

CHAPTER 609. [Reserved and Renumbered]

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§ 609.010. [Reserved and Renumbered].**Authority**

The provisions of this § 609.010 amended under sections 203(d), (o) and (p), 205, 206, 301, 303, 504, 603(a) and 609 of the Pennsylvania Securities Act of 1972 (70 P. S. §§ 1-203(d), (o) and (p), 1-205, 1-206, 1-301, 1-303, 1-504, 1-603(a) and 1-609); and the Takeover Disclosure Law (70 P. S. § 74).

Source

The provisions of this § 609.010 adopted December 18, 1981, effective December 19, 1981, 11 Pa.B. 4310; amended November 4, 1983, effective November 5, 1983, 13 Pa.B. 3389; amended July 25, 1986, effective July 26, 1986, 16 Pa.B. 2721; amended June 26, 1987, June 27, 1987, 17 Pa.B. 2607; amended April 28, 1989, effective April 29, 1989, 19 Pa.B. 1848; amended December 8, 2006, effective December 9, 2006, 36 Pa.B. 7456; reserved and transferred to 10 Pa. Code § 609.010, December 14, 2012, effective December 15, 2012, 42 Pa.B. 7533. Immediately preceding text appears at serial pages (324473) to (324474), (324723) to (324724) and (324475).

§ 609.011. [Reserved and Renumbered].**Authority**

The provisions of this § 609.011 amended under sections 102(k), 202(h) and (i), 203(r), 204(a), 207(h), (i) and (k), 209(a), 606(a) and 609(a) of the Pennsylvania Securities Act of 1972 (70 P. S. §§ 1-102(k), 2-202(h) and (i), 2-203(r), 2-204(a), 2-207(h), (i) and (k), 2-209(a), 6-606(a) and 6-609(a)).

Source

The provisions of this § 609.011 adopted October 11, 1974, effective October 12, 1974, 4 Pa.B. 2174; amended October 10, 1997, effective October 11, 1997, 27 Pa.B. 5255; reserved and transferred to 10 Pa. Code § 609.011, December 14, 2012, effective December 15, 2012, 42 Pa.B. 7533. Immediately preceding text appears at serial page (324475).

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§ 609.012. [Reserved and Renumbered].**Authority**

The provisions of this § 609.012 issued under section 609(a) of the Pennsylvania Securities Act of 1972 (70 P. S. § 1-609(a)).

Source

The provisions of this § 609.012 adopted March 27, 1987, effective March 28, 1987, 17 Pa.B. 1304; reserved and transferred to 10 Pa. Code § 609.012, December 14, 2012, effective December 15, 2012, 42 Pa.B. 7533. Immediately preceding text appears at serial pages (324475) to (324476) and (317673).

§ 609.031. [Reserved and Renumbered].**Authority**

The provisions of this § 609.031 issued under the Pennsylvania Securities Act of 1972 (70 P. S. §§ 1-101—1-704); amended under sections 202(g) and (i), 203(d), (i.1), (j) and (n)—(t), 204(a), 205(b), 207(g), (j.1) and (n), 209(b), 211(a) and (b), 504(d), 513, 603(a), 606(d) and 609(a) of the Pennsylvania Securities Act of 1972 (70 P. S. §§ 1-202(g) and (i), 1-203(d), (i.1), (j) and (n)—(t), 1-204(a), 1-205(b), 1-207(g), (j.1) and (n), 1-209(b), 1-211(a) and (b), 1-504(d), 1-513, 1-603(a), 1-606(d) and 1-609(a)).

Source

The provisions of this § 609.031 adopted April 25, 1975, effective April 26, 1975, 5 Pa.B. 980; amended October 1, 1982, effective October 2, 1982, 12 Pa.B. 3500; amended December 30, 1999, effective January 1, 2000, 30 Pa.B. 18; reserved and transferred to 10 Pa. Code § 609.031, December 14, 2012, effective December 15, 2012, 42 Pa.B. 7533. Immediately preceding text appears at serial pages (317673) to (317674).

§ 609.032. [Reserved and Renumbered].**Authority**

The provisions of this § 609.032 issued under the Pennsylvania Securities Act of 1972 (70 P. S. §§ 1-101—1-704).

Source

The provisions of this § 609.032 adopted April 25, 1975, effective April 26, 1975, 5 Pa.B. 980; amended October 1, 1982, effective October 2, 1982, 12 Pa.B. 3501; amended January 8, 1999, effective January 9, 1999, 29 Pa.B. 202; reserved and transferred to 10 Pa. Code § 609.032, December 14, 2012, effective December 15, 2012, 42 Pa.B. 7533. Immediately preceding text appears at serial pages (317674) and (262493) to (262496).

§ 609.033. [Reserved and Renumbered].**Authority**

The provisions of this § 609.033 issued under the Pennsylvania Securities Act of 1972 (70 P. S. §§ 1-101—1-704).

Source

The provisions of this § 609.033 adopted April 25, 1975, effective April 26, 1975, 5 Pa.B. 980; amended October 1, 1982, effective October 2, 1982, 12 Pa.B. 3500; amended January 8, 1999, effective January 9, 1999, 29 Pa.B. 202; reserved and transferred to 10 Pa. Code § 609.033, December 14, 2012, effective December 15, 2012, 42 Pa.B. 7533. Immediately preceding text appears at serial pages (262496) to (262497).

§ 609.034. [Reserved and Renumbered].**Authority**

The provisions of this § 609.034 issued under the Pennsylvania Securities Act of 1972 (70 P. S. §§ 1-101—1-704); amended under sections 202(g) and (i), 203(d), (i.1), (j) and (n)—(t), 204(a), 205(b), 207(g), (j.1) and (n), 209(b), 211(a) and (b), 504(d), 513, 603(a), 606(d) and 609(a) of the Pennsylvania Securities Act of 1972 (70 P. S. §§ 1-202(g) and (i), 1-203(d), (i.1), (j) and (n)—(t), 1-204(a), 1-205(b), 1-207(g), (j.1) and (n), 1-209(b), 1-211(a) and (b), 1-504(d), 1-513, 1-603(a), 1-606(d) and 1-609(a)).

Source

The provisions of this § 609.034 adopted April 25, 1975, effective April 26, 1975, 5 Pa.B. 980; amended October 1, 1982, effective October 2, 1982, 12 Pa.B. 3503; amended January 8, 1999, effective January 9, 1999, 29 Pa.B. 202; amended December 30, 1999, effective January 1, 2000, 30 Pa.B. 18; reserved and transferred to 10 Pa. Code § 609.034, December 14, 2012, effective December 15, 2012, 42 Pa.B. 7533. Immediately preceding text appears at serial pages (262497) to (262500).

§ 609.035. [Reserved and Renumbered].**Source**

The provisions of this § 609.035 adopted April 25, 1975, effective April 26, 1975, 5 Pa.B. 980; reserved October 1, 1982, effective October 2, 1982, 12 Pa.B. 3503; transferred to 10 Pa. Code § 609.035, December 14, 2012, effective December 15, 2012, 42 Pa.B. 7533. Immediately preceding text appears at serial page (262500).

§ 609.036. [Reserved and Renumbered].**Authority**

The provisions of this § 609.036 issued under the Pennsylvania Securities Act of 1972 (70 P. S. §§ 1-101—1-704).

Source

The provisions of this § 609.036 adopted April 25, 1975, effective April 26, 1975, 5 Pa.B. 980; amended June 20, 1975, effective June 21, 1975, 5 Pa.B. 1593; amended October 1, 1982, effective October 2, 1982, 12 Pa.B. 3502; amended January 8, 1999, effective January 9, 1999, 29 Pa.B. 202; reserved and transferred to 10 Pa. Code § 609.036, December 14, 2012, effective December 15, 2012, 42 Pa.B. 7533. Immediately preceding text appears at serial pages (262500) and (317675).

§ 609.037. [Reserved and Renumbered].

Authority

The provisions of this § 609.037 issued under section 609 of the Pennsylvania Securities Act of 1972 (70 P. S. § 1-609).

Source

The provisions of this § 609.037 adopted July 6, 1990, effective July 28, 1990, 20 Pa.B. 3689 and 4089; reserved and transferred to 10 Pa. Code § 609.037, December 14, 2012, effective December 15, 2012, 42 Pa.B. 7533. Immediately preceding text appears at serial pages (317675) to (317676).

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