

CHAPTER 3150. GRANTS TO COUNTIES FOR NEW SOCIAL SERVICES FOR CHILDREN AND YOUTH

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Authority

The provisions of this Chapter 3150 issued under Article VII of the Public Welfare Code (62 P. S. §§ 701—709, 721—725, 731—735, 741—753, 761—765 and 771—774); and the Juvenile Act, 42 Pa.C.S. §§ 6301—6365, unless otherwise indicated.

Source

The provisions of this Chapter 3150 adopted December 30, 1977, effective January 1, 1978, 7 Pa.B. 4037, unless otherwise indicated.

GENERAL PROVISIONS

§ 3150.1. Legal base.

The legal authority for this chapter is:

- (1) Article VII of the Public Welfare Code (62 P. S. §§ 701—709, 721—725, 731—735, 741—753, 761—765 and 771—774).
- (2) The Juvenile Act, 42 Pa.C.S. §§ 6301—6365 (relating to juvenile matters).

§ 3150.2. Definitions.

The following words and terms, when used in this chapter, have the following meanings, unless the context clearly indicates otherwise:

Department—The Department of Human Services of the Commonwealth.

New service—A social service not yet in existence in the county. The term does not include the expansion of an existing service.

§ 3150.3. Applicability.

(a) This chapter governs the provision of grants by the Department to aid counties in the development of new social services for children and youth. There are two types of grants for new services:

(1) *Additional grants.* Additional grants will be awarded within the limits of the annual appropriations, to assist counties in establishing new social services in accordance with a plan approved by the Department.

(2) *Block grants.* Block grants will be awarded only at the end of the 1978 and 1979 calendar years to those counties which qualify according to statutory criteria. Block grants will only be used to assist in establishing new services.

(b) The Department and the county are jointly responsible to assure the availability of social services to children and youth who need them regardless of race, sex, religion, settlement, residence, economic or social status.

(c) The Department will be responsible for reviewing and approving grant awards. The county will be responsible for developing and submitting to the Department for approval an application for a grant award if it wishes to receive the funds. Counties are eligible to apply for an additional grant. Counties eligible to receive block grants will be determined by a strict formula as set forth in §§ 3150.21 and 3150.22 (relating to block grants).

ADDITIONAL GRANTS

§ 3150.11. Departmental responsibility.

(a) The Department will select grant recipients from the applications submitted. The primary criteria used by the Department in making grant award selections will be:

(1) The relative need for new social services as identified by the public agency or the court.

(2) The feasibility and merit of the proposal.

(3) The extent to which legislative objectives and the Department's priorities are met.

(4) The amount of State funds available.

(b) The grant award must fund up to 100% of the allowable costs of the proposed new services. The allowable costs are defined in Chapter 3170 (relating to allowable costs and procedures for county children and youth). State funding will be provided in conjunction with Federal, county, local or private funding where these are available. In determining the level of nonState participation, counties will be treated in an equitable fashion.

(c) Grants will be funded on an annual basis but may be extended for up to 2 additional years. No new services will be funded under the provisions of this chapter for longer than the first 3 years of operation.

(d) Upon approval, the Department will notify the county as to the amount of the grant award and the percentage of State financial participation in the total proposal. Full payment of the grant award will be made at that time.

(e) Overpayments reported under § 3150.12(c) (relating to county responsibility) will be adjusted against the next year's reimbursement for services to children and youth, provided in accordance with an approved plan for an additional grant or with an approved annual services plan and budget estimate.

(f) The Department will monitor the use of grant funds through periodic onsite regional office review.

§ 3150.12. County responsibility.

(a) *Generally.* The county will be responsible for the application, reporting, and auditing procedures required by this chapter.

(b) *Grant application.* The proposed service must be one not previously provided by the county as part of its regular program of children and youth services except the development of regional detention centers.

(1) The proposed services must supplement an approved annual services plan and budget estimate.

(2) The county shall submit an application on forms provided by the Department by November 15 of the year preceding the year that the grant is to be effective. The application must be submitted at the same time as, and attached to, the annual services plan and budget estimate submitted by the county under Chapter 3140 (relating to planning and financial reimbursement requirements for county children and youth social service programs).

(3) The application must include the following information:

(i) Name or description of the service to be provided. The services which will be eligible are those within the scope of Chapter 3130 (relating to administration of county children and youth social service programs).

(ii) A written narrative which includes a needs statement, goal, specific objectives, and plan of action for service provisions for the service to be provided.

(iii) A budget estimate using the appropriate cost centers and major objects of the account structure for children and youth services.

(iv) An estimate of the sources of revenue—State, Federal, county, local, client—to be used to fund this proposal.

(c) *Reporting.* Expenditures under the grant shall be reported quarterly by appropriate cost center and intermediate object on the quarterly report of expenditures for children and youth services.

(1) At the end of the calendar year, the county which received a grant shall submit a report on the use of the funding. The report shall include the following information:

- (i) Name or description of the service supplied.
- (ii) A written narrative which includes a statement of how the needs, goals, and specific objectives itemized in the grant application were met and how the plan of action for service provisions was implemented.
- (iii) Service measures which demonstrate in specific terms how the stated goal was attained. These must be presented in the same format which was used for the grant application.
- (iv) A report of expenditures using the appropriate cost centers and major objects of the account structure for children and youth services.
- (v) A report of the sources of revenue, including State, Federal, county, local, and client, which funded the program.

(d) *Auditing.* The county shall maintain its record of expenditures under the grant until audited by the Auditor General for no less than 5 years, whichever is later, unless the county is requested to retain particular records, accounts, or supporting documents for a longer period. Within 90 days after the end of the grant period, the county shall obtain an independent audit of its record of expenditures for that year and submit 5 copies of the audit report to the appropriate regional office of the Department. The audit must be conducted by a certified public accountant in accordance with the format established by the Department.

Cross References

This section cited in 55 Pa. Code § 3150.11 (relating to Departmental responsibility); and 55 Pa. Code § 3150.22 (relating to county responsibility).

BLOCK GRANTS

§ 3150.21. Departmental responsibility.

(a) The Department will determine at the end of each of calendar years 1978 and 1979, whether a county is entitled to a block grant. The amount of its entitlement will be determined through the following formula:

- (1) The amount of the county's reimbursement for services to children and youth will be compared to the amount received by that county for child welfare services and reimbursements made under 42 Pa.C.S. §§ 6301—6365 (relating to juvenile matters) in calendar year 1976.
- (2) If the amount of the county's reimbursement is greater than the amount received in 1976, this increased amount received will be compared with the amount expended by the county for youth development center and youth forestry camp commitments during those years.
- (3) If the amount expended for youth development centers and youth forestry camps by the county exceeds the increase in reimbursements received by

the county, the county will be eligible for a block grant for new services for the amount of that difference.

(b) The Department will make full payment of the block grants based on 1978 expenditure comparisons following the Auditor General's audit of expenditures for children and youth services for that year. Grants for 1979 must follow the same procedure.

Cross References

This section cited in 55 Pa. Code § 3150.3 (relating to applicability).

§ 3150.22. County responsibility.

(a) Eligible counties wishing to receive a block grant must submit an application under the procedures described in § 3150.12(b)(3) (relating to county responsibility). This application should be submitted at the end of the calendar year.

(b) The county shall comply with reporting and auditing requirements as detailed in § 3150.12(b) and (c).

Cross References

This section cited in 55 Pa. Code § 3150.3 (relating to applicability).

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