pit number or location on the gaming floor, restricted area of the licensed facility or other approved storage location.
 - (ii) The asset number or table game type, or both, that the device is connected to.
 - (iii) The manufacturer.
 - (iv) The manufacturer's serial number.

(v) The software/program identification.

(vi) If the device is a progressive:

(A) The name of the progressive controller type.

(B) The name and version of the progressive software.

(3) Identify if the fully automated, electronic or live gaming table on the gaming floor utilizes a progressive table game system in accordance with § 605a.7 to offer a progressive jackpot and, if so, identify all other tables that are linked to the same progressive jackpot.

(c) In conjunction with the Gaming Floor and Restricted Area/Off Premises Slot Machine and Fully Automated Electronic Gaming Table Master List filed in accordance with § 463a.5(g), on the first Tuesday of each month, a certificate holder shall file with the Bureau of Gaming Laboratory Operations an updated Gaming Floor Table Game Device Master List and Restricted Area/Off Premises Table Game Device Master List containing the information required under subsection (b). The Gaming Floor Table Game Device Master List and the Restricted Area/Off Premises Table Game Device Master List shall be filed in an electronic format with the Bureau of Gaming Laboratory Operations.

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