

**CHAPTER 1406a. SPORTS WAGERING PRINCIPALS AND KEY,
GAMING AND NONGAMING EMPLOYEES**

Sec.

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Authority

The provisions of this Chapter 1406a added under 4 Pa.C.S. §§ 1202(b)(30) and 13C02, unless otherwise noted.

Source

The provisions of this Chapter 1406a added September 10, 2021, effective September 11, 2021, 51 Pa.B. 5973, unless otherwise noted.

§ 1406a.1. General provisions.

(a) An individual seeking a principal license, key employee license, gaming employee occupation permit or nongaming employee registration to participate in sports wagering in this Commonwealth shall apply to the Board as follows:

(1) Principal and key employee applicants shall submit a completed Multi-Jurisdictional Personal History Disclosure Form as well as a completed Principal/Key Employee Form—Pennsylvania Supplement to the Multi-Jurisdictional Personal History Disclosure Form.

(2) Gaming employee occupation permit applicants and nongaming employee registration applicants shall submit the Gaming Employee or Nongaming Employee Registration Application using the SLOTSLink Electronic Application system.

(3) All applicants shall submit the nonrefundable application fee posted on the Board's web site.

(b) In addition to the materials required in subsection (a), an applicant shall comply with the general application requirements in Chapters 421a and 423a (relating to general provisions; and applications; statement of conditions; wagering restrictions).

(c) The holder of a principal license, key employee license, gaming employee occupation permit or nongaming employee registration shall provide an updated photograph at the request of Board staff.

(d) An applicant for a gaming employee occupation permit or nongaming employee registration shall be at least 18 years of age.

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(e) After reviewing the application and the results of the applicant's background investigation, the Board may issue a principal license, key employee license, gaming employee occupation permit or nongaming employee registration if the individual has proven that he is a person of good character, honesty and integrity and is eligible and suitable to be licensed as a principal, key employee, gaming employee or nongaming employee.

(f) All sports wagering certificate holders and sports wagering operators that hire an individual who holds a key employee license, gaming employee occupation permit or registration issued by the Board shall contact the Bureau of Licensing to confirm that the individual's key employee license, gaming employee occupation permit or registration is in good standing prior to allowing the individual to perform work associated with sports wagering in this Commonwealth.

(g) An individual who holds a principal license, key employee license, gaming employee occupation permit or registration is subject to all of the following wagering restrictions relative to sports wagering:

(1) An individual who holds a license, permit or registration and is currently employed by or is a principal of a sports wagering certificate holder may not place wagers at the sports wagering certificate holder's retail sports wagering locations.

(2) If the job duties of the individual who holds a license, permit or registration and is currently employed by or is a principal of a sports wagering certificate holder include sports wagering, the individual may not place wagers through the web site or mobile application offered by or associated with the sports wagering certificate holder.

(3) The licensed, permitted or registered individual shall wait at least 30 days following the date that the individual is no longer employed by the sports wagering certificate holder in a position that includes sports wagering job duties before the individual may wager at the sports wagering certificate holder's retail sports wagering location or through the web site or mobile application offered by or associated with the sports wagering certificate holder.

(4) An individual who holds a license, permit or registration and is currently employed by or is a principal of a sports wagering operator may not wager anywhere the sports wagering operator operates a retail sports wagering location or through the web site or mobile application offered by or associated with the sports wagering operator.

(5) The licensed, permitted or registered individual shall wait at least 30 days following the date that the individual is no longer employed by the sports wagering operator before the individual may wager at the sports wagering operator's retail sports wagering location or through the web site or mobile application offered by or associated with the sports wagering operator.

(6) An individual whose job duties include sports wagering and who holds a license, permit or registration and is currently employed by or is a principal of a sports wagering manufacturer or sports wagering supplier may not wager

at any retail sports wagering location or through the web site or mobile application offered by or associated with the sports wagering certificate holder or sports wagering operator that offers games, services or uses equipment manufactured, supplied, developed or programmed by the sports wagering manufacturer or sports wagering supplier.

Cross References

This section cited in 58 Pa. Code § 1406a.2 (relating to sports wagering principals); 58 Pa. Code § 1406a.3 (relating to sports wagering key employees); and 58 Pa. Code § 1406a.4 (relating to sports wagering gaming employees).

§ 1406a.2. Sports wagering principals.

(a) Principals and principal entities, as defined in §§ 401a.3 and 433a.1 (relating to definitions), shall submit an application for licensure as described in § 1406a.1 (relating to general provisions).

(b) A principal license and the renewal thereof is valid for 5 years from the date of approval of the application by the Board.

(c) A renewal application for a principal license shall be filed at least 6 months prior to expiration of the current license.

(d) A principal license for which a completed renewal application and fee has been received by the Board will continue in effect until acted upon by the Board.

(e) A principal license issued under this subpart will only be valid for the licensed or certified entity with which the principal is associated.

Cross References

This section cited in 58 Pa. Code § 1402a.2 (relating to sports wagering operator application and standards); 58 Pa. Code § 1402a.4 (relating to sports wagering operator change of control); 58 Pa. Code § 1403a.2 (relating to sports wagering manufacturer license application and standards); 58 Pa. Code § 1403a.6 (relating to sports wagering manufacturer licensee change of control); 58 Pa. Code § 1404a.2 (relating to sports wagering supplier application and standards); and 58 Pa. Code § 1404a.6 (relating to sports wagering supplier change of control).

§ 1406a.3. Sports wagering key employees.

(a) Key employees, as defined in §§ 401a.3 and 1401a.2 (relating to definitions), shall submit an application for licensure as described in § 1406a.1 (relating to general provisions).

(b) A key employee license and the renewal thereof is valid for 5 years from the date of approval of the application by the Board.

(c) A renewal application for a key employee license shall be filed at least 6 months prior to expiration of the current license.

(d) A key employee license for which a completed renewal application and fee has been received by the Board will continue in effect until acted upon by the Board.

(e) A key employee license issued under this subpart will be valid for employment with any licensed or certified entity.

Cross References

This section cited in 58 Pa. Code § 1402a.2 (relating to sports wagering operator applications and standards); 58 Pa. Code § 1402a.4 (relating to sports wagering operator change of control); 58 Pa. Code § 1403a.2 (relating to sports wagering manufacturer license application and standards); 58 Pa. Code § 1403a.6 (relating to sports wagering manufacturer licensee change of control); 58 Pa. Code § 1404a.2 (relating to sports wagering supplier application and standards); and 58 Pa. Code § 1404a.6 (relating to sports wagering supplier change of control).

§ 1406a.4. Sports wagering gaming employees.

(a) Gaming employees, as defined in §§ 401a.3 and 1401a.2 (relating to definitions), shall submit an application for licensure as described in § 1406a.1 (relating to general provisions).

(b) In addition to the materials required to be submitted under this subpart, gaming employee occupation permit applicants shall submit verification of an offer of employment from a licensed or certified entity.

(c) A gaming employee occupation permit and the renewal thereof is valid for 5 years from the date of approval of the application by the Board.

(d) A renewal application for a gaming employee occupation permit shall be filed at least 6 months prior to expiration of the current permit.

(e) A gaming employee occupation permit for which a completed renewal application and fee has been received by the Board will continue in effect until acted upon by the Board.

(f) An individual who wishes to receive a gaming employee occupation permit under this subpart may authorize an applicant for or holder of a sports wagering certificate, sports wagering operator license, sports wagering manufacturer license, sports wagering supplier license, or sports wagering gaming service provider certification or registration to file an application on the individual's behalf.

(g) A gaming employee occupation permit issued under this chapter will be valid for employment with any licensed, certified or registered entity.

Cross References

This section cited in 58 Pa. Code § 1403a.5 (relating to sports wagering manufacturer licensee responsibilities); 58 Pa. Code § 1404a.5 (relating to sports wagering supplier licensee responsibilities); 58 Pa. Code § 1405a.3 (relating to sports wagering gaming service provider registration applications); and 58 Pa. Code § 1405a.4 (relating to qualification of individuals and entities of certified sports wagering gaming service providers).

§ 1406a.5. Sports wagering nongaming employees.

(a) Nongaming employees, as defined in § 401a.3 (relating to definitions), shall submit an application for registration as described in this section.

(b) In addition to the materials required to be submitted under this subpart, nongaming employee registration applicants shall submit verification of an offer of employment from a licensed or certified entity.

(c) A nongaming employee registration and the renewal thereof is valid for 5 years from the date of approval of the application by the Board.

(d) A renewal application for a nongaming employee registration shall be filed at least 6 months prior to expiration of the current registration.

(e) A nongaming employee registration for which a completed renewal application and fee has been received by the Board will continue in effect until acted upon by the Board.

(f) An individual who wishes to receive a nongaming employee registration under this subpart may authorize an applicant for or holder of a sports wagering certificate, sports wagering operator license, sports wagering manufacturer license, sports wagering supplier license, or sports wagering gaming service provider certification or registration to file an application on the individual's behalf.

(g) A nongaming employee registration issued under this chapter will be valid for employment with any licensed, certified or registered entity.

Cross References

This section cited in 58 Pa. Code § 1403a.5 (relating to sports wagering manufacturer licensee responsibilities); 58 Pa. Code § 1404a.5 (relating to sports wagering supplier licensee responsibilities); 58 Pa. Code § 1405a.3 (relating to sports wagering gaming service provider registration applications); and 58 Pa. Code § 1405a.4 (relating to qualification of individuals and entities of certified sports wagering gaming service providers).

§ 1406a.6. Board credentials.

The individuals required to be licensed, permitted or registered under this subpart shall obtain a Board credential as described in § 435a.6 (relating to Board credentials) if it is determined by the Bureau of Licensing that a credential is necessary to perform the individual's job functions.

§ 1406a.7. Emergency and temporary credentials.

The individuals required to be licensed, permitted or registered under this subpart may obtain an emergency or temporary Board credential as described in §§ 435a.7, 435a.8 and 435a.9a (relating to emergency credentials; temporary credentials; and gaming service provider employee temporary access credentials).

Cross References

This section cited in 58 Pa. Code § 1405a.8 (relating to emergency sports wagering gaming service provider).

§ 1406a.8. Loss, theft or destruction of credentials.

(a) As soon as possible, but no later than 24 hours following the loss, theft or destruction of a Board credential, emergency credential or temporary credential, the person to whom the credential was issued shall notify the Bureau of Licensing.

(b) The sports wagering licensee, on behalf of an employee whose Board-issued credential was lost, stolen or destroyed, may request a replacement Board credential by submitting a Request for Duplicate PGCB Credential Form and the fee established by the Board to the Bureau of Licensing.

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