

CHAPTER 1104a. PRINCIPALS

Sec.

1104a.1. Principal licenses.

1104a.2. Conditional procurement agent principal licenses.

Authority

The provisions of this Chapter 1104a added under 4 Pa.C.S. §§ 3301(a) and (b)(30) and 3302, unless otherwise noted.

Source

This Chapter 1104a added August 20, 2021, effective August 21, 2021, 51 Pa.B. 5207, unless otherwise noted.

Cross References

This chapter cited in 58 Pa. Code § 1102a.1 (relating to terminal operator licenses); and 58 Pa. Code § 1102a.4 (relating to terminal operator licensee change of control).

§ 1104a.1. Principal licenses.

(a) A principal as defined in this subpart shall apply for licensure as a principal in accordance with § 433a.8 (relating to principal applications).

(b) In addition to information required under § 433a.8, an individual required to be licensed as a principal, unless otherwise directed by the Board, shall file all of the following:

(1) Verification of status as a principal from a terminal operator licensee, a manufacturer licensee or supplier licensee.

(2) A description of responsibilities as a principal.

(3) Details relating to a similar license, permit or other authorization obtained in another jurisdiction.

(4) The consent to a background investigation by the Bureau of the principal applicant and a release to obtain the information necessary for the completion of the background investigation.

(5) Other information required by the Board.

(c) Following review of the application and background investigation, the Board may issue a principal license if the applicant has proven by clear and convincing evidence that the applicant is a person of good character, honesty and integrity, and is eligible and suitable to be licensed as a principal.

(d) A principal license is not transferable.

(e) A temporary credential, which may be valid up to 270 days, may be issued by the Board to a principal applicant if the Board determines additional time is needed to complete an investigation for licensure.

Cross References

This section cited in 58 Pa. Code § 1106a.1 (relating to supplier licenses).

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§ 1104a.2. Conditional procurement agent principal licenses.

(a) Upon accepting a procurement agent's principal application for filing, the Board will issue a conditional procurement agent principal license if requested by the applicant and the applicant has satisfied, as determined by the Board, all of the following:

- (1) The applicant has submitted a completed application for a principal license.
- (2) The applicant has never had a similar gaming license denied or revoked in another jurisdiction.
- (3) The applicant has never been convicted of a felony in any jurisdiction.
- (4) The applicant has never been convicted of a gambling law violation in any jurisdiction.
- (5) The applicant is current on all State taxes.
- (6) The applicant attests by affidavit under penalty of perjury that the applicant is not otherwise prohibited from licensure under 4 Pa.C.S. Part III (relating to video gaming).

(b) The Board will issue a conditional procurement agent principal license within 60 days after the completed application has been received by the Board, and the Board has determined that the criteria in subsection (a) have been satisfied.

(c) If the Board determines that the criteria in subsection (a) have not been satisfied, the Board will give the applicant written notice and explanation of that determination.

(d) A conditional license issued under this section will be valid until:

- (1) The Board approves or denies the application for a procurement agent's principal license.
- (2) The conditional license is terminated for a violation of the act or this part.
- (3) One calendar year has passed since the conditional license has been issued.

(e) The Board may extend the duration of a conditional license for 1 year.

(f) A request for conditional licensure must include a \$100 fee in addition to the applicable fee required under 4 Pa.C.S. § 4101 (relating to fees).

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