

**CHAPTER 1406. SPORTS WAGERING PRINCIPLES AND KEY,
GAMING AND NONGAMING EMPLOYEES—
TEMPORARY REGULATIONS**

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Source

The temporary provisions of this Chapter 1406 adopted July 27, 2018, effective July 28, 2018, expire July 28, 2020, 48 Pa.B. 4493, unless otherwise noted.

§ 1406.1. General provisions.

(a) An individual seeking a principal license, key employee license, gaming employee occupation permit or nongaming employee registration to participate in sports wagering in this Commonwealth shall apply to the Board as follows:

(1) Principal and key employee applicants shall submit an original and one copy of a completed Multi-Jurisdictional Personal History Disclosure Form as well as an original and one copy of a completed Principal/Key Employee Form—Pennsylvania Supplement to the Multi-Jurisdictional Personal History Disclosure Form.

(2) Gaming employee occupation permit applicants and nongaming employee registration applicants shall submit the Gaming Employee or Non-gaming Employee Registration Application using the SLOTS Link.

(3) All applicants shall submit the nonrefundable application fee posted on the Board's web site.

(b) In addition to the materials required in subsection (a), an applicant shall comply with the general application requirements in Chapters 421a and 423a (relating to general provisions; and applications; statement of conditions; wagering restrictions).

(c) The holder of a principal license, key employee license, gaming employee occupation permit or nongaming employee registration shall provide an updated photograph at the request of Board staff.

(d) An applicant for a gaming employee occupation permit or nongaming employee registration shall be at least 18 years of age.

(e) After reviewing the application and the results of the applicant's background investigation, the Board may issue a principal license, key employee license, gaming employee occupation permit or nongaming employee registration if the individual has proven that he is a person of good character, honesty and

integrity and is eligible and suitable to be licensed as a principal, key employee, gaming employee or nongaming employee.

(f) All sports wagering certificate petitioner holders and sports wagering operator applicants and licensees that hire an individual who holds a key employee license, gaming employee occupation permit or registration issued by the Board shall contact the Bureau of Licensing to confirm that the individual's key employee license, gaming employee occupation permit or registration is in good standing prior to allowing the individual to perform work associated with sports wagering in this Commonwealth.

(g) An individual who holds a principal license, key employee license, gaming employee occupation permit or registration is subject to all of the following wagering restrictions relative to sports wagering:

(1) An individual whose job duties include sports wagering and who holds a license, permit or registration and is currently employed by or is a principal of a sports wagering certificate holder may not place wagers at the sports wagering certificate holder's land based sports wagering location or through the web site or mobile application offered by or associated with the sports wagering certificate petitioner or holder. The licensed, permitted or registered individual shall wait at least 30 days following the date that the individual is no longer employed in a position that includes sports wagering job duties before the individual may wager at the sports wagering certificate petitioner's or holder's land based sports wagering location or through the web site or mobile application offered by or associated with the sports wagering certificate petitioner or holder.

(2) An individual who holds a license, permit or registration and is currently employed by or is a principal of a sports wagering operator applicant or licensee may not wager anywhere the sports wagering operator applicant or licensee has land based sports wagering location or through the web site or mobile application offered by or associated with the sports wagering operator applicant or licensee. The licensed, permitted or registered individual shall wait at least 30 days following the date that the individual is no longer employed by the sports wagering operator applicant or licensee before the individual may wager at the sports wagering operator applicant's or licensee's land based sports wagering location or through the web site or mobile application offered by or associated with the sports wagering operator applicant or licensee.

(3) An individual whose job duties include sports wagering and who holds a license, permit or registration and is currently employed by or is a principal of a sports wagering manufacturer or sports wagering supplier applicant or licensee may not wager at any location or through the web site or mobile application offered by or associated with the sports wagering certificate petitioner or holder that offers games, services or uses equipment manufactured, supplied, developed or programmed by the sports wagering manufacturer or sports wagering supplier applicant or licensee.

§ 1406.2. Sports wagering gaming principals.

(a) Principals, as defined in this chapter, shall submit an application for licensure as described in this section.

(b) A principal license and the renewal thereof is valid for 5 years from the date of approval of the application by the Board.

(c) A renewal application for a principal license shall be filed at least 6 months prior to expiration of the current license.

(d) A principal license for which a completed renewal application and fee has been received by the Board will continue in effect until acted upon by the Board.

(e) A principal license issued under this subpart will be only be valid for the licensed or certified entity with which the principal is associated.

Cross References

This section cited in 58 Pa. Code § 1402.2 (relating to sports wagering operator application and standards); 58 Pa. Code § 1403.2 (relating to sports wagering manufacturer license application and standards); 58 Pa. Code § 1403.7 (relating to sports wagering manufacturer licensee change of control); 58 Pa. Code § 1404.2 (relating to sports wagering supplier application and standards); and 58 Pa. Code § 1404.7 (relating to sports wagering supplier change of control).

§ 1406.3. Sports wagering key employees.

(a) Key employees, as defined in this chapter, shall submit an application for licensure as described in this section.

(b) A key employee license and the renewal thereof is valid for 5 years from the date of approval of the application by the Board.

(c) A renewal application for a key employee license shall be filed at least 6 months prior to expiration of the current license.

(d) A key employee license for which a completed renewal application and fee has been received by the Board will continue in effect until acted upon by the Board.

(e) A key employee license issued under this subpart will be valid for employment with any licensed or certified entity.

§ 1406.4. Sports wagering gaming employees.

(a) Gaming employees, as defined in this chapter, shall submit an application for licensure as described in this section.

(b) In addition to the materials required to be submitted under this subpart, gaming employee occupation permit applicants shall submit verification of an offer of employment from a sports wagering applicant or licensee.

(c) A gaming employee occupation permit and the renewal thereof is valid for 5 years from the date of approval of the application by the Board.

(d) A renewal application for a gaming employee occupation permit shall be filed at least 6 months prior to expiration of the current permit.

(e) A gaming employee occupation permit for which a completed renewal application and fee has been received by the Board will continue in effect until acted upon by the Board.

(f) An individual who wishes to receive a gaming employee occupation permit under this subpart may authorize an applicant for or holder of a sports wagering applicant or licensee to file an application on the individual's behalf.

(g) A gaming employee occupation permit issued under this chapter will be valid for employment with any licensed, certified or registered entity.

Cross References

This section cited in 58 Pa. Code § 1403.6 (relating to sports wagering manufacturer licensee responsibilities); 58 Pa. Code § 1404.6 (relating to sports wagering supplier licensee responsibilities); 58 Pa. Code § 1405.3 (relating to sports wagering gaming service provider registration applications); and 58 Pa. Code § 1405.4 (relating to qualification of individuals and entities of certified sports wagering gaming service providers).

§ 1406.5. Sports wagering nongaming employees.

(a) Nongaming employees, as defined in this chapter, shall submit an application for licensure as described in this section.

(b) In addition to the materials required to be submitted under this subpart, nongaming employee registration applicants shall submit verification of an offer of employment from a sports wagering applicant or licensee.

(c) A nongaming employee registration and the renewal thereof is valid for 5 years from the date of approval of the application by the Board.

(d) A renewal application for a nongaming employee registration shall be filed at least 6 months prior to expiration of the current registration.

(e) A nongaming employee registration for which a completed renewal application and fee has been received by the Board will continue in effect until acted upon by the Board.

(f) An individual who wishes to receive a nongaming employee registration under this subpart may authorize a sports wagering applicant or licensee to file an application on the individual's behalf.

(g) A nongaming employee registration issued under this chapter will be valid for employment with any licensed, certified or registered entity.

Cross References

This section cited in 58 Pa. Code § 1403.6 (relating to sports wagering manufacturer licensee responsibilities); 58 Pa. Code § 1404.6 (relating to sports wagering supplier licensee responsibilities); 58 Pa. Code § 1405.3 (relating to sports wagering gaming service provider registration applications); and 58 Pa. Code § 1405.4 (relating to qualification of individuals and entities of certified sports wagering gaming service providers).

§ 1406.6. Board credentials.

The individuals required to be licensed, permitted or registered under this subpart shall obtain a Board credential as described in this subpart.

§ 1406.7. Emergency and temporary credentials.

The individuals required to be licensed, permitted or registered under this subpart may obtain an emergency or temporary Board credential as described in §§ 435a.7, 435a.8 and 435a.9a (relating to emergency credentials; temporary credentials; and gaming service provider employee temporary access credentials).

Cross References

This section cited in 58 Pa. Code § 1405.8 (relating to emergency sports wagering gaming service provider).

§ 1406.8. Loss, theft or destruction of credentials.

(a) As soon as possible, but no later than 24 hours following the loss, theft or destruction of a Board credential, emergency credential or temporary credential, the person to whom the credential was issued shall notify the Bureau of Licensing.

(b) The sports wagering applicant or licensee, on behalf of an employee whose Board-issued credential was lost, stolen or destroyed, may request a replacement Board credential by submitting a Request for Duplicate PGCB Credential Form and the fee established by the Board to the Bureau of Licensing.

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