

CHAPTER 404. [Reserved and Renumbered]

Sec.
404.010. [Reserved and Renumbered].
404.011. [Reserved and Renumbered].
404.012. [Reserved and Renumbered].
404.013. [Reserved and Renumbered].
404.020. [Reserved and Renumbered].

§ 404.010. [Reserved and Renumbered].

Authority

The provisions of this § 404.010 amended under sections 404(a) and 609(a) of the Pennsylvania Securities Act of 1972 (70 P. S. §§ 1-404(a) and 1-609(a)).

Source

The provisions of this § 404.010 adopted March 29, 1974, effective March 30, 1974, 4 Pa.B. 582; amended September 1, 2000, effective September 2, 2000, 30 Pa.B. 4551; reserved and transferred to 10 Pa. Code § 404.010, December 14, 2012, effective December 15, 2012, 42 Pa.B. 7533. Immediately preceding text appears at serial pages (317637) to (317638) and (268861).

§ 404.011. [Reserved and Renumbered].

Authority

The provisions of this § 404.011 issued under sections 404(a) and 609(a) of the Pennsylvania Securities Act of 1972 (70 P. S. §§ 1-404(a) and 1-609(a)).

Source

The provisions of this § 404.011 adopted September 1, 2000, effective September 2, 2000, 30 Pa.B. 4551; reserved and transferred to 10 Pa. Code § 404.011, December 14, 2012, effective December 15, 2012, 42 Pa.B. 7533. Immediately preceding text appears at serial pages (268861) to (268862) and (317639).

§ 404.012. [Reserved and Renumbered].

Authority

The provisions of this § 404.012 issued under sections 404(a) and 609(a) of the Pennsylvania Securities Act of 1972 (70 P. S. §§ 1-404(a) and 1-609(a)).

Source

The provisions of this § 404.012 adopted September 1, 2000, effective September 2, 2000, 30 Pa.B. 4551; reserved and transferred to 10 Pa. Code § 404.012, December 14, 2012, effective December 15, 2012, 42 Pa.B. 7533. Immediately preceding text appears at serial pages (317639) to (317640) and (310507).

§ 404.013. [Reserved and Renumbered].

Authority

The provisions of this § 404.013 issued under sections 404(a) and 609(a) of the Pennsylvania Securities Act of 1972 (70 P. S. §§ 1-404(a) and 1-609(a)).

Source

The provisions of this § 404.013 adopted September 1, 2000, effective September 2, 2000, 30 Pa.B. 4551; amended April 15, 2005, effective April 16, 2005, 35 Pa.B. 2307; reserved and transferred to 10 Pa. Code § 404.013, December 14, 2012, effective December 15, 2012, 42 Pa.B. 7533. Immediately preceding text appears at serial pages (310507) to (310508).

§ 404.020. [Reserved and Renumbered].

Source

The provisions of this § 404.020 adopted March 29, 1974, effective March 30, 1974, 4 Pa.B. 582; amended January 17, 1992, effective January 18, 1992, 22 Pa.B. 294; reserved September 1, 2000, effective September 2, 2000, 30 Pa.B. 4551; transferred to 10 Pa. Code § 404.020, December 14, 2012, effective December 15, 2012, 42 Pa.B. 7533. Immediately preceding text appears at serial page (310509).

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