

Subpart I. TAKEOVER OFFERORS

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CHAPTER 1001. TAKEOVER DISCLOSURES

Sec.
1001.010. Takeover offeror report regarding participating broker-dealers.

§ 1001.010. Takeover offeror report regarding participating broker-dealers.

The Department has determined that, to carry out the purposes of the Takeover Disclosure Law (law) (70 P.S. §§ 71—85), it is necessary to require the offeror to file, as an exhibit to the registration statement filed under section 4 of the law (70 P.S. § 74), Department Form TDL-1 in accordance with the General Instructions thereto.

Authority

The provisions of this § 1001.010 amended under sections 203(d), (o) and (p), 205, 206, 301, 303, 504, 603(a) and 609 of the Pennsylvania Securities Act of 1972 (70 P.S. §§ 1-203(d), (o) and (p), 1-205, 1-206, 1-301, 1-303, 1-504, 1-603(a) and 1-609); sections 4 and 9(b) of the Takeover Disclosure Law (70 P.S. §§ 74 and 79(b)); and section 202.C of the Department of Banking and Securities Code (71 P.S. § 733-202.C).

Source

The provisions of this § 1001.010 amended December 8, 2006, effective December 9, 2006, 36 Pa.B. 7456; transferred and renumbered from 64 Pa. Code § 1001.010, December 14, 2012, effective December 15, 2012, 42 Pa.B. 7533; amended January 12, 2018, effective January 13, 2018, 48 Pa.B. 389. Immediately preceding text appears at serial page (364863).

